

1. As usual, start with aperture function.

Here incident wave is

$$\begin{aligned} E_{inc} &= E_0 e^{i(k \cdot r - \omega t)} \\ &= E_0 e^{i(k_z \cos \theta + k_x \sin \theta - \omega t)} \\ &\approx E_0 e^{i(k_z + k_\theta x - \omega t)} \end{aligned}$$

At  $z=0$  (plane of aperture), and dropping  $e^{-i\omega t}$  get  $E(x, y, 0) = E_0 e^{ik_\theta x}$

So aperture function is

$$A(x, y) = \begin{cases} E_0 e^{ik_\theta x} & (|x| < \frac{b}{2}, |y| < \frac{L}{2}) \\ 0 & (\text{else}) \end{cases}$$

Need transform  $\mathcal{A}(k_x, k_y)$

Note  $A(x, y) = E_0 e^{ik_\theta x} \times$  pulse function

$$\text{So } \mathcal{A}(k_x, k_y) = E_0 b L \operatorname{sinc} \left[ \frac{(k_x - k_\theta) b}{2} \right] \operatorname{sinc} \left[ \frac{k_y L}{2} \right]$$

Using translation property

Fraunhofer formula gives

$$A_d(x, y) = \frac{C}{\lambda d} A\left(\frac{kx}{d}, \frac{ky}{d}\right)$$

$$\text{for } C = -ie^{ikd} e^{ik(x^2+y^2)/2d}$$

Here

$$A\left(\frac{kx}{d}, \frac{ky}{d}\right) = E_0 b L \operatorname{sinc}\left[\frac{\left(\frac{kx}{d} - k\theta\right)b}{2}\right] \operatorname{sinc}\left[\frac{kyL}{2d}\right]$$

$$= E_0 b L \operatorname{sinc}\left[\frac{k(x-\theta d)b}{2d}\right] \operatorname{sinc}\left[\frac{kyL}{2d}\right]$$

and

$$\boxed{|A_d(x, y)|^2 = \frac{b^2 L^2}{\lambda^2 d^2} |E_0|^2 \operatorname{sinc}^2\left[\frac{k(x-\theta d)b}{2d}\right] \operatorname{sinc}^2\left[\frac{kyL}{2d}\right]}$$

Centered at  $x = \theta d$ ,  $y = 0$

So center travels at angle  $\theta$ ,  
as claimed



2. a) Fresnel, use

$$E(x, y, z) = A_2(x, y) \iint A(x, Y) h(x-X, y-Y) dx dY$$

$$\text{for } h(x, y) = -\frac{i}{\lambda d} e^{ikd} e^{ik(x^2+y^2)/2d}$$

$$A_d = -\frac{i}{\lambda d} e^{ikd} \left\{ \iint \delta(x-a) \delta(y) e^{ik[(x-X)^2 + (y-Y)^2]/2d} dx dY + \iint \delta(x+a) \delta(y) e^{ik[(x-X)^2 + (y-Y)^2]/2d} dx dY \right\}$$

$$= -\frac{i}{\lambda d} e^{ikd} \left\{ e^{ik[(x-a)^2 + y^2]/2d} + e^{ik[(x+a)^2 + y^2]/2d} \right\}$$

$$= -\frac{i}{\lambda d} e^{ikd} e^{ik(x^2+y^2)/2d} \left\{ e^{-ikax/d} + e^{ikax/d} \right\}$$

$$A_d = -\frac{2i}{\lambda d} e^{ikd} e^{ik(x^2+y^2)/2d} \cos\left(\frac{kax}{d}\right)$$

b) Fraunhofer, need  $A(k_x, k_y)$

$$\text{From table, } \delta(x-a) \rightarrow e^{-ik_x a} \quad \delta(y) \rightarrow 1$$

$$\delta(x+a) \rightarrow e^{+ik_x a}$$

$$\text{So } A(k_x, k_y) = e^{ik_x a} + e^{-ik_x a} = 2\cos(k_x a)$$

Then  $A_d(x,y) = -\frac{i}{\lambda d} e^{ikd} e^{ik \frac{(x^2+y^2)}{2d}} \mathcal{A}(\frac{kx}{d}, \frac{ky}{d})$

$$A_d = -\frac{2i}{\lambda d} e^{ikd} e^{ik \frac{(x^2+y^2)}{2d}} \cos\left(\frac{kxa}{d}\right)$$

Same as Fresnel!

Makes sense, if hole size  $\rightarrow 0$ , Fraunhofer valid for any  $d$

3. In case of distant point source is Airy pattern

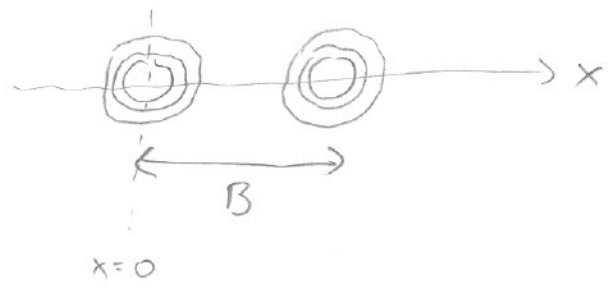
$$A_d(\rho) = C \left[ \frac{2}{k\rho a} J_1(k\rho a) \right]$$

for  $k\rho \rightarrow \frac{k\rho}{f}$   
 $a \rightarrow \frac{D}{2}$

$C = \text{constant, doesn't matter}$

$$A_d(\rho) = \frac{4f}{k\rho D} J_1\left(\frac{k\rho D}{2f}\right)$$

Consider field along line  $y=0$ ,  
 with points displaced along  $x$



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Then  $A_1(x) = \frac{4f}{kxD} J_1\left(\frac{kxD}{2f}\right) = \text{first spot}$

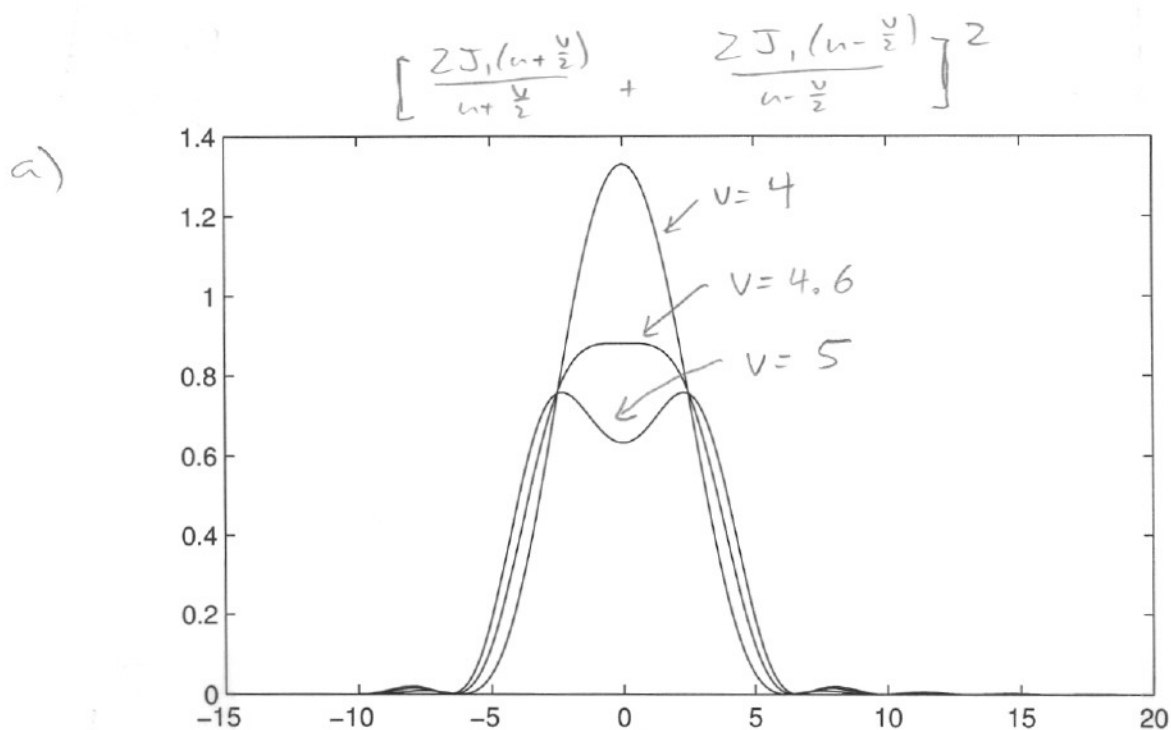
$A_2(x) = \frac{4f}{k(x-B)D} J_1\left[\frac{k(x-B)D}{2f}\right] = \text{second spot}$

For a) total  $I \propto |A_1 + A_2|^2$

b)  $I \propto |A_1|^2 + |A_2|^2$

So plot  $\left| \frac{2J_1(u+\frac{v}{2})}{(u+\frac{v}{2})} + \frac{2J_1(u-\frac{v}{2})}{(u-\frac{v}{2})} \right|^2$  for various  $v$ :

(Make symmetric just so graph is pretty)



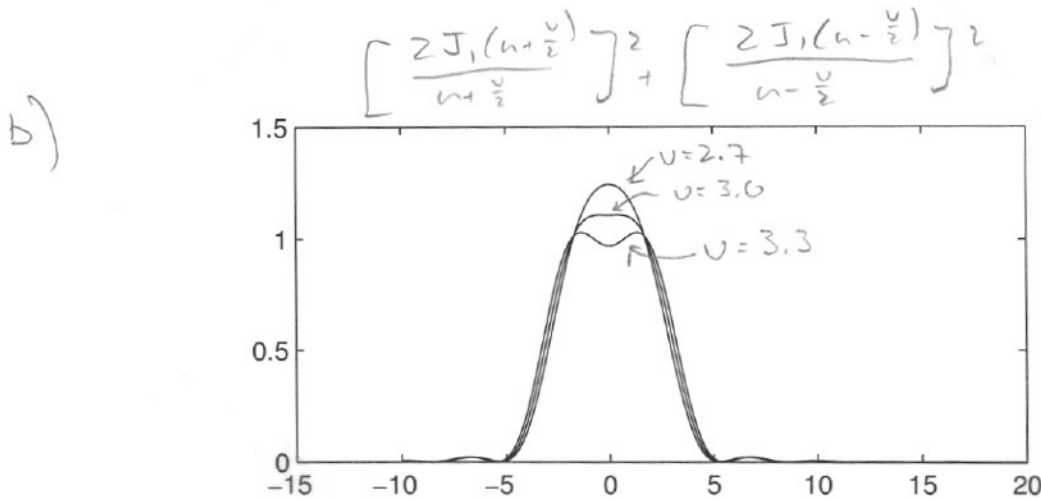
⑥

See that peaks separate at  $u = 4.6$

$$\text{Or } \frac{kBD}{2f} = 4.6$$

$$B = \frac{9.2f}{kD} = \frac{9.2}{2\pi} \frac{\lambda f}{D} = \boxed{1.47 \frac{\lambda f}{D}}$$

Then plot  $\left[ \frac{2J_1(u + \frac{v}{2})}{u + \frac{v}{2}} \right]^2 + \left[ \frac{2J_1(u - \frac{v}{2})}{u - \frac{v}{2}} \right]^2$



Peaks separate at  $u = 3.0$

$$\text{So } \frac{kBD}{2f} = 3.0$$

$$B = \frac{6f}{kD} = \frac{6}{2\pi} \frac{\lambda f}{D} = \boxed{0.96 \frac{\lambda f}{D}}$$

Matlab code:

a)

```

u = [-100.1:200.1]/10;
v = 4.6;
a1 = 2*besselj(1,u+v/2)./(u+v/2);
a2 = 2*besselj(1,(u-v/2))./(u-v/2);
ir = (a1+a2).^2; ←
plot(u,ir);
hold on
v = 4;
a1 = 2*besselj(1,u+v/2)./(u+v/2);
a2 = 2*besselj(1,(u-v/2))./(u-v/2);
ir = (a1+a2).^2;
plot(u,ir);
v = 5;
a1 = 2*besselj(1,u+v/2)./(u+v/2);
a2 = 2*besselj(1,(u-v/2))./(u-v/2);
ir = (a1+a2).^2;
plot(u,ir);

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b)

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u = [-100.1:200.1]/10;
v = 3;
a1 = 2*besselj(1,u+v/2)./(u+v/2);
a2 = 2*besselj(1,(u-v/2))./(u-v/2);
ir = (a1.^2+a2.^2); ←
plot(u,ir);
hold on
v = 3.3;
a1 = 2*besselj(1,u+v/2)./(u+v/2);
a2 = 2*besselj(1,(u-v/2))./(u-v/2);
ir = (a1.^2+a2.^2);
plot(u,ir);
v = 2.7;
a1 = 2*besselj(1,u+v/2)./(u+v/2);
a2 = 2*besselj(1,(u-v/2))./(u-v/2);
ir = (a1.^2+a2.^2);
plot(u,ir);

```

### 4. Fresnel approximation

Use convolution form

$$A_d(x, y) = \iint A(x, Y) h(x-X, y-Y) dX dY$$

$$h = -\frac{i}{\lambda d} e^{ikd} e^{ik \frac{(x^2+y^2)}{2d}}$$

$$\text{Here } A(x, Y) = E_0 \frac{e^{-ik\sqrt{x^2+Y^2+d^2}}}{k\sqrt{x^2+Y^2+d^2}}$$

but in Fresnel approximation, should expand square root

$$\sqrt{d^2+x^2+Y^2} \rightarrow d + \frac{x^2+Y^2}{2d} \text{ in phase}$$

→ d in denominator

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$$\text{So } A(x, Y) \approx E_0 \frac{e^{-ikd} e^{-ik(x^2+Y^2)/2d}}{kd}$$

$$A_d(x, y) = -\frac{i}{2d} e^{ikd} E_0 \frac{e^{-ikd}}{kd} \\ \times \iint e^{-i\frac{k}{2d}(x^2+Y^2)} e^{+i\frac{k}{2d}[(x-X)^2+(y-Y)^2]} dx dY$$

Expand squared terms:

$$= -\frac{i}{2\pi d^2} E_0 e^{i\frac{k}{2d}(x^2+y^2)} \iint e^{-i\left(\frac{k_x}{d}x + \frac{k_y}{d}Y\right)} dx dY$$

Recognize integral as Fourier transform  
of plain circular aperture

Just like what we did in class, could cite  
that result

Go through it again here:

$$\text{Write } k_x = \frac{kx}{d} \quad k_y = \frac{ky}{d}$$

$$X = \rho \cos \phi \quad Y = \rho \sin \phi$$

Do calculation for  $k_y = 0$ , since

$A(k_x, k_y)$  is cylindrically  
symmetric



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Need

$$\int_0^{2\pi} \int_0^{D/2} e^{-ik_x \rho \cos \phi} \rho d\rho d\phi$$

$\phi$  integral gives Bessel function

$$\int_0^{2\pi} e^{-ik_x \rho \cos \phi} d\phi = 2\pi J_0(k_x \rho)$$

Then  $\rho$  integral gives  $J_1$ :

$$2\pi \int_0^{D/2} J_0(k_x \rho) \rho d\rho = \frac{2\pi}{k_x^2} \int_0^{\frac{k_x D}{2}} J_0(u) u du$$

$$= \frac{2\pi}{k_x^2} J_1\left(\frac{k_x D}{2}\right) \frac{k_x D}{2}$$

$$= \frac{\pi D}{k_x} J_1\left(\frac{k_x D}{2}\right)$$

$$\text{So, } A_d(x, y) = -\frac{i}{2\pi d^2} E_0 e^{i \frac{k}{2d}(x^2+y^2)} \frac{\pi D d}{k \rho} J_1\left(\frac{k \rho D}{2d}\right)$$

$$A_d(x, y) = -i \frac{D^2}{4d^2} E_0 e^{i \frac{k}{2d}(x^2+y^2)} \left[ \frac{2d}{k \rho D} J_1\left(\frac{k \rho D}{2d}\right) \right]$$

Just like Fraunhofer result for plane wave incident on lens with  $f=d$ .

5 a) Need transform of 2D array

$$A(x, y) = \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} A_1(x-na, y-ma)$$

$$\text{where } A_1(x, y) = E_0 \quad (|x| < \frac{b}{2}, |y| < \frac{b}{2}) \\ = 0 \quad (\text{else})$$

$$\text{Then } A(k_x, k_y) = \iint A(x, y) e^{-i(k_x x + k_y y)} dx dy \\ = \sum_{n,m} \iint_{-\infty}^{\infty} A_1(x-na, y-ma) e^{-i(k_x x + k_y y)} dx dy$$

$$x' = x - na \Rightarrow x = x' + na$$

$$y' = y - ma \Rightarrow y = y' + ma$$

$$A(k_x, k_y) = \sum_{n,m} e^{-i(k_x na + k_y ma)} \int_{-b/2}^{b/2} \int_{-b/2}^{b/2} E_0 e^{-i(k_x x' + k_y y')} dx' dy'$$

$$= \sum_{n,m} e^{-i(k_x na + k_y ma)} b^2 \operatorname{sinc} \frac{k_x b}{2} \operatorname{sinc} \frac{k_y b}{2}$$

$$= b^2 \operatorname{sinc} \frac{k_x b}{2} \operatorname{sinc} \frac{k_y b}{2} \sum_{n=0}^{N-1} e^{-ik_x na} \sum_{m=0}^{N-1} e^{-ik_y ma}$$

$$= b^2 \operatorname{sinc} \frac{k_x b}{2} \operatorname{sinc} \frac{k_y b}{2} \left( \frac{1 - e^{-ik_x a N}}{1 - e^{-ik_x a}} \right) \left( \frac{1 - e^{-ik_y a N}}{1 - e^{-ik_y a}} \right)$$

Just like in class. So

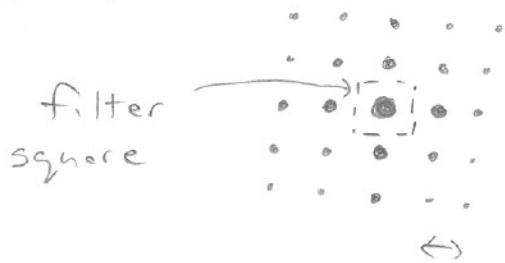
$$A(k_x, k_y) = b^2 e^{-ik_x a \left(\frac{N-1}{2}\right)} e^{-ik_y a \left(\frac{N-1}{2}\right)} \frac{\sin \frac{Nk_x a}{2}}{\sin \frac{k_x a}{2}} \cdot \frac{\sin \frac{Nk_y a}{2}}{\sin \frac{k_y a}{2}} \\ \times \text{sinc}\left(\frac{k_x b}{2}\right) \text{sinc}\left(\frac{k_y b}{2}\right)$$

Diffraction pattern

$$|A_d|^2 = \frac{b^4}{\lambda^2 d^2} \text{sinc}^2\left(\frac{k_x b}{2d}\right) \text{sinc}^2\left(\frac{k_y b}{2d}\right) \\ \times \frac{\sin^2\left(\frac{Nk_x a}{2d}\right) \sin^2\left(\frac{Nk_y a}{2d}\right)}{\sin^2\left(\frac{k_x a}{2d}\right) \sin^2\left(\frac{k_y a}{2d}\right)}$$

b) In focal plane, get pattern  $|A_d|^2$  with  $d \rightarrow f$

Looks like:



$$\text{Spacing} \\ = \frac{2\pi f}{ka} = \frac{\lambda f}{a}$$

$$\text{Total width} \\ \approx \frac{4\pi f}{kb} = \frac{2\lambda f}{b} \\ \text{from sinc functions}$$

To get smooth image, want to block all  
but zero order spot

So want  $\rho \approx \frac{\lambda f}{a}$

Put in numbers:  $\rho = \frac{500\text{nm} \times 100\text{mm}}{0.5\text{mm}}$

$$\rho = 100\mu\text{m} = 0.1\text{mm}$$