

Single Top Searches at DØ

Ernest Aguiló

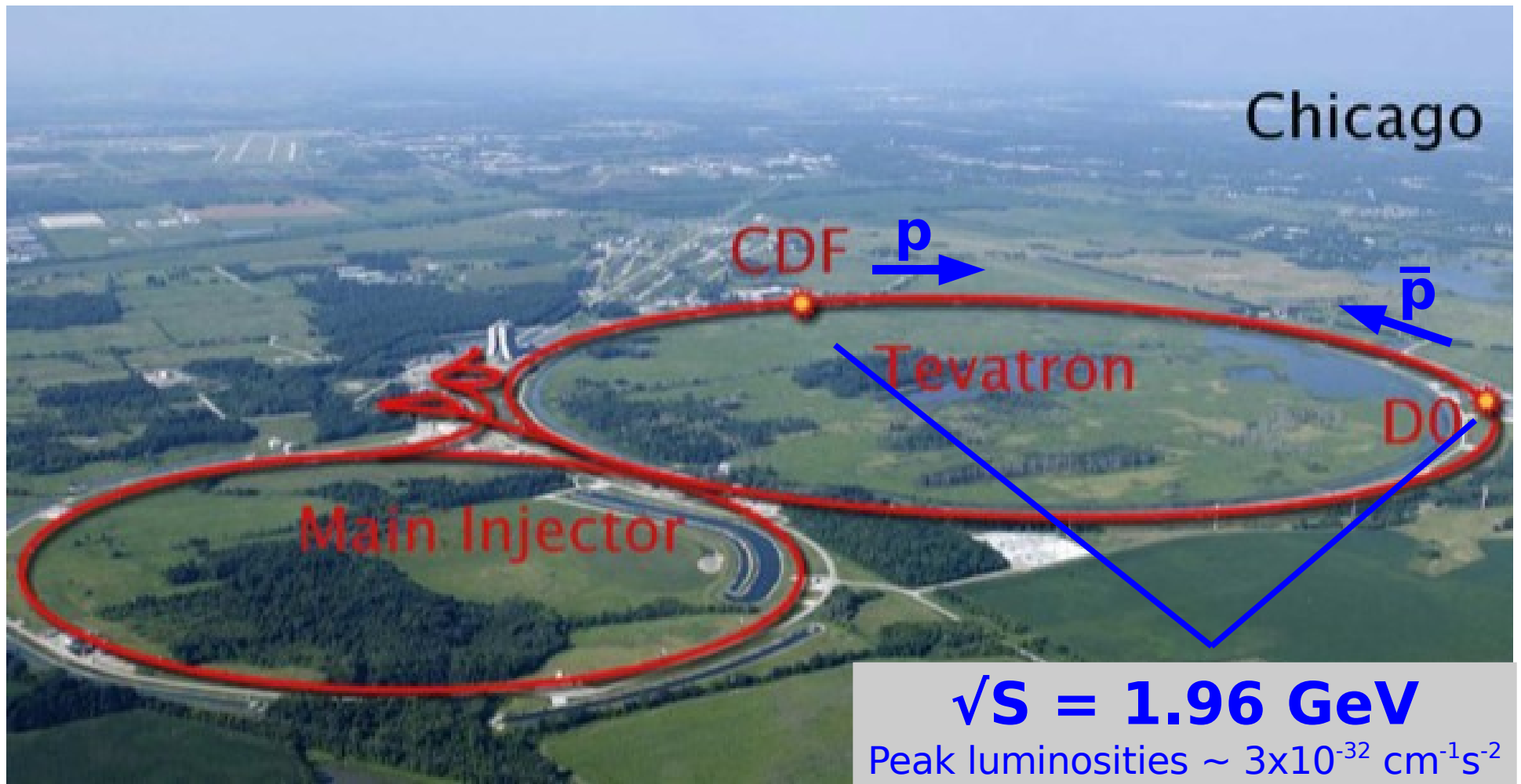
York University

University of Virginia, February 11th 2009

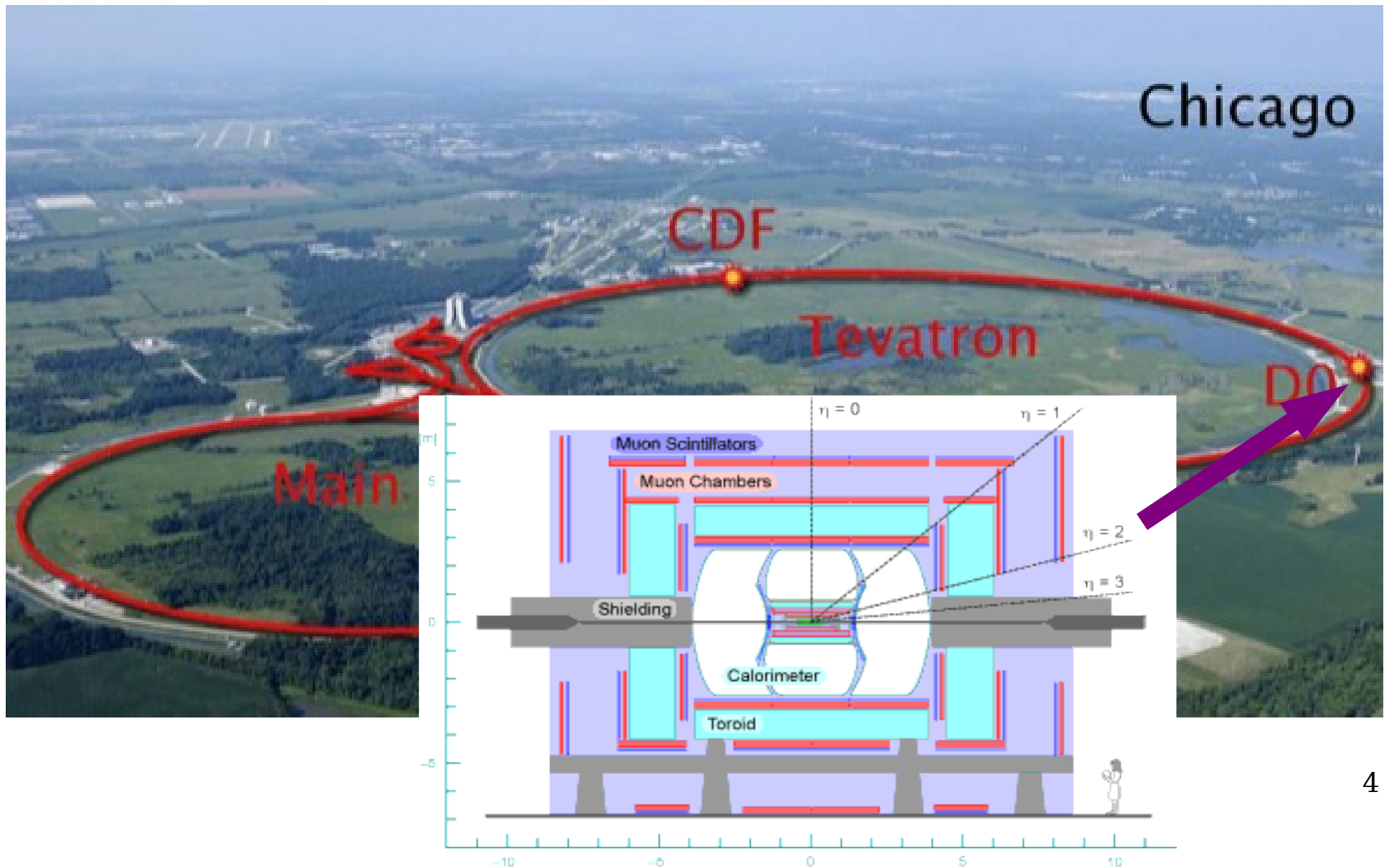
Outline

- The DØ Experiment
- Introduction to single top
- Event selection
- B-tagging
- Background modeling
- Systematic uncertainties
- Multi-variate techniques
- Cross-section measurement
- Summary

The DØ Experiment



The DØ Experiment

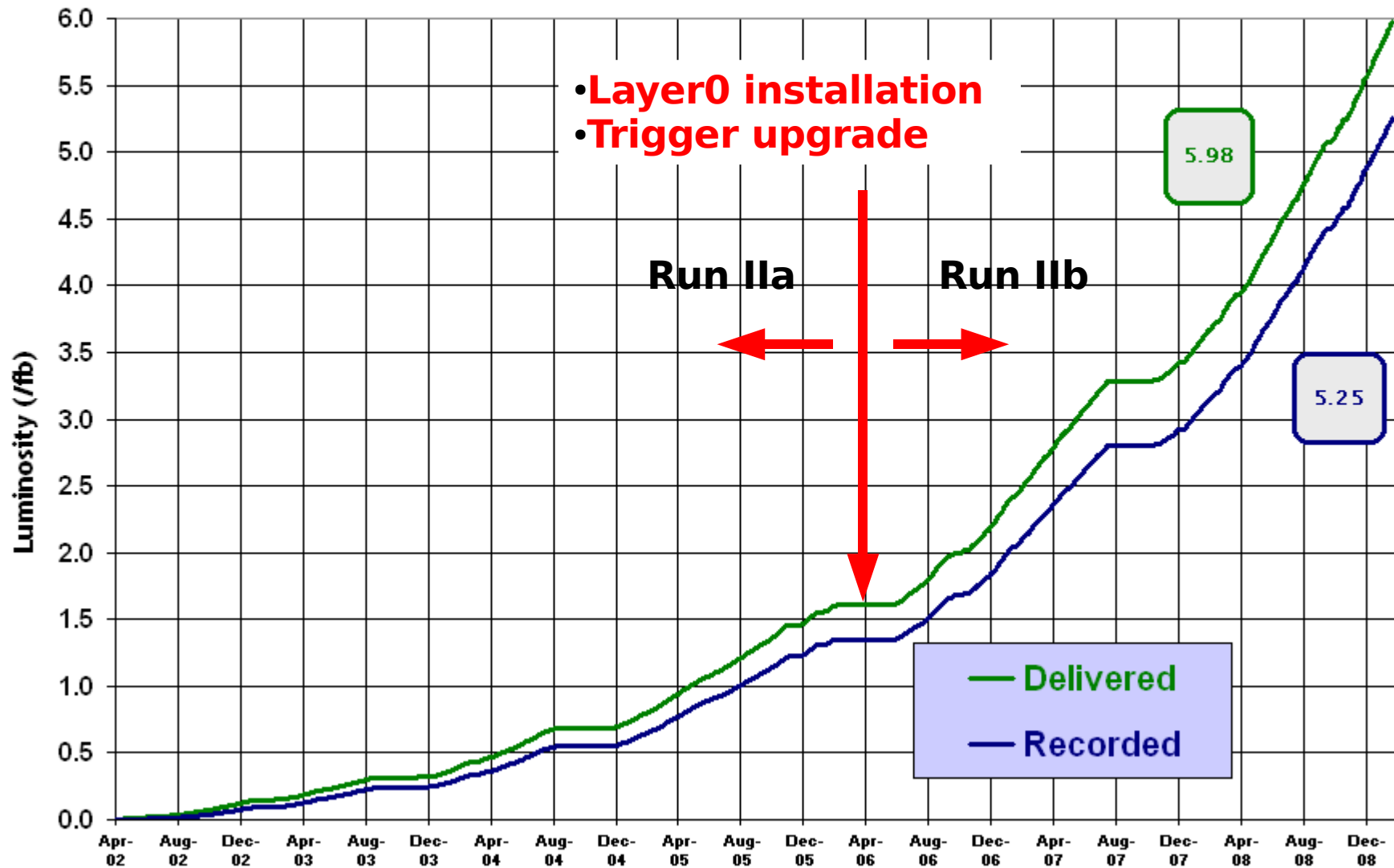


The DØ Experiment



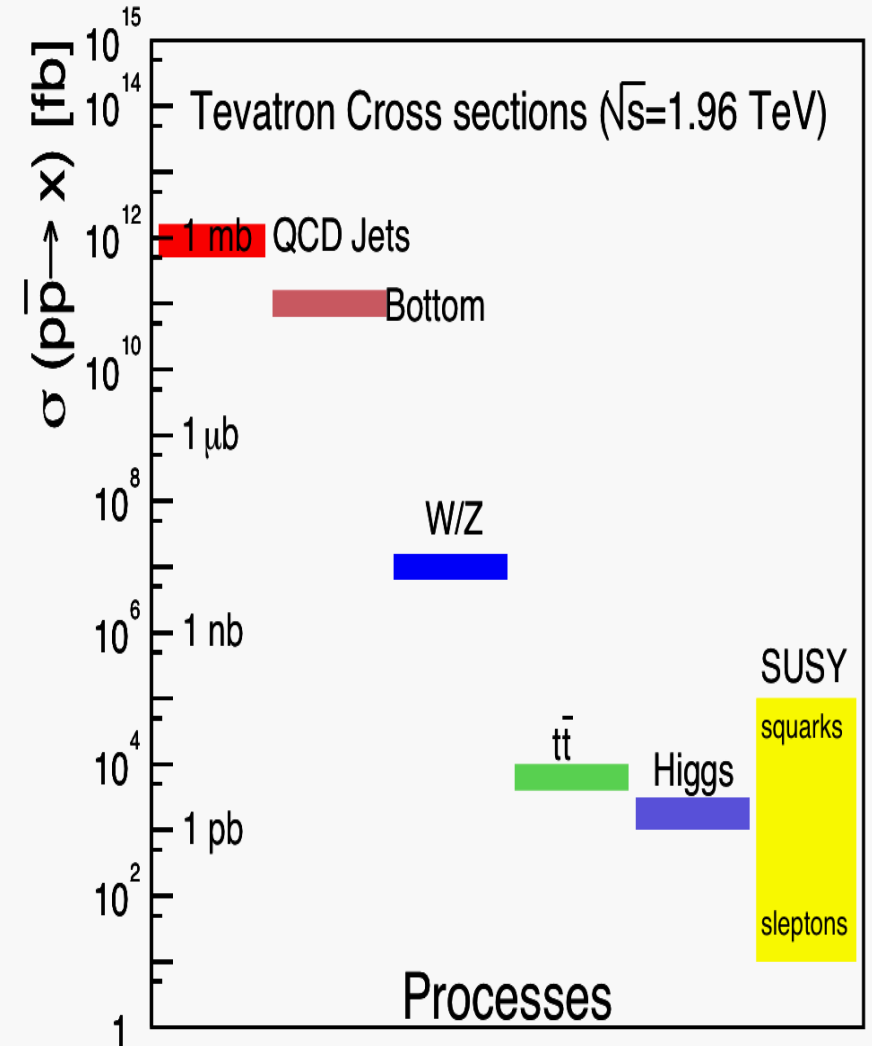
Run II Integrated Luminosity

19 April 2002 - 8 February 2009



Physics at DØ

- QCD
- B Physics
- Electroweak Physics
- Top Physics
- Higgs Searches
- New Phenomena



Introduction to single top

- S.M. Cross-section:

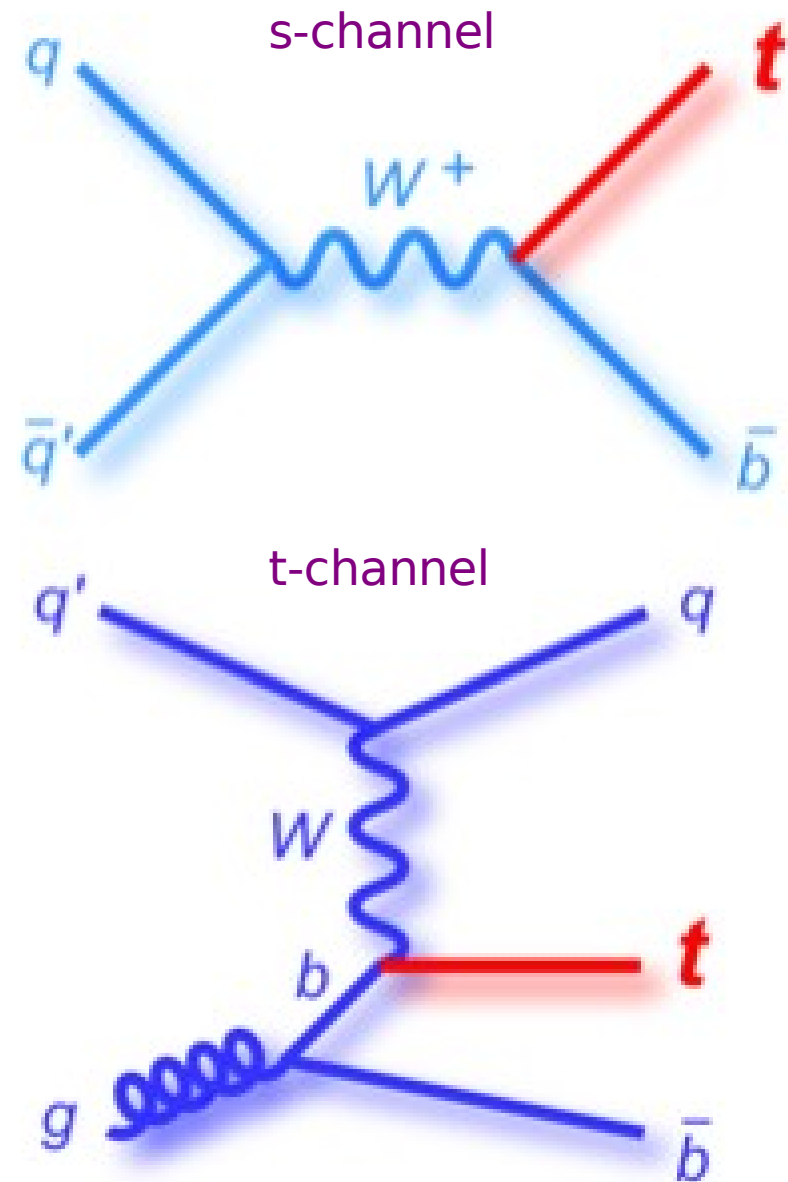
$$\sigma = 3.46 \pm 0.18 \text{ pb}$$

$$@ m_{\text{top}} = 170 \text{ GeV}$$

[PRD 74,114012 (2006)]

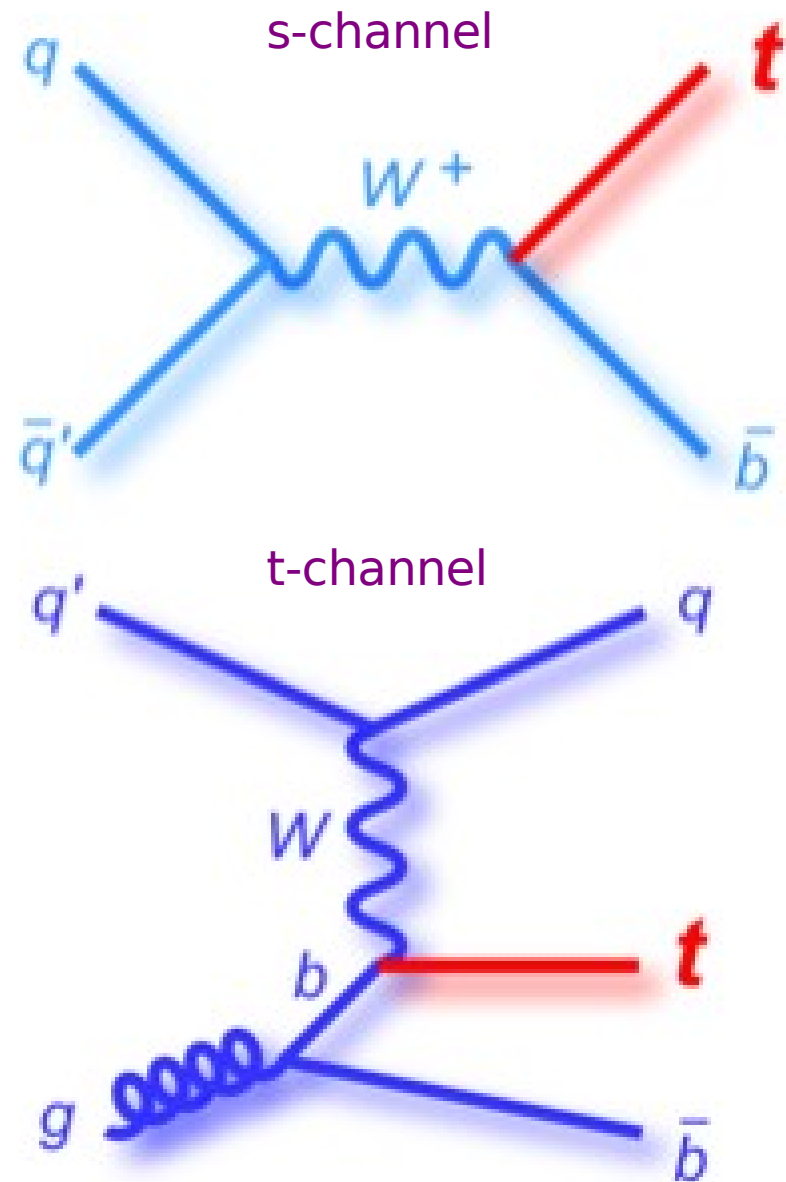
- Motivation:

- Serves as a probe to the Wtb coupling
- Provides a direct measurement of $|V_{tb}|$
- Sensible to heavy bosons (W')
- Background to Higgs and Physics beyond the S.M. searches

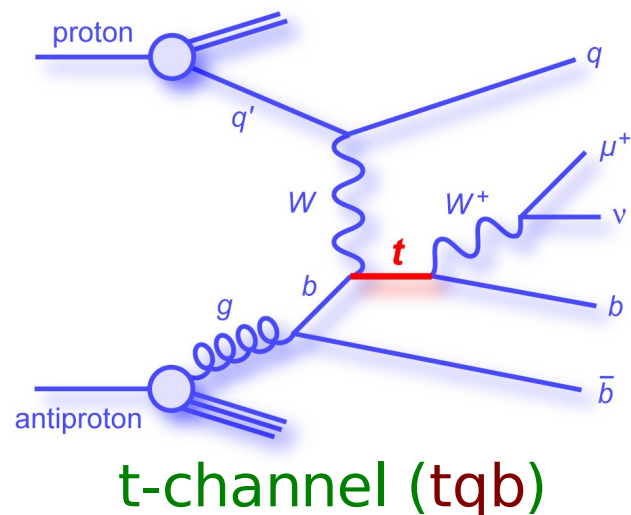
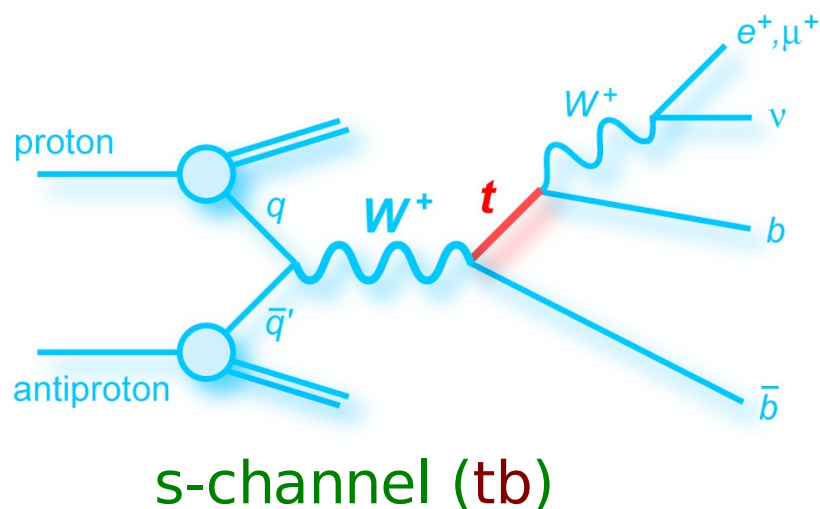


Introduction to single top

- Challenging:
 - Difficult background environment
 - Low jet multiplicities
 - Sophisticated multivariate techniques needed
- History:
 - Evidence by DØ with 0.9 fb⁻¹ [PRL 98, 181802 (2007)]
 - Evidence by CDF with 2.2 fb⁻¹ [PRL 101, 252001 (2008)]



Event Selection



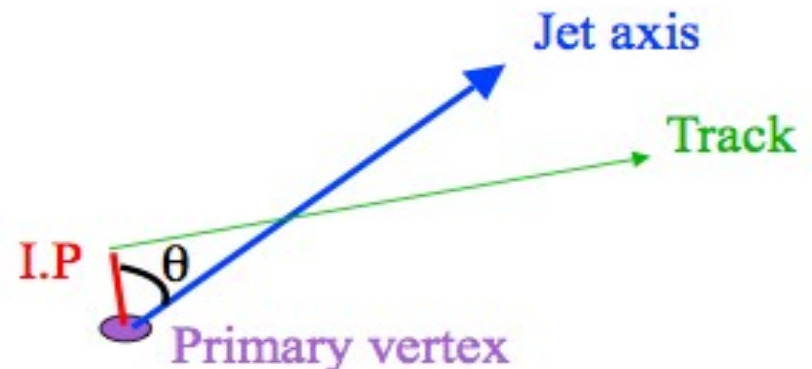
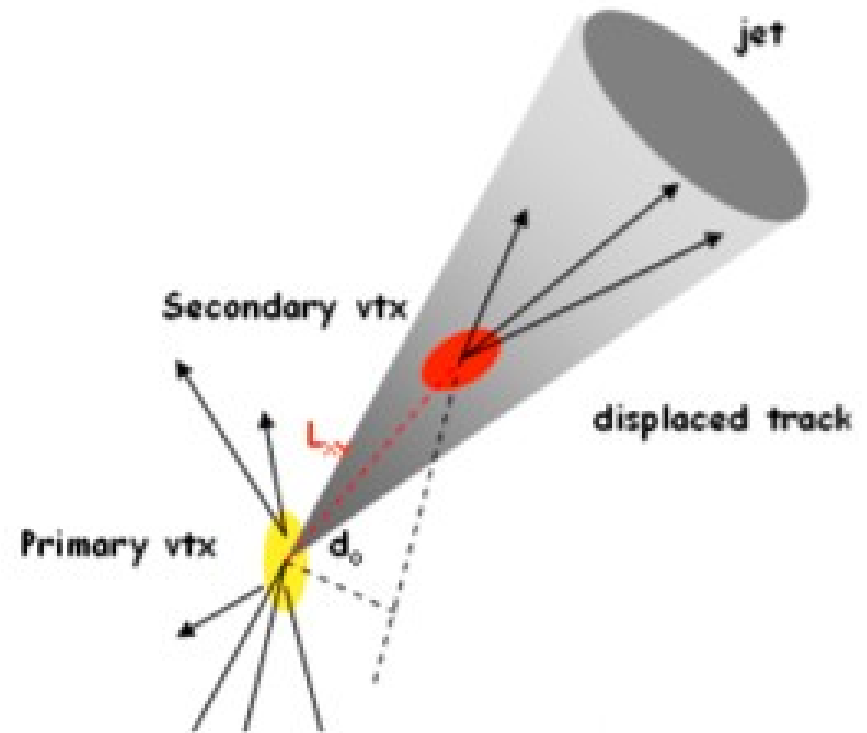
- Current analysis (e+jets & μ +jets):
 - Integrated luminosity: 2.3 fb^{-1}
 - 1.1 fb^{-1} from *RunIIa* (p17) & 1.2 fb^{-1} from *RunIIb* (p20)
- 18% increase in signal acceptance since 2007
 - Trigger efficiency increased with a logical OR of many conditions
 - Loosening of selection cuts

Event Selection

- Lepton + jets + MET:
 - 2-4 jets ($|\eta| < 3.4$, $p_T > 15$ GeV); leading: $p_T > 25$ GeV
 - Lepton: electron (CC) $|\eta| < 1.1$ & $p_T > 15$ GeV
 muon (MU) $|\eta| < 2.0$ & $p_T > 15$ GeV
 - MET > 15 GeV
 - $|z_{pV}| < 6$ cm
 - 1 or 2 b-jets; leading b-jet $p_T > 20$ GeV
- Cuts to suppress multijet background:
 - Triangular cuts in the MET- $\Delta\phi(\text{MET}, e/\mu/\text{jet})$ plane
 - lepton p_T & MET cuts increased for 3,4 jet bins
 - HT cuts & higher p_T cut for jets in ICD
 - MU track curve significance cut

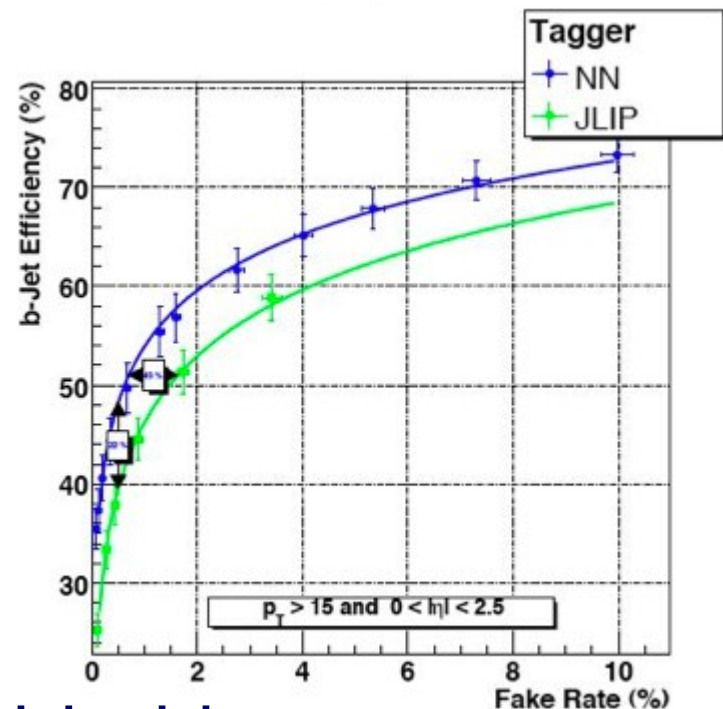
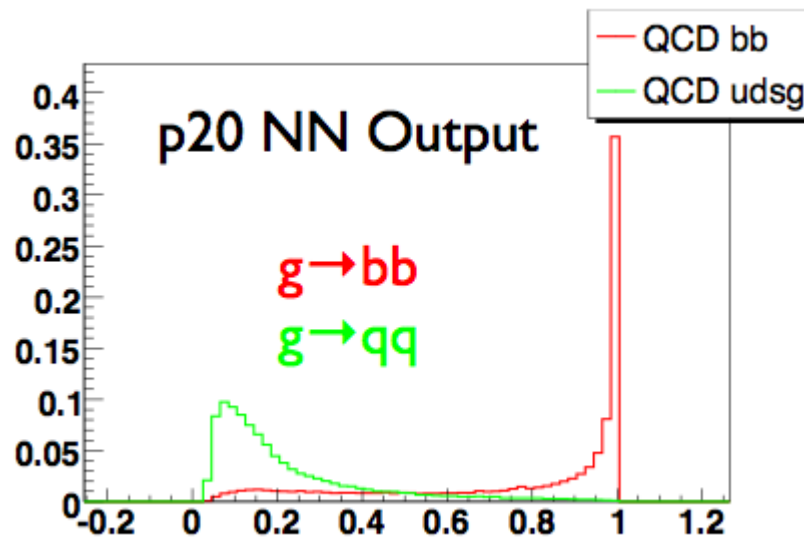
B-tagging

- How to recognize a b-jet:
 - B-hadrons longer lifetime: displaced vertex from the primary vertex.
 - More charged particles (more tracks)
 - Larger mass than c,s,d,u.
 - ~20% of b-jets are involved in a muonic decay
- B-tagging algorithms at DØ:
 - Three lifetime b-taggers:
 - CSIP: sum tracks IP significance
 - SVT: build secondary vertex & use its variables
 - JLIP: probability that a jet with tracks originates from PV
 - Soft Lepton Tagger (SLTNN)



B-tagging

- NN b-tagger:
 - Combination of the 3 lifetime b-taggers using a NN.
 - Trained on QCD b-jets as signal and QCD light jets as background.



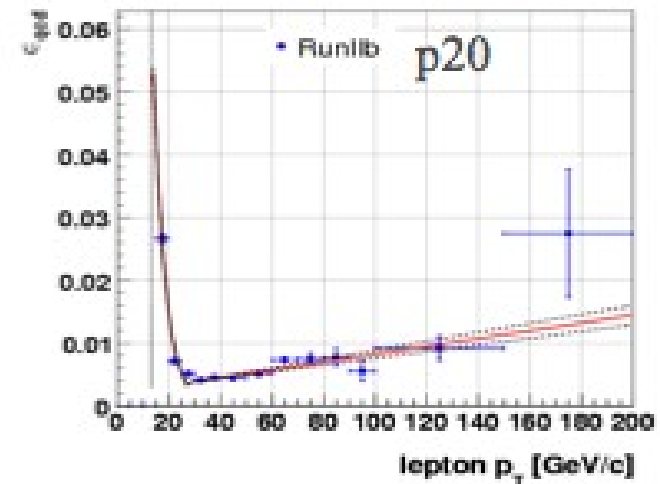
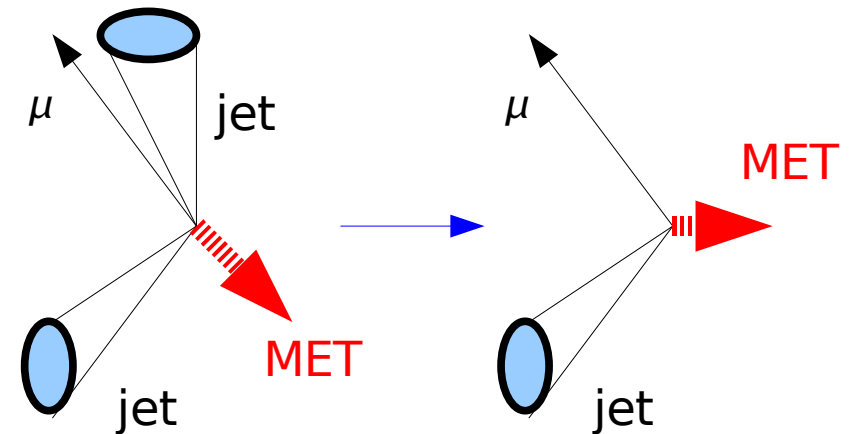
- Currently samples are divided in:
 - *One tag*: 1 jet with $NN > 0.775$ (others with $NN < 0.5$)
 - *Two tags*: 2 jets with $NN > 0.5$

Background modeling

- Main backgrounds to single top:
 - **ttbar**: lepton+jets or dilepton (one lepton lost)
 - **W+jets**: same signature as single top
 - **Multijet (QCD)**: one fake lepton
 - **Z+jets & diboson** (not in 2007)
- Signal & background methods:
 - **Signal**: SINGLETOP (NLO, COMPHEP based) + PYTHIA
 - **ttbar, W+jets & Z+jets**: ALPGEN (LO) + PYTHIA
 - **diboson**: PYTHIA
 - **Multijet**: from data

Background modeling

- In 2007 very few statistics in QCD after b-tagging. Now larger samples used.
- For MU channel:
 - Drop isolation requirements on μ ($\Delta R(\mu, \text{jet}) < 0.5$)
 - Remove close jet and re-compute MET
- For CC channel:
 - Use orthogonal sample with looser EM ID (drop likelihood & track matching)
 - Need to re-shape using the lepton p_T distribution of a fake electron enhanced sample.

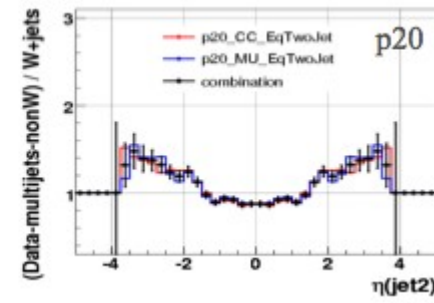
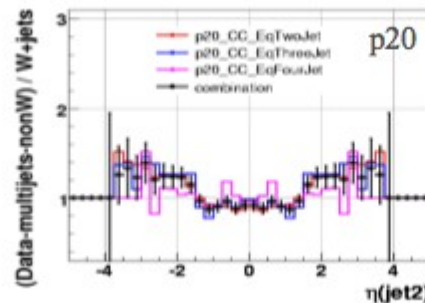
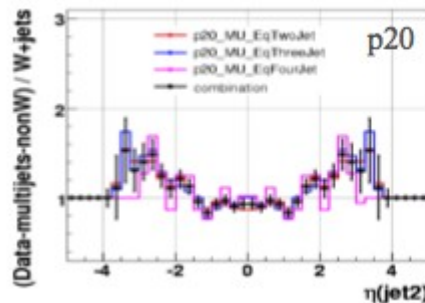
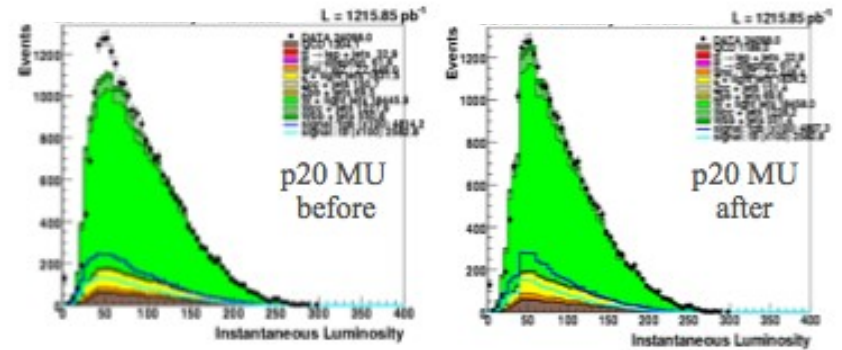


Background modeling

- ALPGEN is a LO simulation:
 - Two k-factors are applied to W+jets & Z+jets according to NLO calculations:
 - global k-factor for all W+jets & all Z+jets
 - k-factor for Wbb, Wcc, Zbb, Zcc + light jets
- ALPGEN doesn't reproduce correctly the yields in data nor the shapes.
- Need to normalize W+jets & QCD to data:
 - This is done at pre-b-tagging level
 - Determine W+jets and QCD scale factors that give best KS-test value for three sensible variables (lepton p_T , MET, $W M_T$)
 - KS-test weighted averaged scale factors used.

Background modeling

- Need to reweight MC to get good agreement:
 - Instantaneous luminosity reweighting for every MC source
 - Just for W+jets: jet η distributions are off due to an ALPGEN model weakness. Re-weighting functions are common for CC/MU & jet bins.



Background modeling

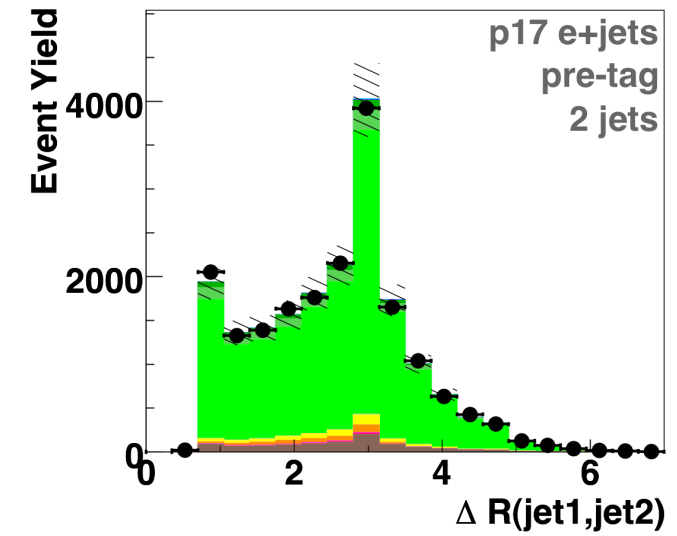
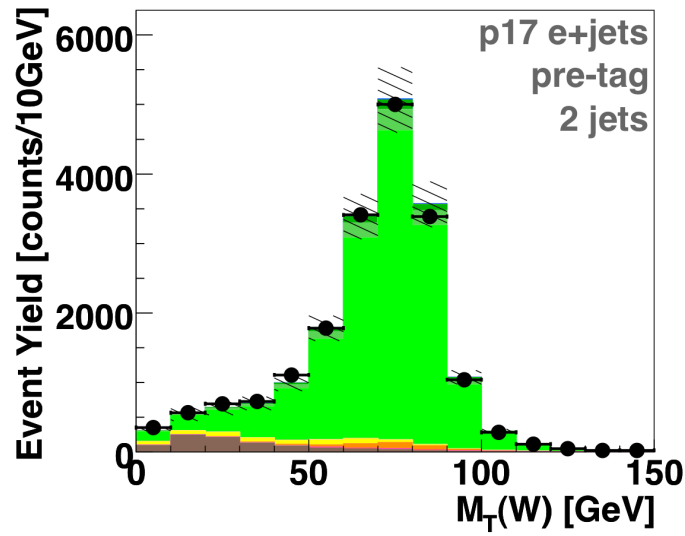
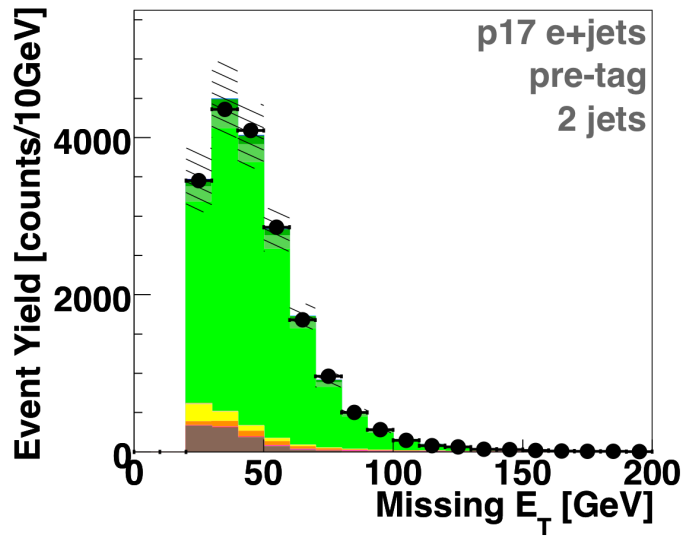
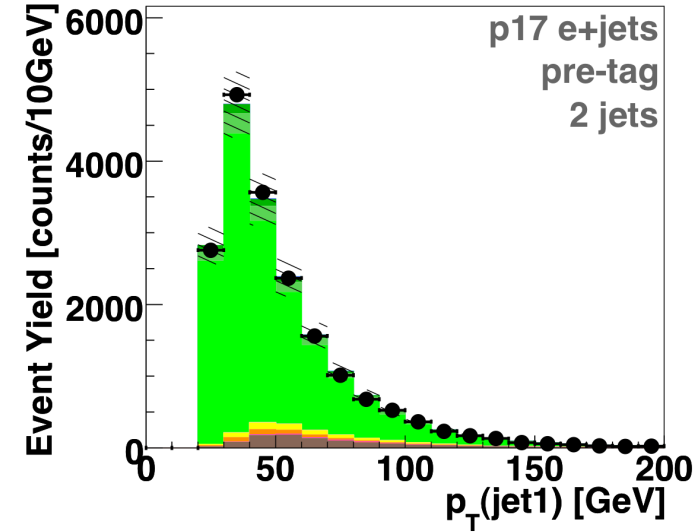
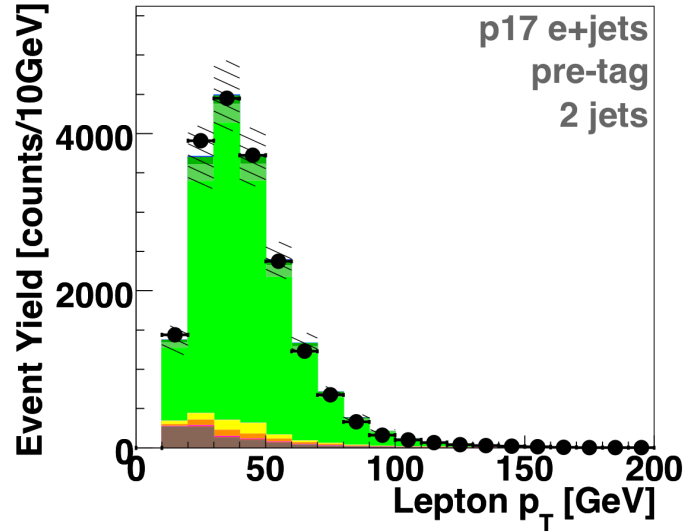
- Iterative KS method:
 - Apply a first normalization (slide 15)
 - Then apply the re-weighting on several variables consecutively.
 - Use re-weighted distributions as input to next re-weighting
 - Normalize after every re-weighting
 - Order of re-weightings: Instant luminosity, $\eta(\text{jet1})$, $\eta(\text{jet2})$, $\Delta\Phi(\text{jet1},\text{jet2})$, $\Delta\eta(\text{jet1},\text{jet2})$, $\eta(\text{jet3})$, $\eta(\text{jet4})$
 - Method converges: scale factors stable

W+jets and Multijets Normalization Scale Factors								
	S_{W+jets}				$S_{multijets}$			
	RunIIa		RunIIb		RunIIa		RunIIb	
	e	μ	e	μ	e	μ	e	μ
2 jets	1.51	1.30	1.41	1.23	0.348	0.0490	0.388	0.0639
3 jets	1.92	1.79	1.75	1.57	0.291	0.0291	0.308	0.0410
4 jets	2.29	2.06	1.81	1.92	0.189	0.0244	0.424	0.0333

Background modeling

Run IIa, e+jets, 2 jet bin

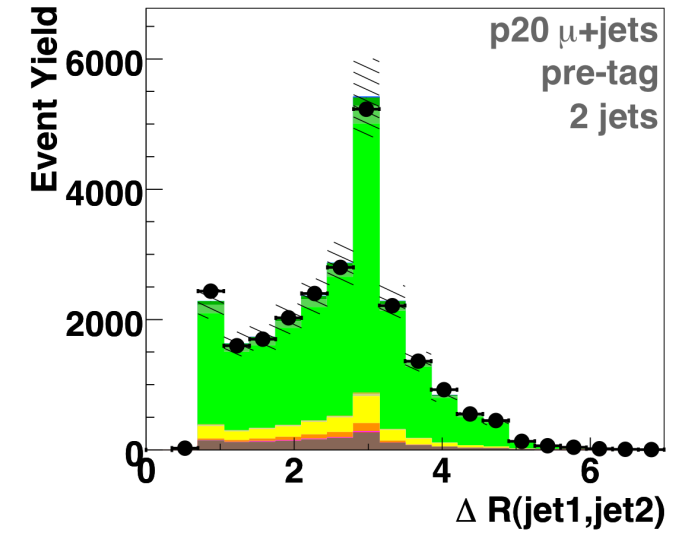
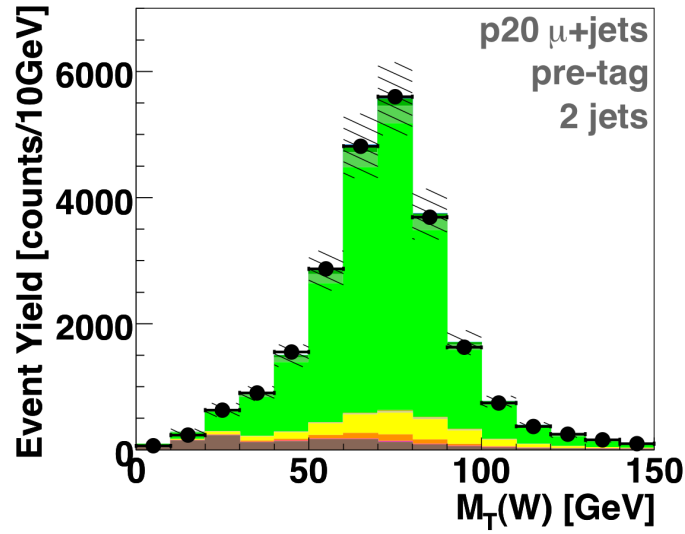
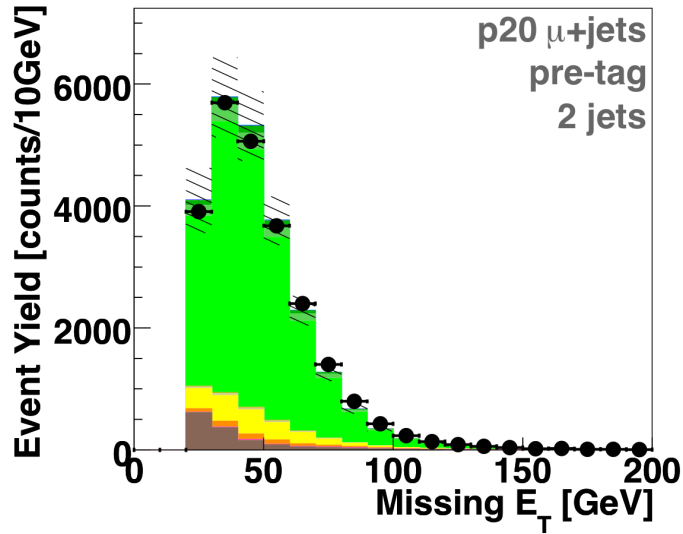
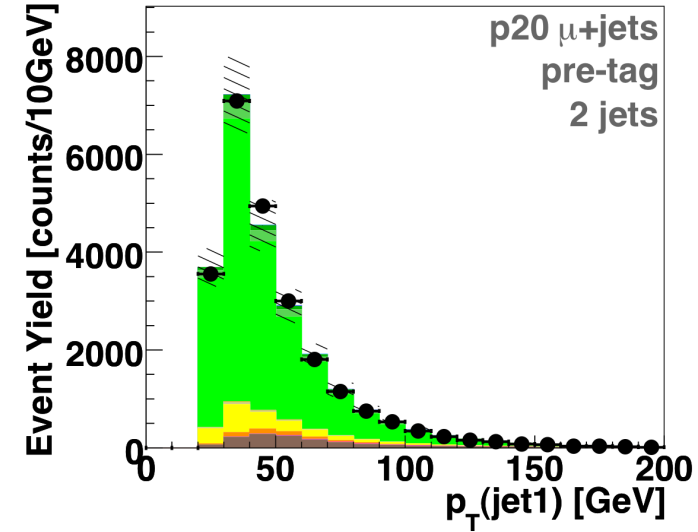
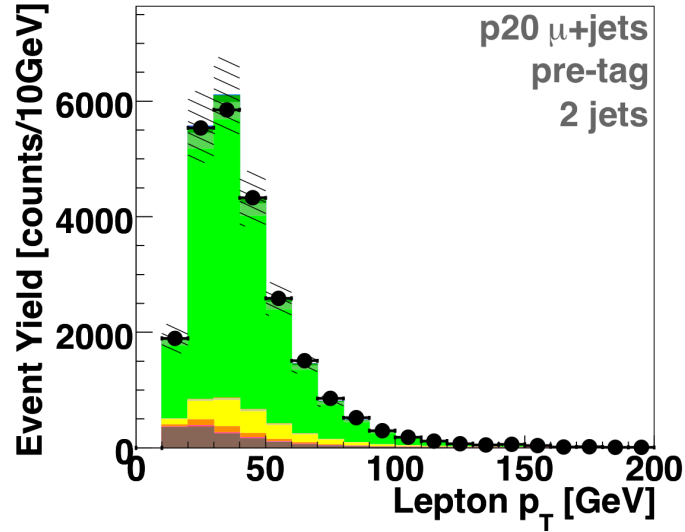
- Data
- Multijet
- W+light jets
- W+cc+light jets
- W+bb+light jets
- Z+light jets
- Z+cc+light jets
- Z+bb+light jets
- diboson
- $t\bar{t} \rightarrow \text{lepton} + \text{jets}$
- $t\bar{t} \rightarrow \text{dilepton}$
- signal t-channel (tqb)
- signal s-channel (tb)



Background modeling

Run IIb, μ +jets, 2 jet bin

- Data
- Multijet
- W+light jets
- W+cc+light jets
- W+bb+light jets
- Z+light jets
- Z+cc+light jets
- Z+bb+light jets
- diboson
- $t\bar{t} \rightarrow \text{lepton} + \text{jets}$
- $t\bar{t} \rightarrow \text{dilepton}$
- signal t-channel (tqb)
- signal s-channel (tb)



Background modeling

- B-tagging for QCD: just run b-tagging algorithm
- B-tagging for MC: apply tag rate functions (TRF)
 - B-tagging algorithm overestimates NN output in MC
 - TRF: probability from data of a MC jet to be b-tagged parametrized in p_T , η & flavor (b, c or light)
 - Method: permute every event with all the possible combinations of jets being tagged or not tagged and give it a weight = probability of the combination.
 - Sum of all weights must be 1

$$P(\text{only jet } i \text{ tagged}) = \text{TRF}(\text{jet}_i) \cdot \prod_{j \neq i} \{1 - \text{TRF}(\text{jet}_j)\}$$

$$P(\text{only jets } i \text{ \& } j \text{ tagged}) = \text{TRF}(\text{jet}_i) \cdot \text{TRF}(\text{jet}_j) \cdot \prod_{k \neq i,j} \{1 - \text{TRF}(\text{jet}_k)\}$$

Background modeling

- Currently in the 1-tag bin more than one jets with $NN > 0.5$ are vetoed:

$$P(\text{only jet } i \text{ tagged}) = \text{TRF}_{0.775}(\text{jet}_i) \cdot \prod_{j \neq i} \{1 - \text{TRF}_{0.5}(\text{jet}_j)\}$$

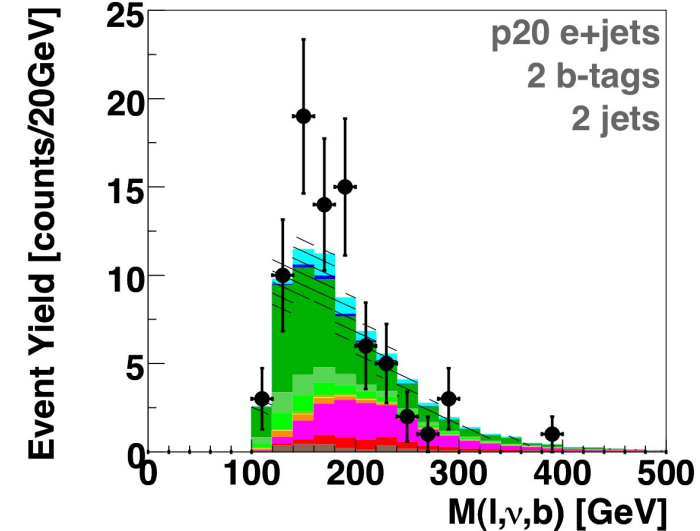
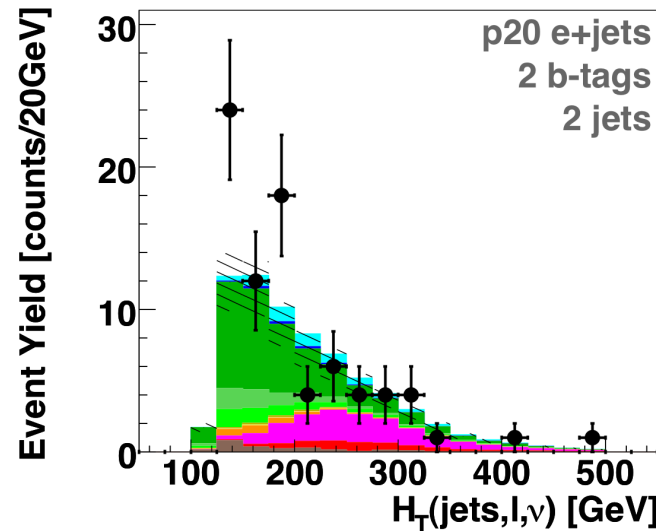
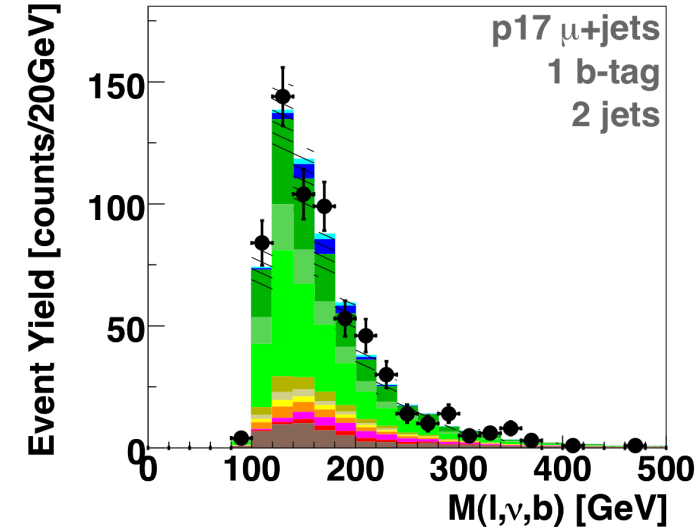
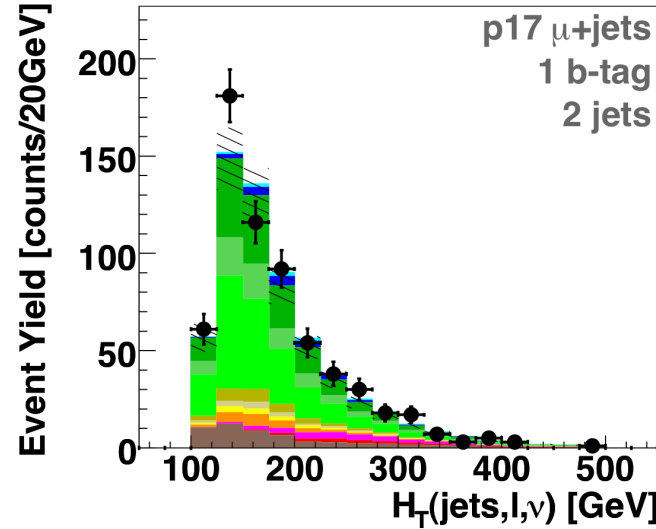
- Another correction factor still needed:
 - Ratio between W+hf & W+light jets is not well known
 - It is determined by normalizing to data the b-tagged yields
 - Only the 2-jet bin used to avoid any bias from ttbar
 - Value obtained by combining runs IIa & IIb, CC & MU, 1-tag & 2-tag: 0.95 ± 0.13
 - Error takes into account statistic, single top cross section, W_{bb}/W_{cc} cross section ratio and W+1c contents in W+light jets uncertainties

Background modeling

RunIIa, μ +jets, 2jets, 1tag

- Data
- Multijet
- W+light jets
- W+cc+light jets
- W+bb+light jets
- Z+light jets
- Z+cc+light jets
- Z+bb+light jets
- diboson
- $t\bar{t} \rightarrow \text{lepton} + \text{jets}$
- $t\bar{t} \rightarrow \text{dilepton}$
- signal t-channel (tqb)
- signal s-channel (tb)

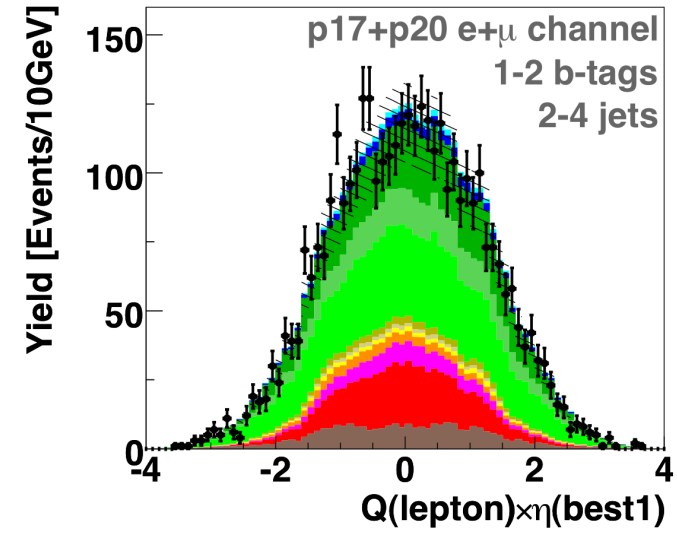
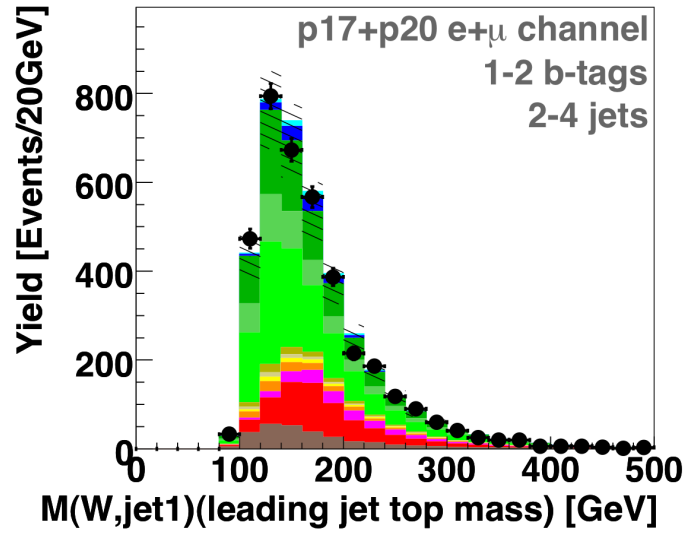
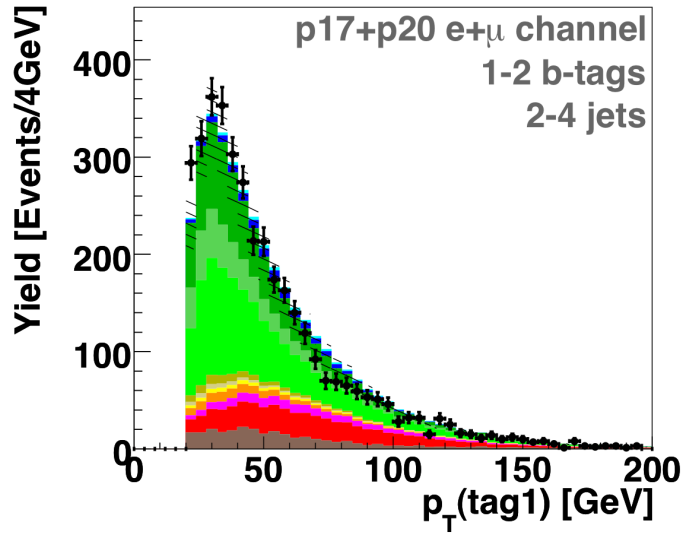
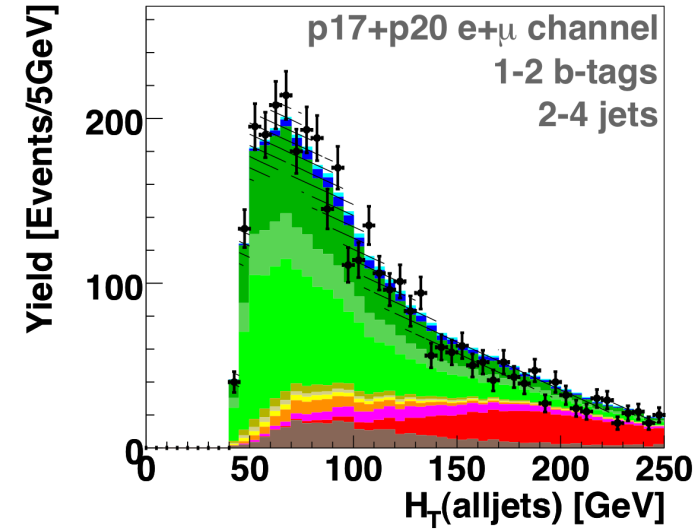
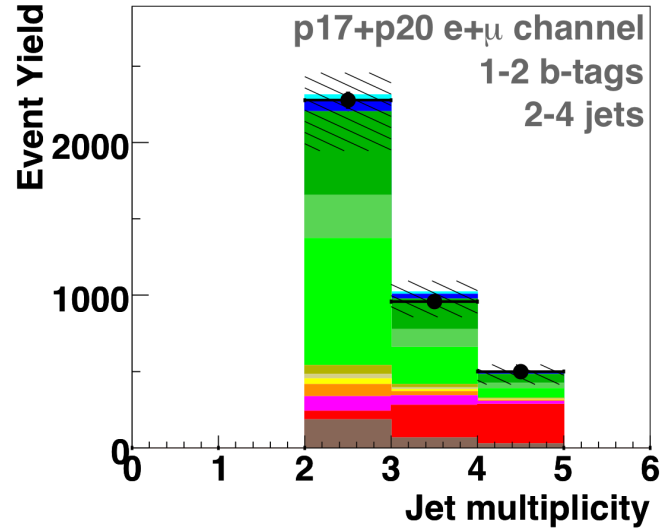
RunIIb, e+jets, 2jets, 2tags



Background modeling

All channels combined

- Data
- Multijet
- W+light jets
- W+cc+light jets
- W+bb+light jets
- Z+light jets
- Z+cc+light jets
- Z+bb+light jets
- diboson
- $t\bar{t} \rightarrow \text{lepton} + \text{jets}$
- $t\bar{t} \rightarrow \text{dilepton}$
- signal t-channel (tqb)
- signal s-channel (tb)



Systematic Uncertainties

- Flat:

For all backgrounds but W+jets and multijets:

- Cross sections: Z+jets (4.7%), signal (6%) dibosons (6%) ttbar (9%)
- W branching fractions (1%), PDF's (3%)
- Jet fragmentation (5% from PYTHIA-HERWIG difference and ISR/FSR model uncertainty), b-jet fragmentation (2%)
- Integrated luminosity (6.1%)
- Trigger efficiency (5%), Beam reweighting (1.4%), InstLumi reweighting (1%)
- Lepton ID (2.5%), Jet ID (1%), JER (4%)

For W+jets and multijets:

- Normalization: multijets (7-29%) and W+jets (0.6-6.7%) anticorrelated
- Heavy-flavor scale factor (14% for Wcc and Wbb, 20% for Zcc and Zbb)

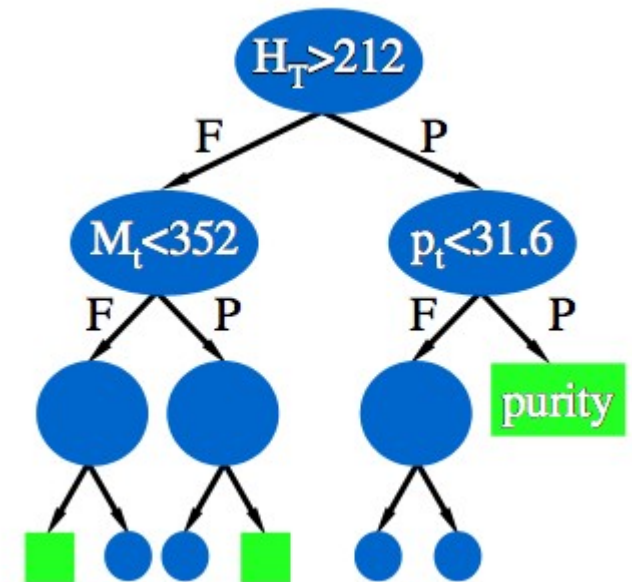
- Shape-changing: (they affect the shape of the background and expected signal distributions)

- Jet Energy Scale
- W+jets re-weighting
- TRFs (affects also heavy flavor scale factor)

Multi-variate techniques

- Boosted Decision Trees (BDT)

- Decision trees: split events according to which cut on which variable gives better separation consecutively.
- Adaptive boosting: improve performance by training different trees giving more weight to mis-classified events.
- Discriminant: weighted average of DT's

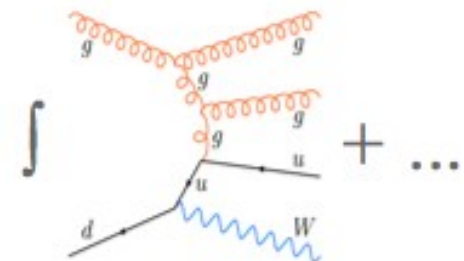


- Matrix Element (ME)

- Integrate matrix elements of signal and background diagrams together with transfer functions to get their probability for each event.
- Discriminant: $P_{\text{signal}} / (P_{\text{signal}} + P_{\text{background}})$

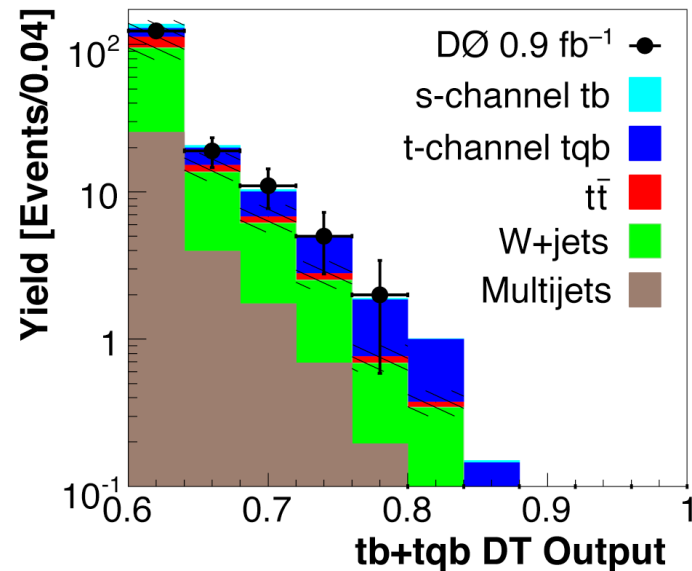
- Bayesian Neural Networks (BNN)

- Find posterior probability density for NN weights.
- Create many NN's & weight them by their probability given the training input.
- Discriminant: average of NN's

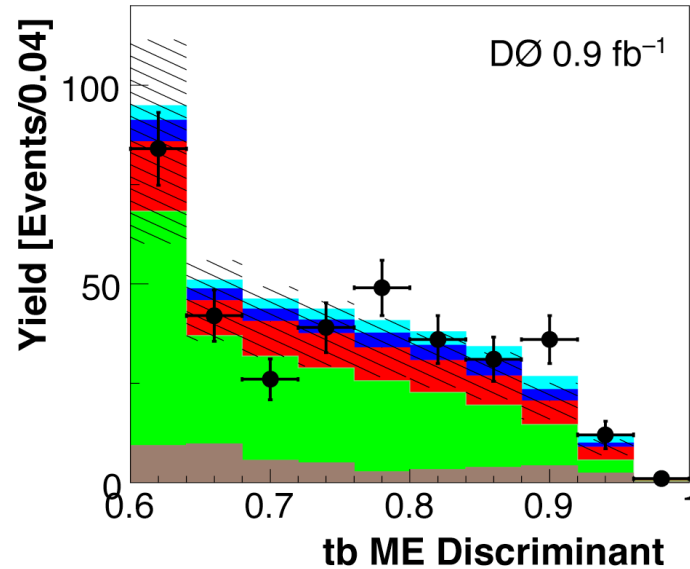


Multi-variate techniques

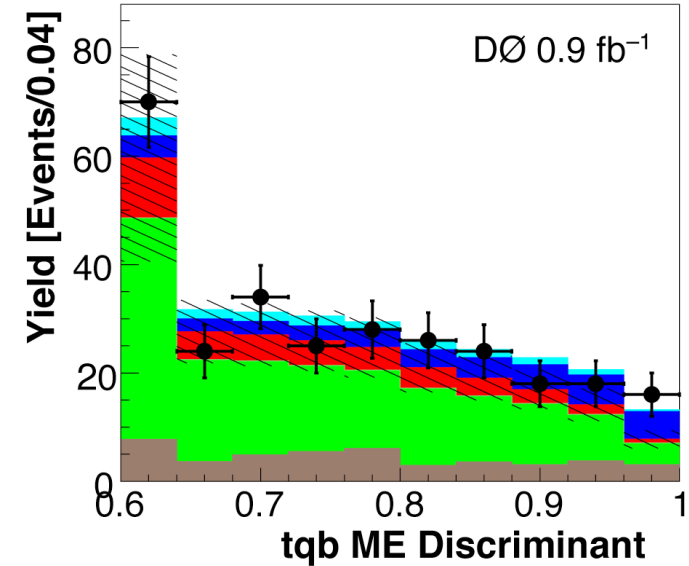
Decision Trees



Matrix Elements for tb

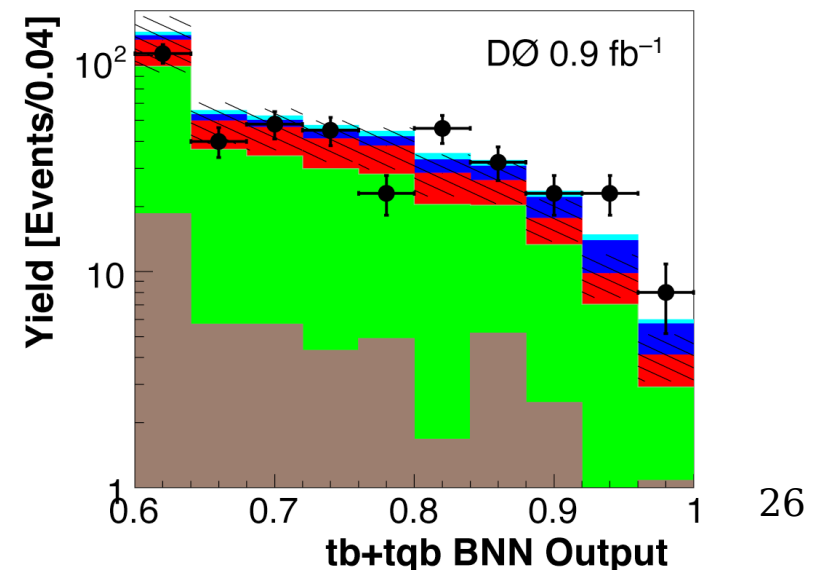


Matrix Elements for tqb



- Combination using three discriminants as inputs to BNN
- Background samples split in 3:
 - Training sample
 - Testing sample
 - Yield sample: to measure expected & observed cross-sections

Bayesian Neural Networks



Cross-section Measurement

- Bayesian approach:

- No cut on the discriminant output. Divided in bins.
- Each bin has a data distribution value (D_i). Given a certain cross section σ one expects the distribution y :

$$y = \alpha\sigma + \sum_{s=1}^N b_s \equiv a\sigma + \sum_{s=1}^N b_s$$

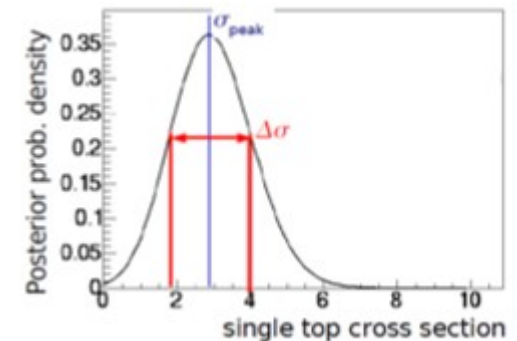
- The probability of D given y is:

$$P(D|y) \equiv P(D|\sigma, a, b) = \prod_{i=1}^{nbins} P(D_i|y_i)$$

- The posterior distribution for σ is:

$$Post(\sigma|D) \equiv P(\sigma|D) \propto \int_a \int_b P(D|\sigma, a, b) Prior(\sigma) Prior(a, b)$$

- The measured cross section is at the peak

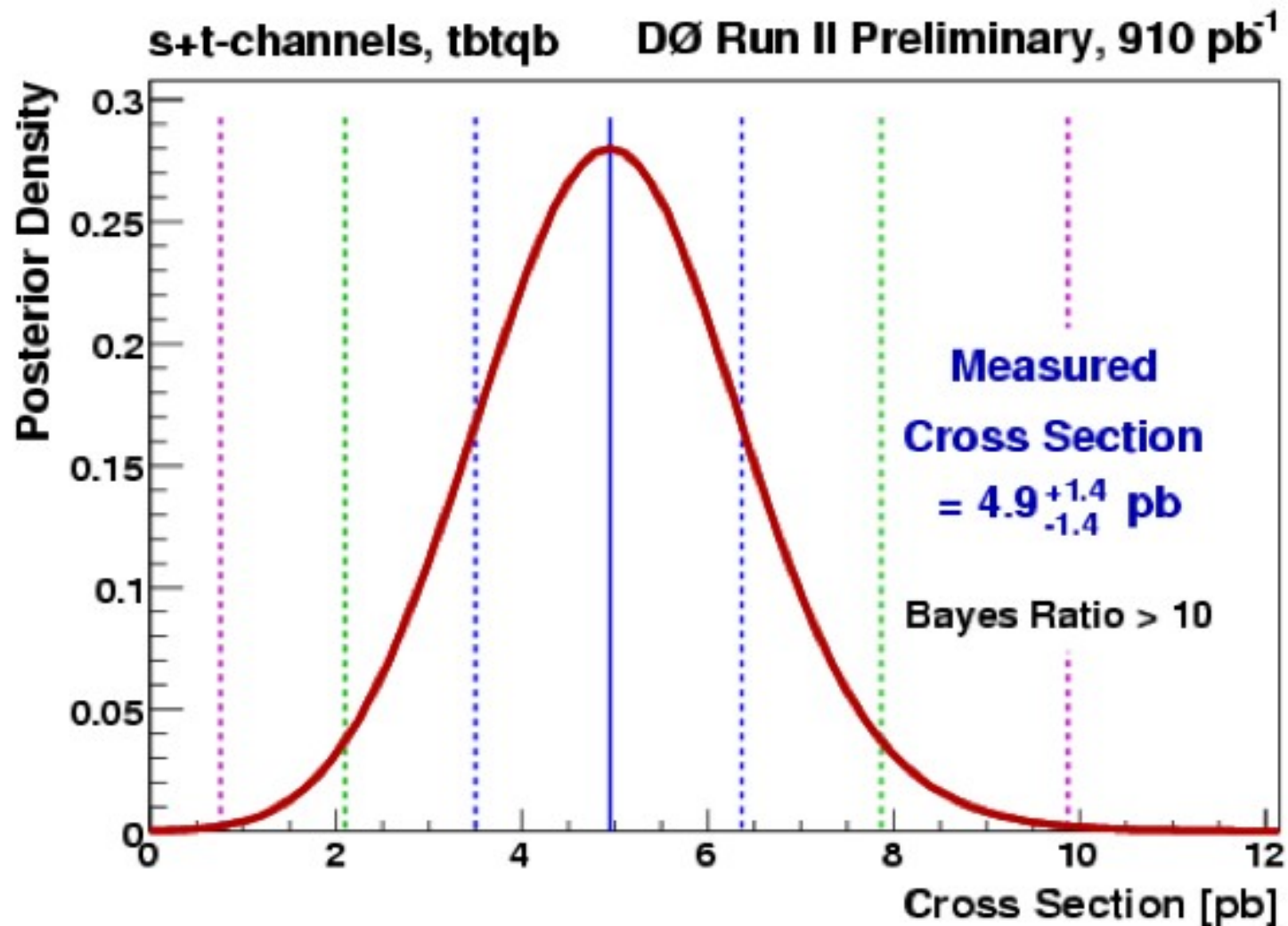


Cross-section Measurement

- Bayesian approach:
 - Shape and flat systematic uncertainties treated as nuisance parameters
 - Correlations between uncertainties properly accounted for
 - Flat prior distribution for the signal cross section
 - **Observed:** D is data; **Expected:** D is background+signal models
- Significance:
 - Pseudo-experiments produced with zero-signal hypothesis
 - Their number above the expected/observed cross-section is translated into the expected/observed significance
- Cross-checks:
 - Linearity with pseudo-experiments at different cross-sections
 - Pseudo-experiments at SM and measured cross-sections

Cross-section Measurement

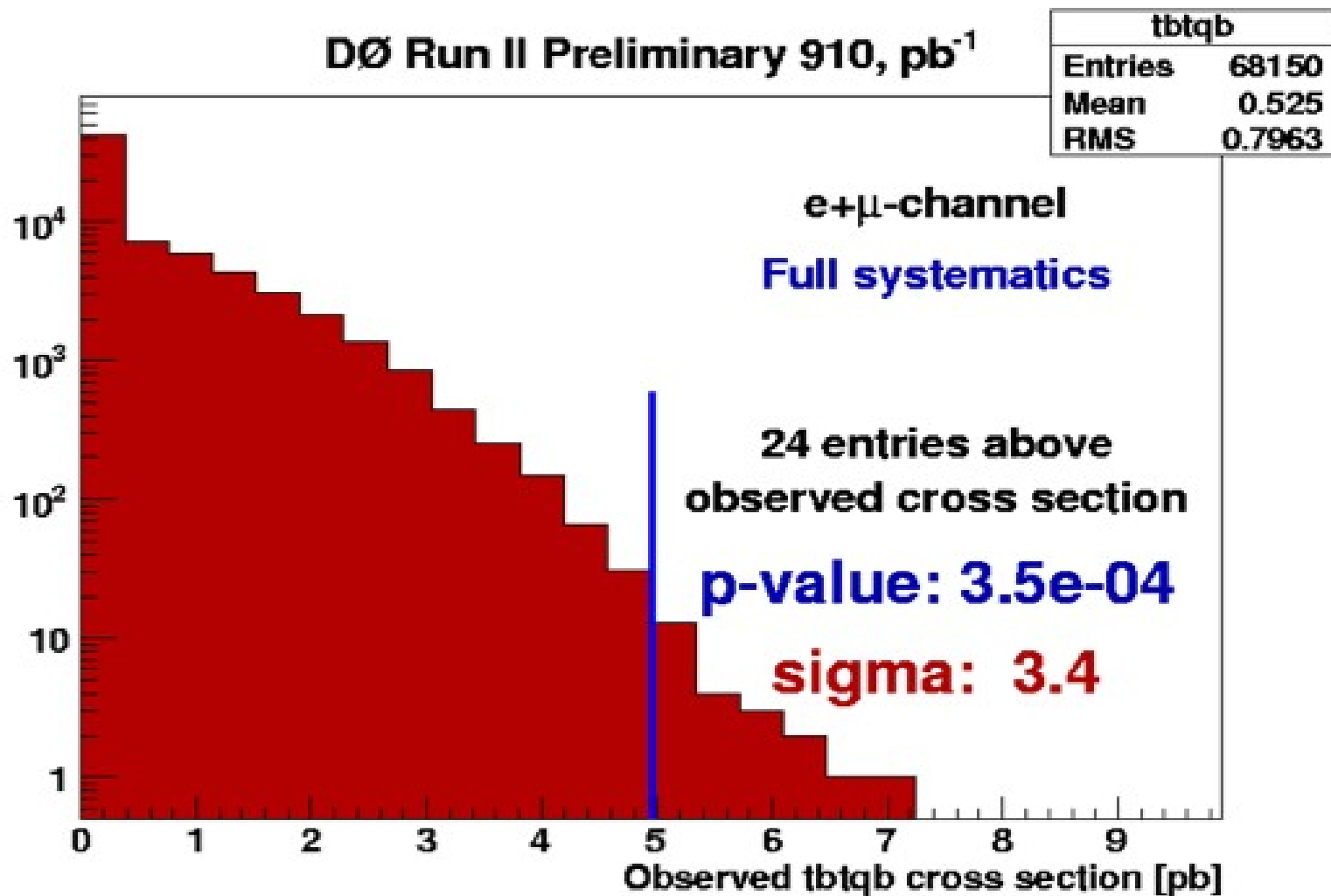
- Example from 2007:



Measured cross section at the peak of the posterior distribution

Cross-section Measurement

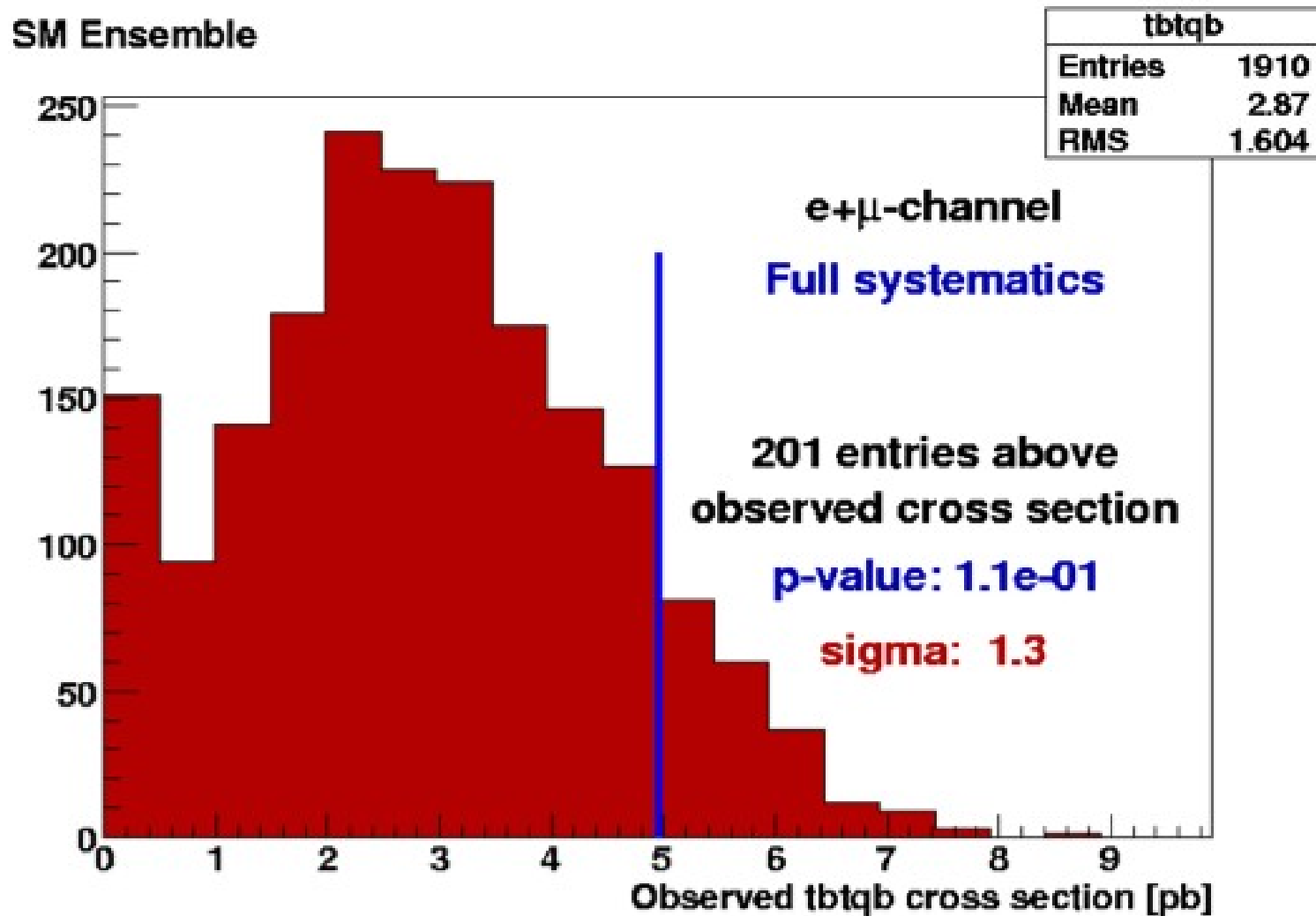
- Example from 2007:



Pseudo-experiments cross-section distribution for significance

Cross-section Measurement

- Example from 2007:



Pseudo-experiments to measure consistency with SM

Summary

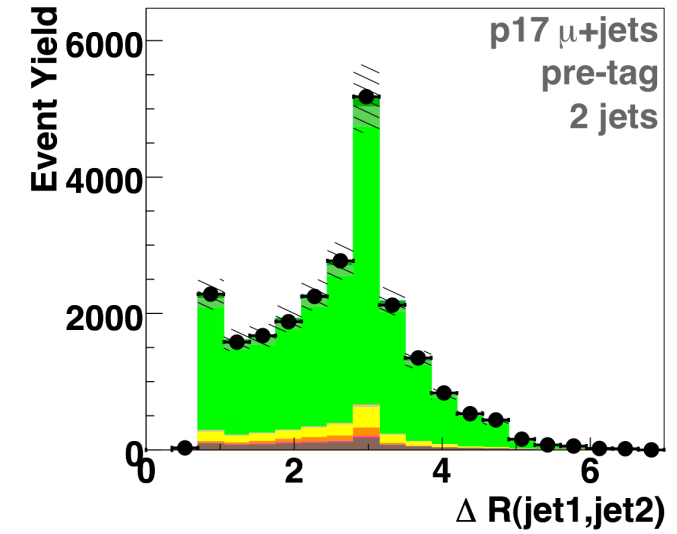
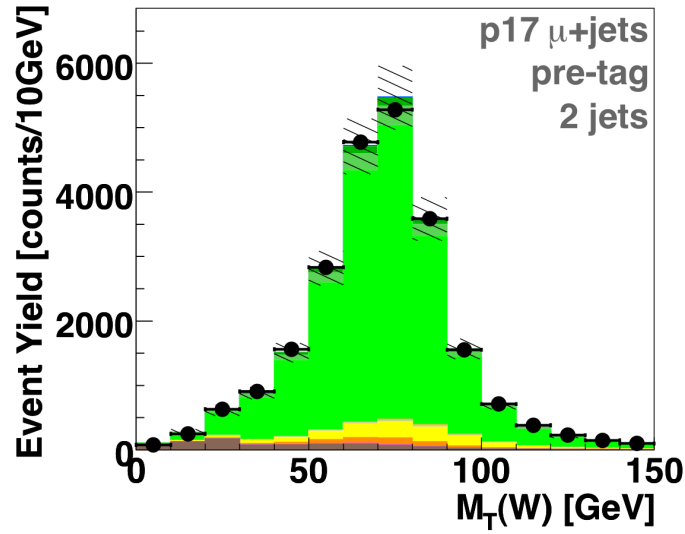
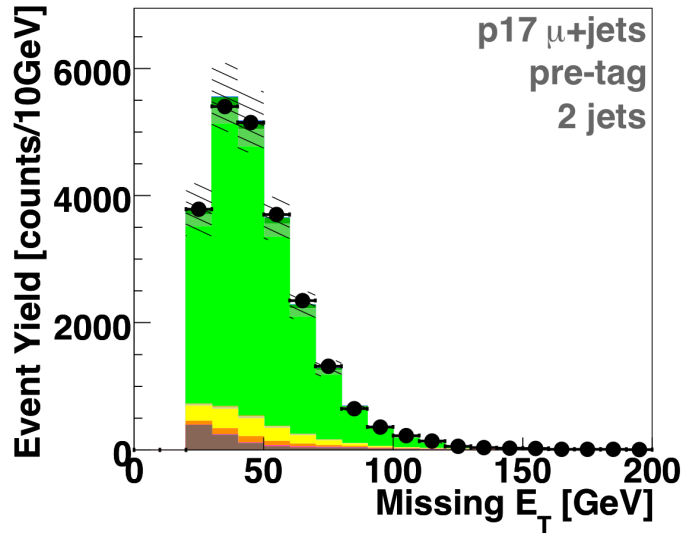
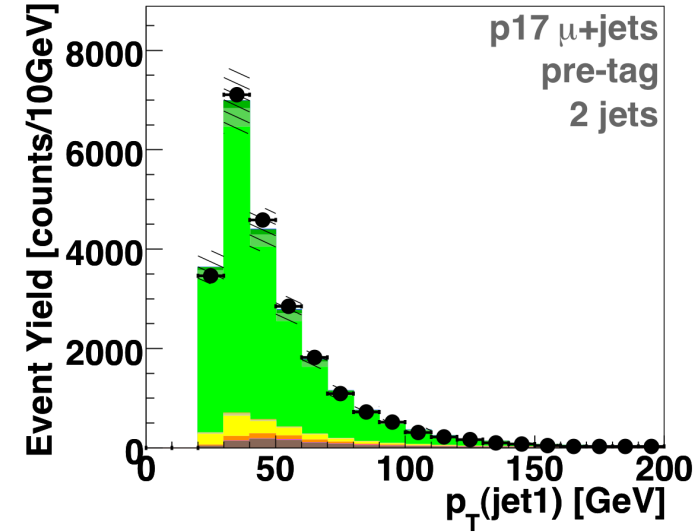
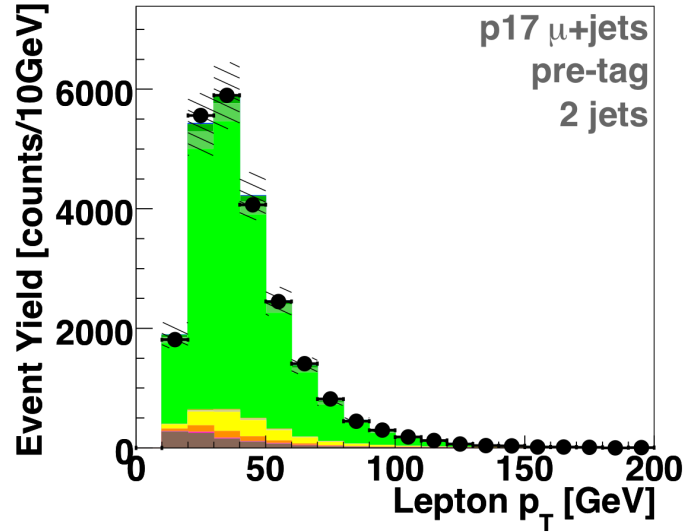
- Single top has still not been observed
- DØ is not just adding data to observe single top but great improvements are being achieved in background modeling, b-tagging, discriminant techniques and statistical measurement methods.
- Hopefully new results soon.

Backup slides

Background modeling

Run IIa, μ +jets, 2 jet bin

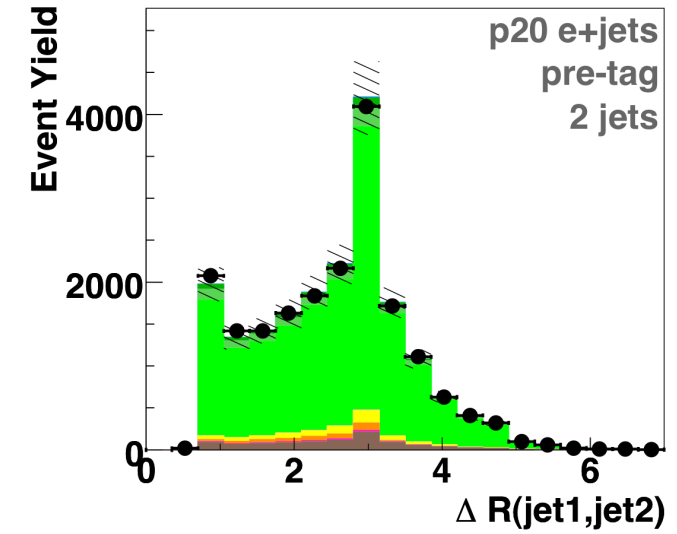
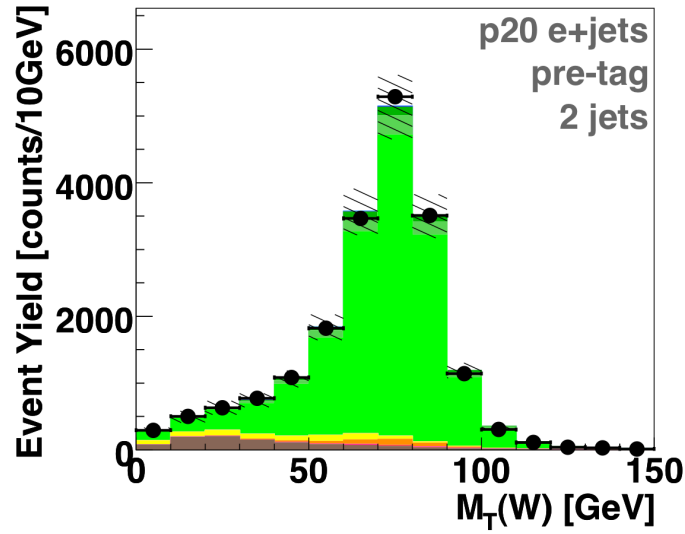
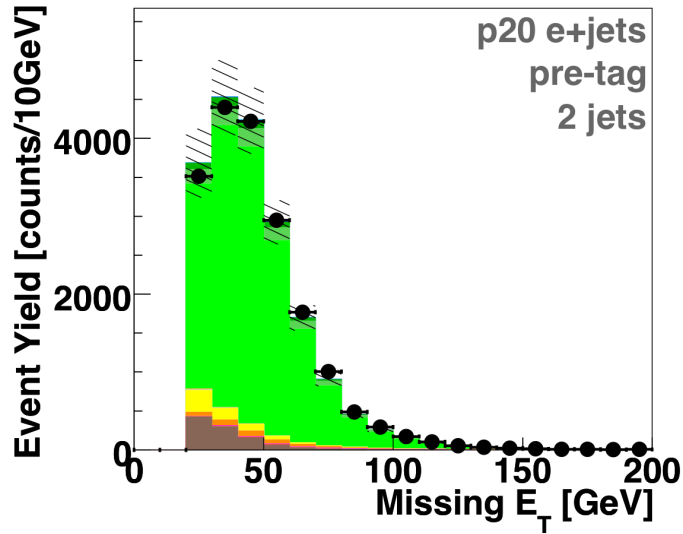
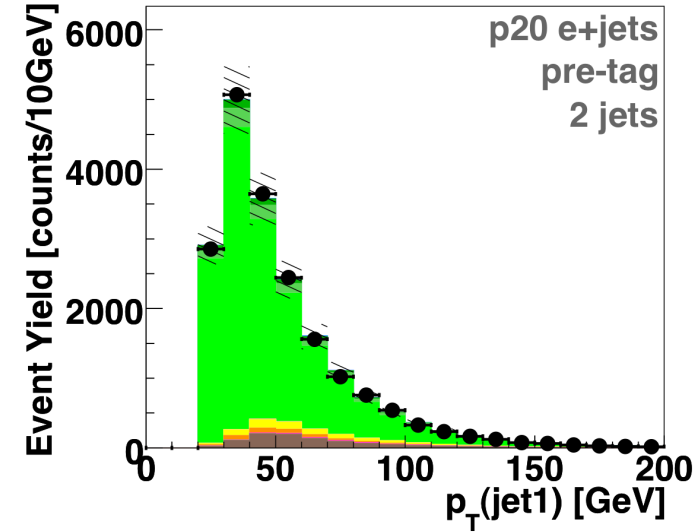
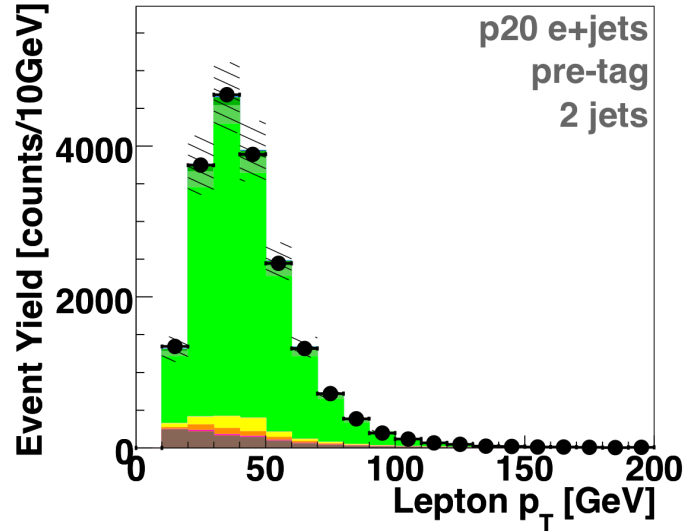
- Data
- Multijet
- W+light jets
- W+cc+light jets
- W+bb+light jets
- Z+light jets
- Z+cc+light jets
- Z+bb+light jets
- diboson
- $t\bar{t} \rightarrow \text{lepton} + \text{jets}$
- $t\bar{t} \rightarrow \text{dilepton}$
- signal t-channel (tqb)
- signal s-channel (tb)



Background modeling

Run IIb, e+jets, 2 jet bin

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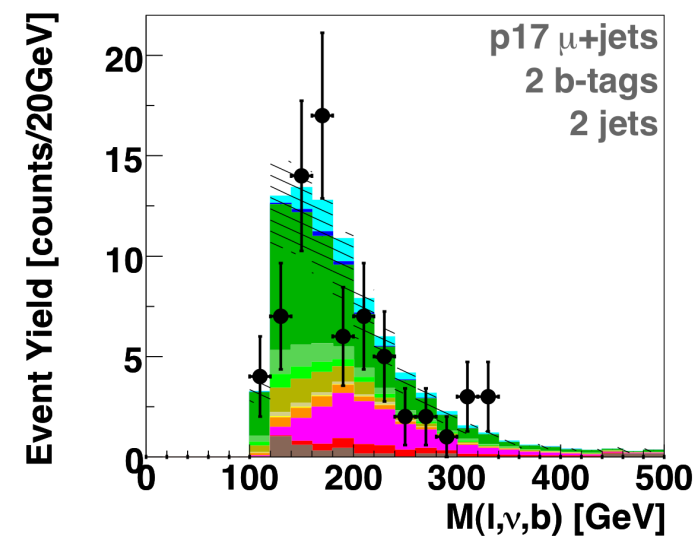
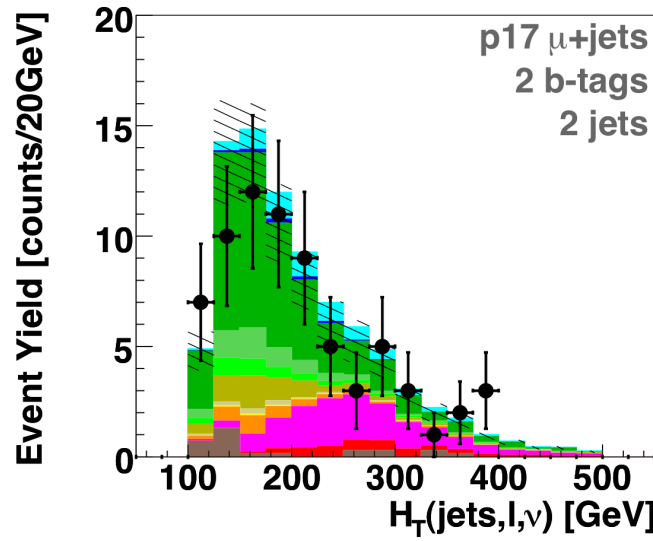
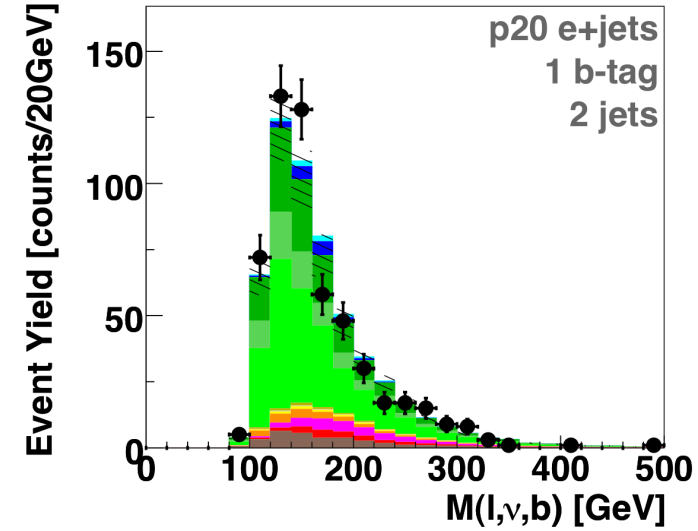
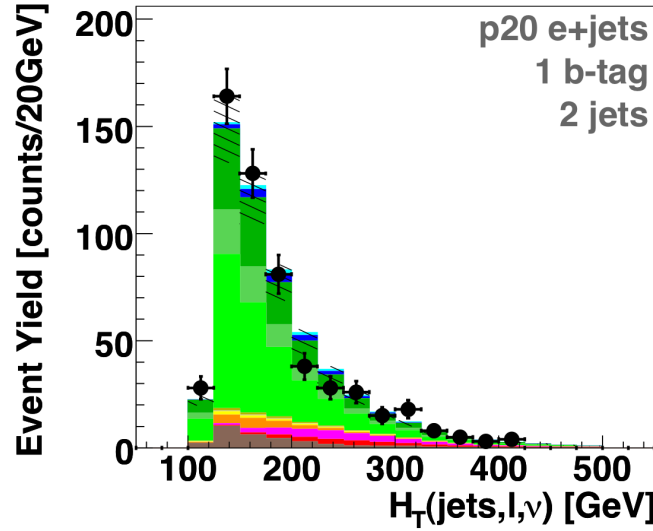


Background modeling

RunIIb, e+jets, 2jets, 1tag

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RunIIa, μ +jets, 2jets, 2tags

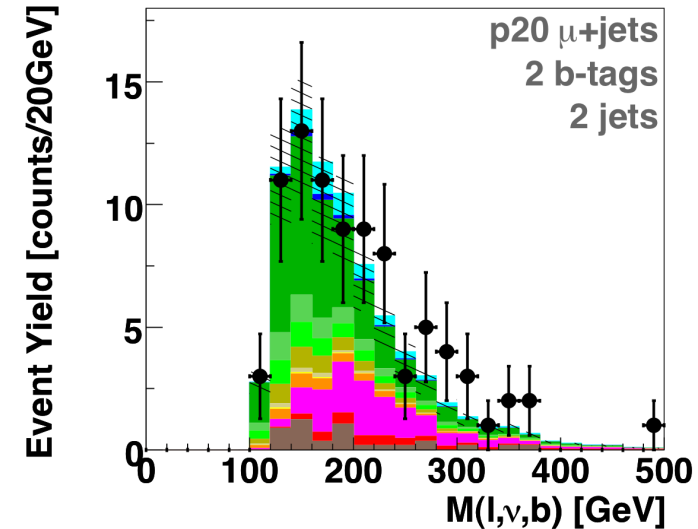
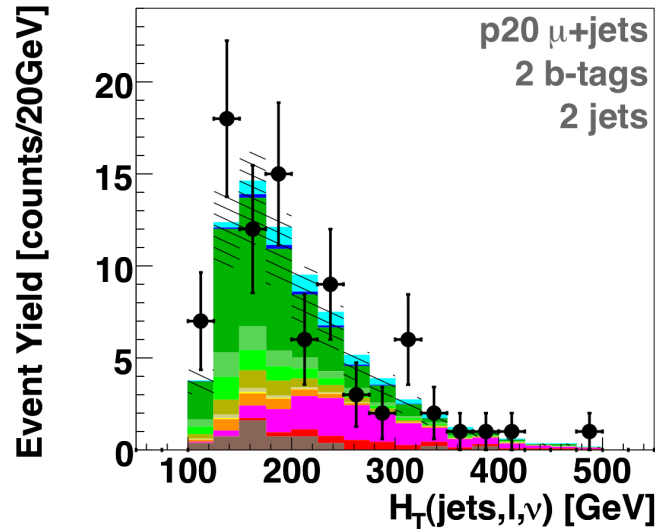
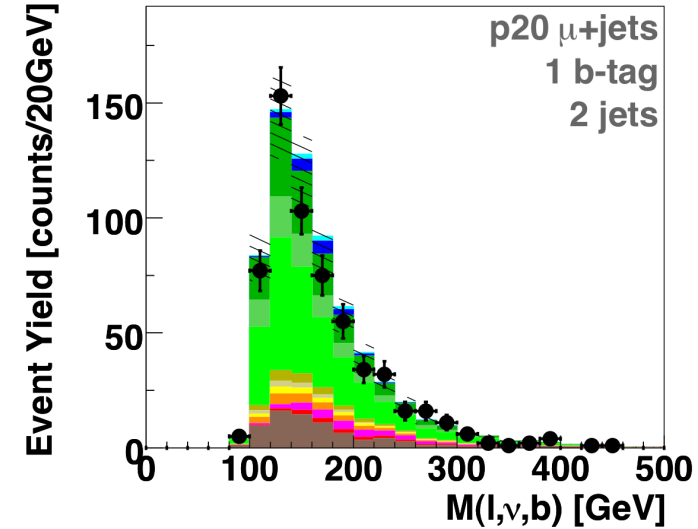
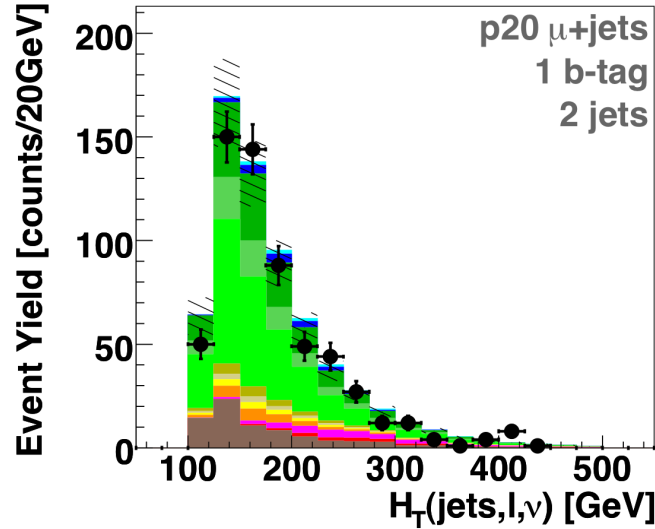


Background modeling

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Background modeling

RunIIa, e+jets, 2jets, 1tag

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RunIIa, e+jets, 2jets, 2tags

