

**Univ. of Virginia, December, 2007**

# **The Deep Puzzle of High-Temperature Superconductivity**

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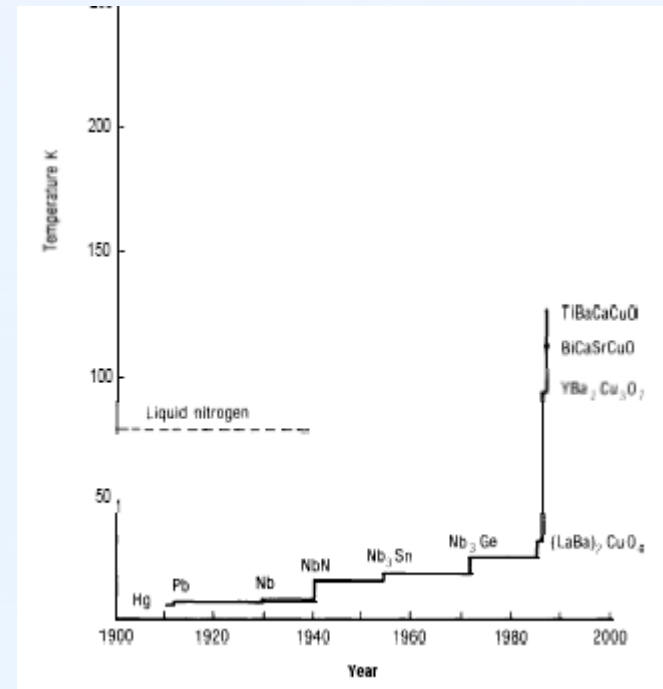
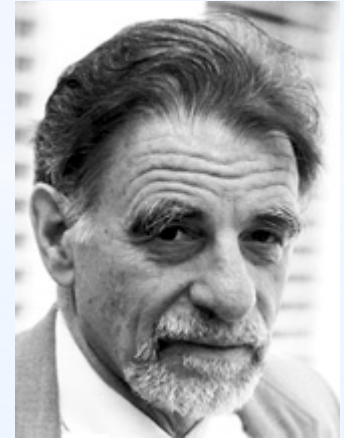
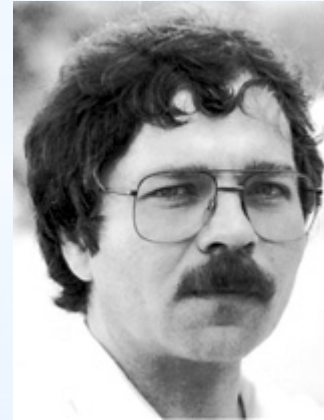
**B. Fine, K. Lokshin, D. Parshall  
H. Mook, J. Fernandez-Baca  
M. Yethiraj  
J.-H. Chung,  
F. Dogan**

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Univ. Washington***

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# High-Temperature Superconductivity

- Discovered in 1986 by G. Bednorz and K. A. Müller (Nobel Prize in 1987).
- $T_C$  saturated at 134K for 15 years.
- BCS theory with phonon does not work:  $T_C$  is too high, charge density is too low.
- Initial enthusiasm and slow progress since.

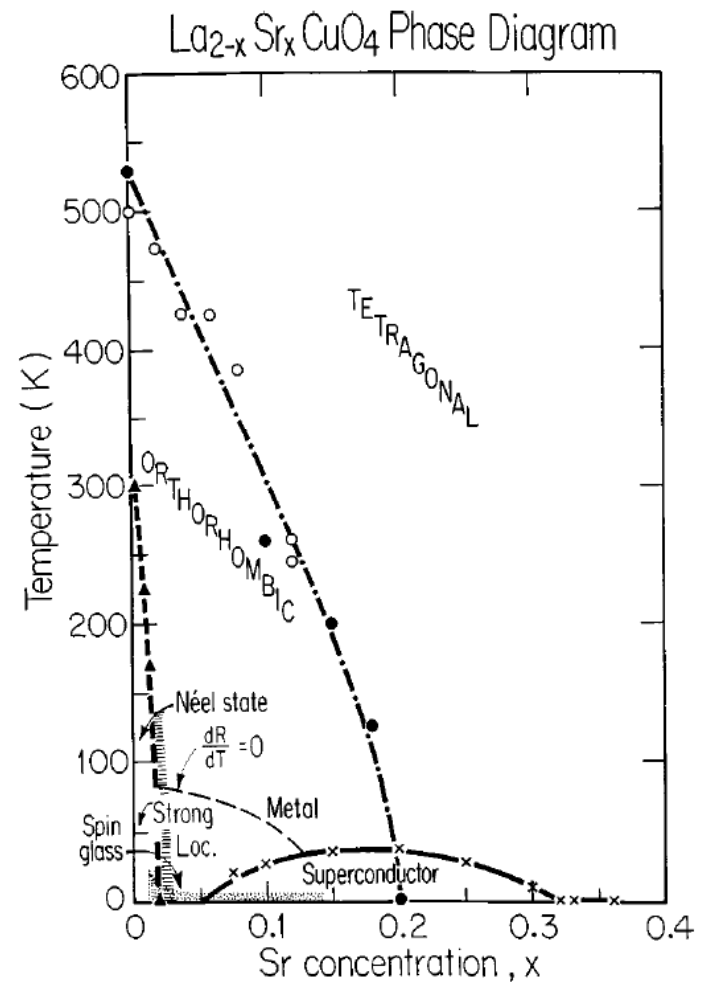
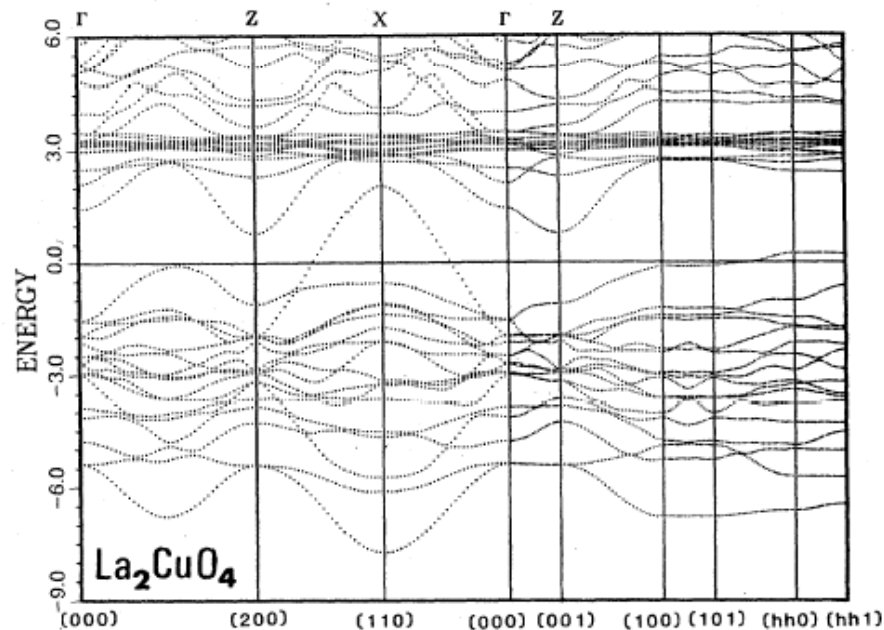


# Outline

- **The origin of high-temperature superconductivity (HTSC): A history of regression.**
- **What is the Mott-physics?**
- **The nature of the Mott transition and electronic nano-scale phase separation.**
- **Recent data with neutron scattering and Dark Matter in the cuprate physics.**
- **The possibility of intermediate order and a scenario of the spin-lattice synergy in the HTSC.**

# Spin Mechanism

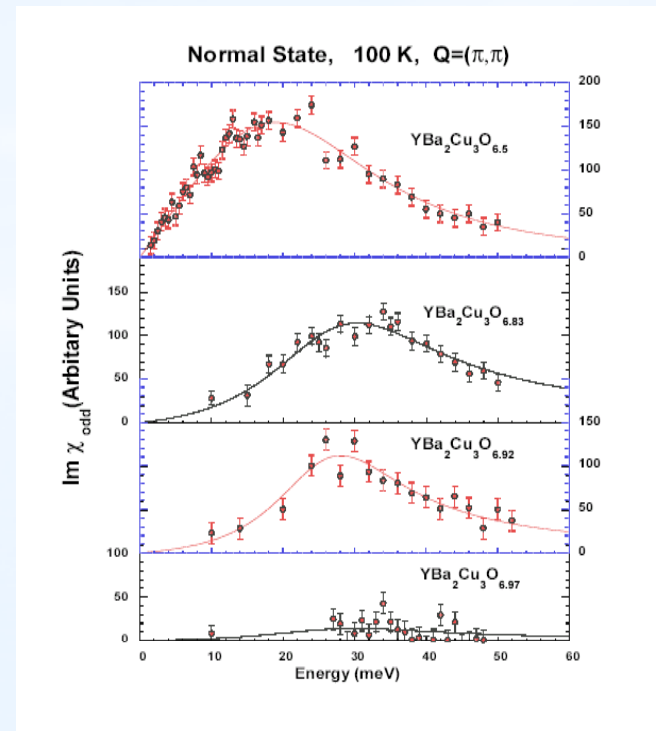
- AFM phase near-by.
- The parent phase is a Mott insulator (charge transfer insulator).



W. E. Pickett, *Rev. Mod. Phys.* **61**, 433 (1989)

# Spin Mechanism

- Spin fluctuation theory (Pines, Moriya,.....).
- Exotic theories:
  - RVB (Anderson)
  - Flux phase (Varma)
  - Stripes (Kivelson,.....)



Physica Scripta. T102, 10–12, 2002

## Superconductivity in High T<sub>c</sub> Cuprates: The Cause is No Longer A Mystery

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Joseph Henry Laboratories of Physics Princeton University, Princeton, N.J.08544, USA

*Received December 10, 2001; accepted in revised form April 19, 2002*

PACS Ref: 74.20.Mn

Total citation: 4

## We Agree....

- A pair of electrons (holes) in the singlet state.
- The gap symmetry is **mostly** *d*.
- Coherence length is short.
- Not suppressed much by disorder.
- A doped single  $\text{CuO}_2$  plane is enough.
- But, not much beyond. Experimentalists no longer listen to theorists.....

# Why Theory and Experiment are so Far Apart?

- Experiment: Complex Reality
  - Chemical disorder, multiple degrees of freedom, inhomogeneity.....
- Theory: Simplicity
  - Cannot solve even toy models.
  - Long-range Coulomb interaction usually neglected.

# “High-Energy” Physics

- Hubbard  $U$ . and Mott insulator

$$H = \sum_{i,j,\sigma} t_{ij} (c_{i\sigma}^+ c_{j\sigma} + c_{j\sigma}^+ c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

- Charge transfer gap for multi-band Hubbard.

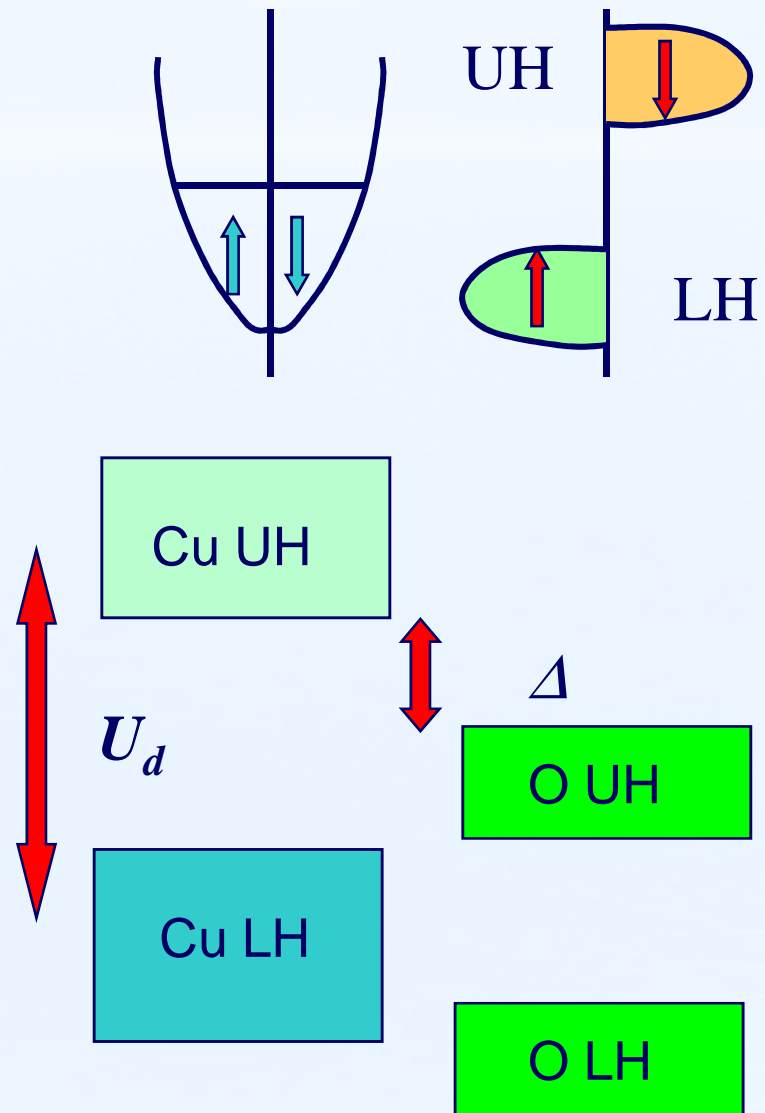
$$U_d = 8, \quad U_p = 4, \quad t_{pd} = 1$$

$$\Delta = 2$$

- $t$ - $J$  model.
  - Start with the  $\underline{U} = \infty$  state, expand by  $t/U$ .

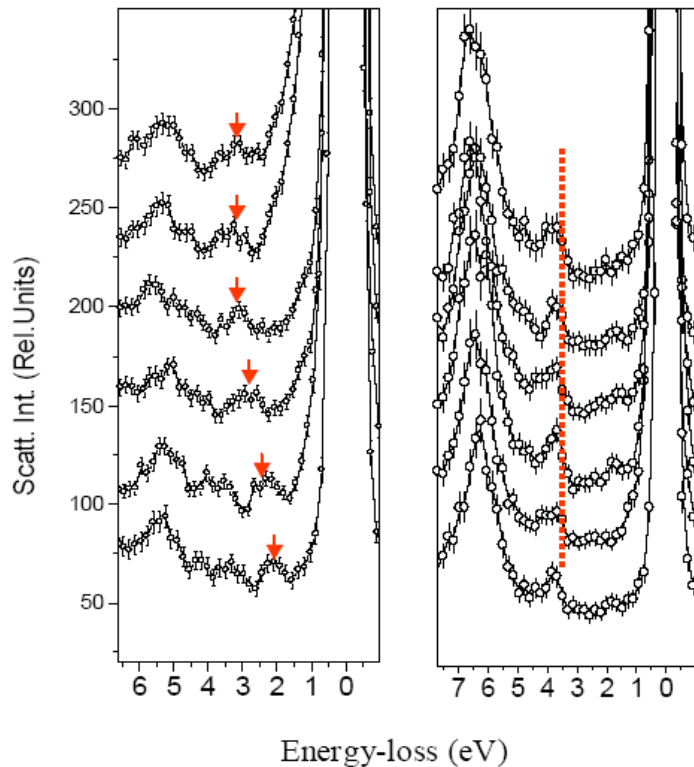
$$H_{t-J} = \sum_{i,j,\sigma} t_{ij} (c_{i\sigma}^+ c_{j\sigma} + c_{j\sigma}^+ c_{i\sigma}) + J \sum_{i,j} \mathbf{S}_i \cdot \mathbf{S}_j,$$

$$J = \frac{4t^2}{U}$$

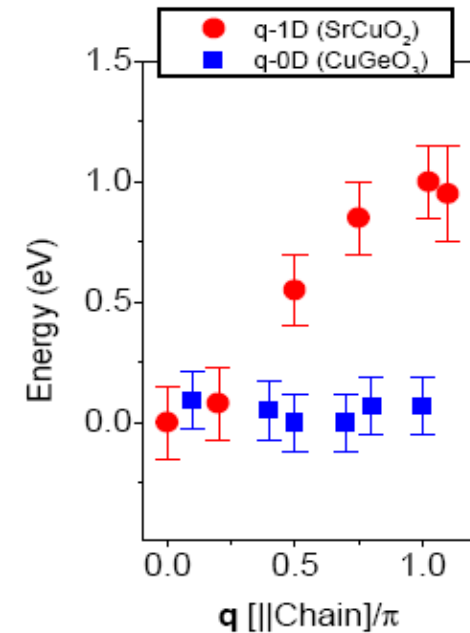
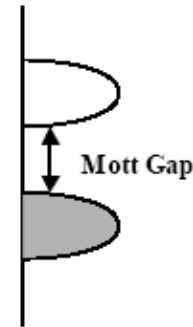




# Mott-Hubbard Gap by Inelastic X-ray Scattering

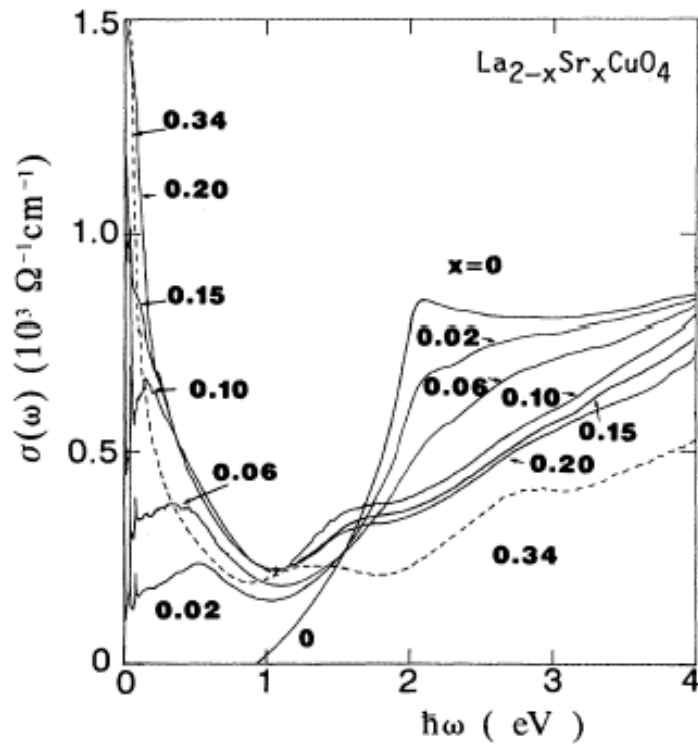


M. Z. Hasan, *et al.*, *Int. J. Mod. Phys. B* **17**, 3513; 3519 (2003); *Phys. Rev. Lett.* **88**, 177403 (2003).

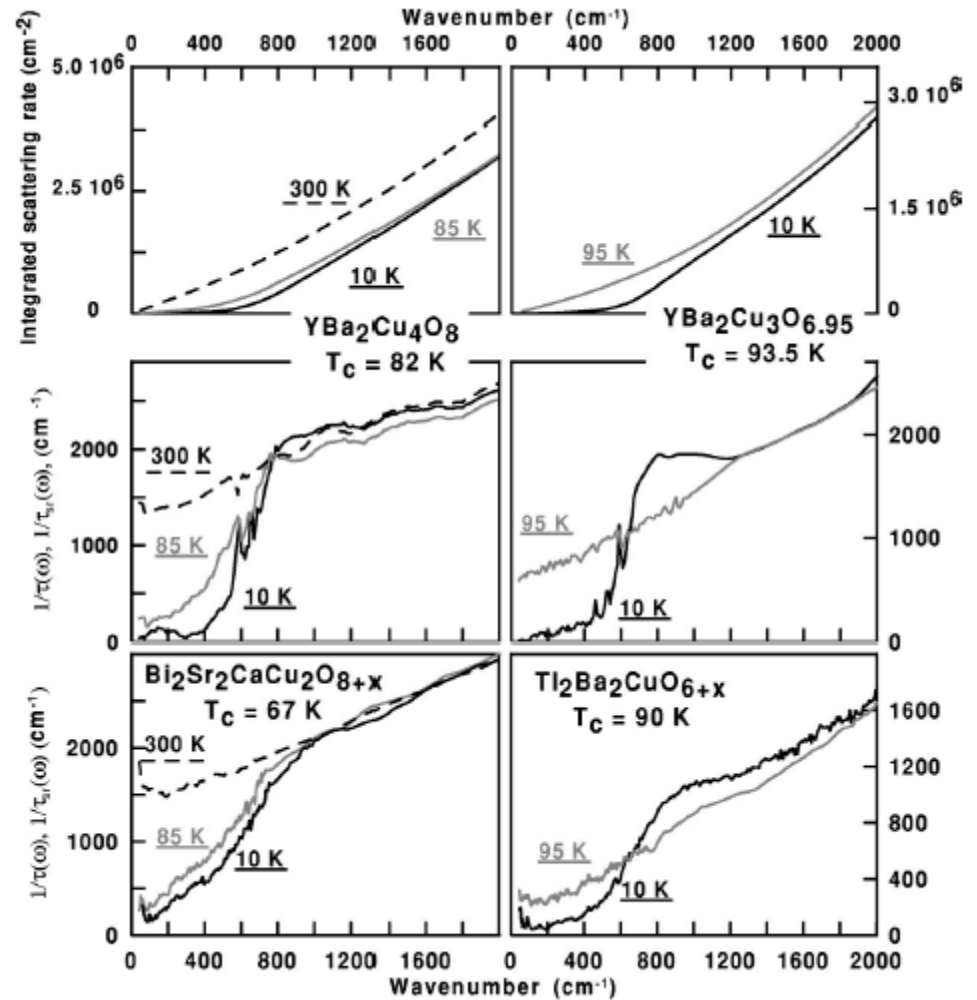


- IXS:  $\Delta E \sim 2$  meV (non-resonant),  $\sim 100$  meV (resonant).
- Dispersion in the Mott-Hubbard excitation. Stronger for the 2-d system (SrCuO<sub>2</sub>) than 1-d system (CuGeO<sub>3</sub>).

# Optical Conductivity

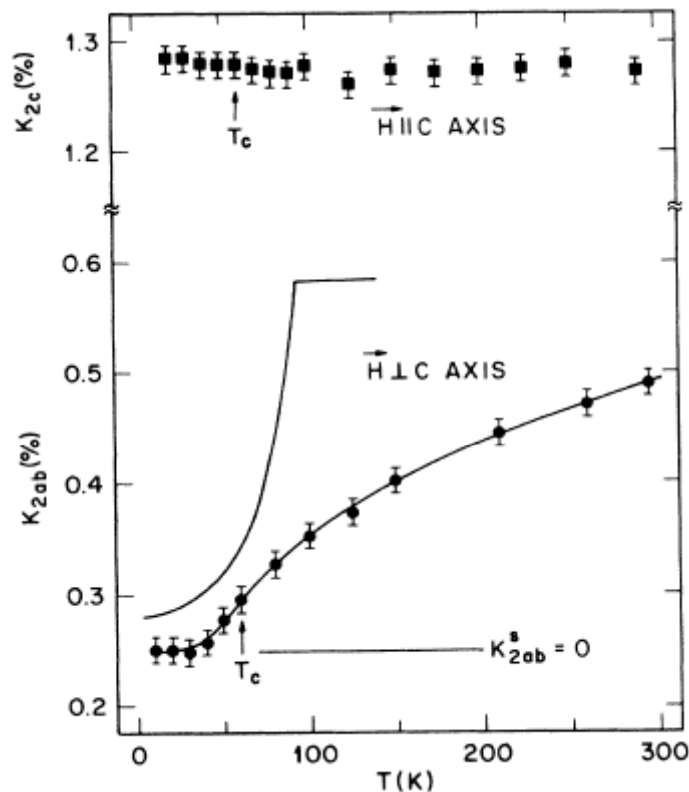


S. Uchida, et al., PRB 43, 7942 (1991).  
 D. N. Basov, E. J. Singley and S. V. Dordevic, *Phys. Rev. B* **65**, 054516 (2002)



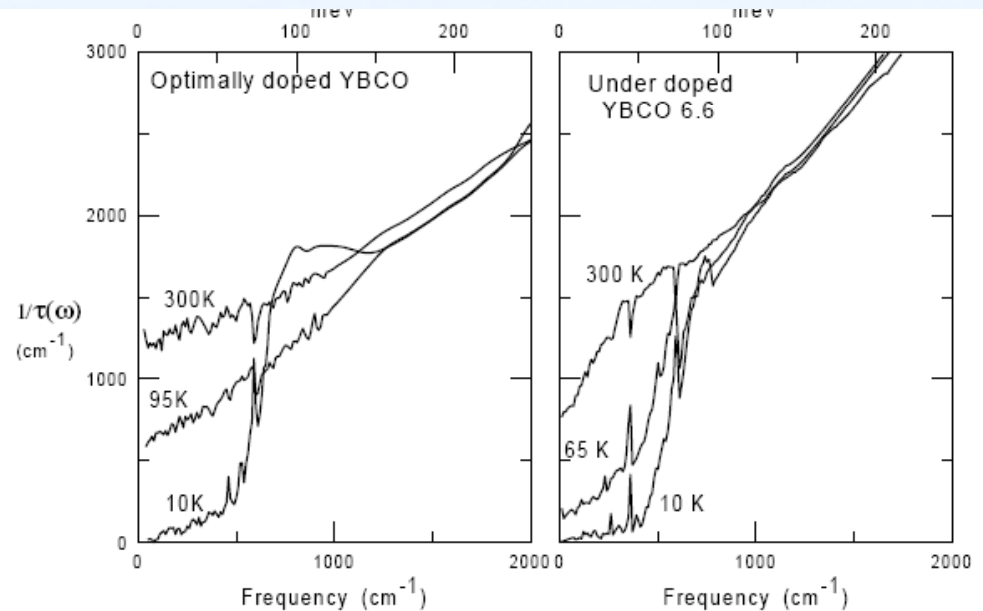
- “High-energy physics” reflected in low energy physics in more than one way through spin.

# Pseudo-Gap

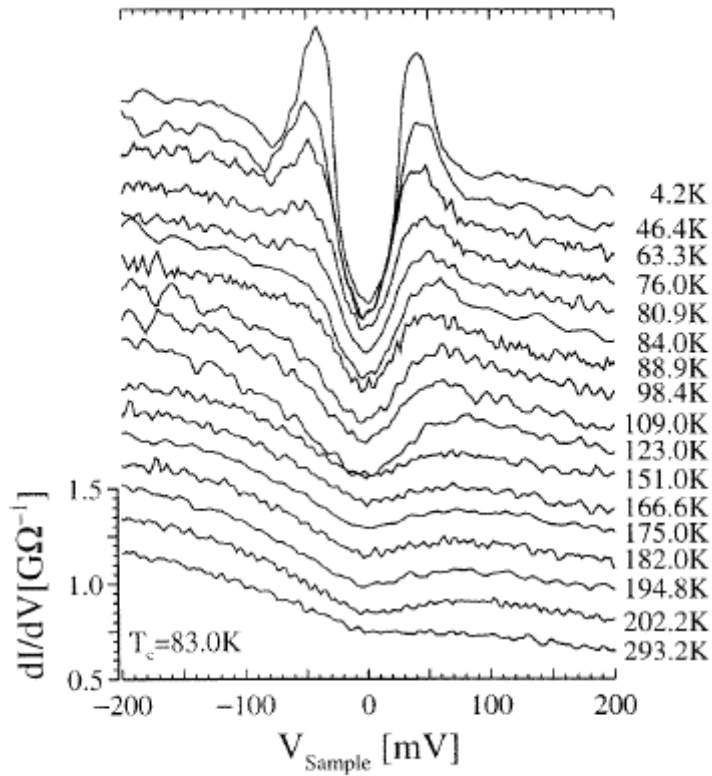


R. E. Walstedt et al., *Phys. Rev. B* **41**, 9574 (1990).

- Observed first by NMR.
- Clearly seen by IR, ARPES and tunneling probes including STS.
- Indirectly seen by resistivity, Hall effect, thermal conductivity.

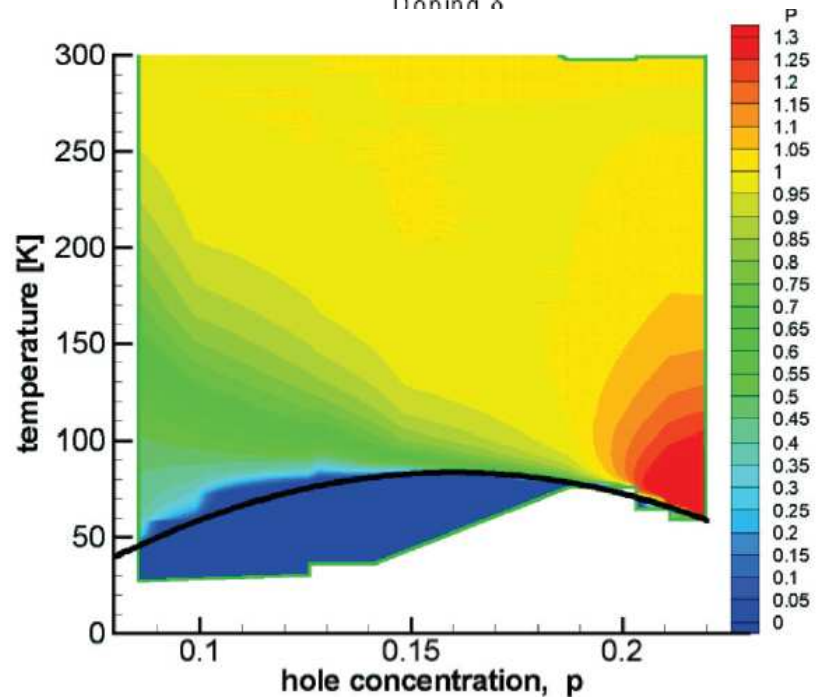
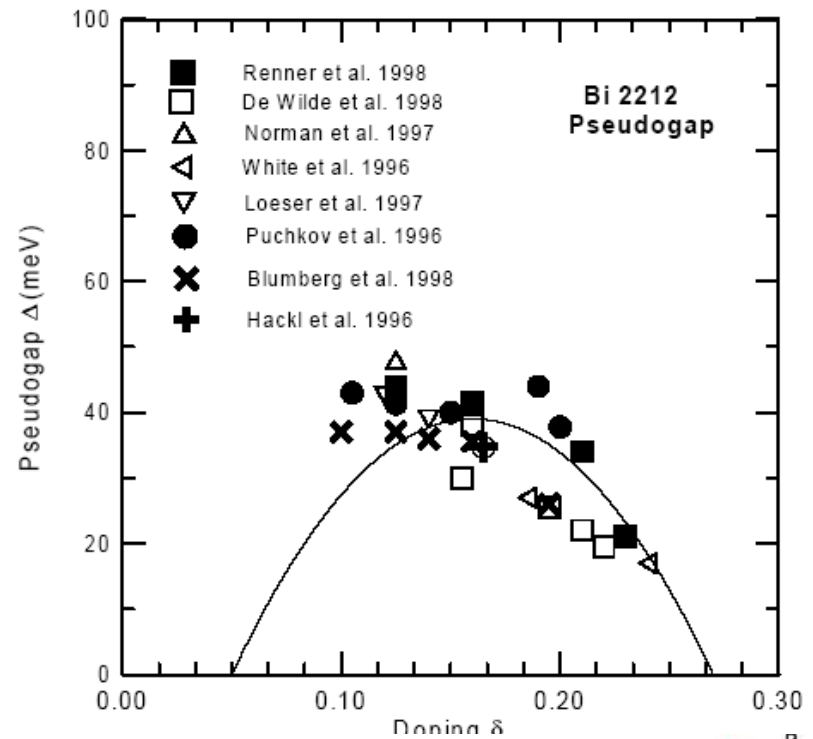


T. Timusk and B. Statt, *Rep. Prog. Phys.* **62**, 61 (1999).



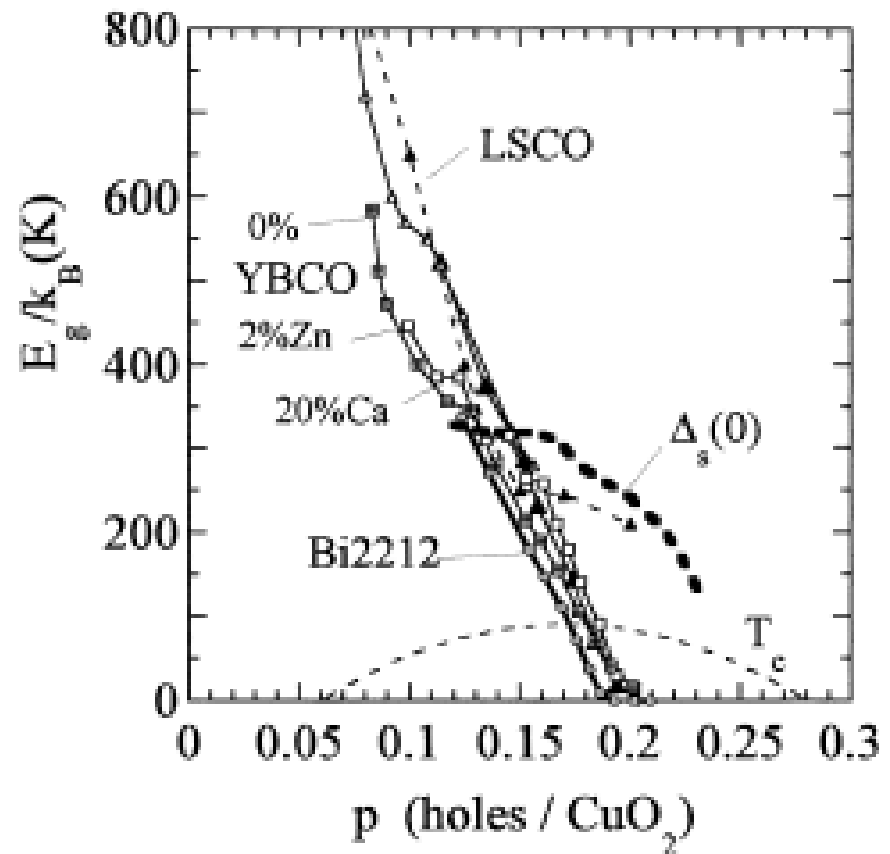
- SC gap for local pairing?
- Energy gap due to a competing order parameter?

$T$  vs.  $\rho(T)/(\rho_0 + \alpha T)$  for  $\text{Y}_{0.7}\text{Ca}_{0.3}\text{Cu}_3\text{O}_{7-\delta}$ , Tallon, Lorum



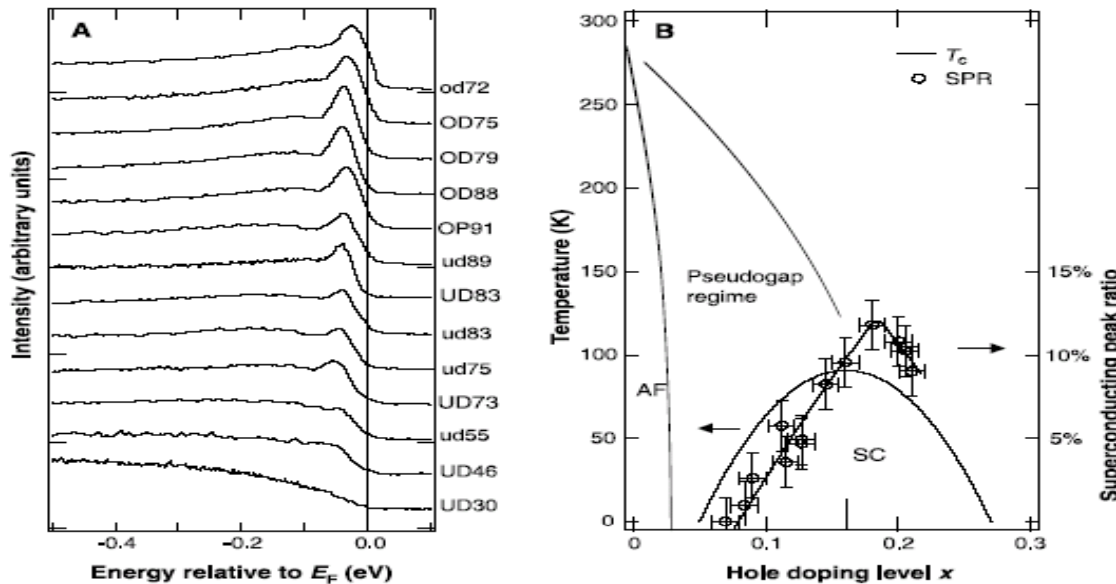
# Quantum Criticality

- Strong quantum fluctuations near the quantum critical point (QCP) promotes SC.
- The nature of the QCP is critical.



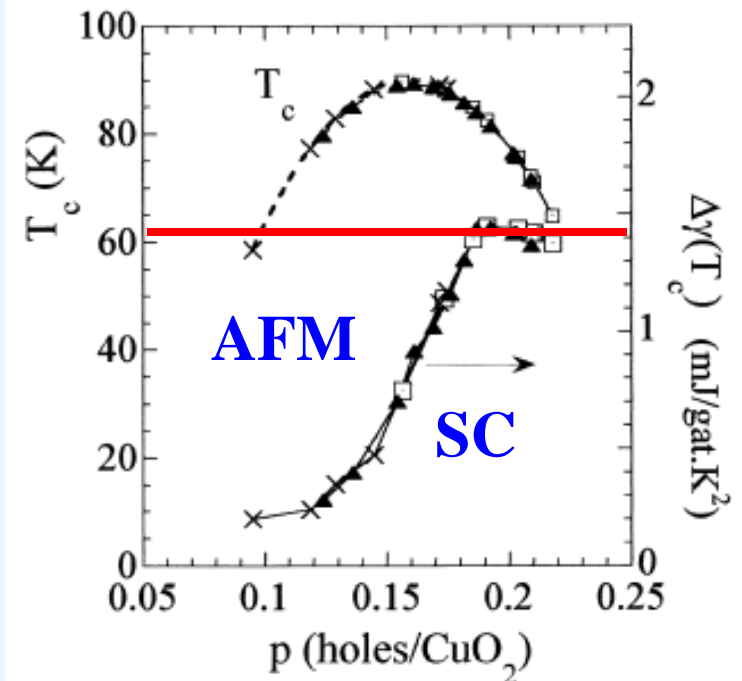
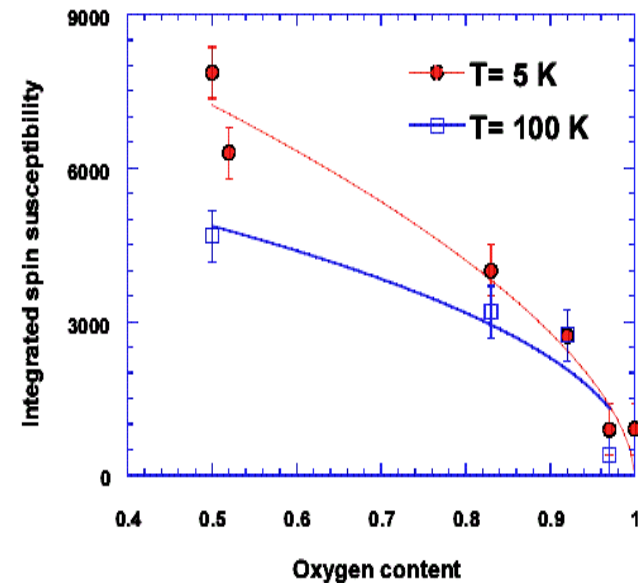
J. W. Loram, et al., *J. Phys. Chem Solids*, **62**, 59 (2001)

# Superfluid Density from ARPES



D. L. Feng, *et al*, *Science* **289**, 277 (2000).

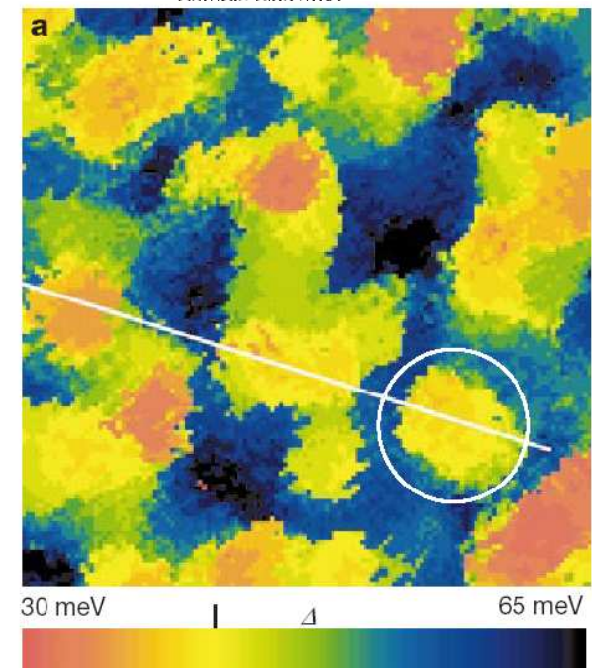
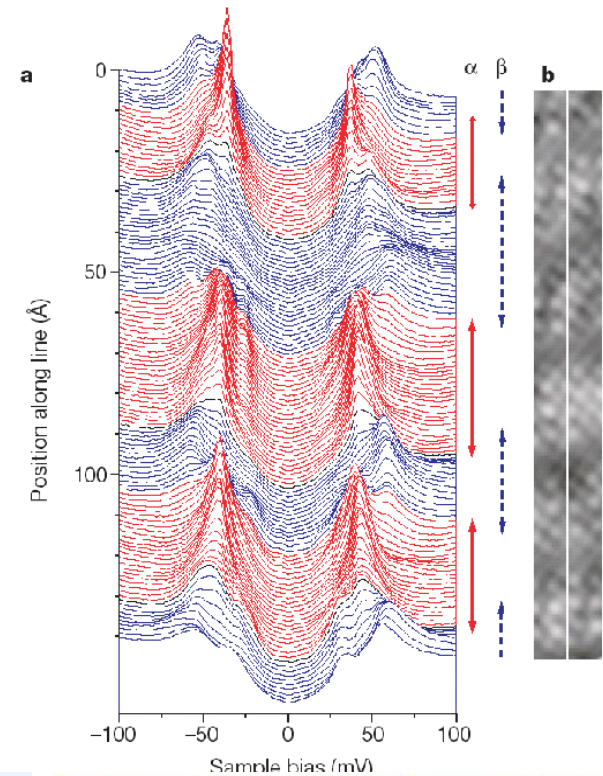
- Superconductivity competing against local AFM spin correlation.
- Sign of electronic phase separation (Moreo, Dagotto).
- PG phase mixture of SC and AFM phase.
- $T_c$  is low because of phase coherence is low.





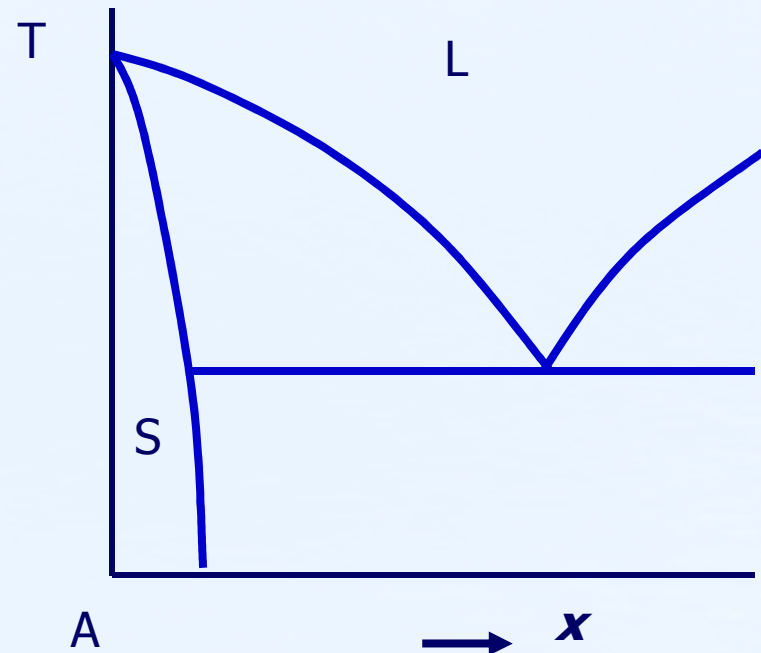
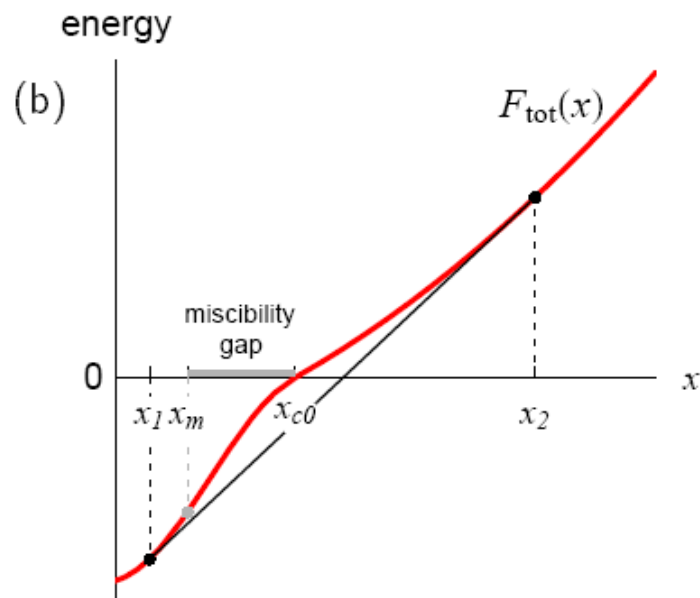
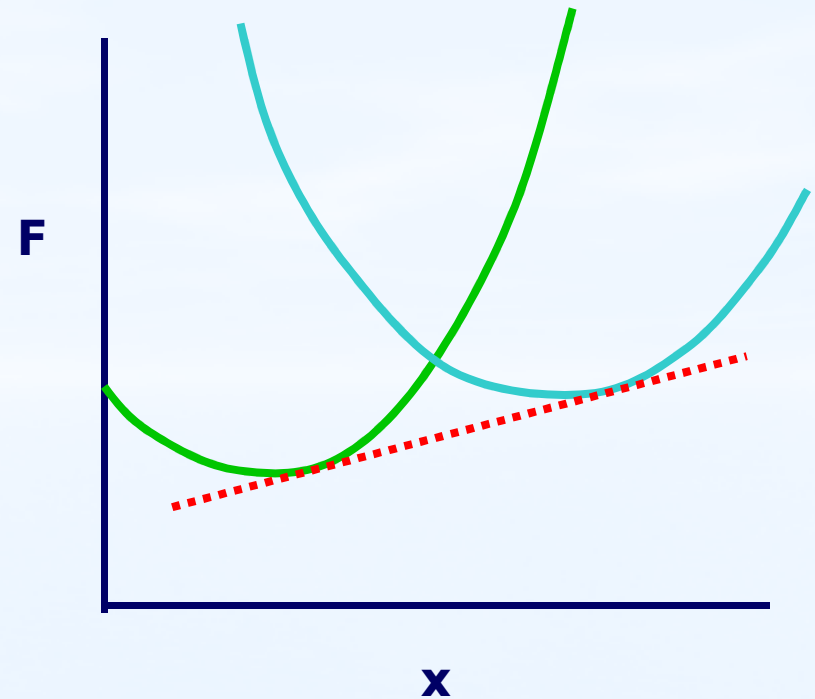
# Spatial Electronic Inhomogeneity

- Predicted by Gor'kov (1987), and studied by many (K. A. Muller).
- STM/STS studies by the group of Seamus Davis reveal electronic inhomogeneity in the underdoped cuprates.
- The size of the domains is comparable to  $\xi$ .
- The nature of the variation unclear.



# Phase Transition and Phase Separation

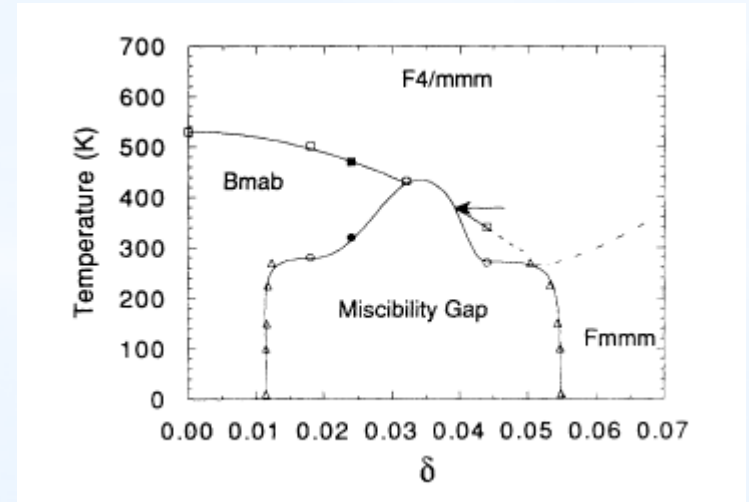
- Phase transition is a recipe for phase separation; water and ice.
- Similar argument for the second order transition.



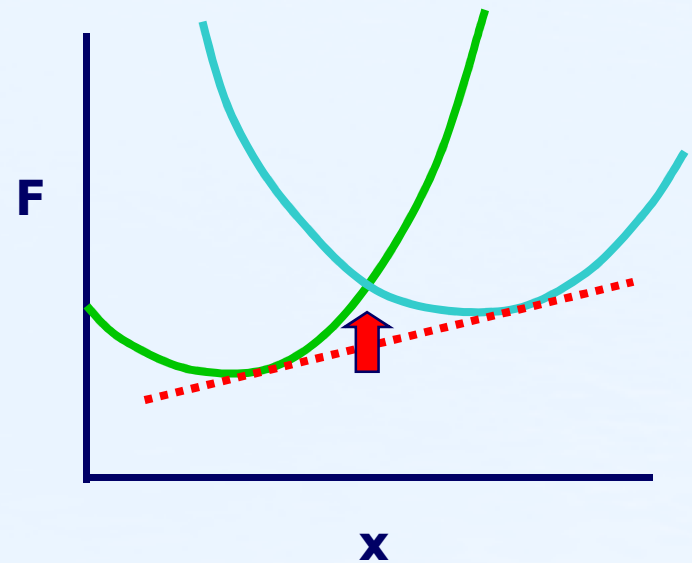


# Electronic Phase Separation

- Macroscopic phase separation occurs if atoms are mobile.
- If electronic mobility is high but atomic mobility is absent, **electronic phase separation with charge** occurs (V. Emery and S. Kivelson, E. Dagotto, et al.).
- Long-range Coulomb attraction and short range repulsion for phase separation creates the medium-range order (A. R. Bishop).

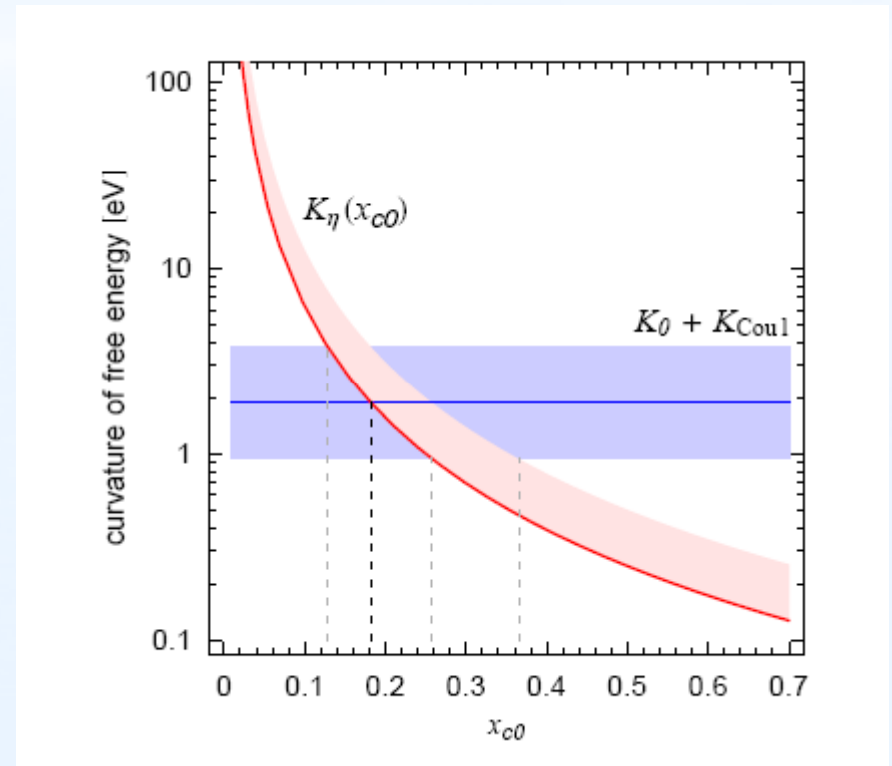


P. G. Radaelli, et al., *Phys. Rev. B* **49**, 6239 (1994).



# Electronic Phase Separation in the Cuprates

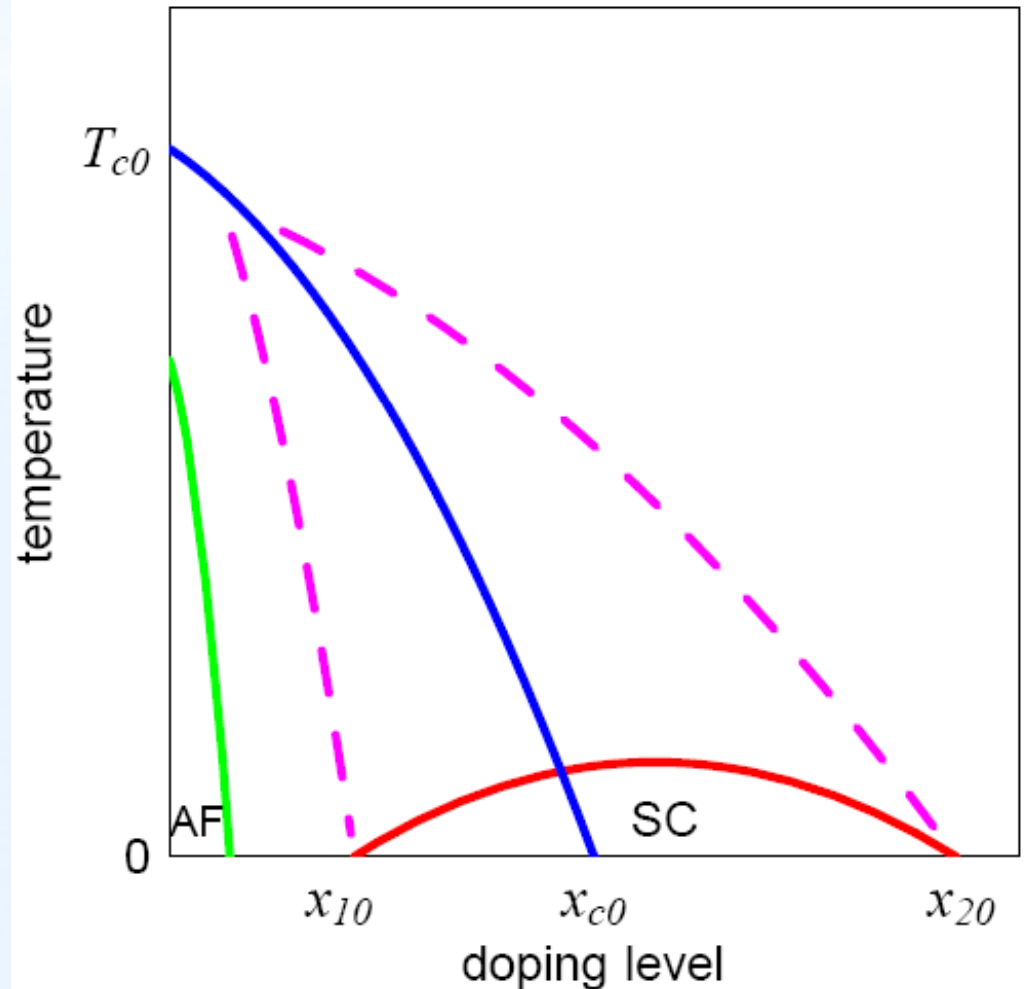
- Realistic model calculation including long-range Coulomb interaction.
- LR Coulomb interaction suppresses AFM.
- Phase separation likely.
- Self-organization into nano-scale phases, including the “lasagna” model (pasta model in cosmology), and other 2-D intermediate phases.



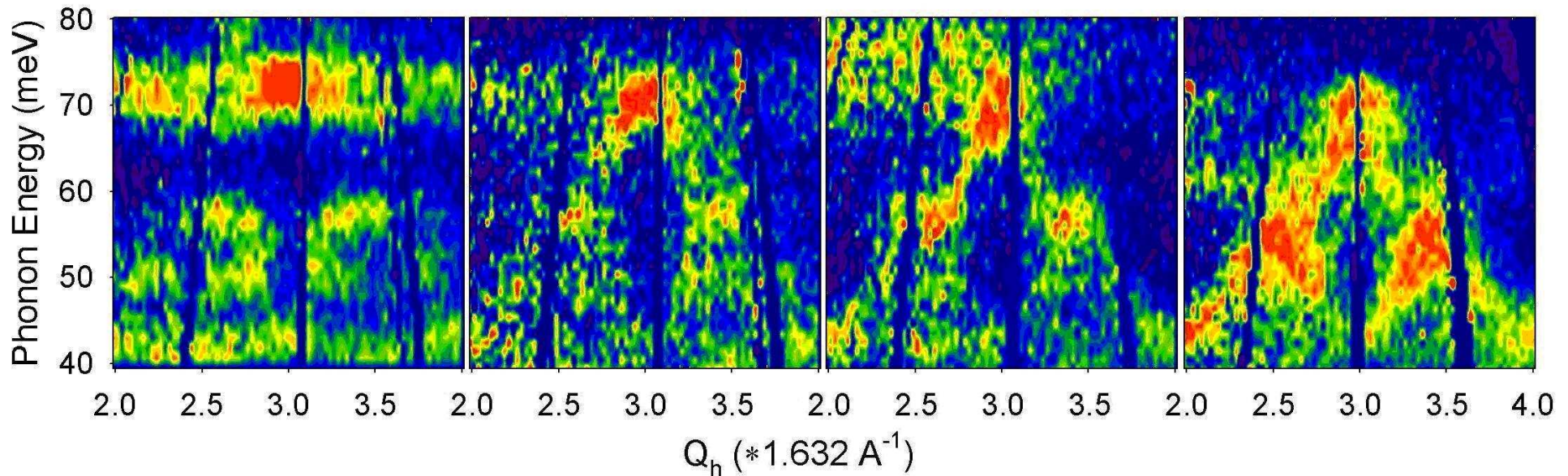
B. Fine and T. Egami,  
arXiv/0707.3994, PRB  
in press.

# A New Phase Diagram

- $x_{c0}$  ( $\sim 0.12$ ) is the QCT.
- $x_{c0}$  close to the MIT point under filed.
- The upper limit line defines  $T_{PG}$

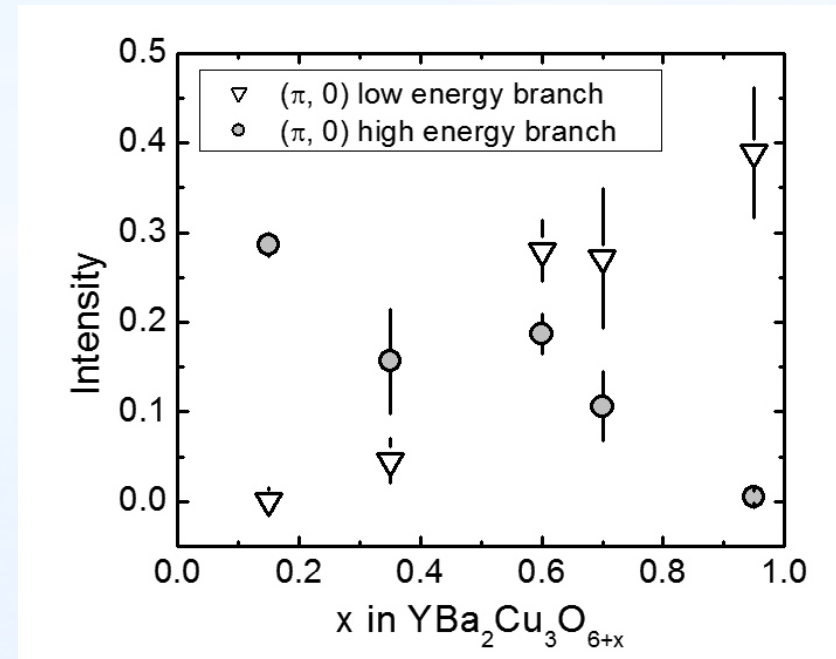
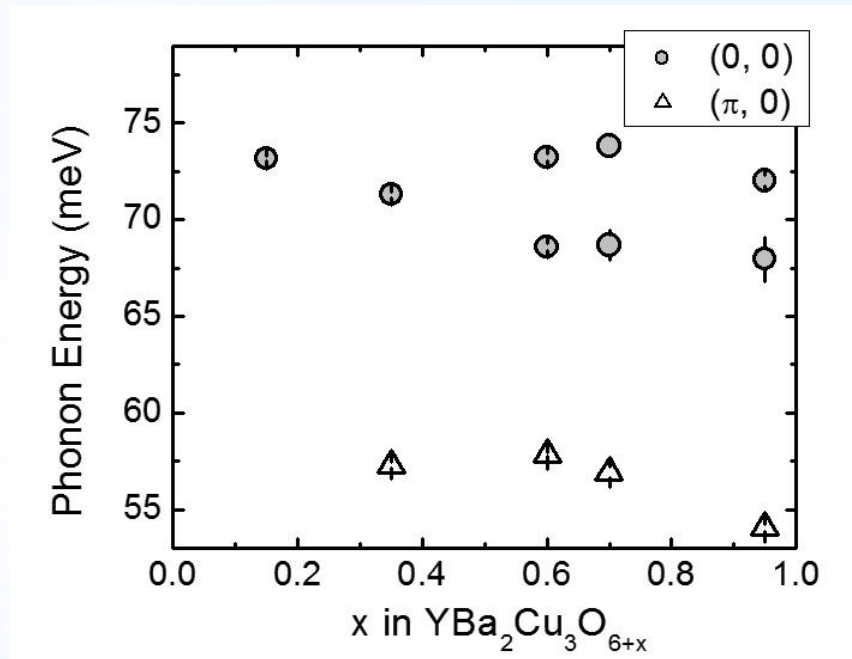


## Cu-O Bond-Stretching Phonon in $\text{YBa}_2\text{Cu}_3\text{O}_x$

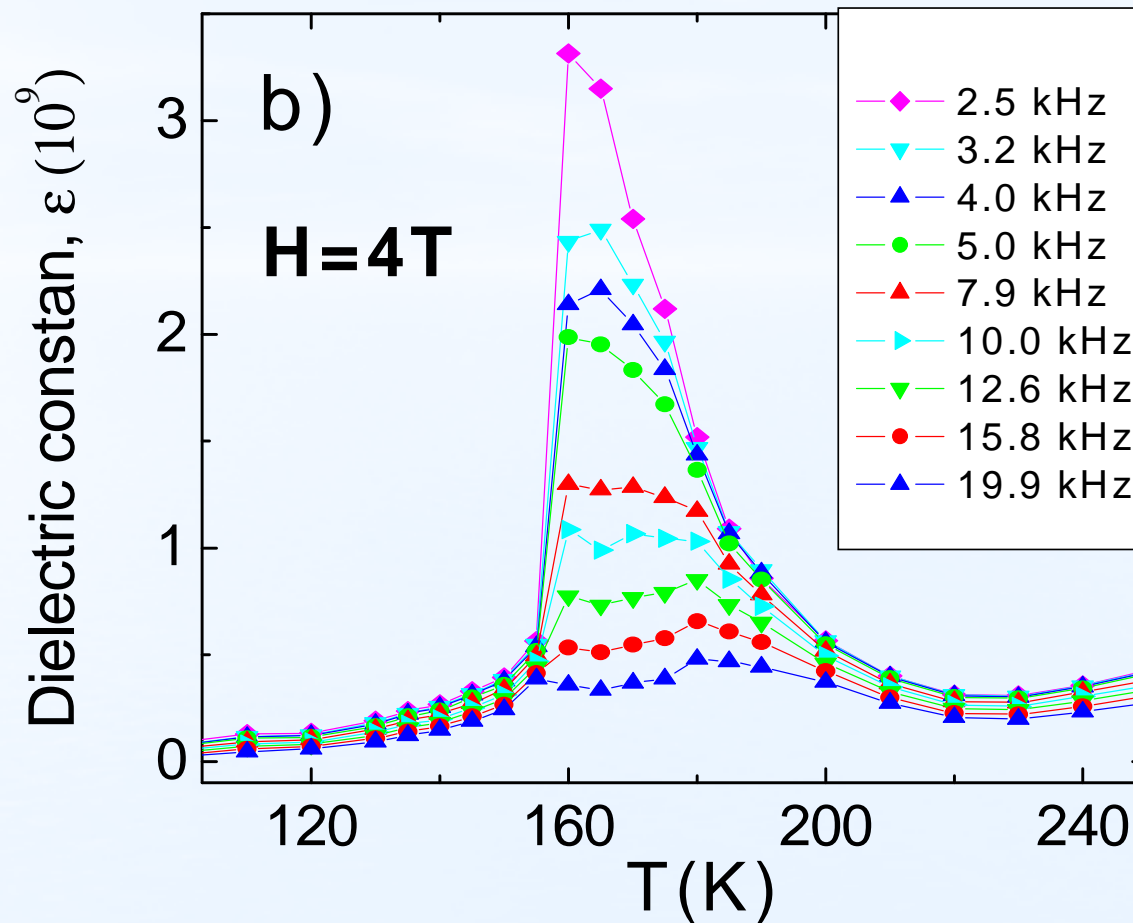


- No dispersion for YBCO  $x = 6.15$ .
- Not much difference in dispersion from 6.35 to 6.95.
- Intensity at zone-boundary changes.
- Since 6.35 is tetragonal this is not the consequence of anisotropy.

T. Egami, *Physica C*, **460-462**, 267 (2007)



- The magnitude of softening is independent of  $x$ , but the intensity of the softened branch increases with increasing  $x$ .
- At  $x = 6.15$  the intensity is due to the apical mode.
- The increase has to be due to the local modes.
- Softened (SC) and unsoftened (AFM) domains?



- Maxwell-Wagner effect in  $\text{La}_{0.875}\text{Sr}_{0.125}\text{MnO}_3$ .

R. Mamin, T. Egami, Z. Marton and S. A. Migachev,  
*PRB* **75**, 115129 (2007).

# Nature of the Phase-Separation

- Competing order simply reduces  $T_C$  in the underdoped samples.
  - AFM order disrupts SC phase coherence.
- Necessary for the mechanism.
  - Stripes: AFM phase provides spin fluctuations.
  - Intermediate order and two-component scenario: Magnetic phase supports local bipolarons.
  - **Increases the energy of the normal state, and promotes SC (Zaanen): A glass half-full or half-empty.**



# Neutron Scattering from YBCO6.6 Single Crystal

- $\text{YBa}_2\text{Cu}_3\text{O}_{6.6}$  single crystal (25g).  $T_C = 60$  K.
- Neutron elastic scattering, spin unpolarized.
- SPINS, NIST; HB1-A, HFIR, ORNL.
- Temperature dependent scattering.
- Green phase ( $\text{Y}_2\text{BaCuO}_5$ )  $\sim 10\%$ ,  $T_N = 16\text{K}$ .
- Close to  $Q = 0$ , almost no effect of phonons.

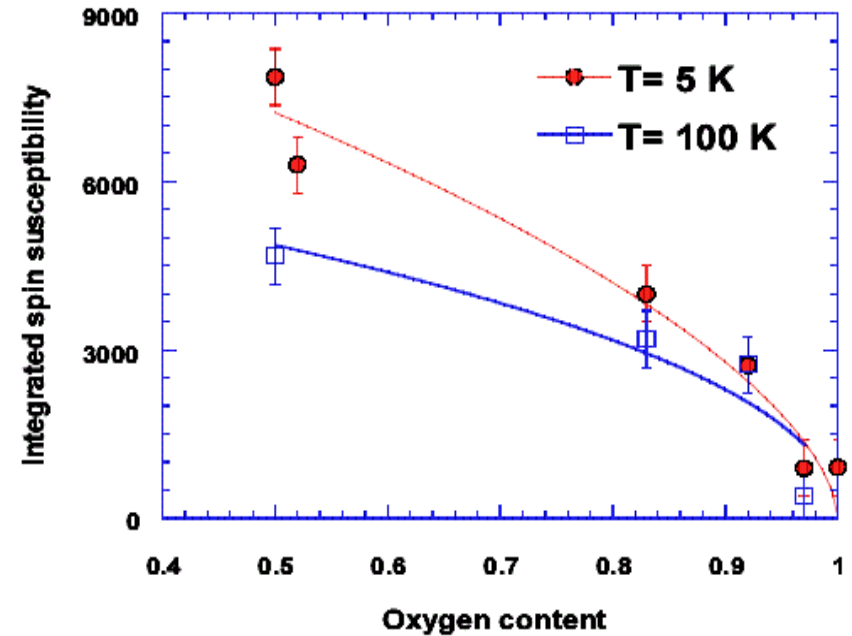


# Spectral Weight

- Fluctuation-dissipation theorem:

$$\langle S(t)S(0) \rangle = \frac{kT}{\pi} \int_0^\infty \frac{\chi_{im}}{\omega} \cos(\omega t) d\omega$$

$$\frac{kT}{\pi} \int_0^\infty \frac{\chi_{im}}{\omega} d\omega = \langle S(0)^2 \rangle = S(S+1)$$



Philippe Bourges, in “neutron Scattering In Novel Materials”, ed. A. Furrer (World Scientific, 2000); cond-mat/0009373

Localized spin → Itinerant spin

Energy scale changing from  $J$  to  $t$ .

# Dark Matter?

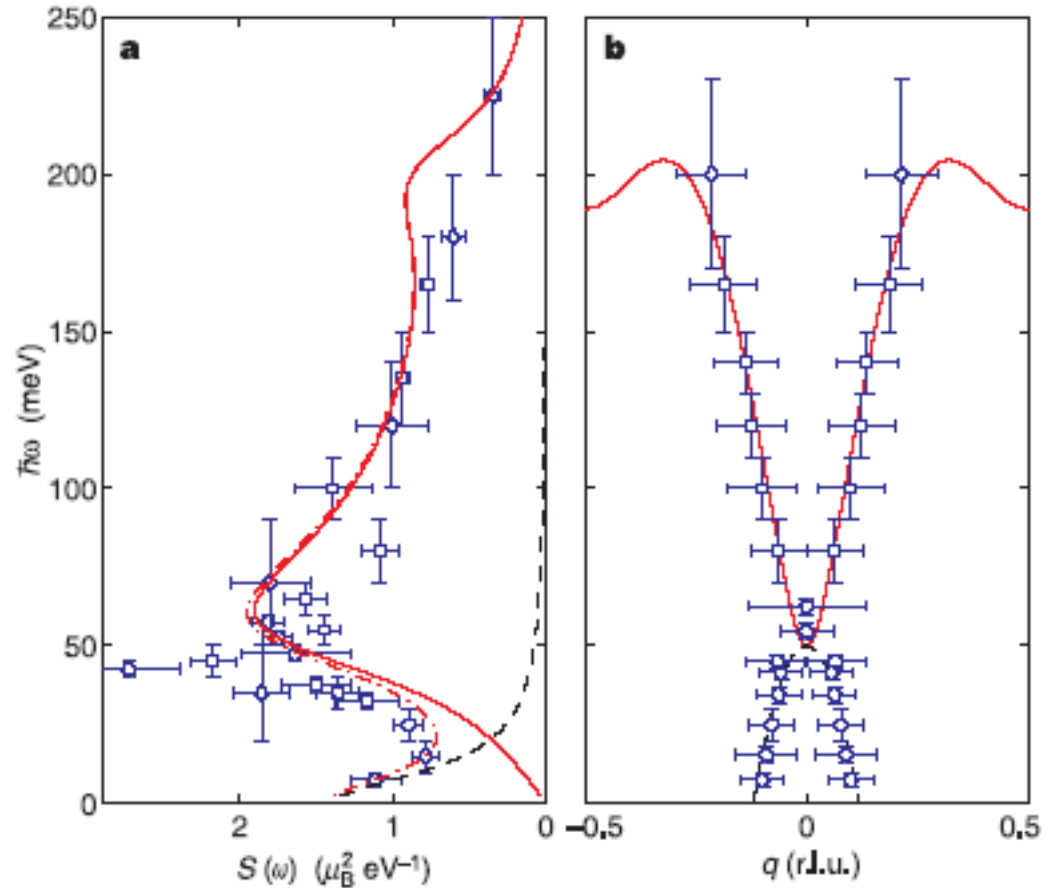
- Does total intensity satisfy the sum-rule; Dark Matter?
- Integrated value of  $\langle\langle S_i \cdot S_j \rangle\rangle$  :

	Inelastic	Elastic
6.15	$0.4 \mu_B^2$	The rest
6.6	$0.38 \mu_B^2$	?
6.95	$0.18 \mu_B^2$	?

H. Woo, et al., *Nature Physics* **2**, 600 (2006).

# More Mysteries

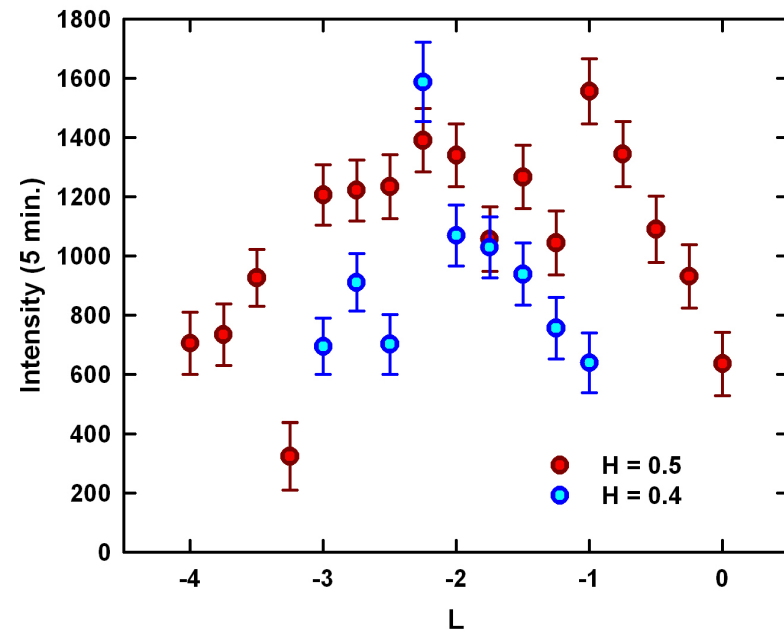
- Long correlation length ( $\sim 50$  Å) of spin excitations, but the spin correlation never directly seen.
- Similarity in the spectrum is the basis for dynamic (nematic) stripe state. But no one has seen dynamic stripes.....



J. M. Tranquada, et al., *Nature*, **429**, 534 (2006).

# CuO<sub>2</sub> Bilayer

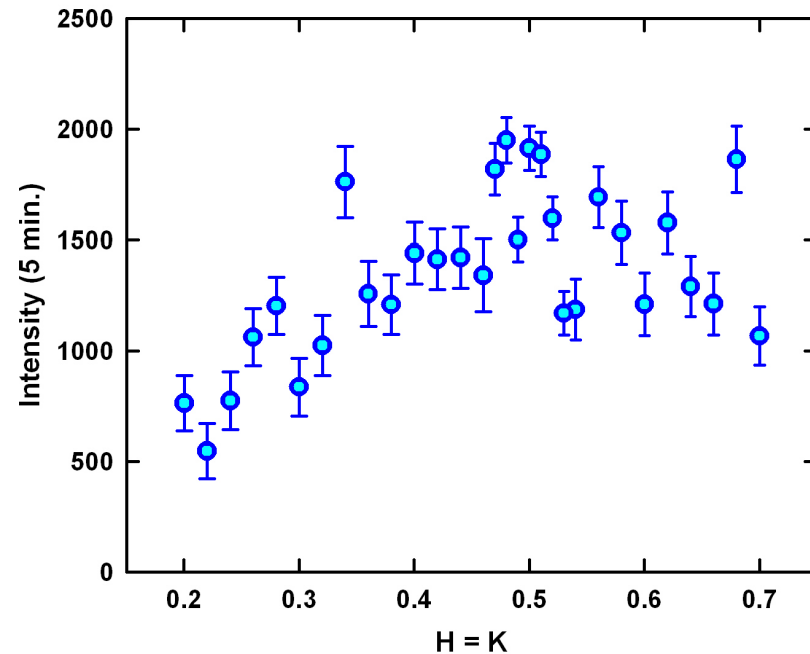
- CuO<sub>2</sub> bilayer with the separation of 3.2 Å, corresponding to  $L = 3.6$ .
- A peak at  $L = 1.8$  most likely due to AFM spin correlation in the bilayer.
- Similar peak seen for the neutron resonance peak.



$I(20K) - I(270K)$  for  $(H, H, L)$  scan.  
A peak at  $L = -2$  most likely due to bilayer AFM correlation.

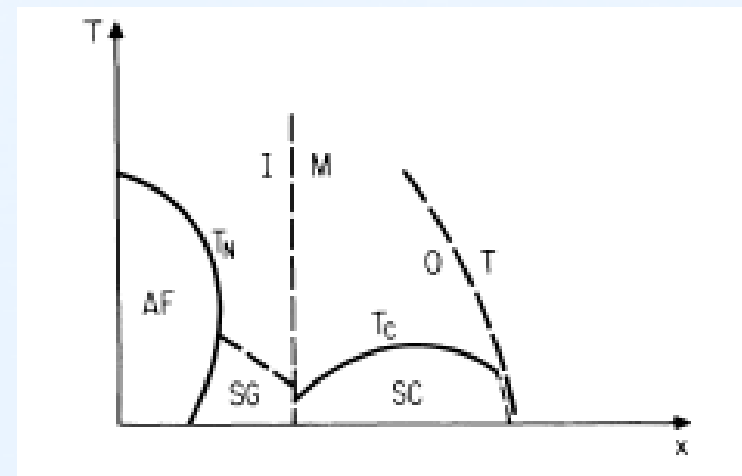
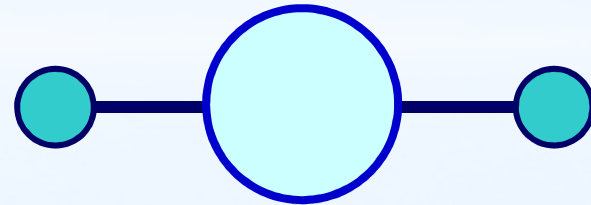
# Spin-Glass-Like Behavior

- Broad in  $H$ .
- If cluster AFM, the temperature dependence should be super-paramagnetic  $1/T$  behavior.
- Likely to involve positive  $J$  as well.
- **Poster P24**, Watanabe, et al. by  $\mu$ SR.



# Spin-Glass and Variation in $J$

- The presence of spin-glass state implies that some  $J$ 's are positive.
- Amnon Aharony and Vick Emery predicted it, when hole resides on oxygen, not in the Z-R singlet state.
- In manganites double-exchange results in positive  $J$ .
- $t$ - $J$  model is insufficient.
- Average  $J$  decreases with doping (**Yamada, yesterday**)
- The system is **STRONGLY FRUSTRATED**.



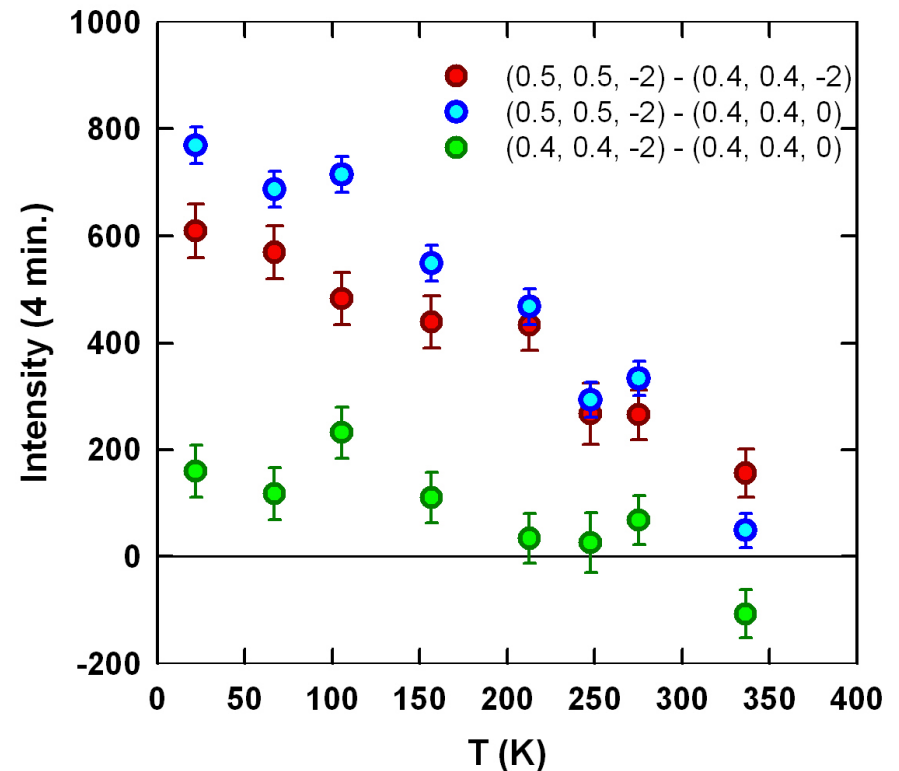
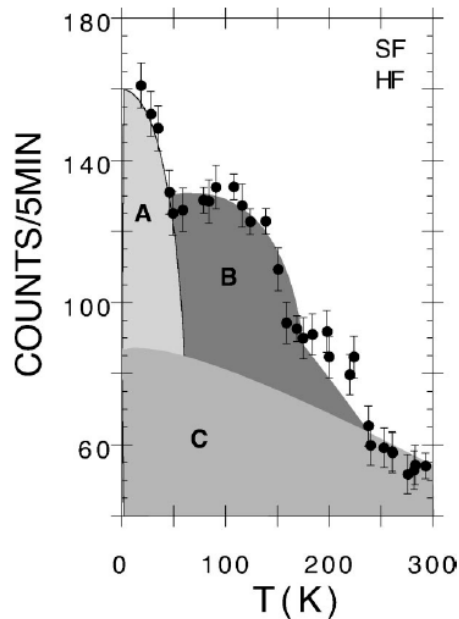
A. Aharony, et al., *PRL* **60**, 1330 (1988)

# Temperature Dependence

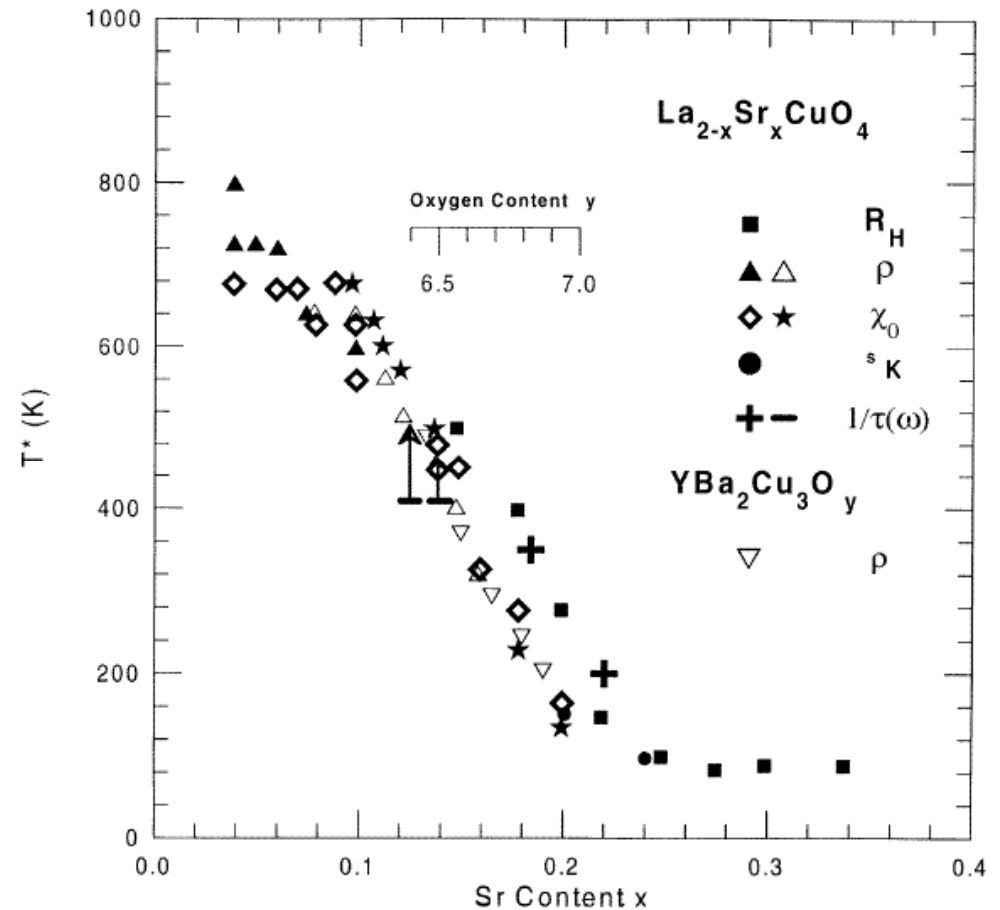
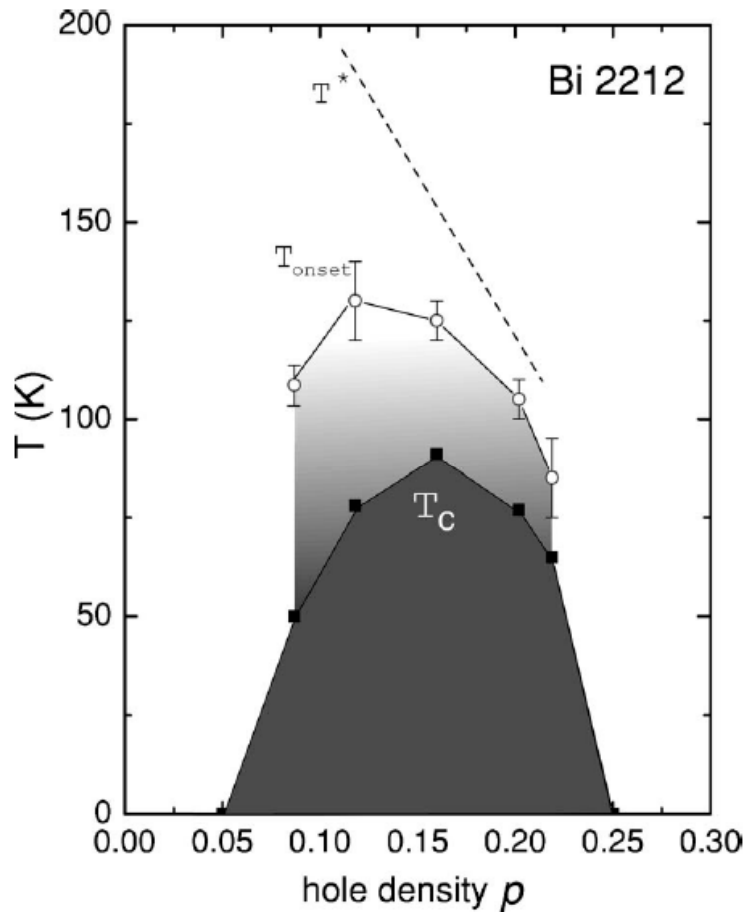
- $H = 0.5$  feature up to  $T_{PG1} = 350$  K.
- $H = 0.4$  feature up to  $T_{PG2} \sim 175$  K.
- SG correlation with  $\xi \sim 20$  Å up to  $T_{PG}$ .

Peak at  $(0.5, 0.5, 0)$  in YBCO6.6.

H. A. Mook, *et al*,  
*PRB* **66**, 144513  
(2002).



# Pseudogap Temperature



N. P. Ong, *Phys. Rev. B* **73**, 024510 (2006)

T. Timusk and B. Statt, *Rep. Prog. Phys.* **62**, 61 (1999).

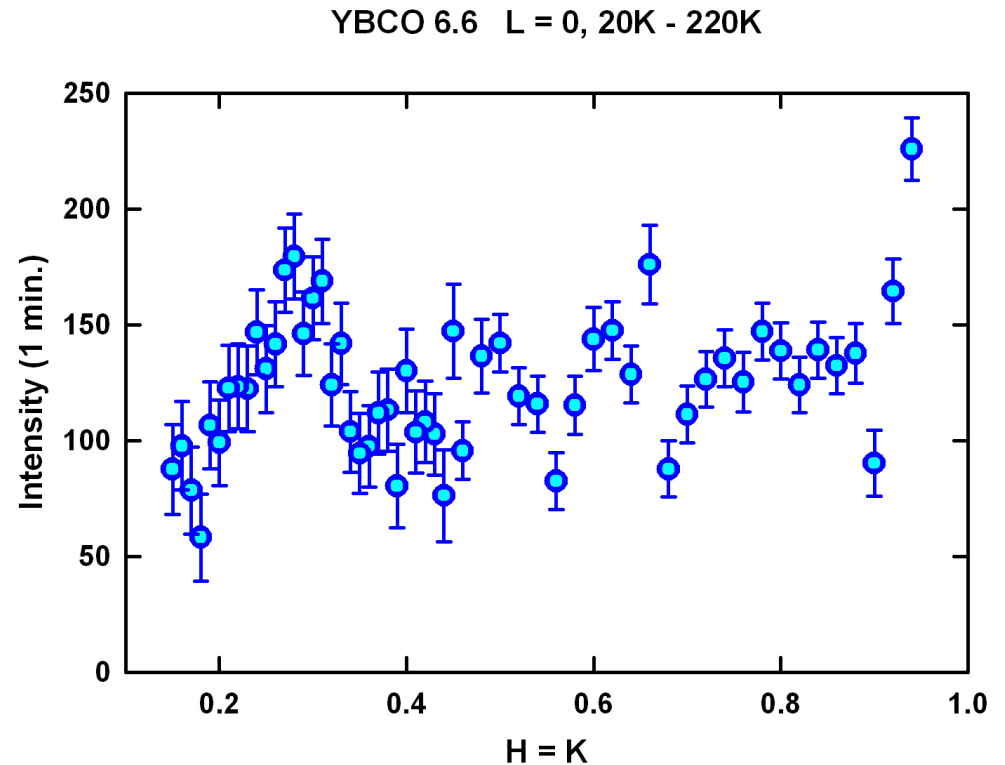


# Nature of the PG State

- Competing order
  - Magnetic ordering (local)
  - Orbital magnetism (flux state,  $d$ - $d$ -wave)
  - Charge ordering
- Pre-formed pair
  - Local BCS pairing
  - Bipolarons
- ***Our results***
  - $T_{PG1}$ : ***Local SG order***
  - $T_{PG2}$ : ***Local bipolarons??***

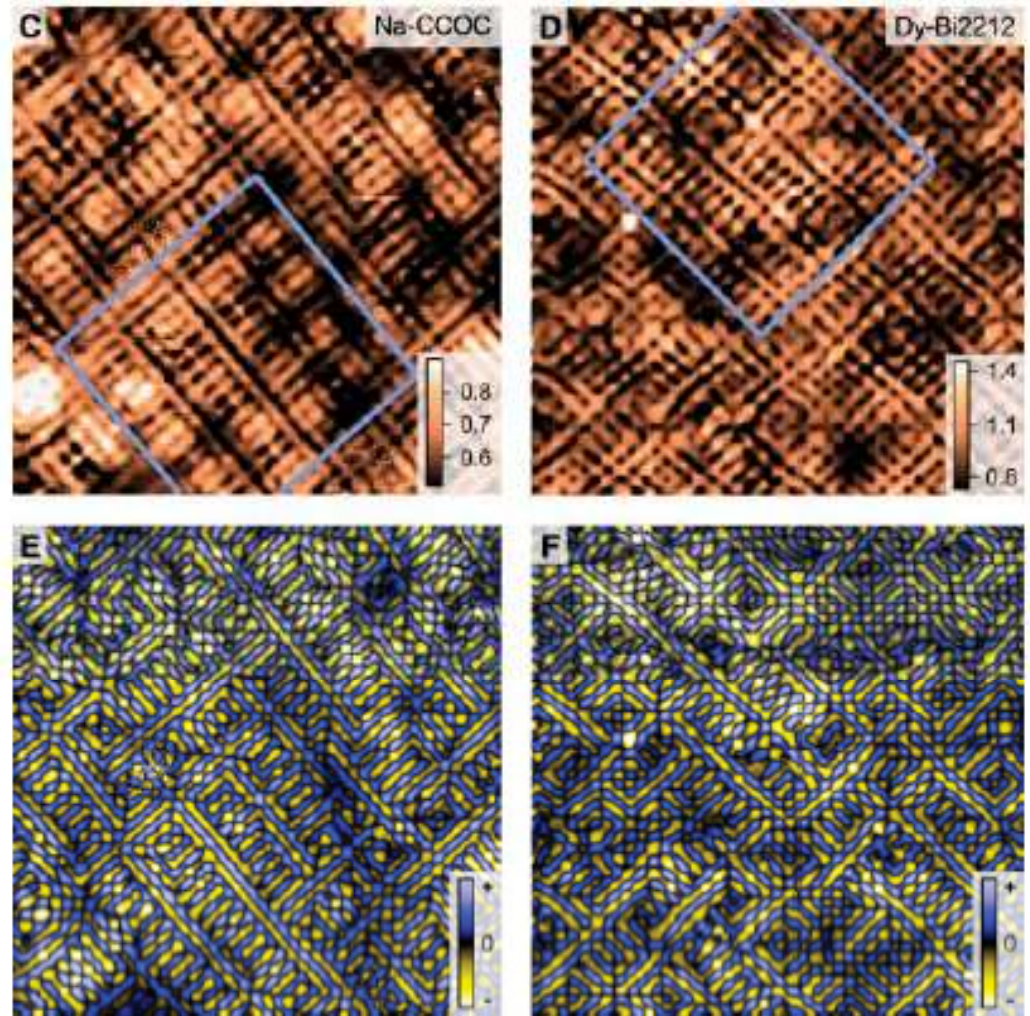
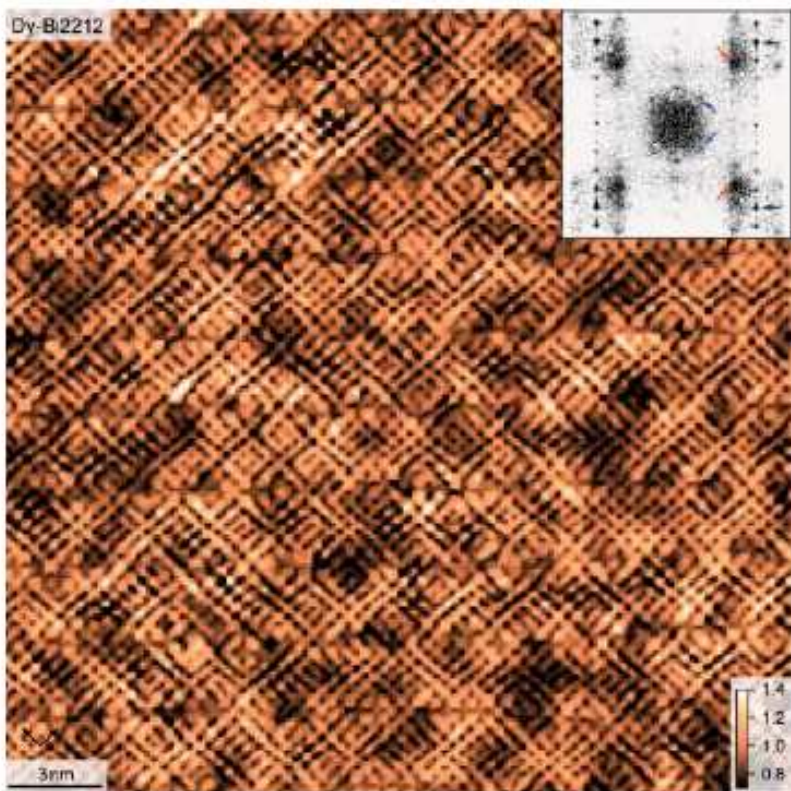
## L = 0 Scan

- Complex behavior with a peak around  $(0.28, 0.28, 0) \sim (1/4, 1/4, 0)$  could be related to the  $2\sqrt{2} \times 2\sqrt{2}$  electronic medium-range order.
- Significant background; very small at low  $Q$ .



# Checkerboard

- Hole on oxygen, 4 x 4 structure.....

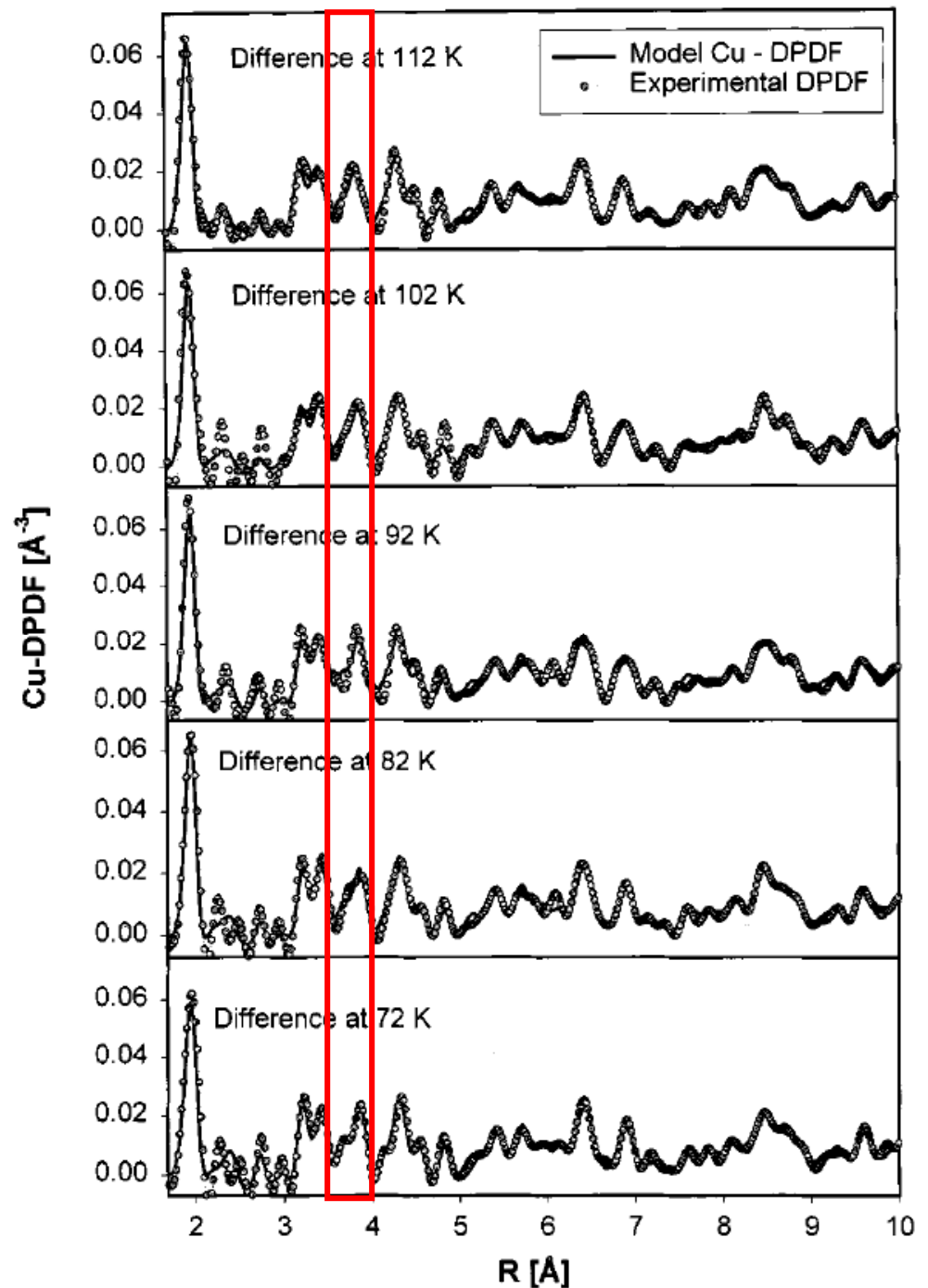


Y. Kohsaka, et al., *Science* **315**, 1380 (2007)



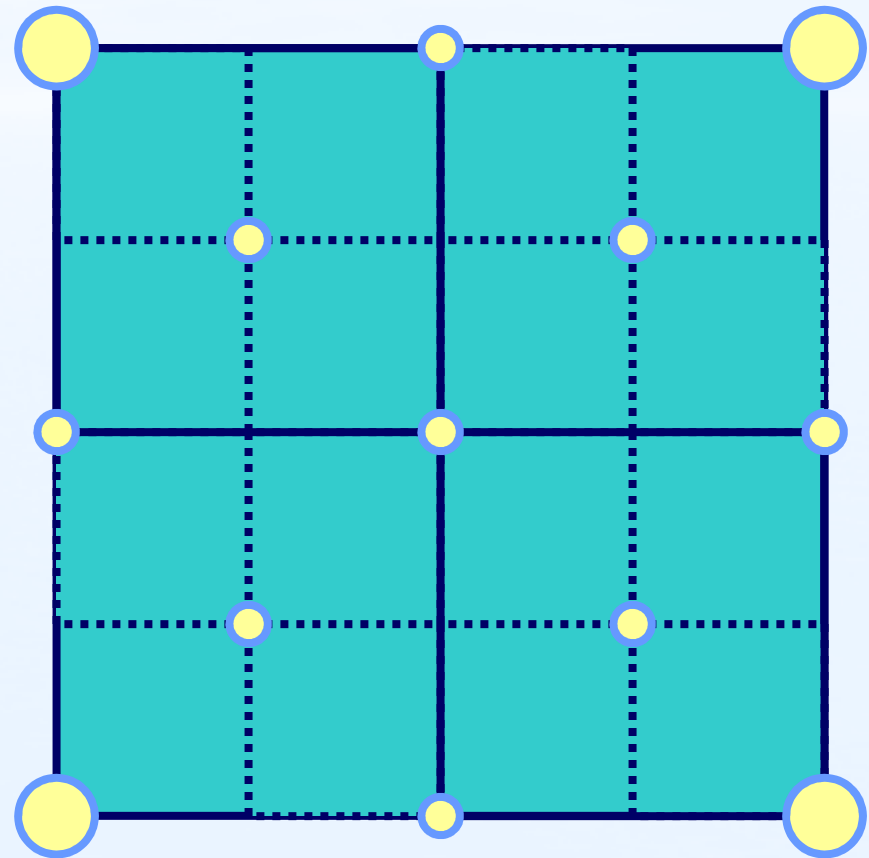
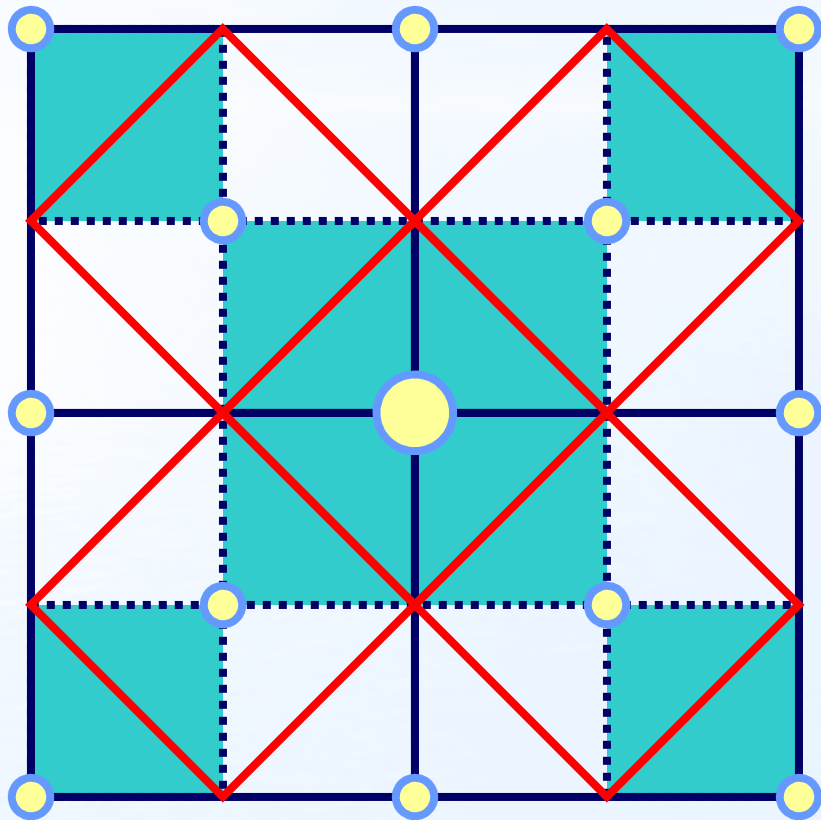
# Cu-Cu Peak

- Cu-partial PDF determined using pulsed neutron PDF with  $^{63/65}\text{Cu}$  on YBCO6.93 [D. Louca *et al*, *PRB* **60**, 7558 (1999)] shows the Cu-Cu peak splits into two subpeaks below  $T_c$ .



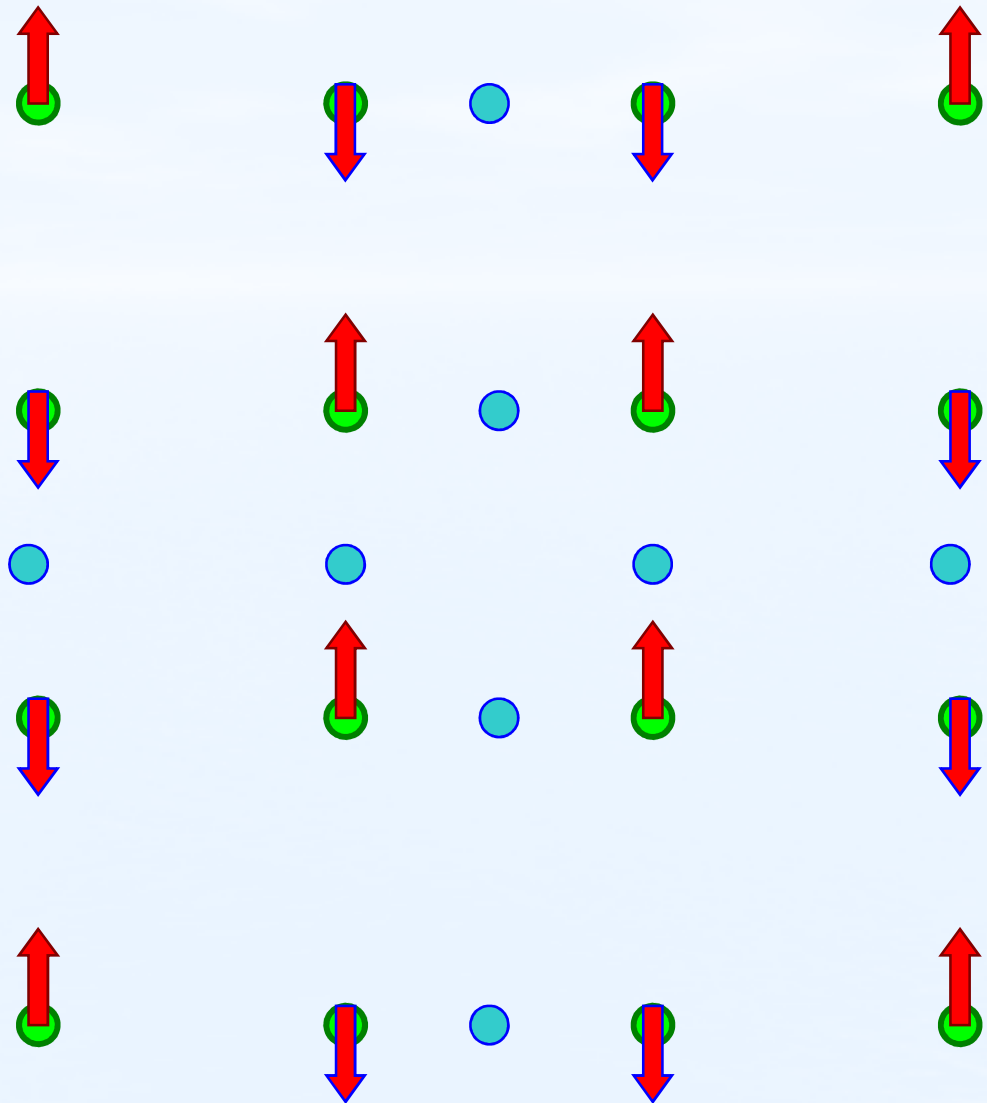
# Superlattice

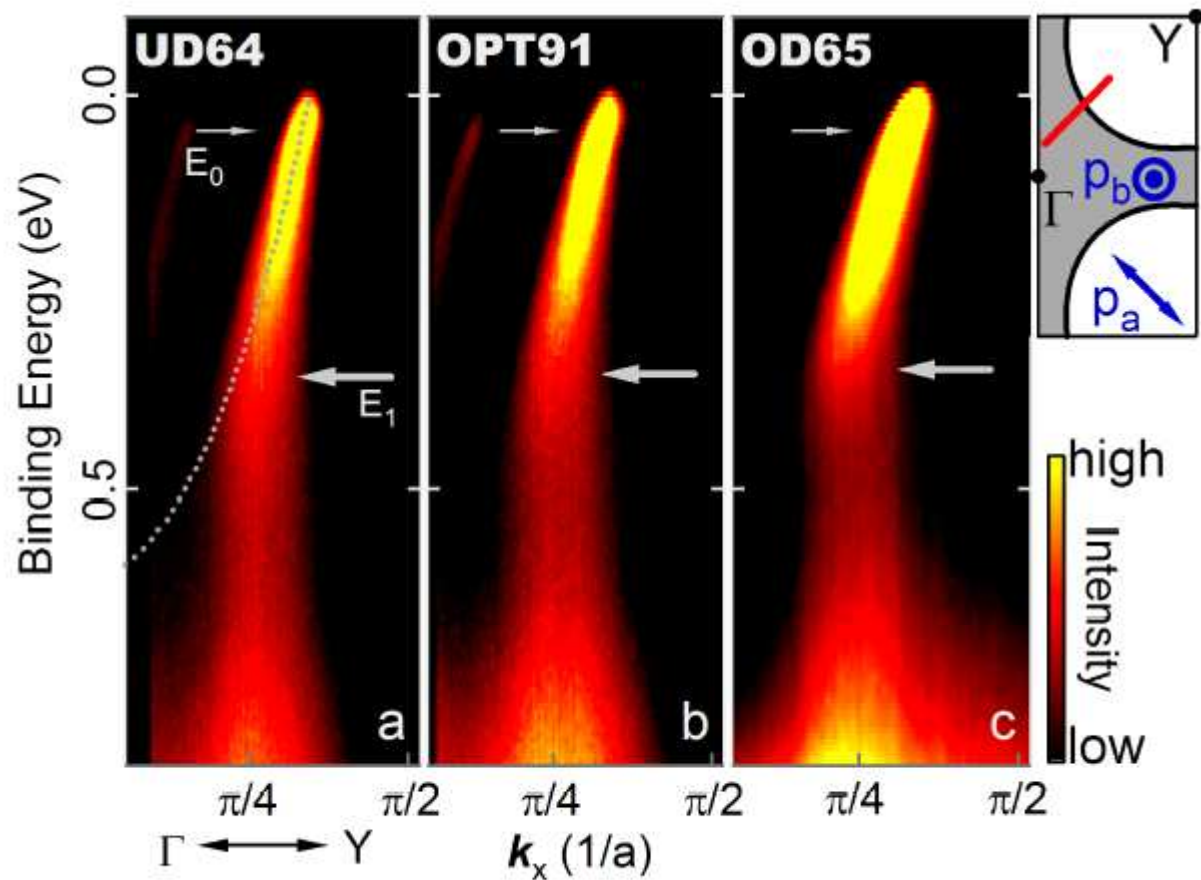
- $(\pi/2, \pi/2)$  superlattice.



# Superlattice

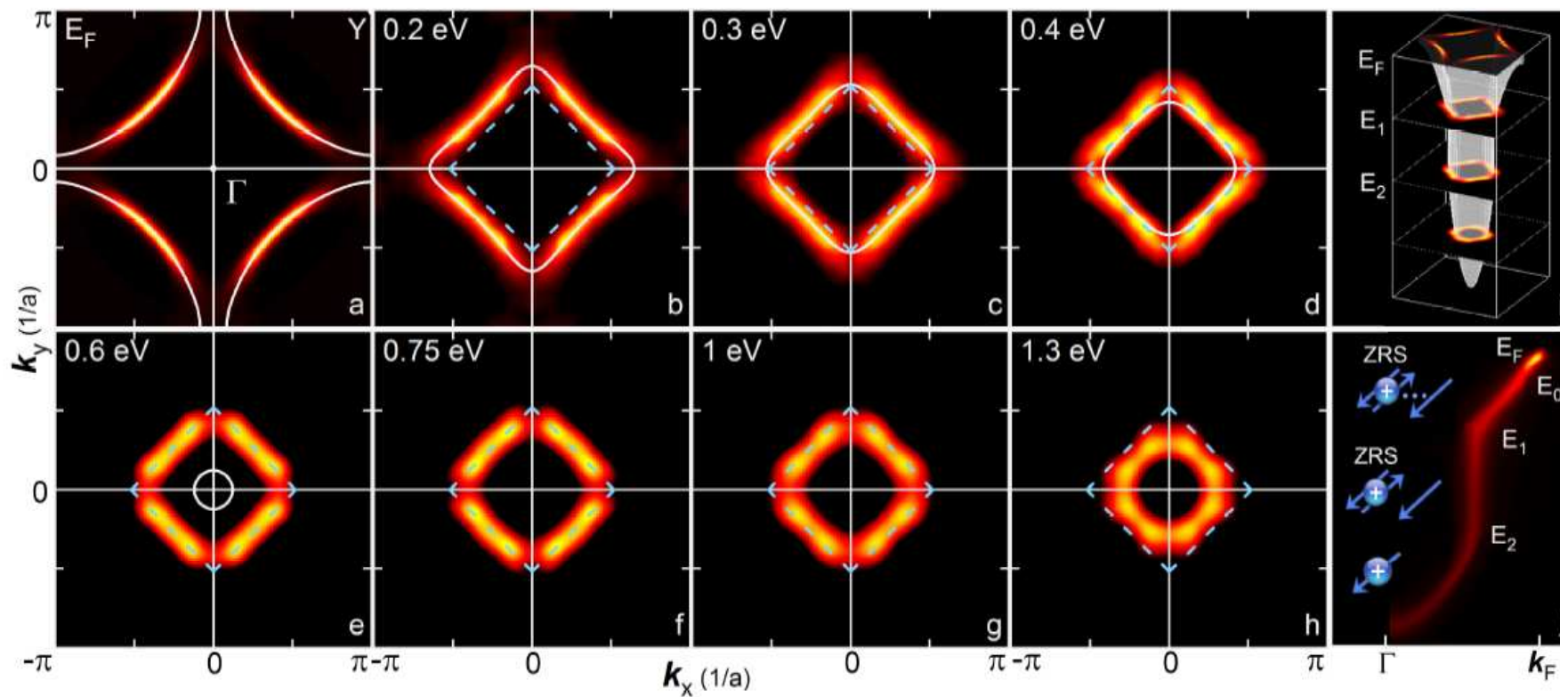
- $J > 0$  for oxygen with holes (  $\bullet$  ).
- $(\pi/2, \pi/2)$  superlattice.





J. Graf, G.-H. Gweon, K. McElroy, S. Y. Zhou, C. Jozwiak, E. Rotenberg, *cond-mat/0607319*

- Gap-like feature from -0.4 to -1 eV, regardless of doping.



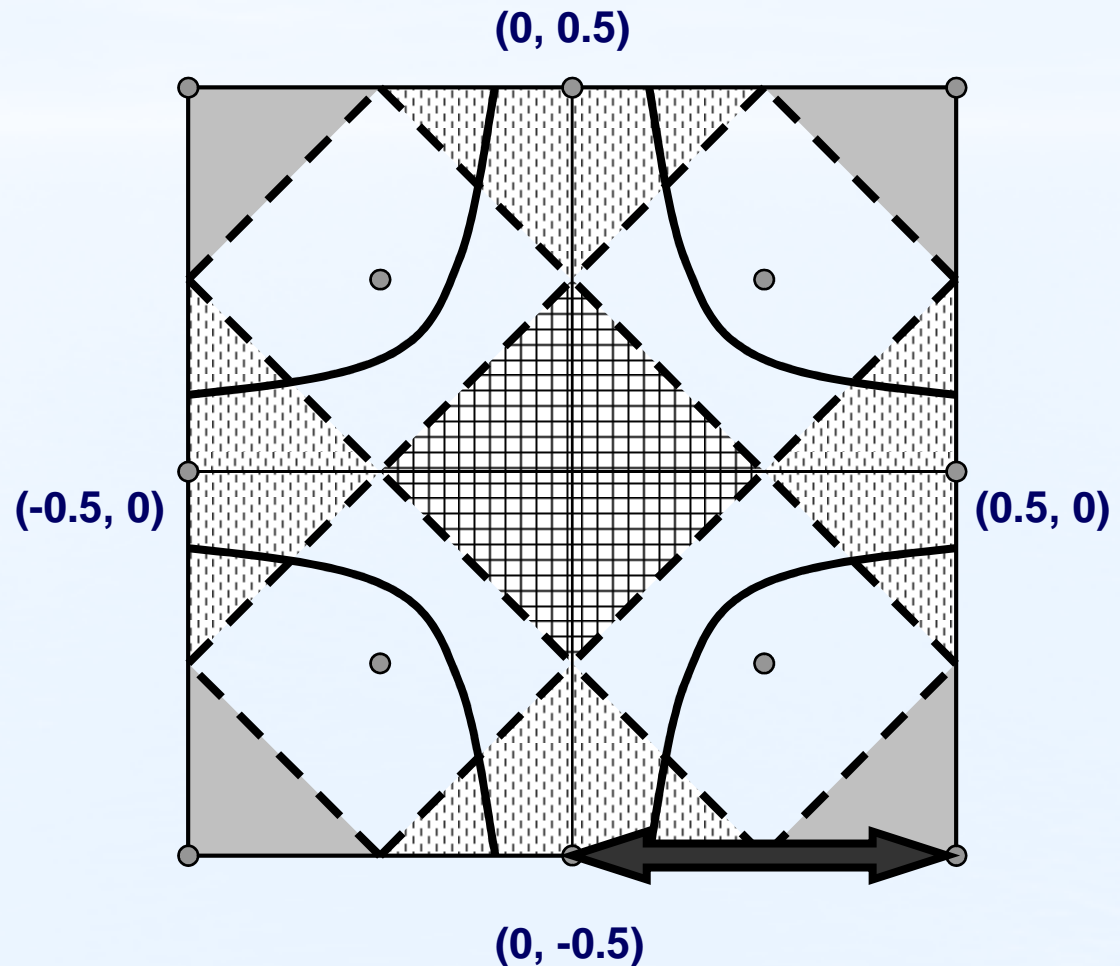
J. Graf, G.-H. Gweon, K. McElroy, S. Y. Zhou, C. Jozwiak, E. Rotenberg,  
*cond-mat/0607319*

- Brillouin zone (?) by 8 fold (  $2\sqrt{2} \times 2\sqrt{2}$  ).



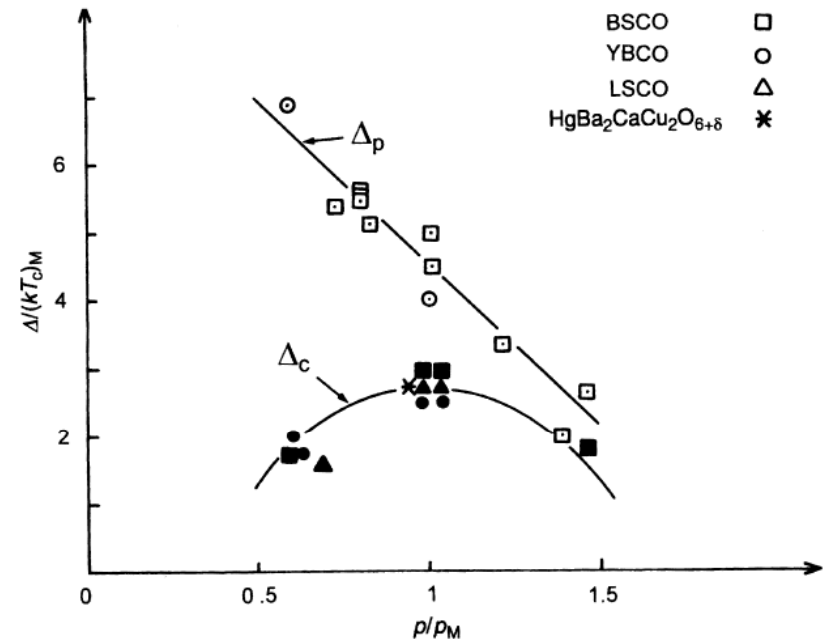
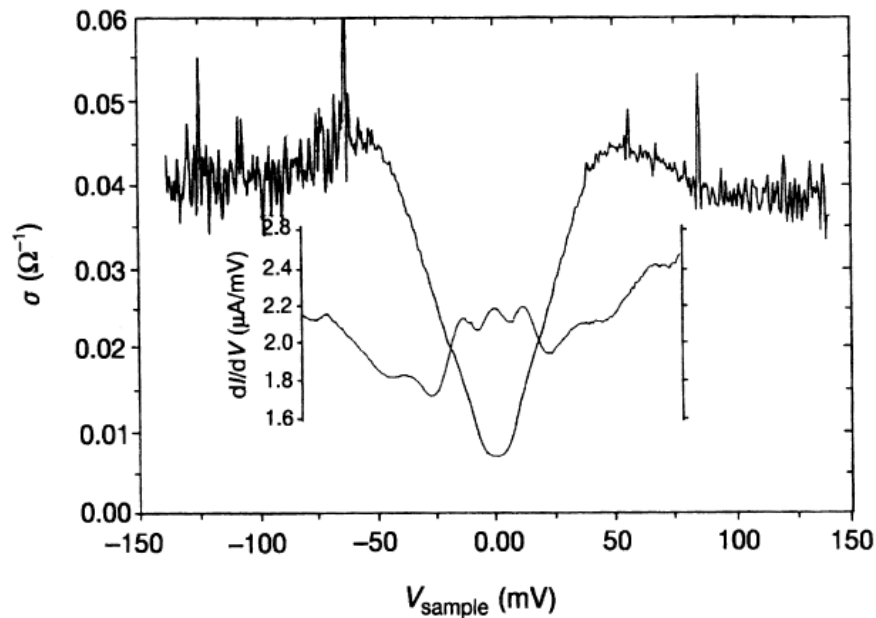
# Sub-Brillouin Zones

- Nodal and anti-nodal particles in different sub-B. Z.
- Anti-nodal particles with more Cu character, and nodal particle with oxygen character.
- Anti-nodal states may be localized.



# Two Gaps

**letters to nature**

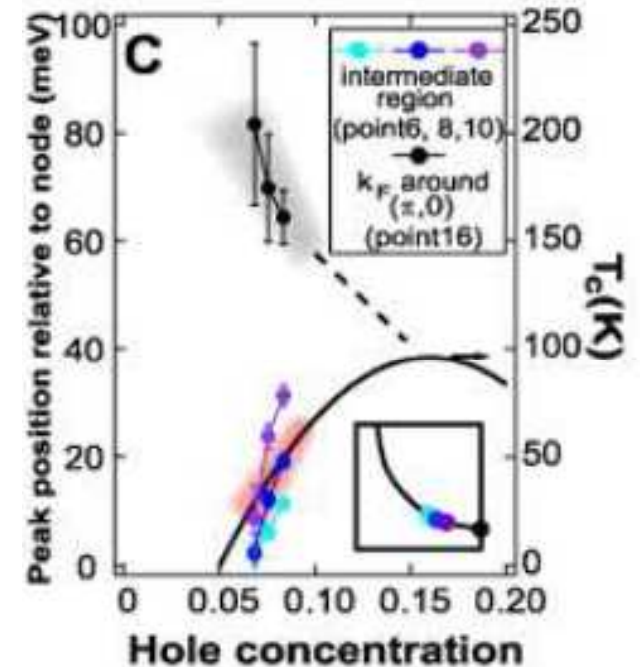
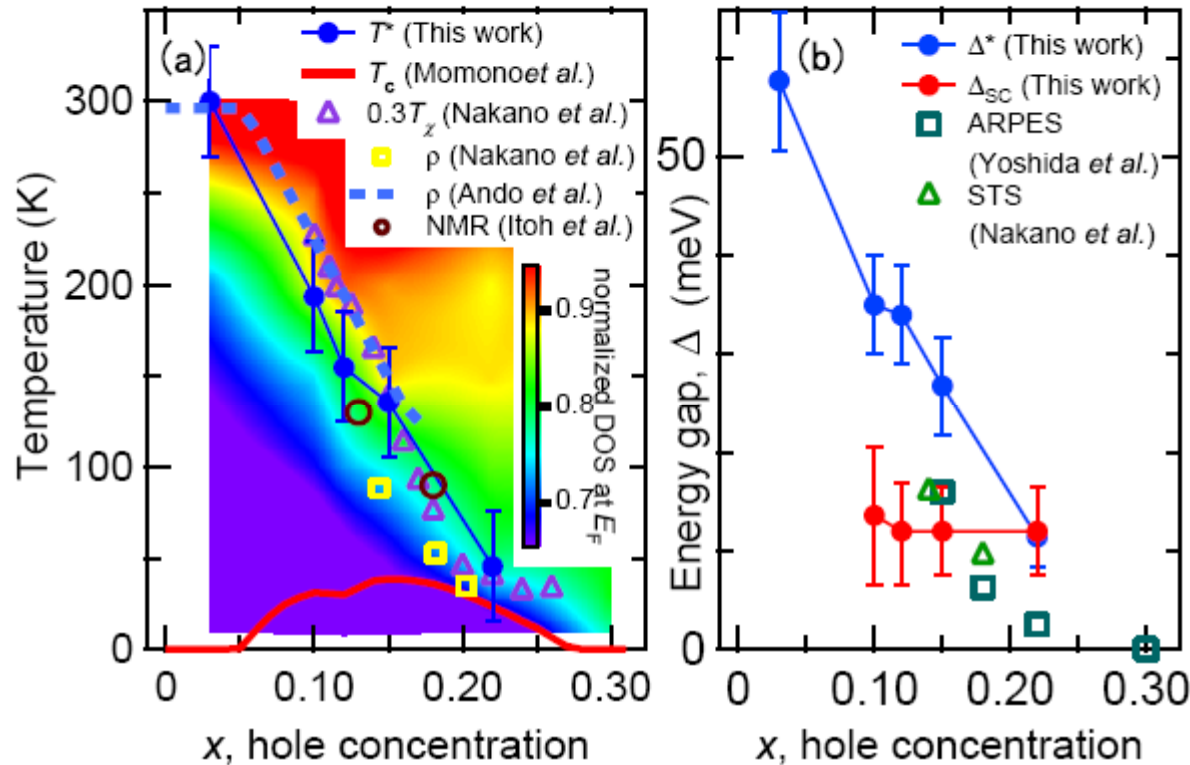


G. Deutscher, *Nature* **397**, 410 (1999)

- Coherence peak by Andreev reflection follows  $T_C$ , while the pseudogap follows  $T_{PG}$ .

$$\frac{2\Delta_{SC}}{kT_C} \approx \frac{2\Delta_{PG}}{kT_{PG}} \approx 4$$

# Two Gaps

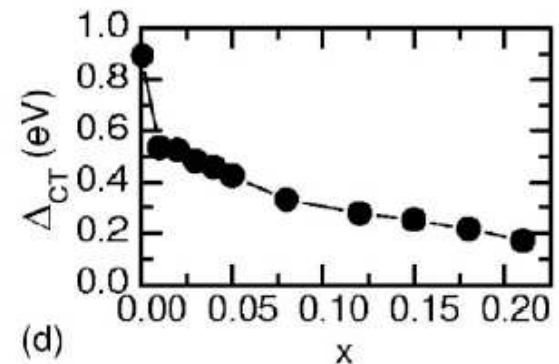
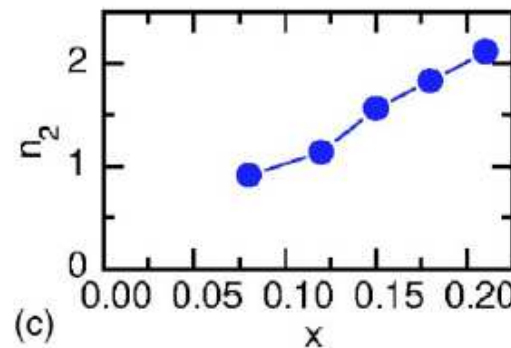
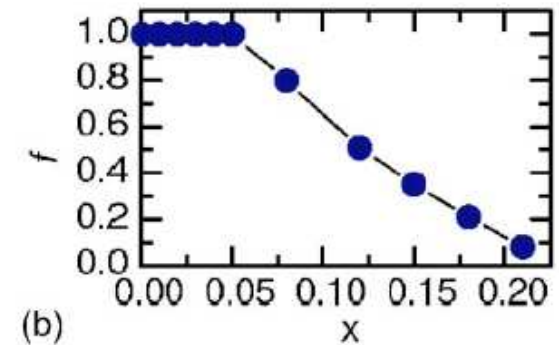
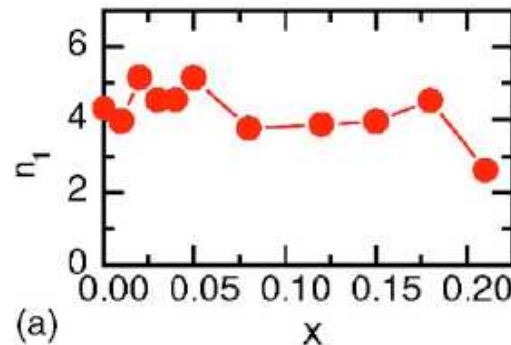


M. Hashimoto, T. Yoshida, K. Tanaka, A. Fujimori, M. Okusawa, S. Wakimoto, K. Yamada, T. Kakeshita, H. Eisaki and S. Uchida, *Phys. Rev. Lett.*, to be published

K. Tanaka, W. S. Lee, D. H. Lu, A. Fujimori, T. Fujii, Risdiana, I. Terasaki, J. D. Scalapino, T. P. Devereaux, Z. Hussain and Z.-X. Shen, *Science*, **314**, 1910 (2006).

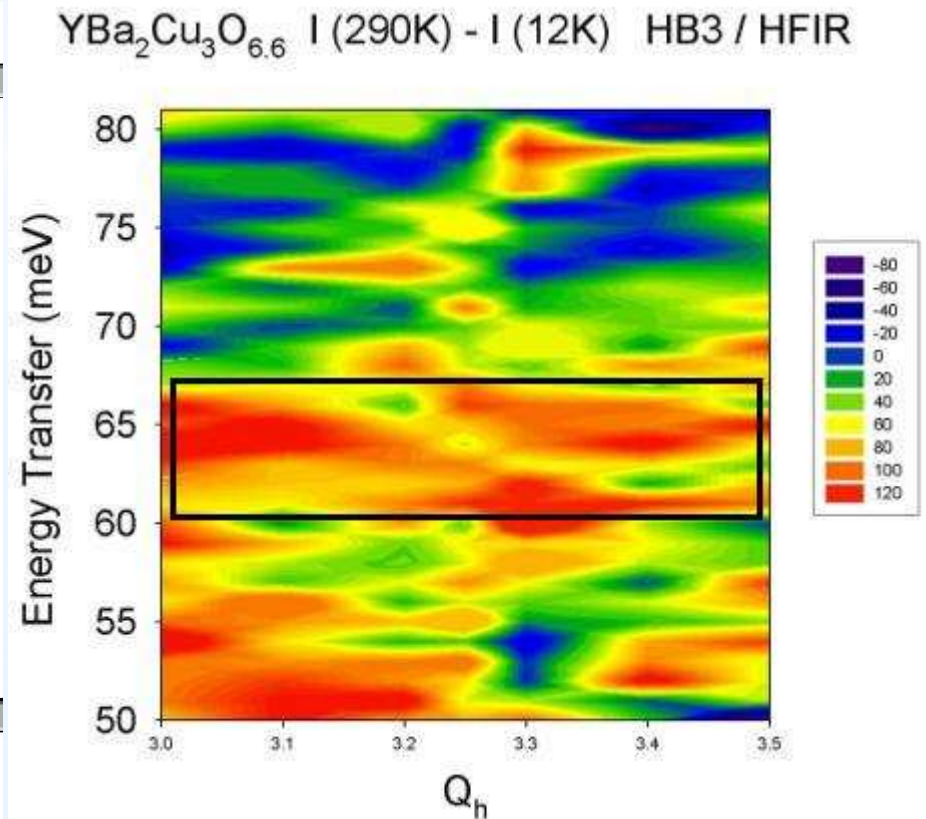
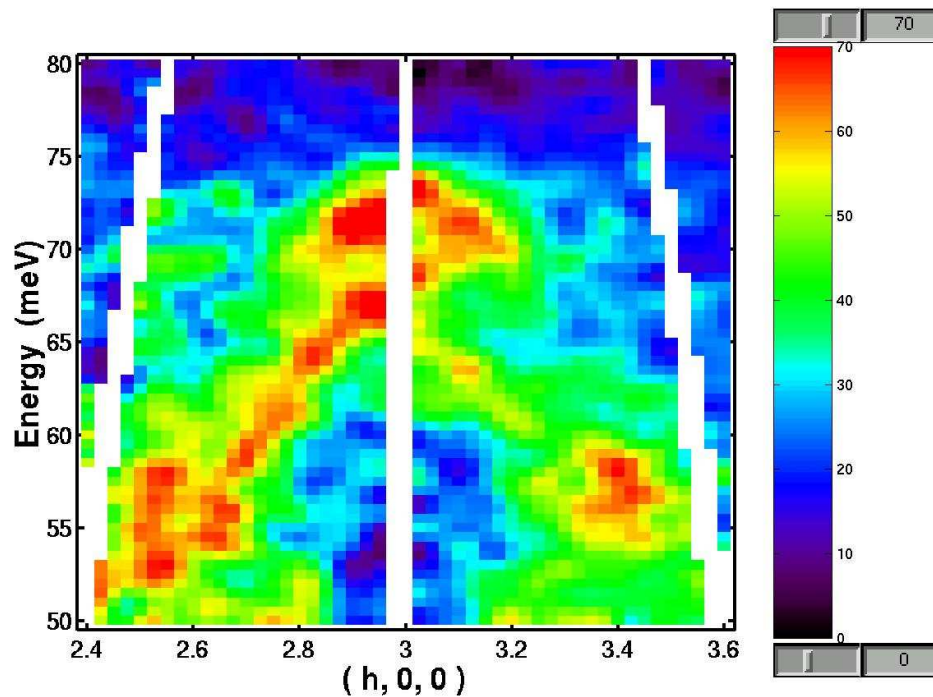
# Charge Nature of the PG State

- Two kinds of carriers.
- The one associated with PG involves charge excitation gap.
- Agrees with the metal-insulator transition seen under high magnetic field.



S. Ono, S. Komiya and Y. Ando, *PRB* **75**, 024515 (2007)

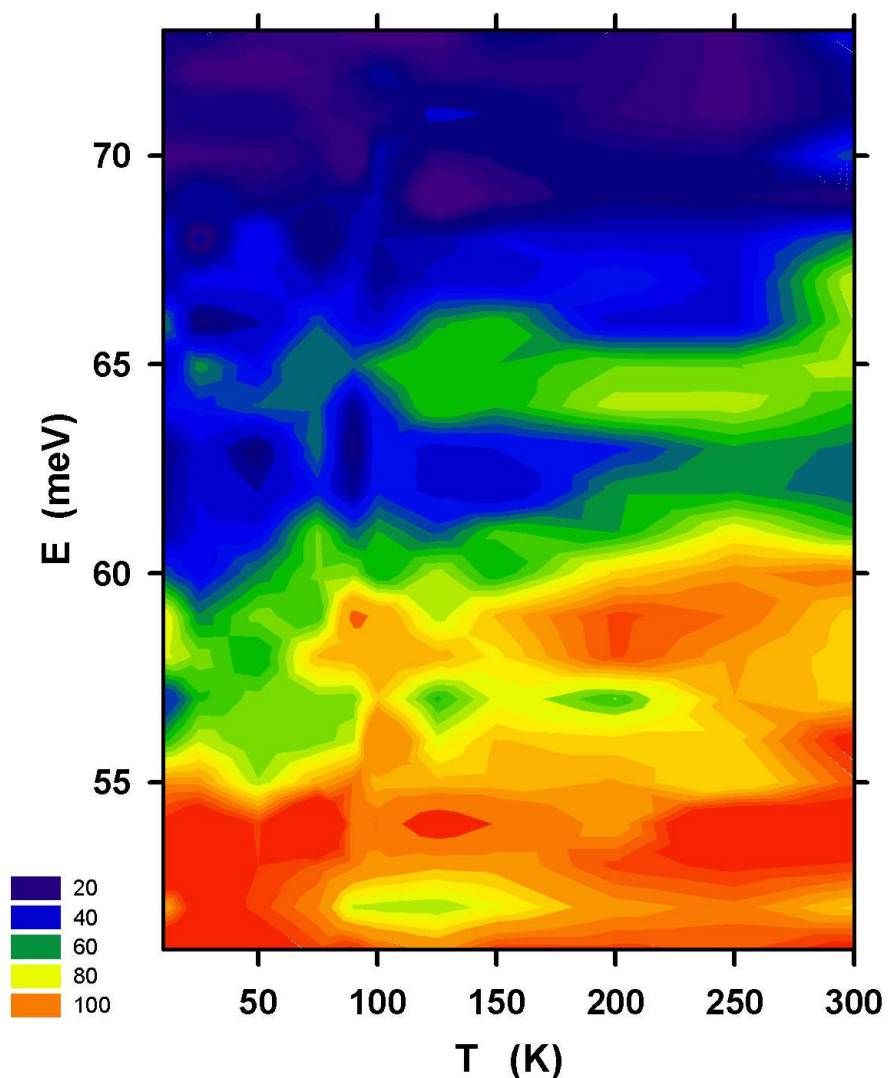
# Cu-O Bond-stretching Mode



J.-H. Chung, et al, *Phys. Rev. B* **67**, 014517 (2003)

T. Egami, *Physica C*, **460-462**, 267 (2007)

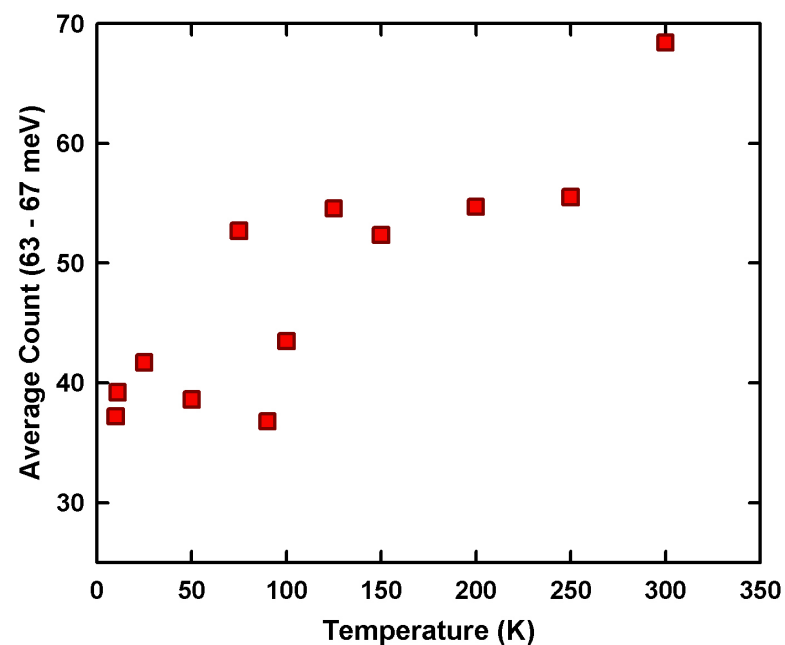
## $\text{YBa}_2\text{Cu}_3\text{O}_{6.95}$ corrected for BE factor



## Temperature scan at (3.25, 0)

- The mode at 64 meV disappears below  $T_c$  ( $= 93$  K).
- It softens to 53 meV below  $T_c$ .

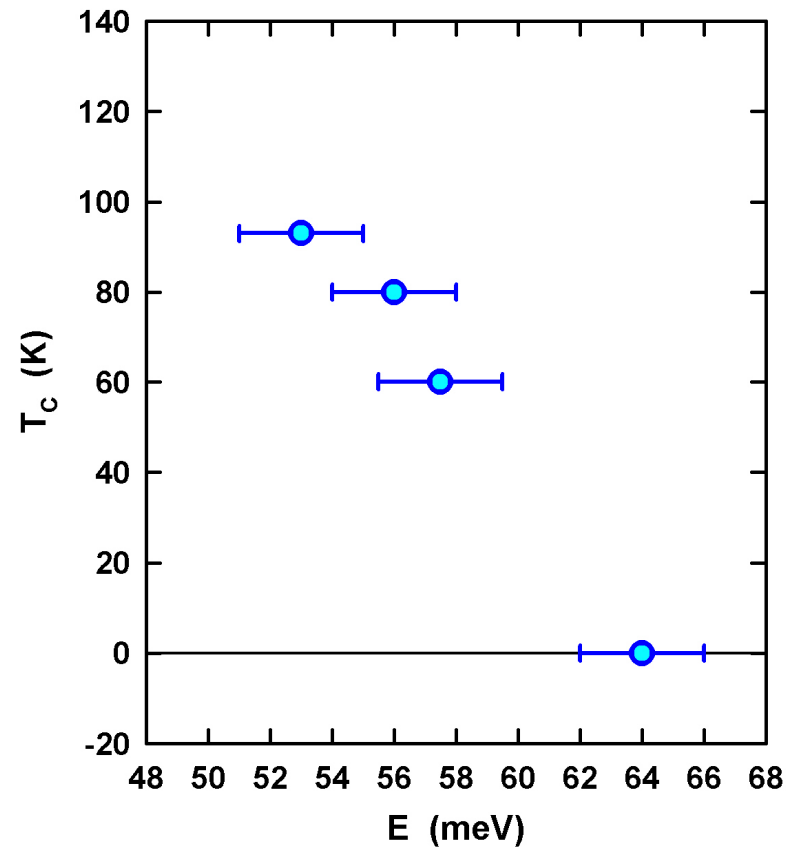
## $I(63 - 67 \text{ meV})$

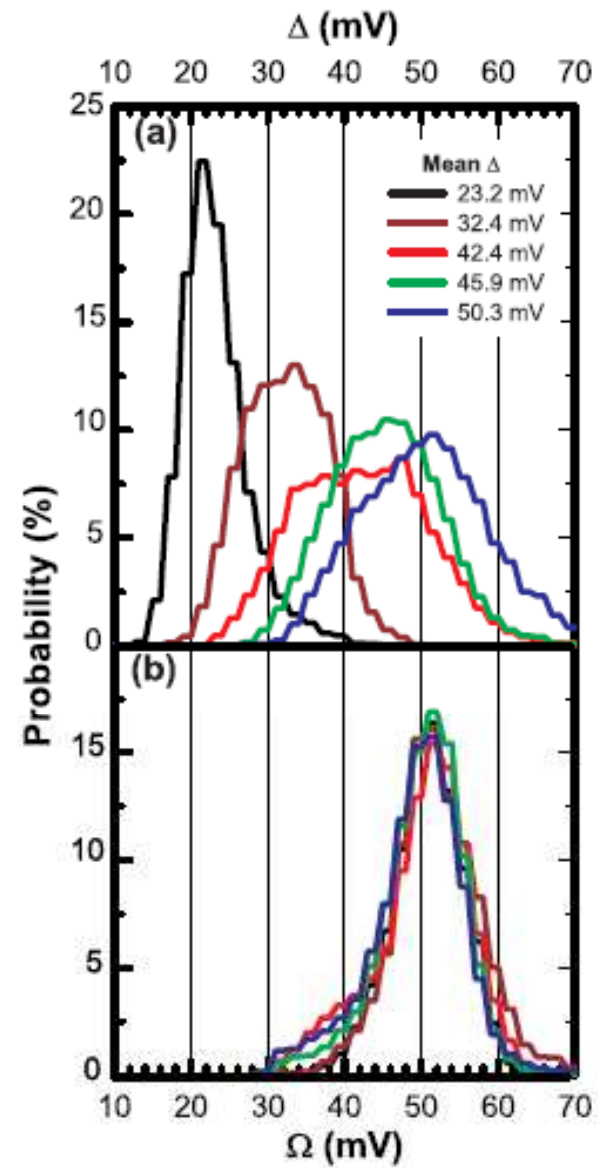
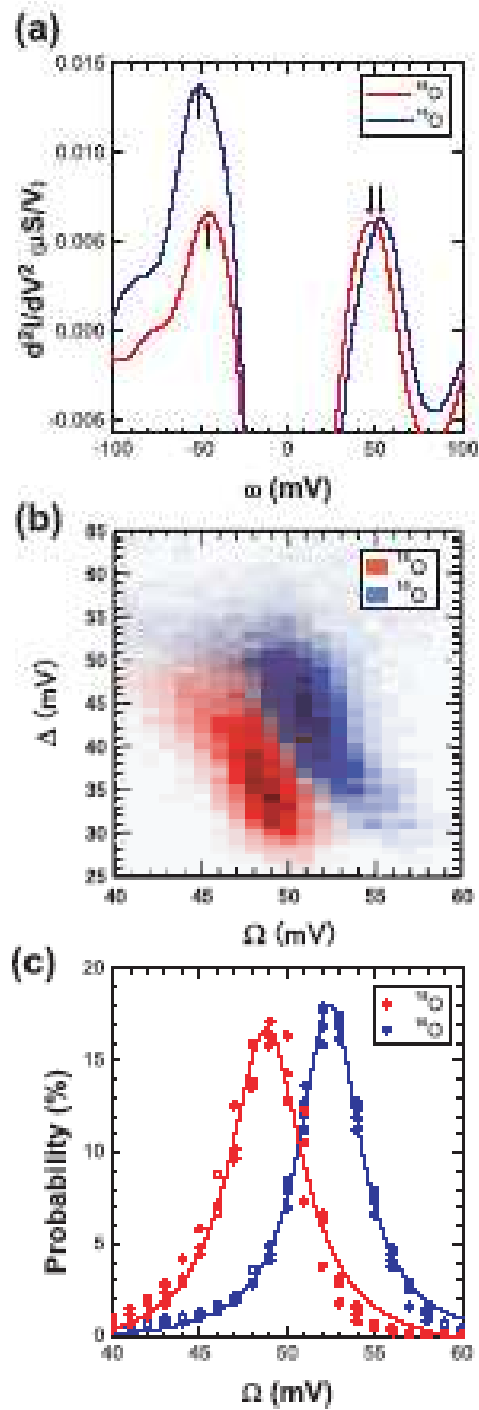




# Phonon Softening

- $E(T = 0\text{K})$  decreases with increasing  $T_c$ .
- Phonon softening linearly related to  $T_c$  (Uemura plot?).
- The amount (20%) is anomalously large.



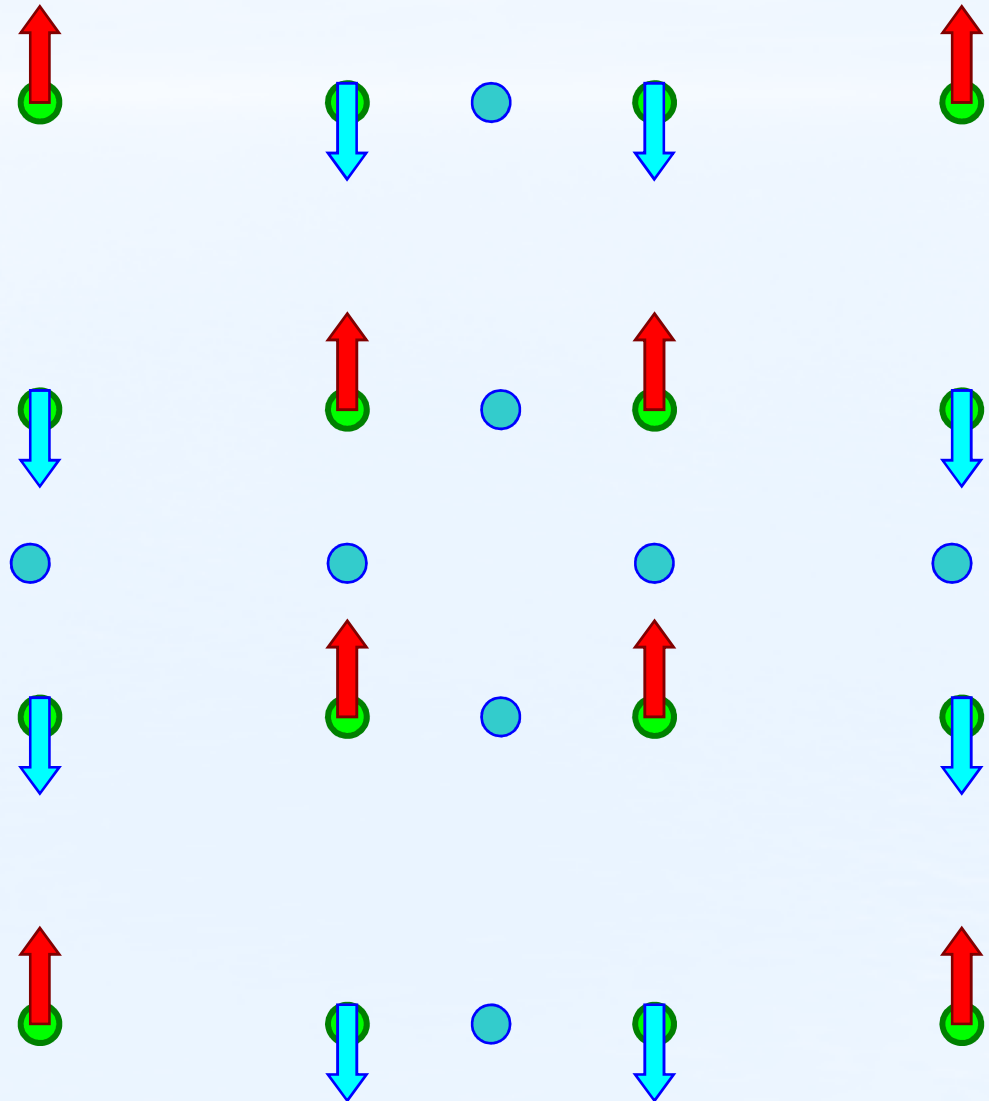


[Jinho Lee *et al* *Nature*, **442**, 546 (2006)]



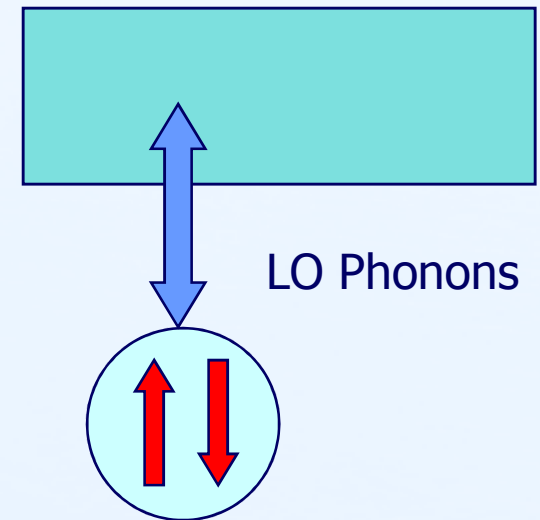
# Spin Frustration

- Each spin has 2 up and 2 down neighbors.
- If holes move away they are completely frustrated!!
- Easy to set up local singlet states.



# Two-Component Model

- Below  $T_{PG1}$  AFM with the intermediate local order develops.
- Charges in the AFM regions form spin-singlet bipolarons below  $T_{PG2}$ .
- Two-components; localized bipolarons (bosons) and delocalized nodal fermions (Ranninger, Micnas, Bussmann-Holder) produces HTSC.
- Mediation by LO phonons is a possibility.



# Conclusions

- **Doped cuprates are strongly frustrated systems with high propensity for phase-separation.**
- **Because of low ionic mobility only electrons phase-separate, resulting in nano-scale intermediate order, which is consistent with neutron diffuse scattering.**
- **This state could be the origin of the pseudogap state.**
- **The intermediate state with local AFM order may support spin-singlet bipolarons, and could form the basis for the two-component SC.**
- **Strong frustration and intermediate order could hold the key in HTSC mechanism.**

