

# Gaussian Beams

Last time, finished Fourier optics

Lots of interesting applications

Next topic: interference and coherence

emphasis on applications

Today, discuss laser beams

Typically use lasers for interference applications

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Outline:

- Recall solution
- Properties
- Beams and optical systems

None of this in Hecht

See Saleh and Teich, chapter 3

Next time: interferometers

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# The Gaussian Beam

Actually derived already:

Lecture 15, slides 32–38

Start with Gaussian field at  $z = 0$

$$E(x, y, 0) = E_0 e^{-(x^2+y^2)/w_0^2}$$

Use Fresnel approximation to get field at all  $z$ :

$$E(x, y, z) = E_0 \frac{w_0^2}{Q^2} e^{ikz} e^{(x^2+y^2)/Q^2}$$

for  $Q^2 = w_0^2 + i\frac{2z}{k}$

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Conform to conventional notation,  
change definition of  $Q$ :

Define  $q = z - i\frac{kw_0^2}{2}$   $\left( = -i\frac{k}{2} \times Q^2 \right)$

Then

$$E(x, y, z) = -iE_0 \left( \frac{\pi w_0^2}{\lambda q} \right) e^{ikz} e^{ik(x^2+y^2)/2q}$$

Mathematically equivalent

Spend today exploring solution

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Helpful to define

$$z_0 = \frac{kw_0^2}{2} = \frac{\pi w_0^2}{\lambda}$$

$\equiv$  Rayleigh length

Will see importance shortly

Convenient:

Have  $q = z - iz_0$  and

$$E(x, y, z) = -iE_0 \frac{z_0}{q} e^{ikz} e^{ik(x^2+y^2)/2q}$$

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## Properties

- Irradiance

$$\begin{aligned} I &= \frac{1}{2\eta_0} |E|^2 \\ &= I_0 \frac{z_0^2}{|q|^2} \left[ e^{ik\rho^2/2q} \times e^{-ik\rho^2/2q^*} \right] \end{aligned}$$

for  $I_0 = |E_0|^2/(2\eta_0)$  and  $\rho^2 = x^2 + y^2$

Total exponent is

$$\frac{ik\rho^2}{2(z - iz_0)} - \frac{ik\rho^2}{2(z + iz_0)} = -\frac{k\rho^2 z_0}{z^2 + z_0^2}$$

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Write

$$I(\rho, z) = I_0 \frac{z_0^2}{z^2 + z_0^2} e^{-2\rho^2/w^2}$$

where  $w = w(z) = \sqrt{\frac{2}{kz_0}(z^2 + z_0^2)}$

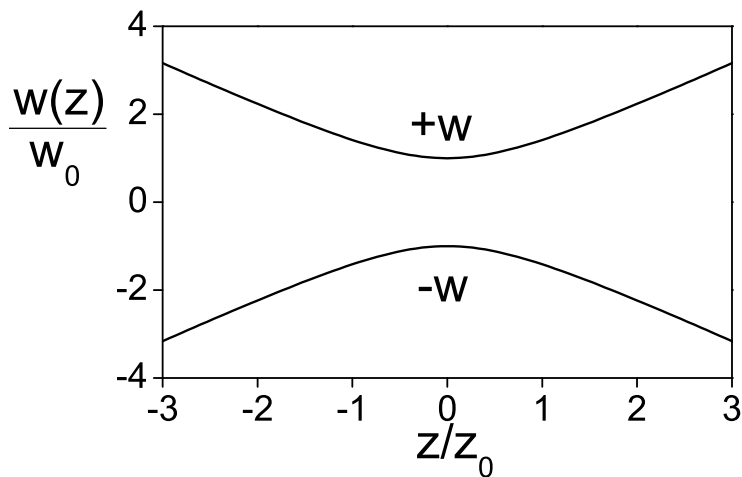
is the *beam width* at position  $z$

Using  $z_0 = kw_0^2/2$ , have

$$w(z) = w_0 \sqrt{1 + \frac{z^2}{z_0^2}}$$

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As beam propagates, width expands



Shows profile of beam as it travels

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Minimum width =  $w_0$  at  $z = 0$

Beam has focus at  $z = 0$

- called *beam waist*

Call  $w_0 =$  waist radius

For  $|z| \ll z_0$ ,  $w(z) \approx w_0$

At  $z = \pm z_0$ , width increased by  $\sqrt{2}$

Rayleigh length  $\approx$  depth of focus

Gives length over which beam stays focused

**Question:** If I double the beam waist, what happens to the Rayleigh length?

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For  $z \gg z_0$ , beam diverges

(Due to diffraction)

At large  $z$

$$w(z) \approx \frac{w_0 z}{z_0} = \frac{\lambda}{\pi w_0} z$$

Divergence angle  $\theta = \lambda/(\pi w_0)$

= minimum possible divergence for spot size  $w_0$

Laser beams spread more slowly than other sources  
but they still spread

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Example:

Suppose  $\lambda = 633 \text{ nm}$ ,  $w_0 = 2 \text{ mm}$

Then  $z_0 = 20 \text{ m}$  and  $\theta = 100 \mu\text{rad}$

Laser beam near focus is collimated  
= not diverging

Strange but true:

only place laser is collimated is at focus

Usually say “collimated” if  $z_0$  large  
“focused” if  $z_0$  small

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- Power

Total power in beam is

$$\begin{aligned} P &= \iint I(x, y) dx dy \\ &= 2\pi \int_0^\infty I(\rho, z) \rho d\rho \end{aligned}$$

Note

$$\begin{aligned} I(\rho, z) &= I_0 \frac{z_0^2}{z^2 + z_0^2} e^{-2\rho^2/w^2} \\ &= I_0 \frac{w_0^2}{w^2} e^{-2\rho^2/w^2} \end{aligned}$$

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$$\text{So } P = \frac{2\pi I_0 w_0^2}{w^2} \int_0^\infty \rho e^{-2\rho^2/w^2} d\rho$$

Change variables  $u = 2\rho^2/w^2$

$$du = \frac{4\rho}{w^2} d\rho$$

$$\text{Then } P = \frac{\pi}{2} I_0 w_0^2 \int_0^\infty e^{-u} du = \frac{\pi}{2} I_0 w_0^2$$

Here  $I_0 = \text{max irradiance at center of focus}$

Usually measure  $P$ , want  $I_0$ :

$$\boxed{I_0 = \frac{2P}{\pi w_0^2}}$$

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Generally

$$I_{\text{max}}(z) = \frac{2P}{\pi w^2}$$

Effective area of beam =  $\pi w^2/2$

- radius  $w/\sqrt{2}$

Want larger radius if passing through aperture:

86% of power in  $\rho < w$

98% of power in  $\rho < 2w$

Rule of thumb: make aperture diameter =  $\pi w$

gives 95% transmission

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- Phase

Have

$$E(x, y, z) = E_0 \left( \frac{-iz_0}{q} \right) e^{ikz} e^{ik(x^2+y^2)/2q}$$

with  $q = z - iz_0$

Write  $\frac{1}{q} = \frac{1}{z - iz_0} = \frac{z + iz_0}{z^2 + z_0^2}$

Imaginary part:

$$\frac{z_0}{z^2 + z_0^2} = \frac{w_0^2}{z_0 w^2} = \frac{\lambda}{\pi w^2} = \frac{2}{kw^2}$$

using definitions of  $w$  and  $z_0$

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Real part:

Define  $\frac{z}{z^2 + z_0^2} = \frac{1}{R}$

So  $\frac{1}{q} = \frac{1}{R} + \frac{2i}{kw^2}$

Use in exponent:

$$e^{ik\rho^2/2q} = e^{ik\rho^2/2R} e^{-\rho^2/w^2}$$

Recognize phase and amplitude terms

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Also write prefactor in polar form

$$\begin{aligned}\frac{-iz_0}{z - iz_0} &= \frac{1}{1 + i(z/z_0)} \\ &= \frac{1}{|1 + i(z/z_0)|} e^{-i\zeta}\end{aligned}$$

with

$$\frac{1}{|1 + i(z/z_0)|} = \frac{z_0}{\sqrt{z^2 + z_0^2}} = \frac{w_0}{w}$$

and

$$\tan \zeta = \frac{z}{z_0}$$

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So express

$$E = E_0 \frac{w_0}{w} e^{i\phi} e^{-\rho^2/w^2}$$

with phase

$$\phi(z) = -\zeta(z) + k \left( z + \frac{\rho^2}{2R(z)} \right)$$

Rewrite

$$\phi(z) = -\zeta + k(z - R) + k \left( R + \frac{\rho^2}{2R} \right)$$

Recognize  $R + \rho^2/(2R)$  as expansion of sphere wave

Radius of curvature  $R$

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For  $|z| \gg z_0$ ,  $R \rightarrow z$

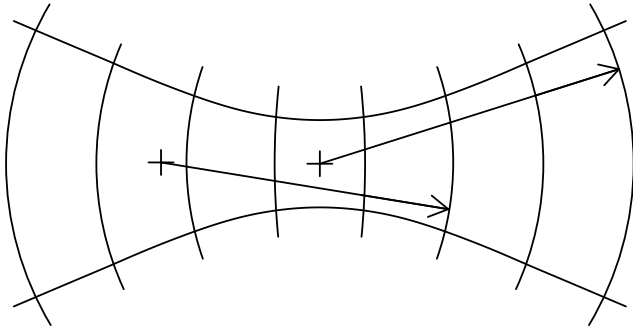
- sphere wave centered at focus

For  $|z| \ll z_0$ ,  $R \rightarrow \infty$

- plane wave

= collimated, as before

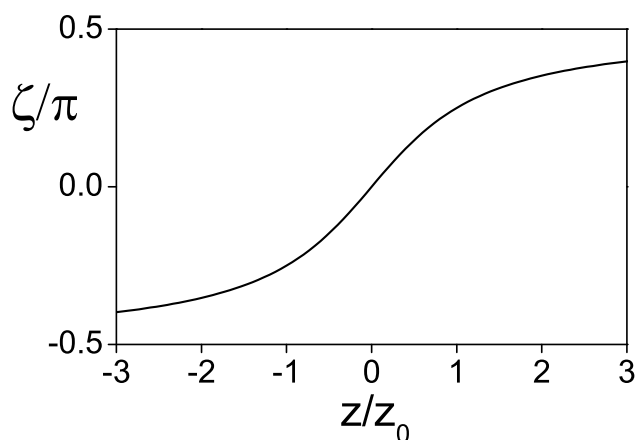
Draw wave fronts:



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On axis  $\rho = 0$  have  $\phi = kz - \zeta(z)$

Like plane wave with extra phase  $\zeta = \tan^{-1}(z/z_0)$   
called "Guoy" phase



180° phase shift through focus  
sometimes important

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- Complex Radius

At position  $z$ , have  $q = z - iz_0$

In general,  $\text{Re } z = \text{distance to focus}$

$\text{Im } z = \text{Rayleigh length of focus } (\times - 1)$

From  $z_0$  get  $w_0 = \sqrt{\lambda z_0 / \pi}$

So  $q$  specifies beam parameters at focus

**Question:** Suppose that a Gaussian beam is propagating in the  $+z$  direction. At some position, you determine that  $q = 0.3 \text{ m} - i0.05 \text{ m}$ . Where is the focus of the beam relative to your position?

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But

$$\frac{1}{q} = \frac{1}{R} + \frac{i\lambda}{\pi w^2}$$

$R = \text{radius of curvature at } z$

$w = \text{beam width at } z$

So  $1/q$  specifies beam parameters at  $z$

Inverting  $q$ :

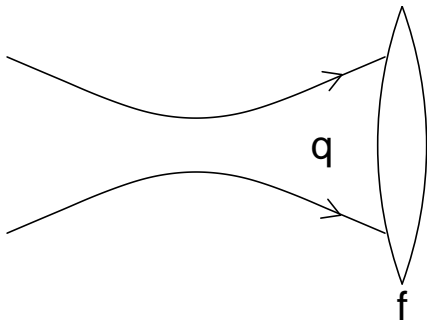
Transforms between “local” properties at  $z$   
and “focal” properties at  $z = 0$

$q$  is useful: called *complex beam radius*

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# Beams and Lenses

What happens when we put Gaussian beam through lens?



Say incident beam has complex radius  $q$

Thin lens, focal length  $f$

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Effect of lens:

Change radius of curvature  $R$

Spherical wave centered at  $z = -s_o$

→ sphere wave centered at  $z = s_i$

$$\text{with } \frac{1}{s_o} + \frac{1}{s_i} = \frac{1}{f}$$

Here  $s_o = R_{\text{in}}$

$$s_i = -R_{\text{out}}$$

So

$$\frac{1}{R_{\text{out}}} = \frac{1}{R_{\text{in}}} - \frac{1}{f}$$

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Beam width doesn't change

$$\begin{aligned}\text{So } \frac{1}{q_{\text{out}}} &= \frac{1}{R_{\text{out}}} + i \frac{\lambda}{\pi w^2} \\ &= \frac{1}{R_{\text{out}}} - \frac{1}{f} + i \frac{\lambda}{\pi w^2}\end{aligned}$$

$$\boxed{\frac{1}{q_{\text{out}}} = \frac{1}{q_{\text{in}}} - \frac{1}{f}}$$

This is transformation law for Gaussian beam

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**Example:** Suppose a Gaussian beam with  $\lambda = 633 \text{ nm}$  has a focus with spot size  $w_0 = 75 \text{ }\mu\text{m}$ . A lens with  $f = 25 \text{ mm}$  is placed a distance 50 mm after the focus. At what position is the light refocused after the lens, and what beam waist is obtained?

**Solution:**

Incident beam has  $q = z - iz_0$  for  $z = 50 \text{ mm}$  and  $z_0 = \pi w_0^2 / \lambda = 28 \text{ mm}$ . So

$$\frac{1}{q_{\text{in}}} = \frac{1}{50 - 28i} = 0.0152 + i0.0085 \text{ mm}^{-1}$$

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Then

$$\frac{1}{q_{\text{out}}} = \frac{1}{q_{\text{in}}} - \frac{1}{f} = -0.0248 + i0.0085 \text{ mm}^{-1}$$

Invert to get  $q_{\text{out}} = -36 - i12.4 \text{ mm}$ .

So light is refocused 36 mm after lens.

Beam waist  $w_0 = \sqrt{\lambda z_0 / \pi} = 50 \mu\text{m}$ .

Note ray optics predicts focus at

$$\frac{1}{s_i} = \frac{1}{f} - \frac{1}{s_o} = \frac{1}{25} - \frac{1}{50} = \frac{1}{50} \text{ mm}^{-1}$$

which is incorrect

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## Beams and Ray Matrices

General optical system described by ray matrix

$$\mathcal{M} = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$$

Transforms ray vector

$$\mathbf{v} = \begin{bmatrix} n\alpha \\ y \end{bmatrix}$$

with  $\mathbf{v}_{\text{out}} = \mathcal{M}\mathbf{v}_{\text{in}}$

Useful for thick lenses, multilens systems

Relate to Gaussian beams

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Recall  $\mathcal{M}$  composed of two elements:

- Refraction matrix

$$\mathcal{R} = \begin{bmatrix} 1 & -\mathcal{D} \\ 0 & 1 \end{bmatrix}$$

where  $\mathcal{D}$  = refractive power of element

For thin lens  $\mathcal{D} = 1/f$

- Transfer matrix

$$\mathcal{T} = \begin{bmatrix} 1 & 0 \\ d/n & 1 \end{bmatrix}$$

$d$  = propagation distance,  $n$  = index

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Get effect of elements on Gaussian beam

Refraction: for lens have

$$\frac{1}{q_{\text{out}}} = \frac{1}{q_{\text{in}}} - \frac{1}{f}$$

Generally get

$$\frac{1}{q_{\text{out}}} = \frac{1}{q_{\text{in}}} - \mathcal{D} = \frac{1}{q_{\text{in}}} + B$$

where  $B$  = element of  $\mathcal{R}$  matrix

So

$$q_{\text{out}} = \frac{1}{1/q_{\text{in}} + B} = \frac{q_{\text{in}}}{1 + Bq_{\text{in}}}$$

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Free propagation:

$$\text{Have } q = z - iz_0$$

Free propagation just changes  $z$ :

$$q_{\text{out}} = q_{\text{in}} + d$$

Modified in medium: wavelength is different

Define  $\lambda, k =$  values in vacuum

$$\lambda', k' = \text{values in medium}$$

$$\text{So } \lambda' = \lambda/n \text{ and } k' = nk$$

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Then in medium have  $z'_0 = \pi w_0^2 / \lambda' = nz_0$   
and  $q' = z - iz'_0$

Field evolves as

$$\begin{aligned} E(z) &= -iE_0 \frac{z'_0}{q'} e^{ik'z} e^{ik'\rho^2/2q'} \\ &= -iE_0 \frac{nz_0}{z - inz_0} e^{ik'z} \exp \left[ \frac{ink\rho^2}{2(z - inz_0)} \right] \\ &= -iE_0 \frac{z_0}{z/n - iz_0} e^{ik'z} \exp \left[ \frac{ik\rho^2}{2(z/n - iz_0)} \right] \\ &= -iE_0 \frac{z_0}{q} e^{ik'z} e^{ik\rho^2/2q} \end{aligned}$$

for  $q = z/n - iz_0$

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Ignoring overall phase, distance  $d$  in medium has

$$q_{\text{out}} = q_{\text{in}} + \frac{d}{n}$$

In terms of ray matrix  $\mathcal{T}$

$$q_{\text{out}} = q_{\text{in}} + C$$

Get effect of both  $\mathcal{R}$  and  $\mathcal{T}$  with

$$q_{\text{out}} = \frac{C + Dq_{\text{in}}}{A + Bq_{\text{in}}}$$

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Formula works for multiple systems too

$$\text{Suppose } \mathcal{M}_1 = \begin{bmatrix} A_1 & B_1 \\ C_1 & D_1 \end{bmatrix} \text{ and } \mathcal{M}_2 = \begin{bmatrix} A_2 & B_2 \\ C_2 & D_2 \end{bmatrix}$$

Then for arbitrary  $q_0$  let

$$q_1 = \frac{C_1 + D_1 q_0}{A_1 + B_1 q_0}$$

( = output of element 1 )

$$q_2 = \frac{C_2 + D_2 q_1}{A_2 + B_2 q_1}$$

( = output of element 2 )

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Substitute for  $q_1$ , find

$$q_2 = \frac{C_T + D_T q_0}{A_T + B_T q_0}$$

for  $(A_T, B_T, C_T, D_T)$  satisfying

$$\begin{bmatrix} A_T & B_T \\ C_T & D_T \end{bmatrix} = \begin{bmatrix} A_2 & B_2 \\ C_2 & D_2 \end{bmatrix} \begin{bmatrix} A_1 & B_1 \\ C_1 & D_1 \end{bmatrix}$$

So  $q_0$  related to  $q_2$  by system matrix

$$\mathcal{M}_T = \mathcal{M}_2 \mathcal{M}_1$$

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Works for any number of elements

For arbitrary system with  $\mathcal{M} = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$

have  $q_{\text{out}} = \frac{C + Dq_{\text{in}}}{A + Bq_{\text{in}}}$

Easy to find Gaussian beam output of any paraxial system

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Important:

Various conventions for  $\mathcal{M}$  and  $\mathbf{v}$

Laser books usually have

$$q_{\text{out}} = \frac{Aq_{\text{in}} + B}{Cq_{\text{in}} + D}$$

Elements of  $\mathcal{M}$  rearranged

Also  $\lambda =$  wavelength in medium  
not wavelength in vacuum

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Summary

- Laser beams  $\approx$  Gaussian beam solution
- Collimated at focus, diverge at  $\infty$
- Rayleigh length  $z_0 =$  depth of focus  
-  $z_0$  small if  $w_0$  small
- Use ray matrices for propagation

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