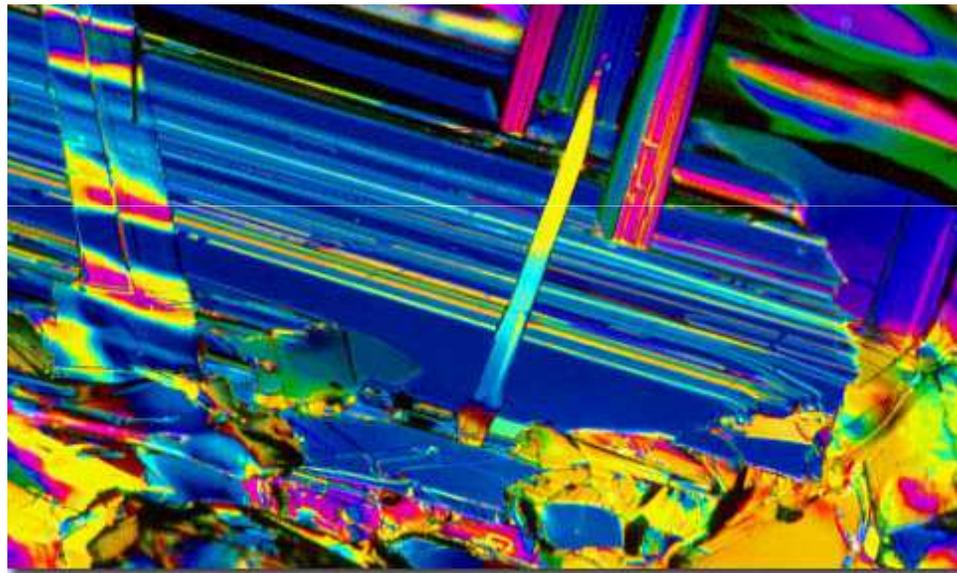


# High Temperature Superconductivity - After 23 years, where are we at?

---

Michael Norman  
Materials Science Division  
Argonne National Laboratory



Norman and Pepin, Rep. Prog. Phys. (2003)  
Norman, Pines, and Kallin, Adv. Phys. (2005)



ANL-MSD

*UVA, April 3, 2009*

It All Started Back in 1986

Z. Phys. B – Condensed Matter 64, 189–193 (1986)



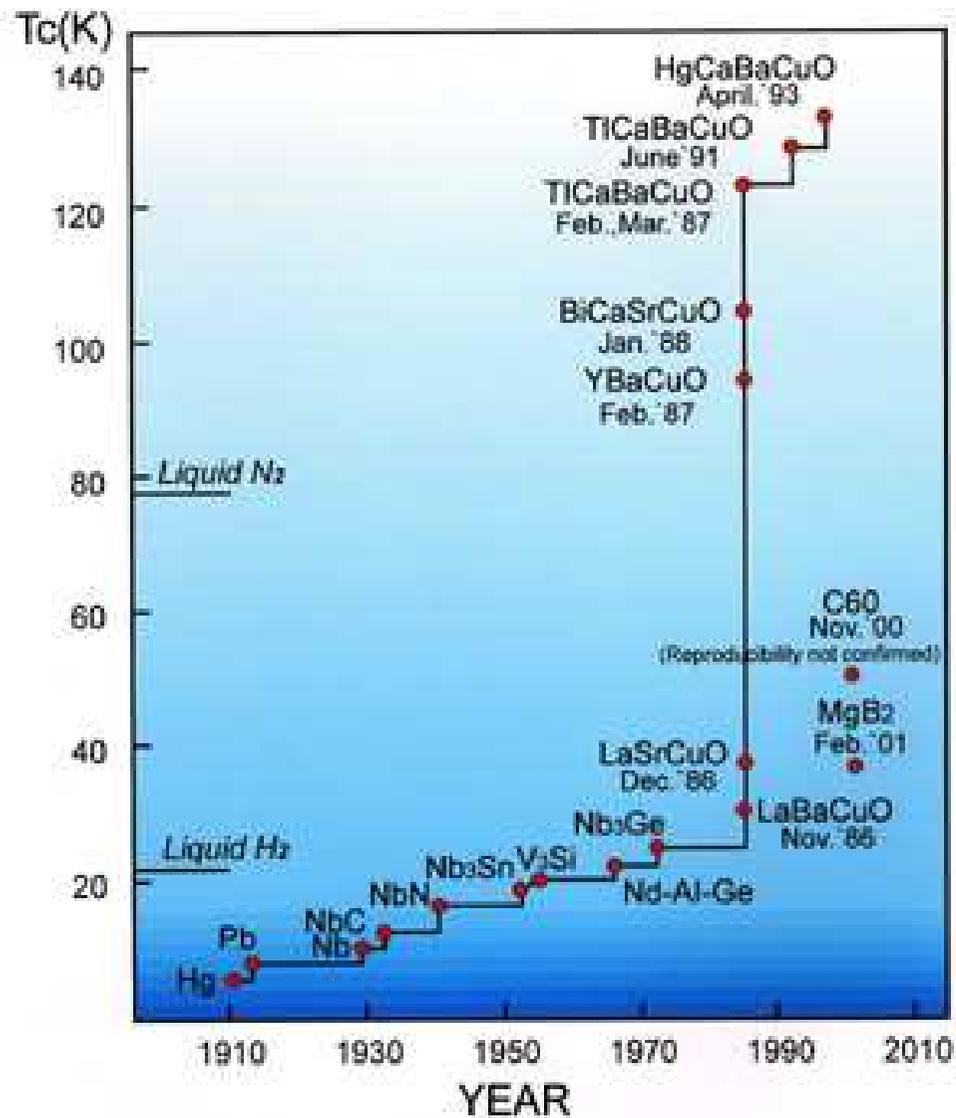
## **Possible High $T_c$ Superconductivity in the Ba – La – Cu – O System**

**J.G. Bednorz and K.A. Müller**

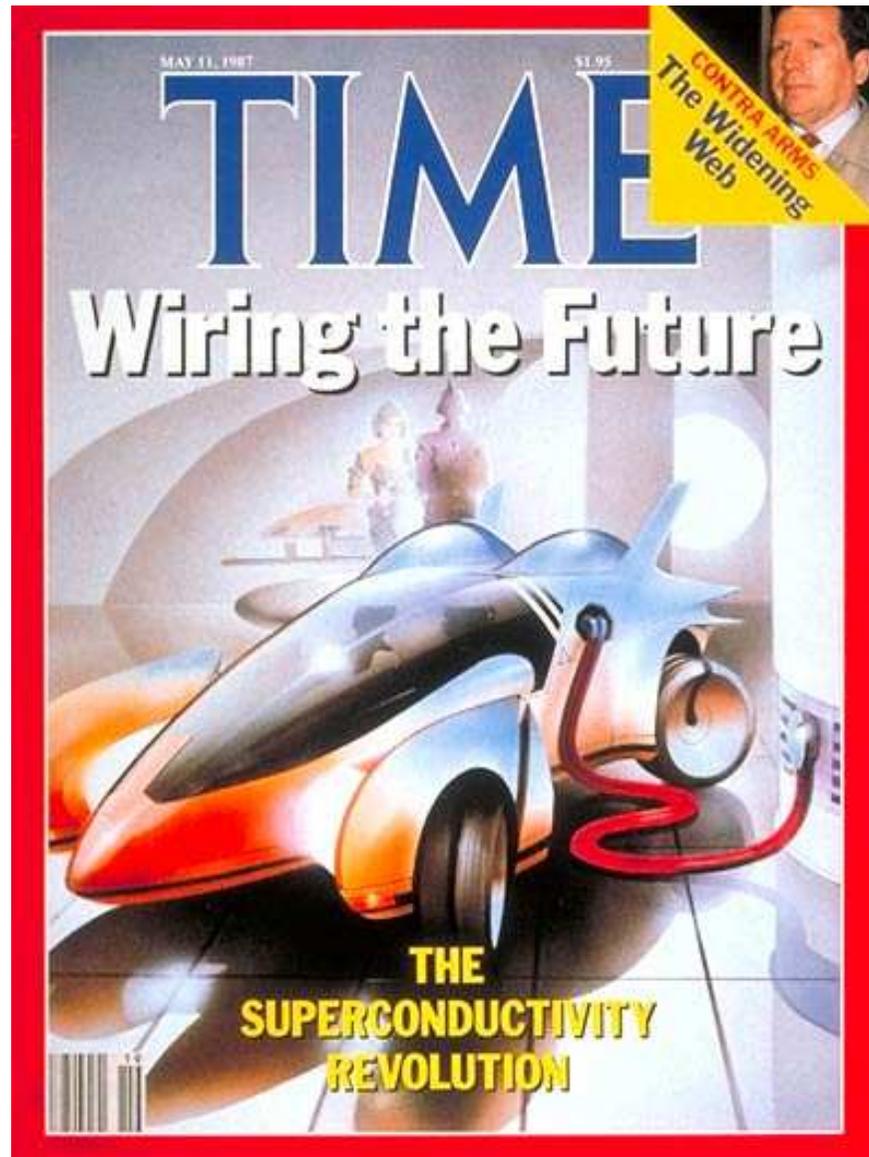
IBM Zürich Research Laboratory, Rüschlikon, Switzerland

Received April 17, 1986

# $T_c$ Shot Up Like a Rock (many cuprates superconduct above 77K)

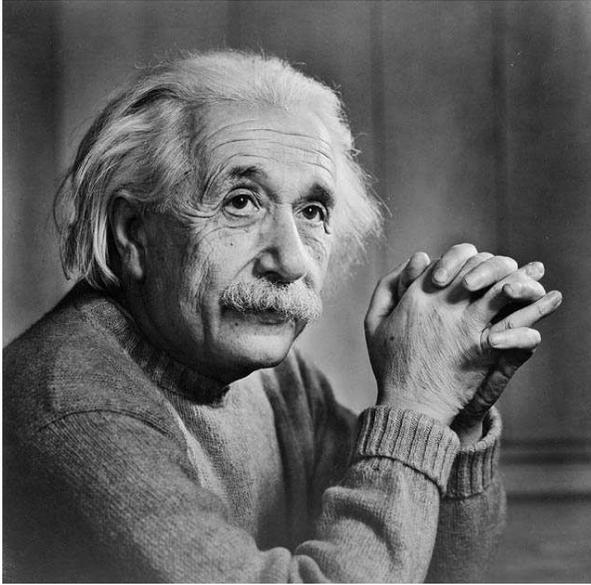


Great Promises Were Made

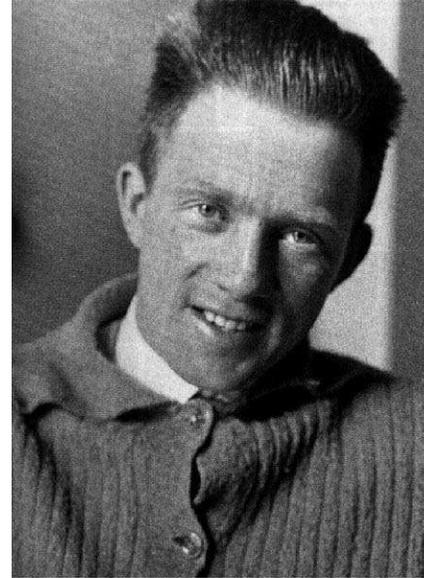


May 11, 1987

# The Path to a Microscopic Theory was Littered with Many Famous Physicists



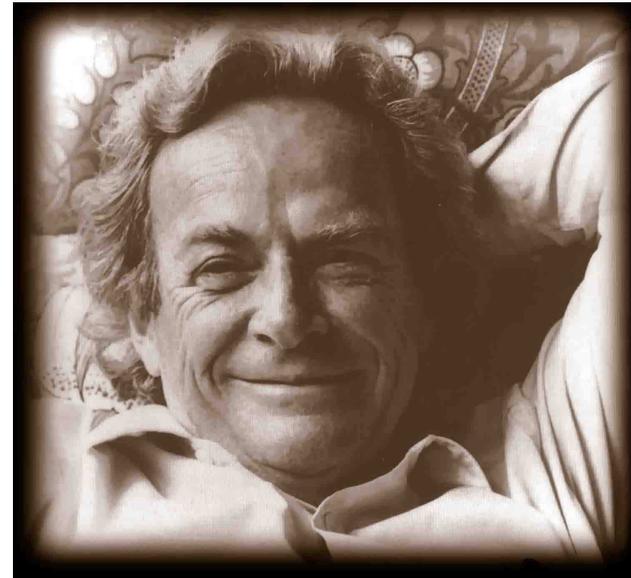
Einstein



Heisenberg



Landau



Feynman

Eventually, Three Guys in Illinois Got It Right  
(Bardeen, Cooper, Schrieffer - 1956, 1957)

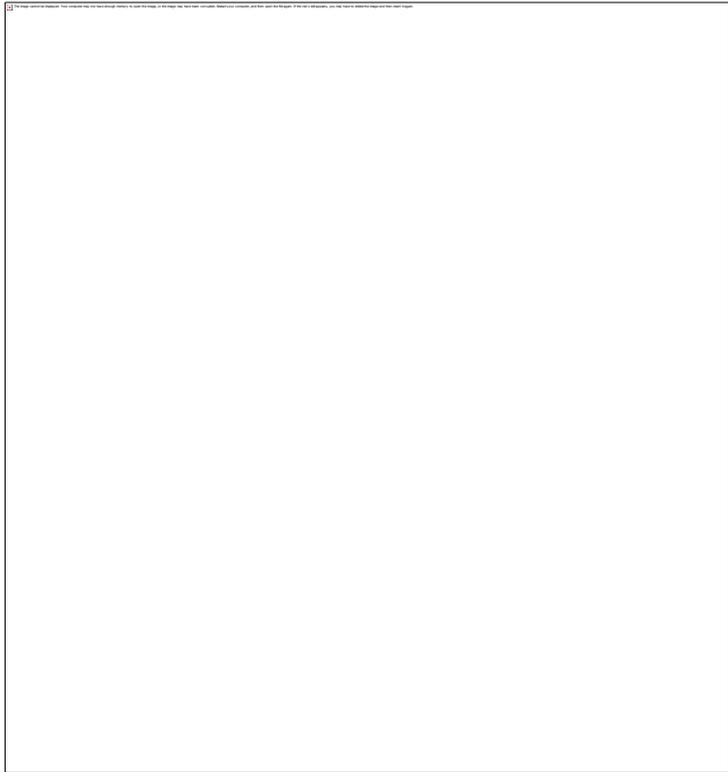


## Rules of B. Matthias for discovering new superconductors

1. high symmetry is best
2. peaks in density of states are good
3. stay away from oxygen
4. stay away from magnetism
5. stay away from insulators
6. stay away from theorists



# Everything You Wanted to Know About Pair Formation (But Were Afraid to Ask)



(the electron-phonon case)

1. 1st  $e^-$  attracts + ions
2. Ions shift position from red to blue
3. 1st  $e^-$  moves away
4. 2nd  $e^-$  sees + ion hole and moves to former position of 1st  $e^-$

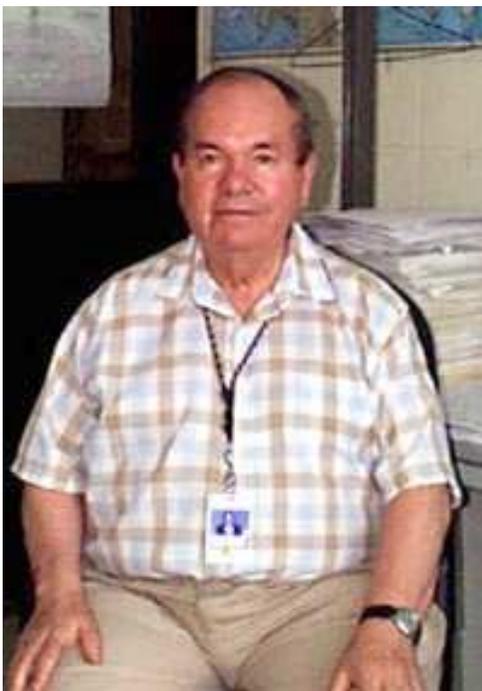
Interaction is local in space  
(s-wave pairs,  $L=0$ ,  $S=0$ )  
but retarded in time  
( $T_c \ll$  Debye frequency)



But cuprates have  
d-wave pairs!  
( $L=2$ ,  $S=0$ )

van Harlingen;  
Tsuei & Kirtley -  
Buckley Prize -1998

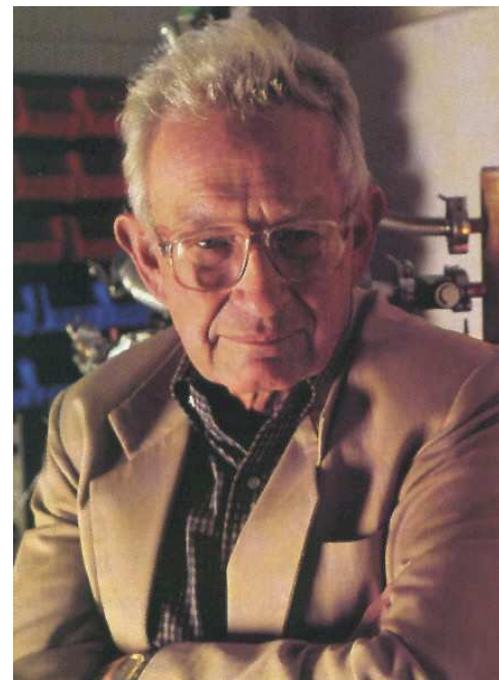
Artwork by  
Gerald Zeldin (2000)



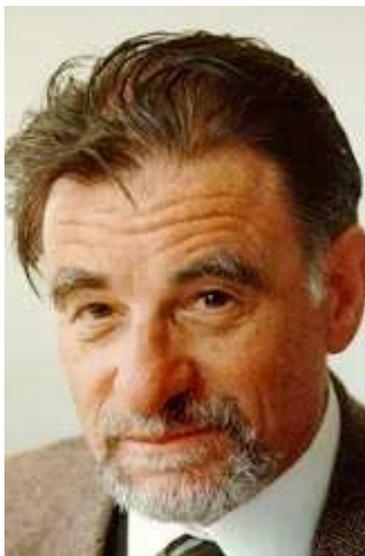
Alexei Abrikosov  
(small  $q$  phonons)



Bob Laughlin  
(competing phases)



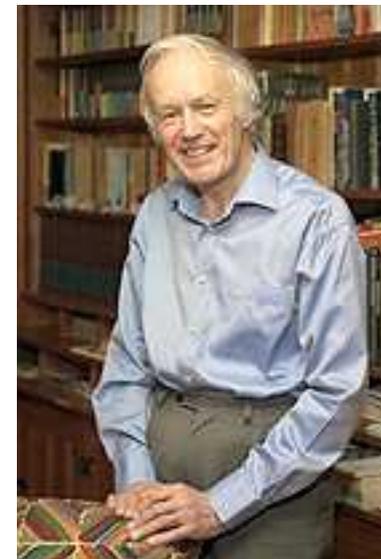
Phil Anderson  
(RVB; interlayer tunneling; RVB)



Karl Mueller  
(bipolarons)



Bob Schrieffer  
(spin bags)



Tony Leggett  
(interlayer Coulomb)

# Theories Connected with High $T_c$ Superconductivity

1. Resonating valence bonds
2. Spin fluctuations
3. Stripes
4. Anisotropic phonons
5. Bipolarons
6. Excitons
7. Kinetic Energy lowering
8. d-density wave
9. Charge fluctuations
10. Flux phases
11. Gossamer superconductivity
12. Spin bags
13. SO(5)
14. BCS/BEC crossover
15. Plasmons
16. Spin liquids

Not to Mention

Interlayer tunneling

Marginal Fermi liquid

van Hove singularities

Quantum critical points

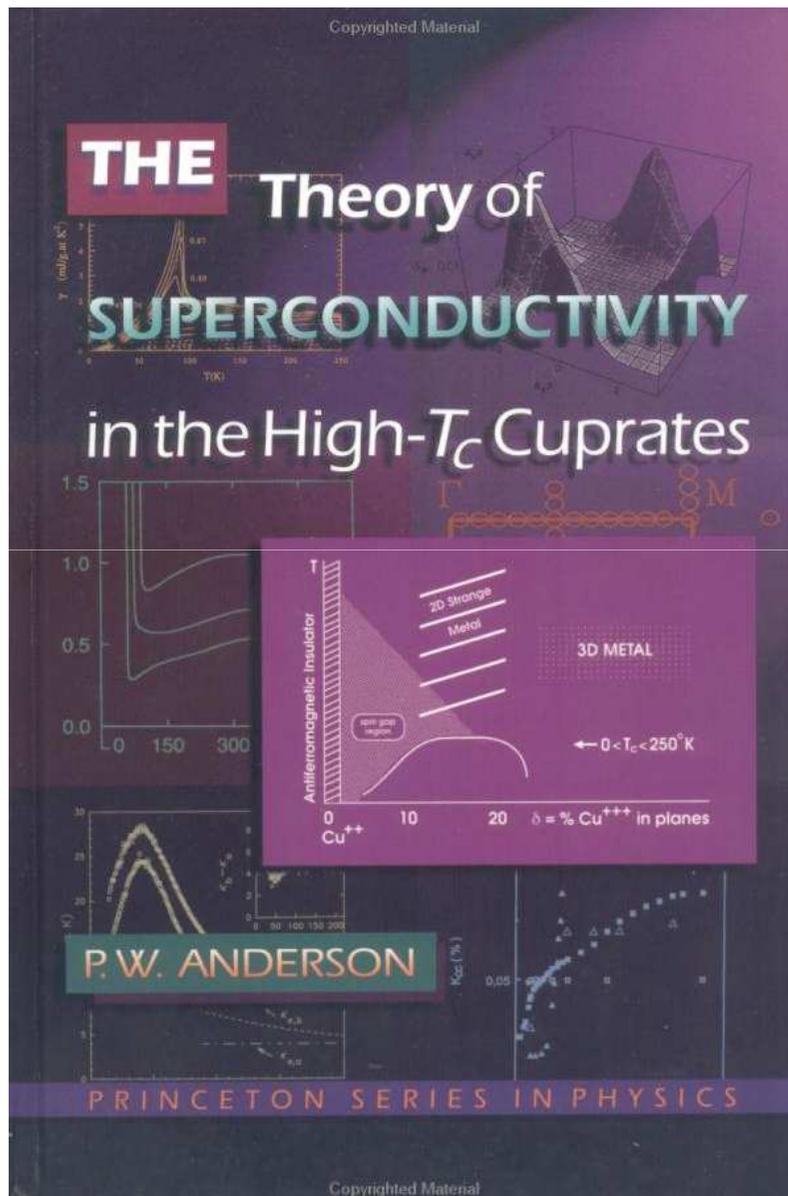
Anyon superconductivity

Slave bosons

Dynamical mean field theory

## Famous Books

## Famous Quotes



### Abrikosov - Nobel Lecture - Dec. 2003

“On this basis I was able to explain most of the experimental data about layered cuprates . . .

As a result I can state that the so called “mystery” of high- $T_c$  superconductivity does not exist.”

Ten Weeks of High  $T_c$   
(to the tune of Twelve Days of Christmas)

On the first week of the program  
Friend Philip said to me  
All simply RVB  
(All sim-pl-ee R-r V B)

On the second week of the program  
Friend Douglas said to me  
Pair in a d-wave  
All simply RVB

On the third week of the program  
Friend David said to me  
It's magnons  
Pair in a d-wave  
All simply RVB

On the fourth week of the program  
Friend Chandra said to me  
Four current rings (fo-or current rings)  
It's magnons  
Pair in a d-wave  
All simply RVB

KITP Web Site  
High  $T_c$  Program - Fall 2000

At the end of the program  
Friend Philip said to me  
Big Tent is stretching  
Visons escaping  
Visons are gapping  
Slave spinons pairing  
T sym-try breaking  
Stripes fluctuating  
S - O - 5  
Four current rings  
It's magnons  
Pair in a d-wave  
All simply RVB

--Ilya Gruzberg  
Smitha Vishveshwara  
Ilya Vekhter  
Aditi Mitra  
Senthil  
Matthew Fisher --

# Why is the High $T_c$ Problem So Hard to Solve?

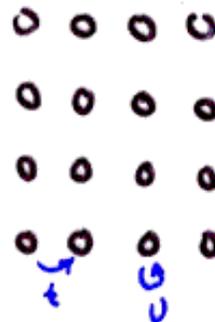
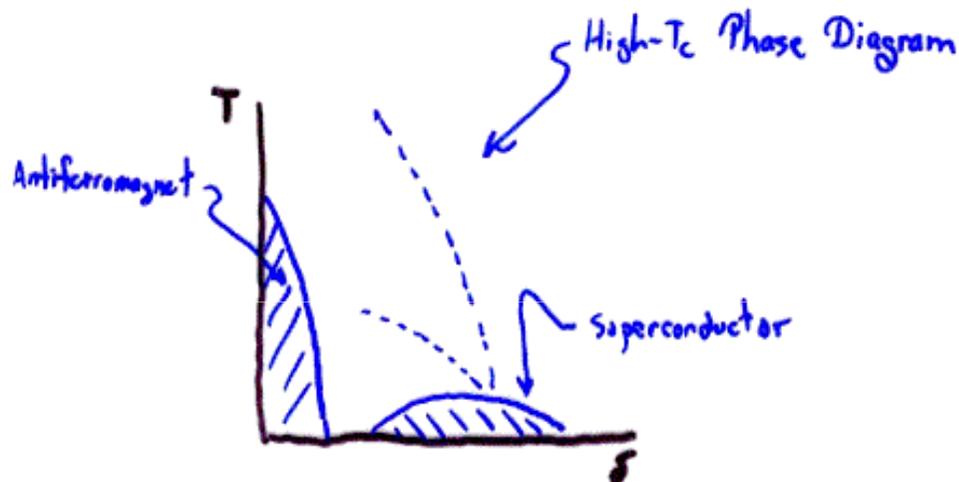
(Laughlin's Lecture for Teachers - KITP, 2000)

## Theory of Everything

$$\mathcal{H} = - \sum_j \frac{\hbar^2}{2m} \nabla_j^2 - \sum_\alpha \frac{\hbar^2}{2M_\alpha} \nabla_\alpha^2 - \sum_{j,\alpha} \frac{Z_\alpha e^2}{|r_j - R_\alpha|} + \sum_{j,k} \frac{e^2}{|r_j - r_k|} + \sum_{\alpha,\beta} \frac{Z_\alpha Z_\beta e^2}{|R_\alpha - R_\beta|}$$

- |                    |                 |                 |
|--------------------|-----------------|-----------------|
| • Hydrogen atom    | • Proteins      | • Flowers       |
| • Methane molecule | • DNA           | • Trees         |
| • Water            | • Viruses       | • Cows          |
| • Air              | • Bacteria      | • Cheese        |
| • Rocks            | • Yeast         | • Sauce Bernais |
| • Concrete         | • Slime mold    | • Computers     |
| • Steel            | • Butterflies   | • Television    |
| • Glass            | • Sharks        | • Cars          |
| • Plastic          | • Rats          | • Jets          |
| • Buildings        | • Lawyers       | • Lawnmowers    |
| • Cities           | • Ebola virus   | • Sewage        |
| • Continents       | • Legislatures  | • Spotted Ouls  |
|                    | • Civilizations | ...             |

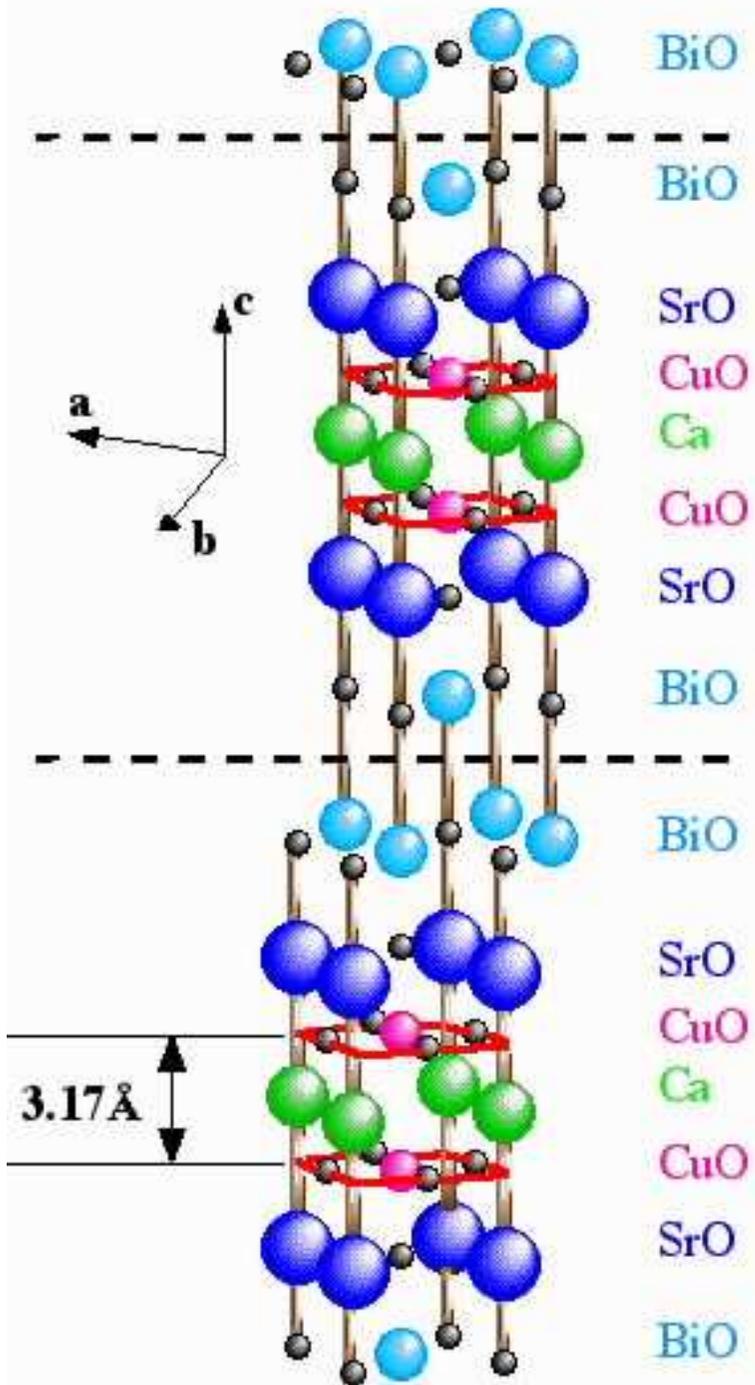
## Unprotected Calculation Fails



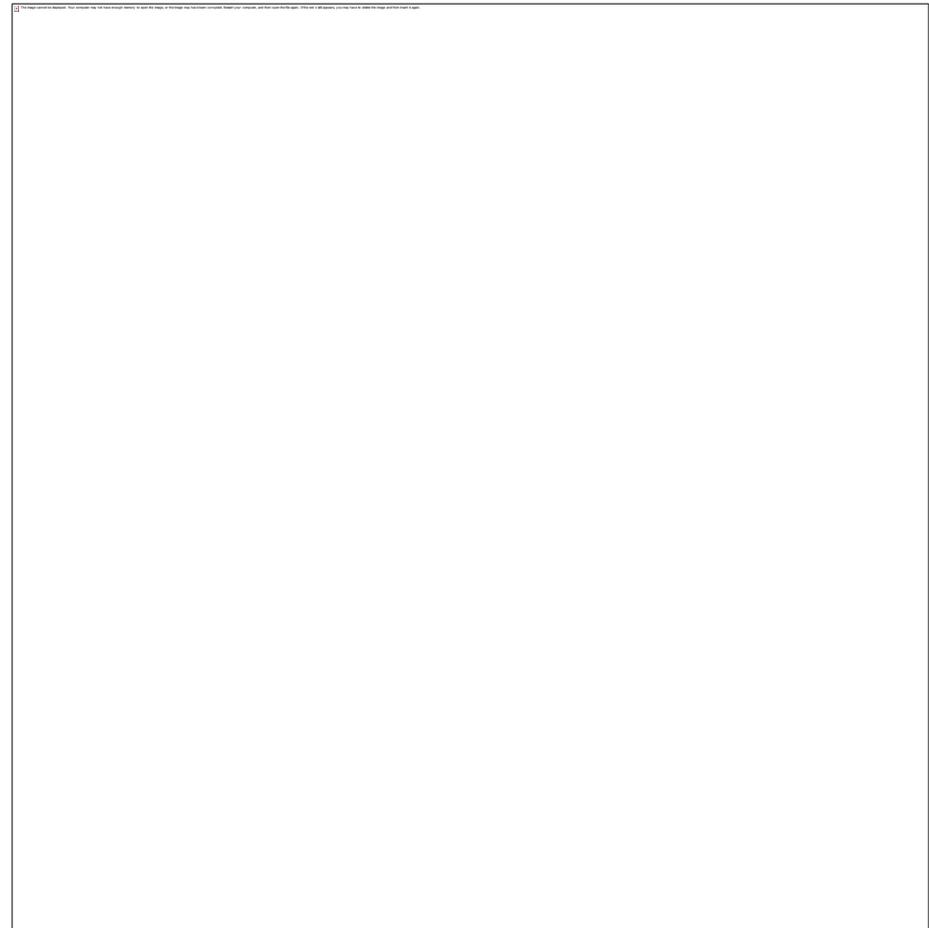
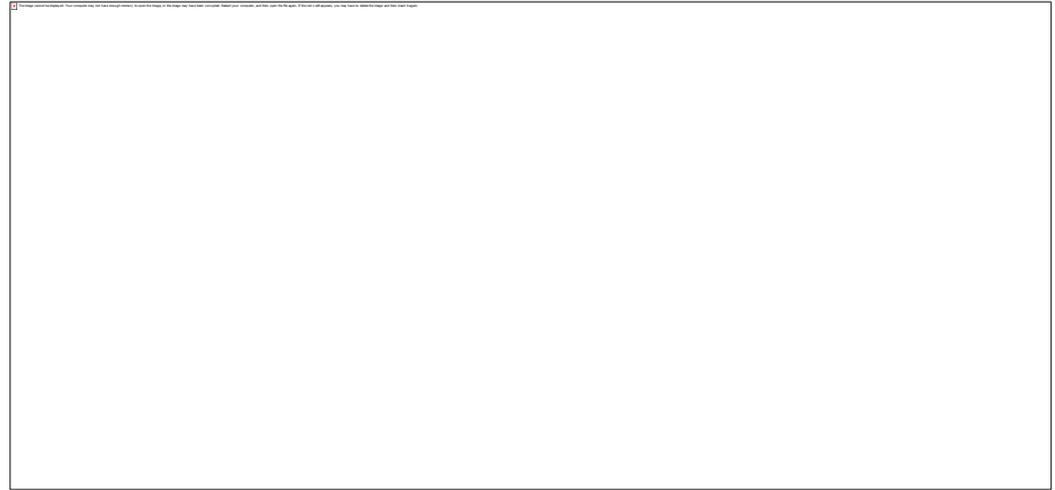
$$\mathcal{H} = t \sum_{\langle ij \rangle} c_{i\uparrow}^\dagger c_{j\uparrow} + U \sum_j n_{i\uparrow} n_{i\downarrow}$$

Doesn't work ↗

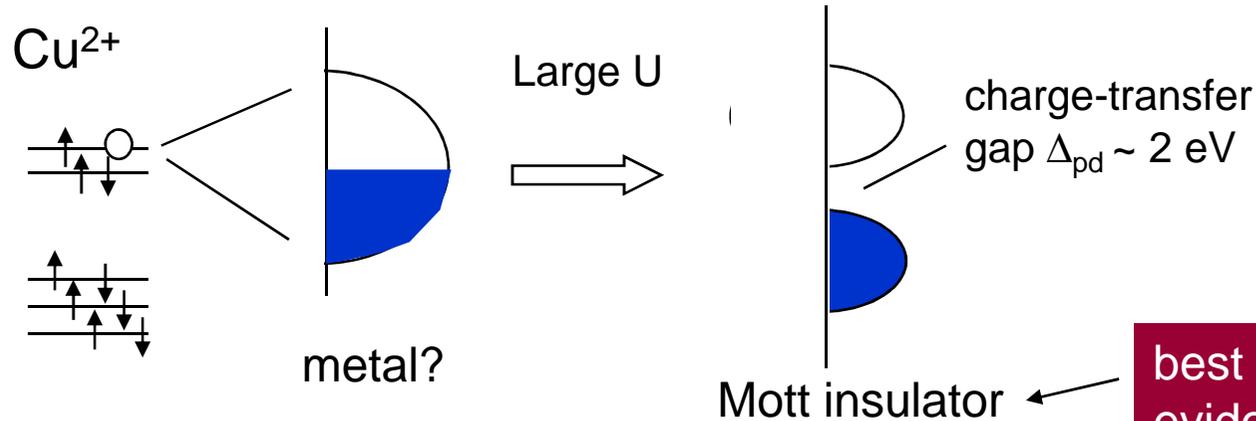
# Bi2212



## Electronic Structure of Cuprates

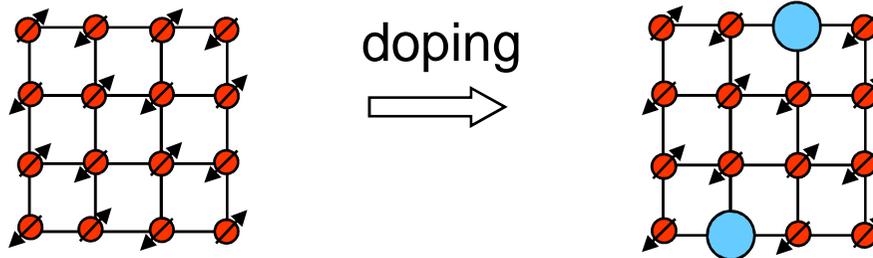


# Short tutorial on cuprates



antiferromagnet  $J \sim 1400$  K

best evidence for large U



$$H = -t \sum_{i,j,\sigma} c_{i\sigma}^+ c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow} \quad \text{Hubbard}$$

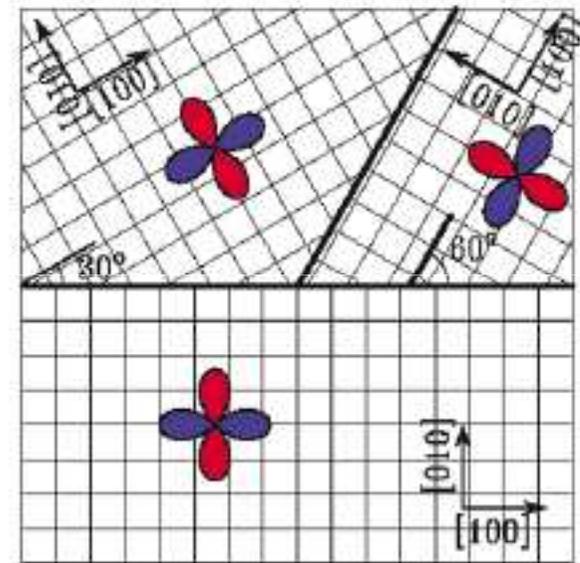
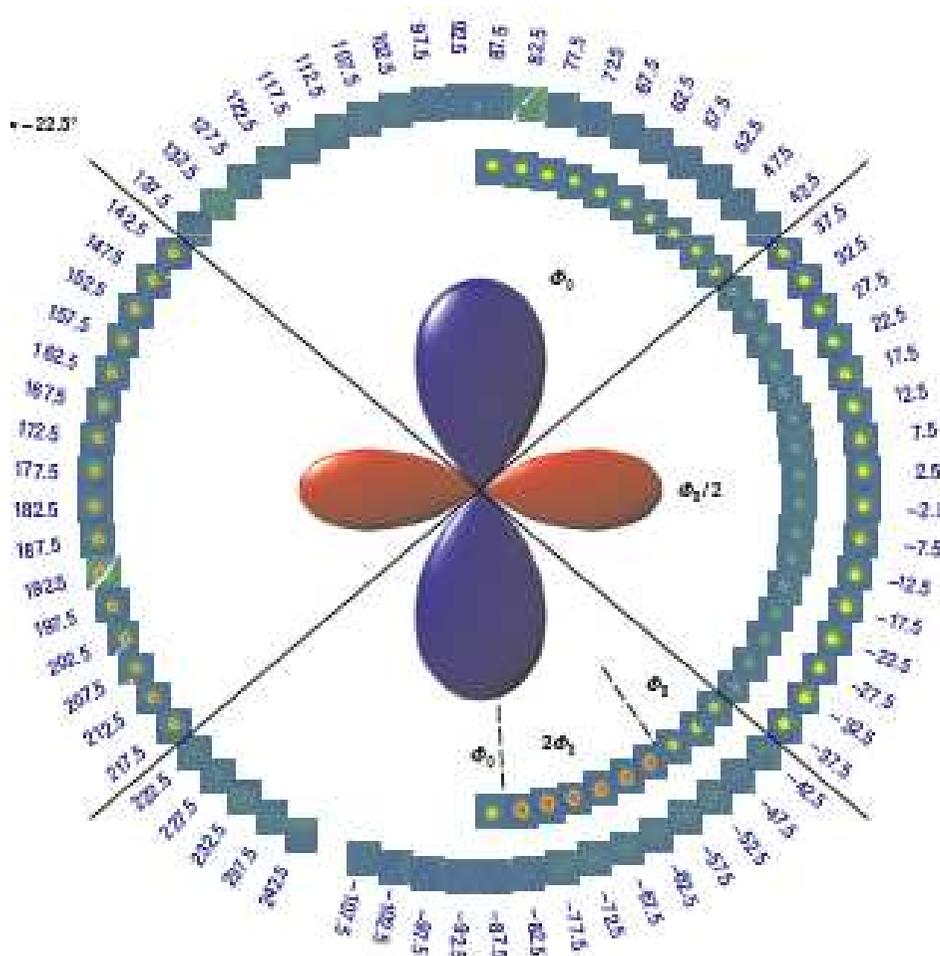
$$t = 0.3 \text{ eV}, \quad U = 2 \text{ eV}, \quad J = 4t^2/U = 0.12 \text{ eV}$$

(slide from Anderson & Ong)

# Phase Diagram of Cuprates

## What We DO Know

1. There are  $2e^-$  pairs
2. The pairs are d-wave ( $L=2, S=0$ )
3. There are “normal” (i.e.,  $2e^-$ ) vortices
4. Quasiparticles exist (but only below  $T_c$ )



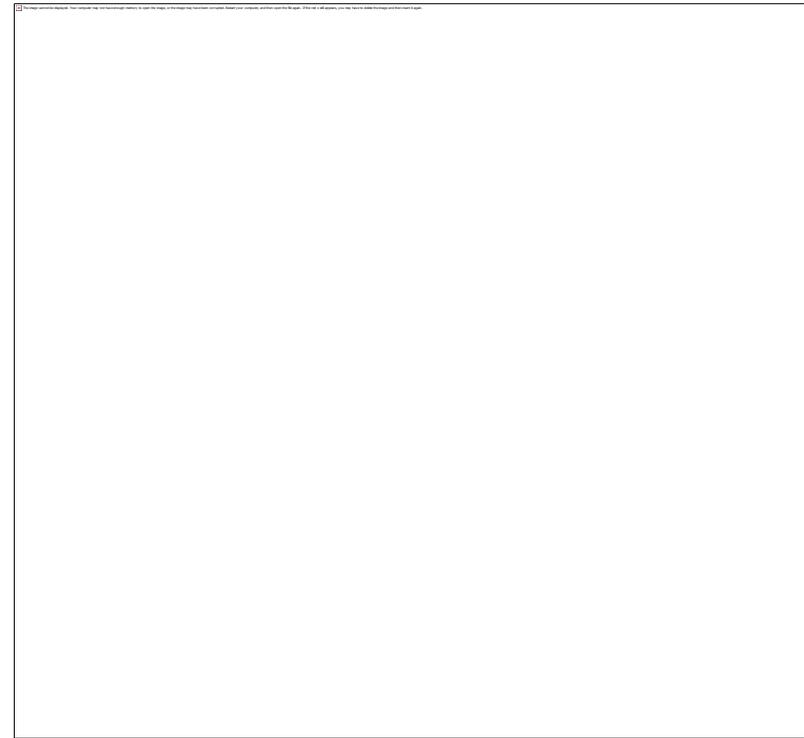
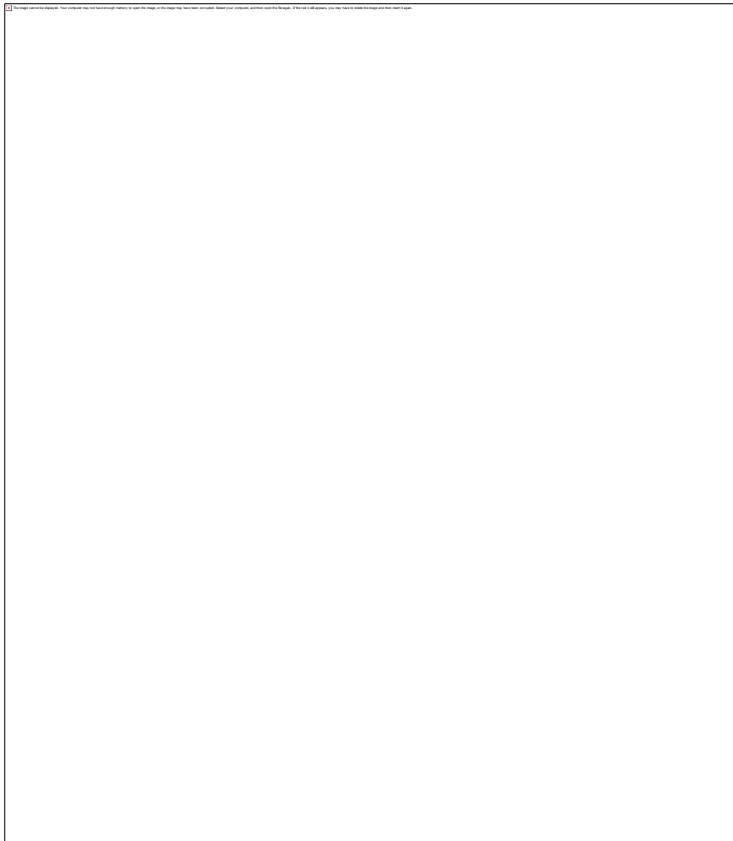
d-wave pairing observed by phase sensitive tunneling -

van Harlingen, Kirtley & Tsuei  
Kirtley *et al*, Nat. Phys. (2006)

# Extraction of the Superconducting Energy Gap from Photoemission

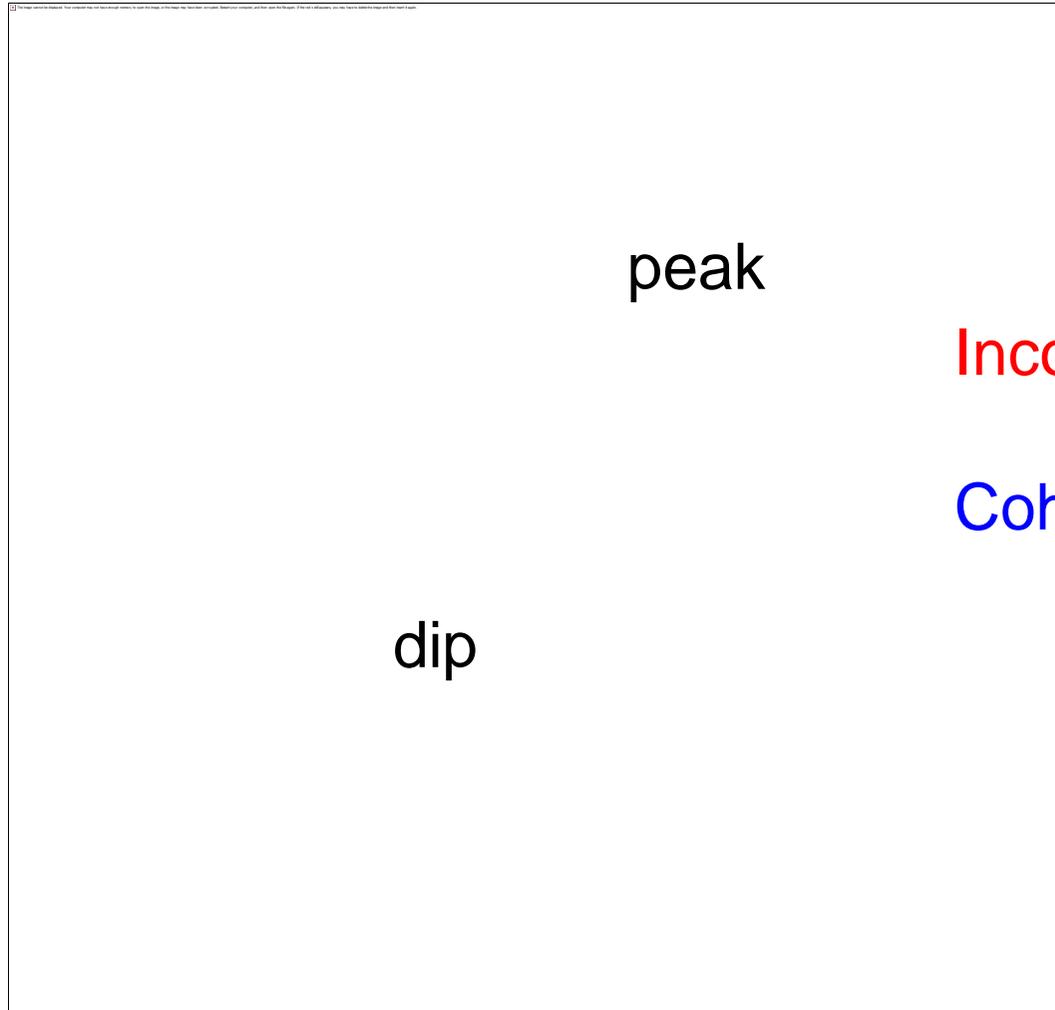
Ding *et al.*, PRL (1995) & PRB (1996)

$\Delta_{\mathbf{k}} \rightarrow \cos(k_x) - \cos(k_y) \rightarrow$  Implies pair interaction peaked for near-neighbors



Bi2212,  $T_c=87\text{K}$

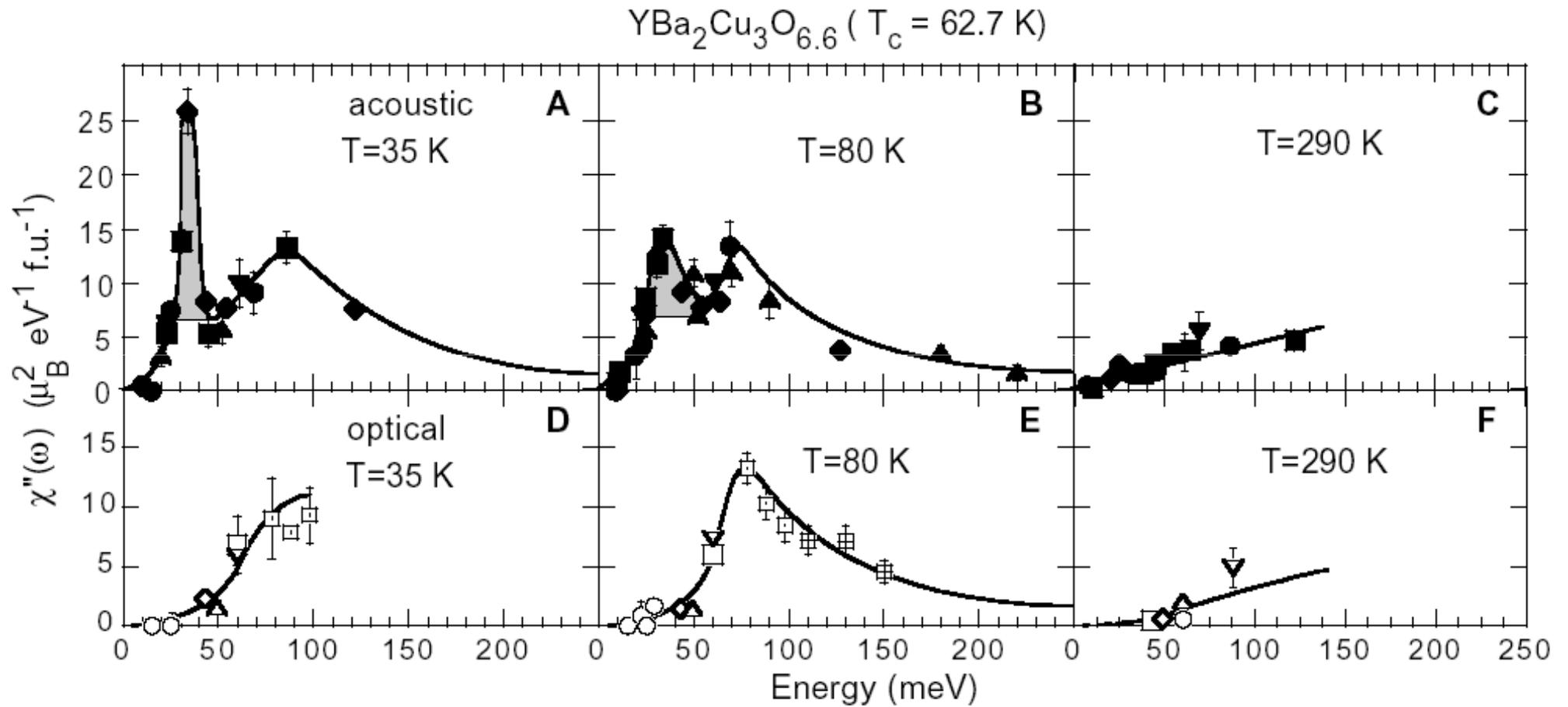
Photoemission spectrum **above** and **below**  $T_c$   
at momentum  $k=(\pi,0)$  for Bi2212



Incoherent normal state

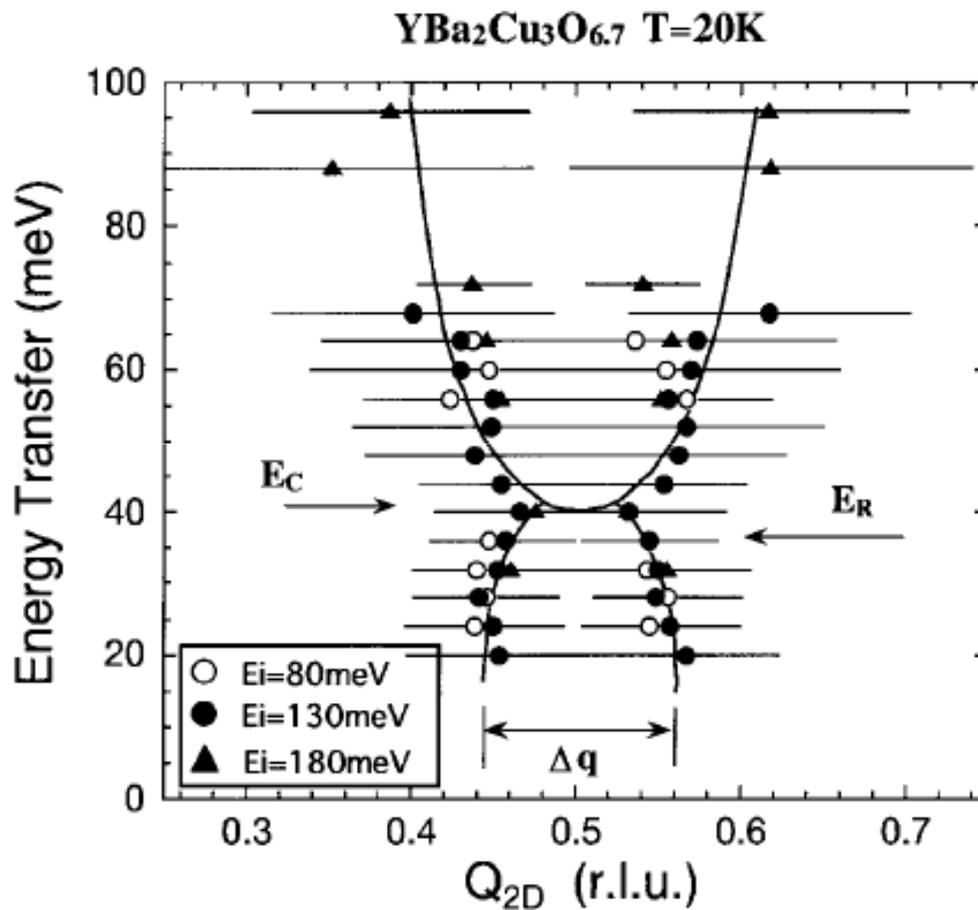
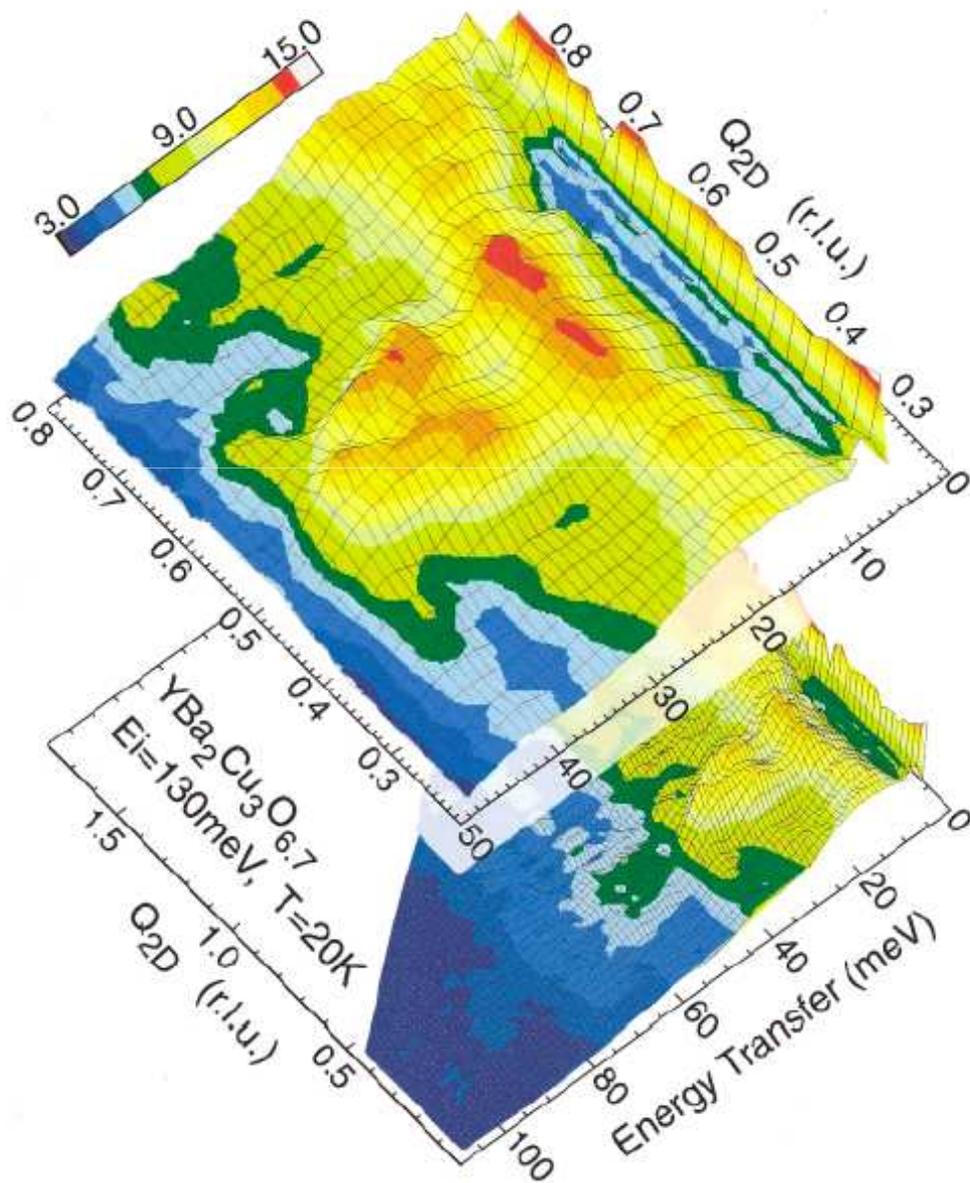
Coherent superconductor

Neutron Spin Resonance below  $T_c$  (S=1 excitation)  
Rossat-Mignod/Bourges, Mook/Dai, Keimer/Fong

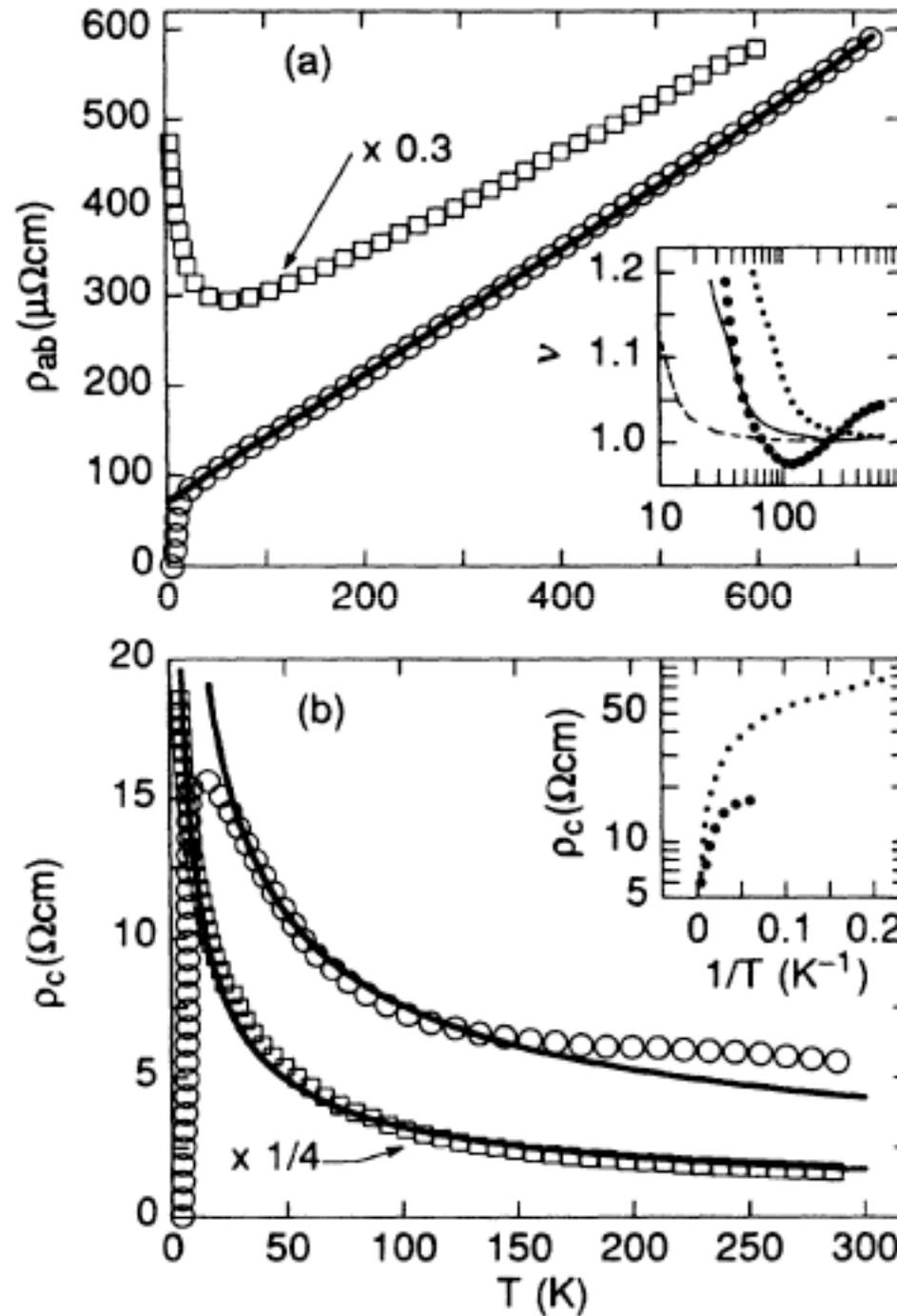


Dai *et al*, Nature (1999)

Dispersion of magnetic excitations has the form of an hourglass  
Arai *et al*, PRL (1999)



# The “strange metal” phase exhibits linear T resistivity

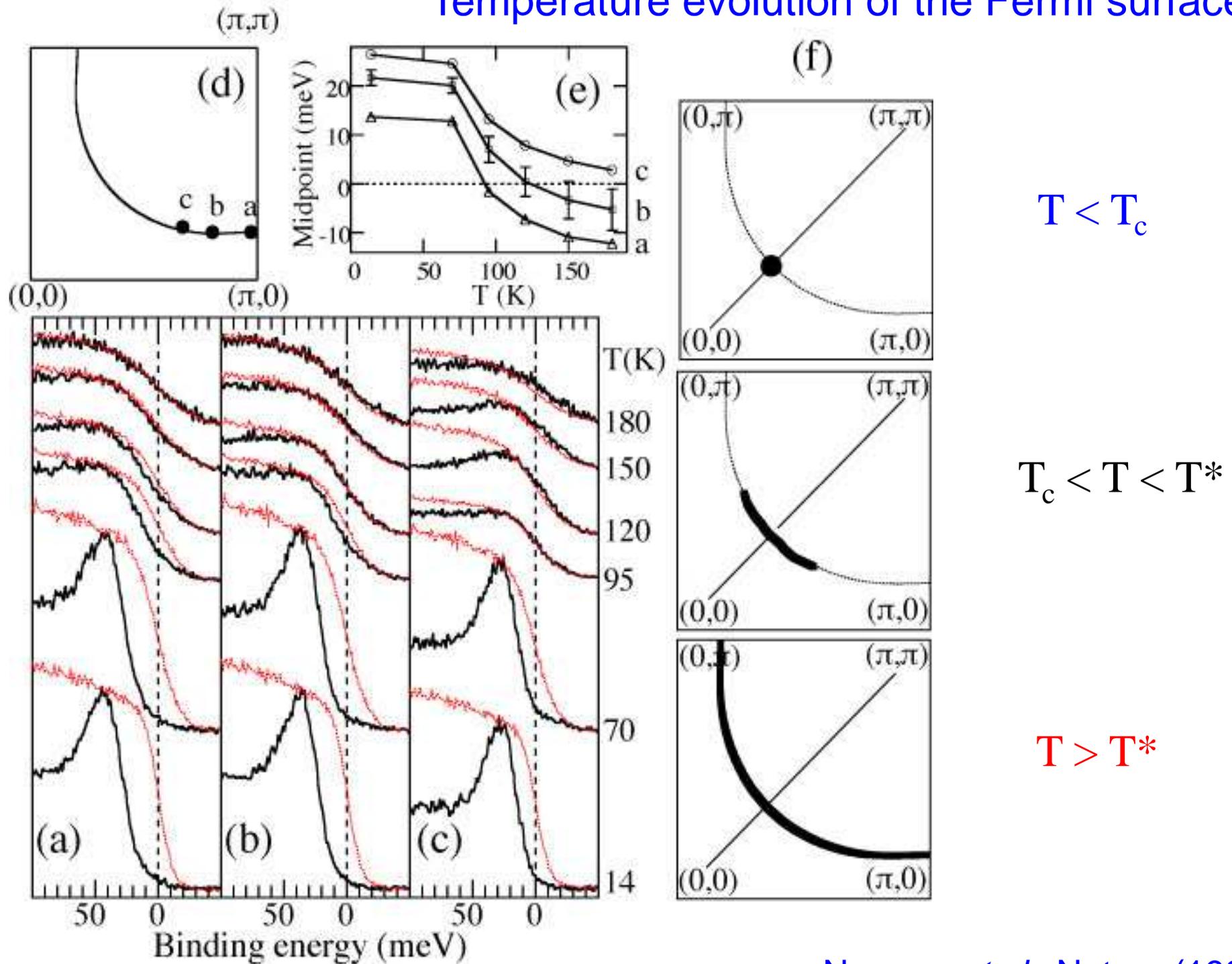


Martin *et al*  
PRB (1990)

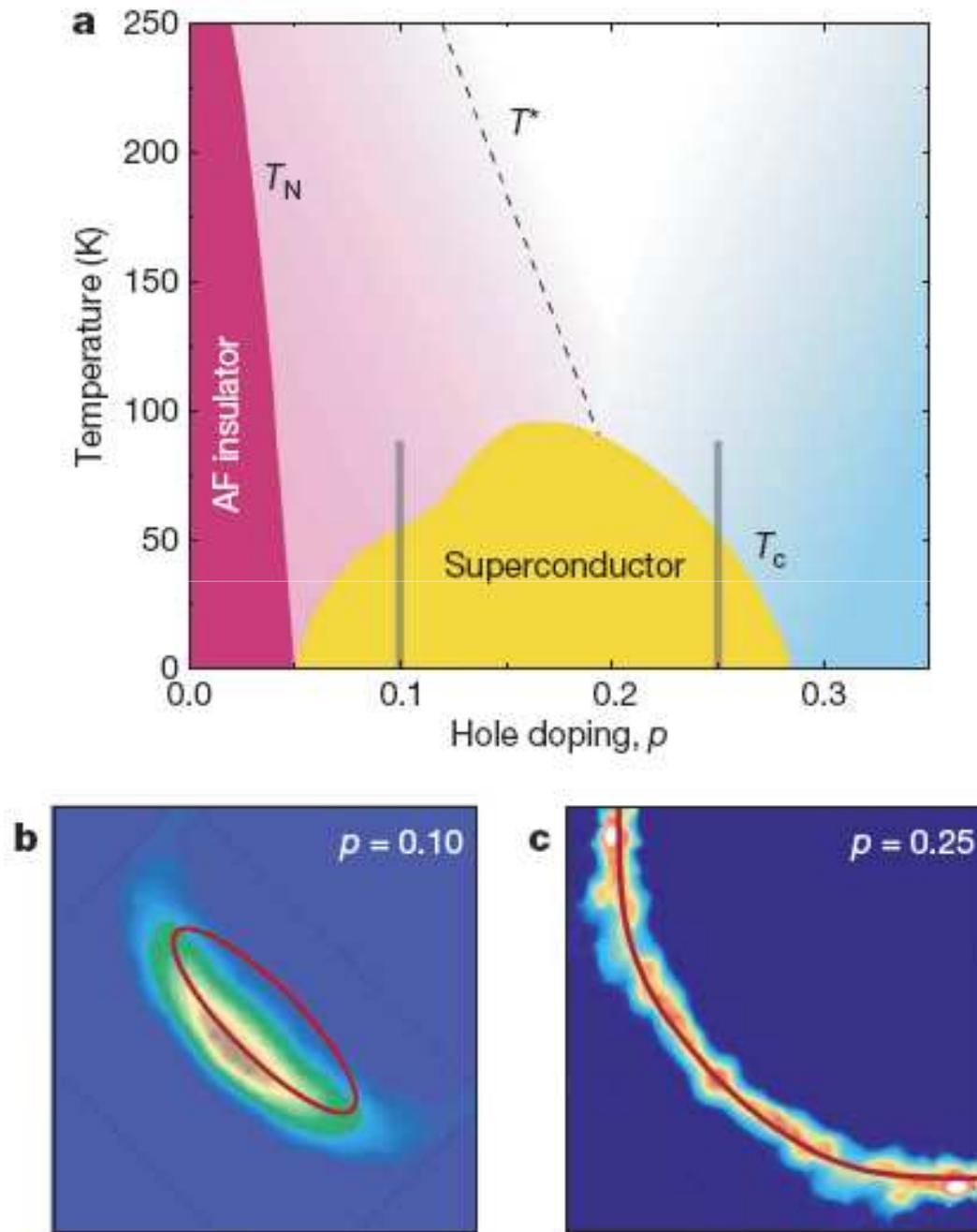
## What is the Pseudogap?

1. Pre-formed pairs
2. Spin density wave
3. Charge density wave
4. d density wave
5. Orbital currents
6. Flux phase
7. Stripes
8. Combination?

# Temperature evolution of the Fermi surface

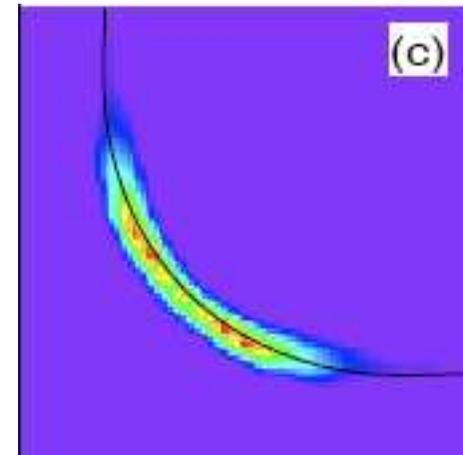
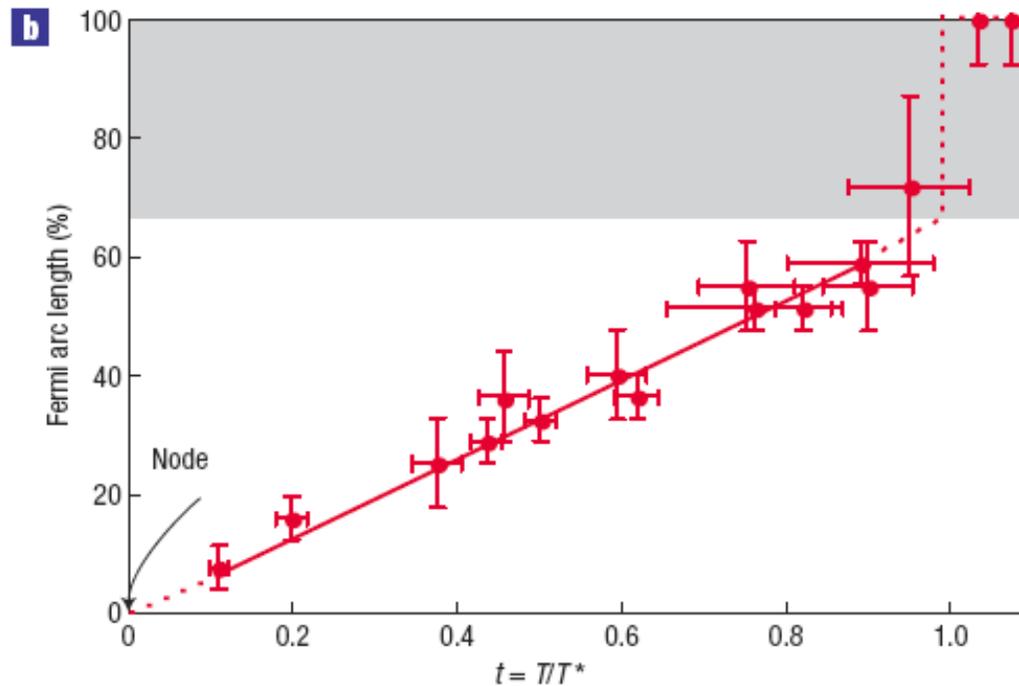
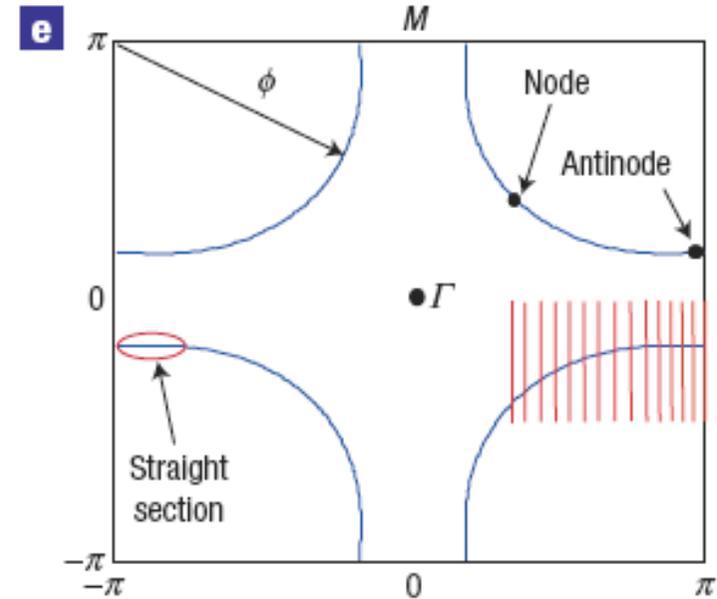
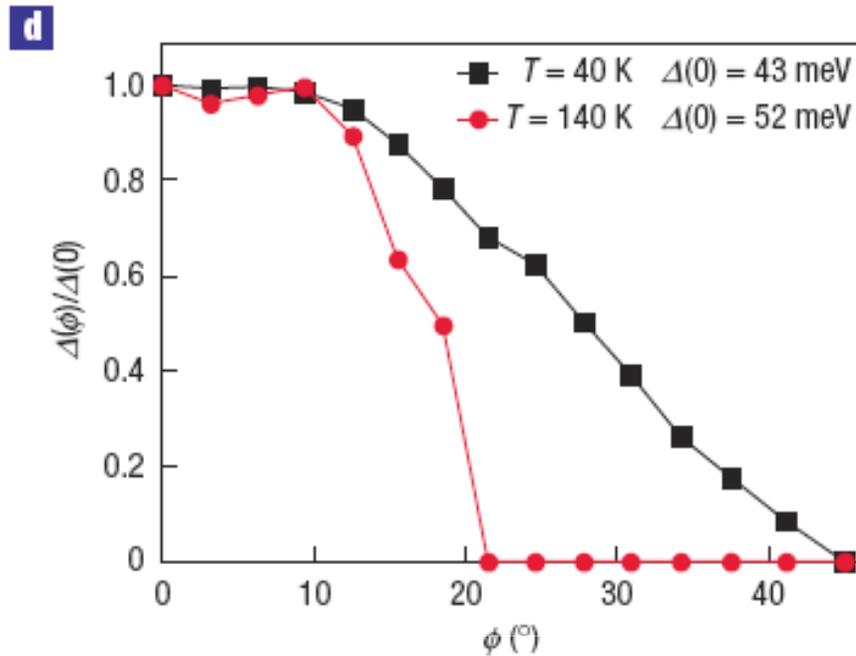


# Evolution of the Fermi surface with doping



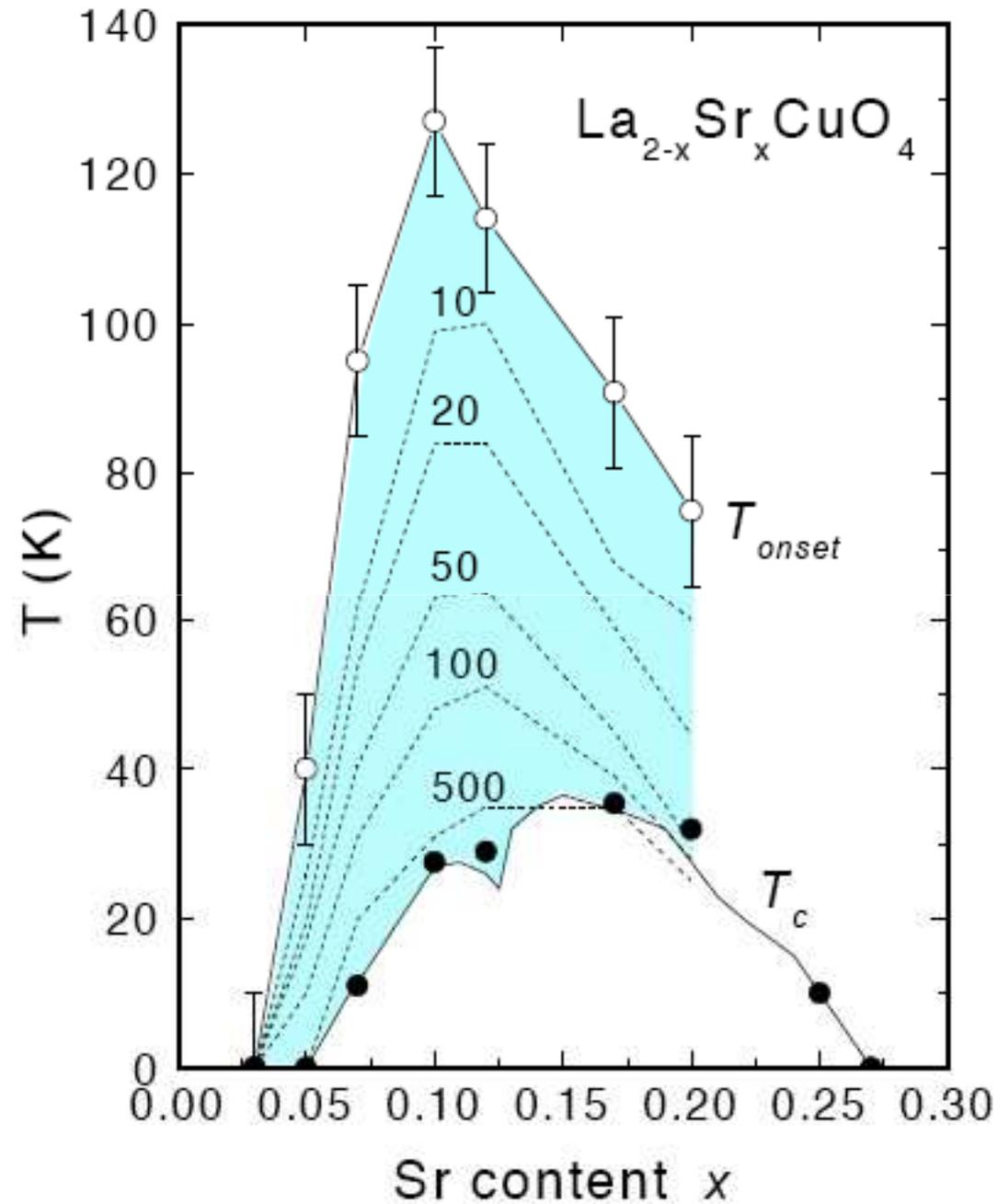
Doiron-Leyraud *et al*  
Nature (2007)

# Is the $T=0$ limit of the pseudogap phase a nodal metal?



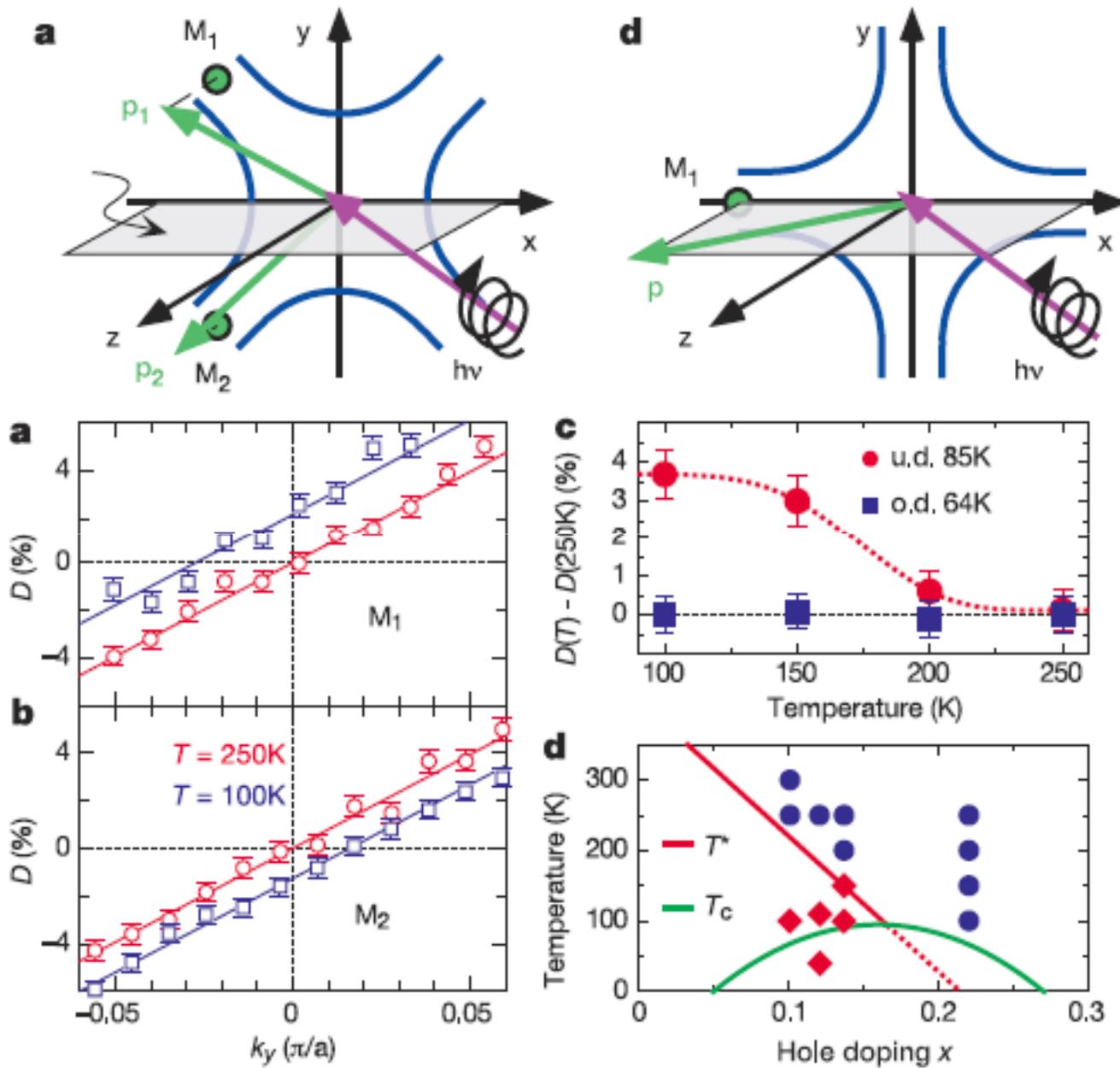
Kanigel *et al*  
 Nat. Phys. (2006)

A Nernst signal (due to fluctuating vortices?) appears above  $T_c$



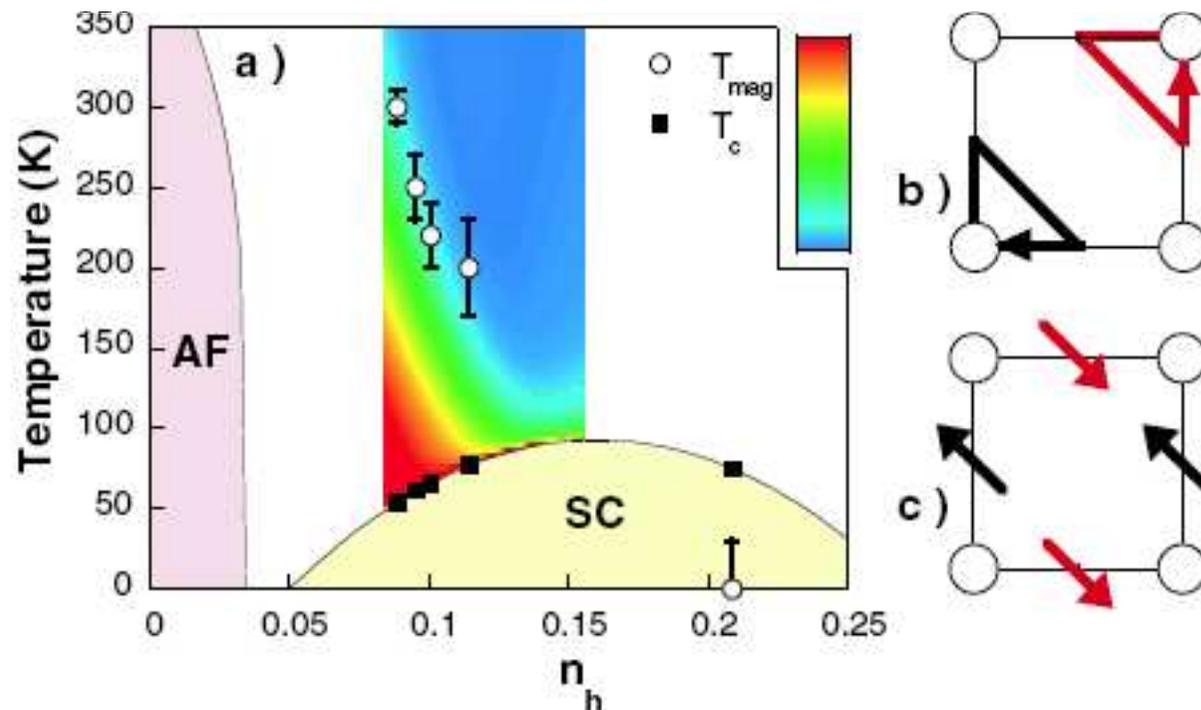
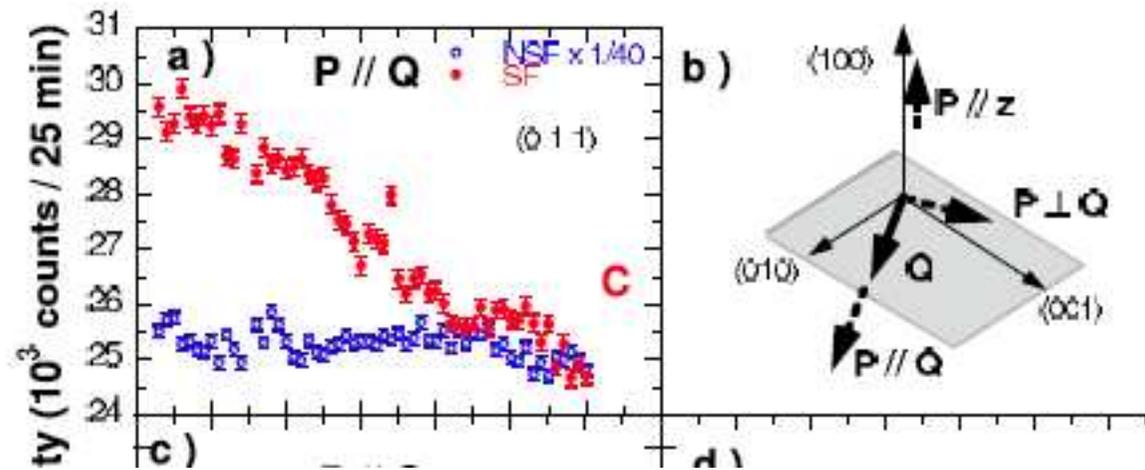
Wang *et al*  
PRB (2001)

# Circular dichroism above $T_c$ in the pseudogap phase?



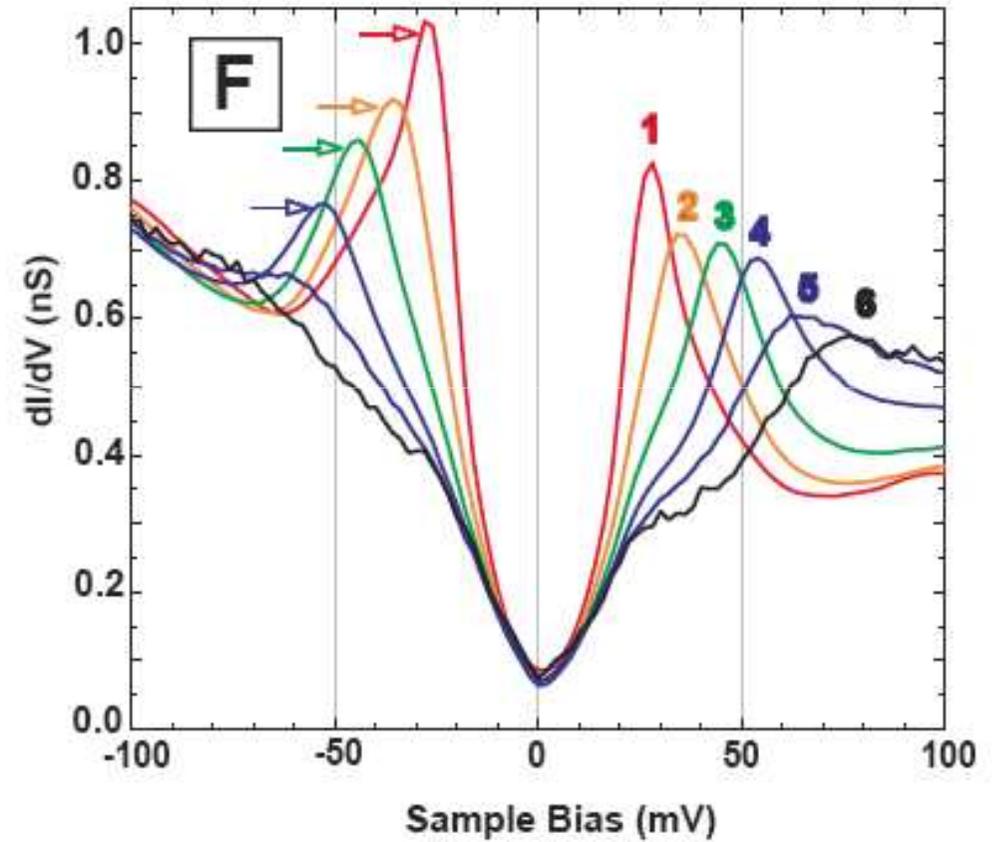
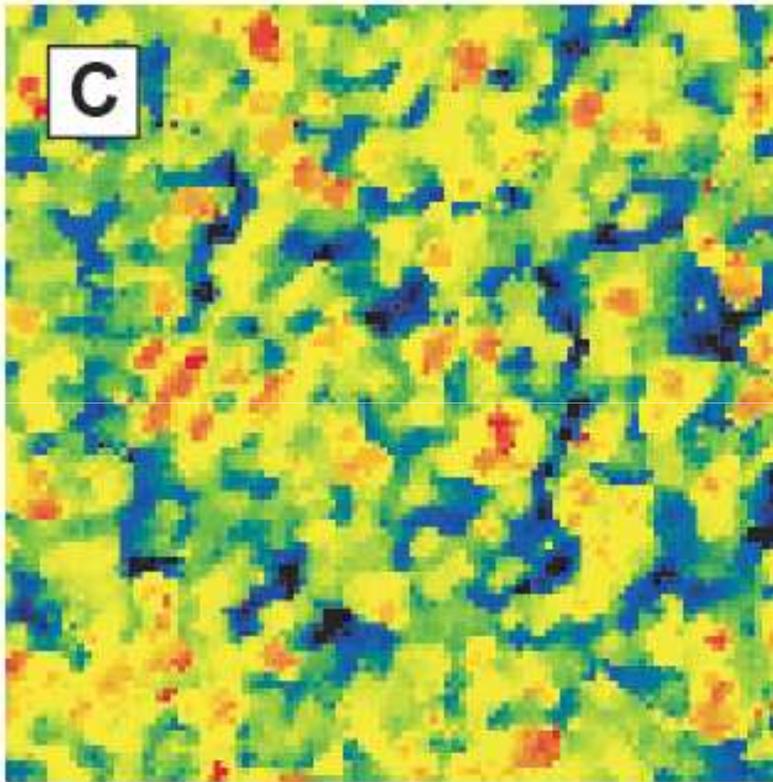
Kaminski *et al*  
Nature (2002)

# Orbital moments above $T_c$ in the pseudogap phase?



Fauque *et al*  
PRL (2006)

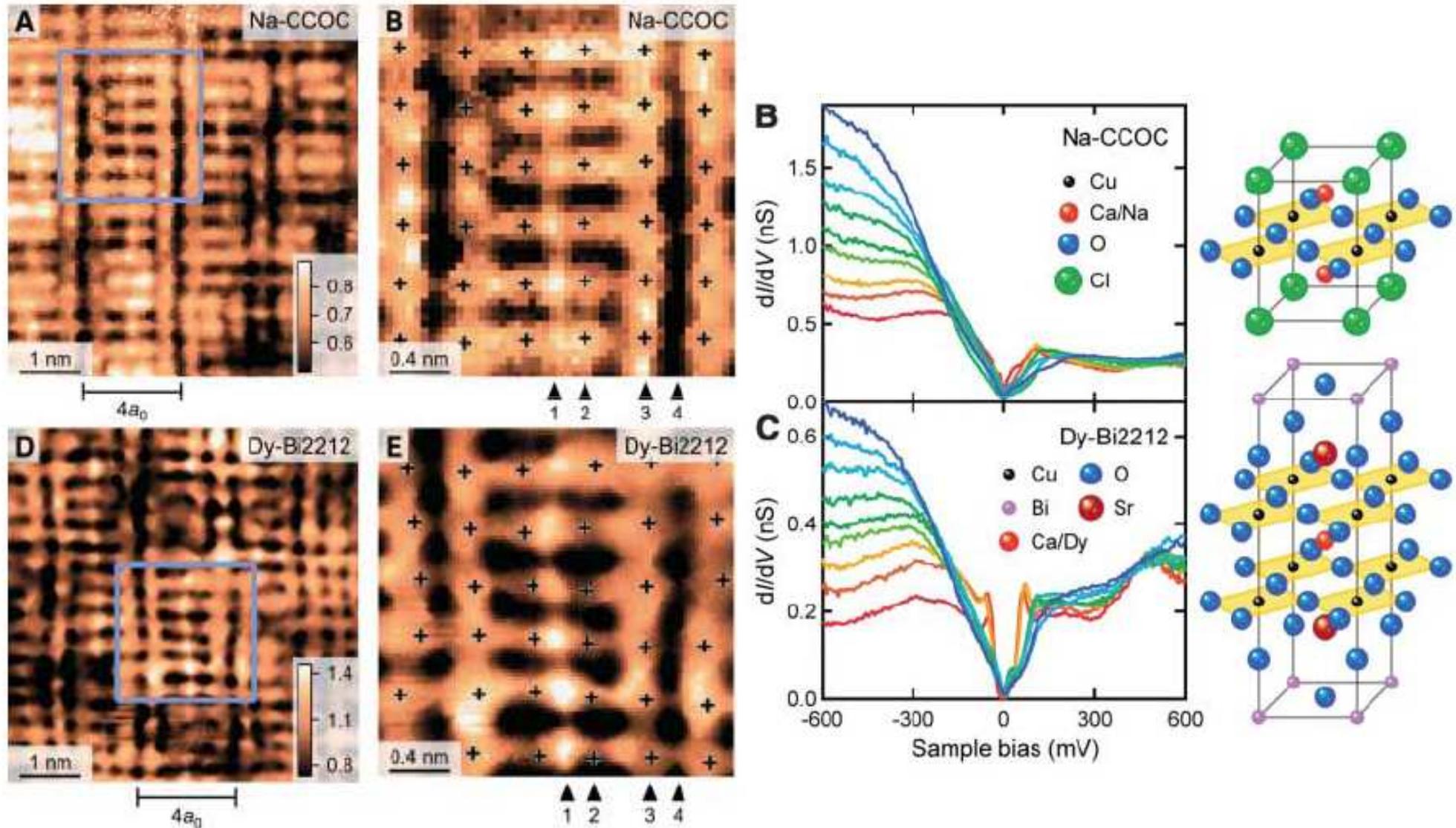
Scanning tunneling spectra show strong spatial inhomogeneity



“gap map”

McElroy *et al*, PRL (2005)

Hole Density shows a “4a period bond centered electronic glass”



Kohsaka *et al*, Science (2007)

# The Resonating Valence Bond State in $\text{La}_2\text{CuO}_4$ and Superconductivity

P. W. ANDERSON

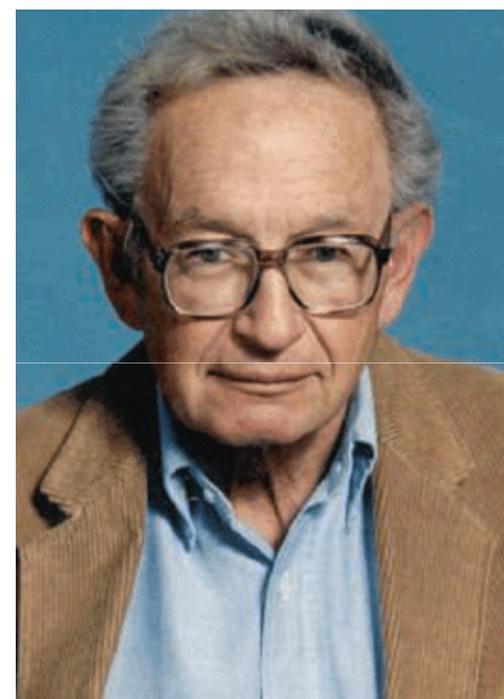
The oxide superconductors, particularly those recently discovered that are based on  $\text{La}_2\text{CuO}_4$ , have a set of peculiarities that suggest a common, unique mechanism: they tend in every case to occur near a metal-insulator transition into an odd-electron insulator with peculiar magnetic properties. This insulating phase is proposed to be the long-sought “resonating-valence-bond” state or “quantum spin liquid” hypothesized in 1973. This insulating magnetic phase is favored by low spin, low dimensionality, and magnetic frustration. The preexisting magnetic singlet pairs of the insulating state become charged superconducting pairs when the insulator is doped sufficiently strongly. The mechanism for superconductivity is hence predominantly electronic and magnetic, although weak phonon interactions may favor the state. Many unusual properties are predicted, especially of the insulating state.

**R**ECENTLY HIGH-TEMPERATURE superconductivity has been observed in a number of doped lanthanum copper oxides near a metal-insulator transition (1), a pattern exhibited previously by  $(\text{Ba,Pb})\text{BiO}_3$  (2). The crystal structure suggests that the  $\text{Cu}^{2+}$  is in an  $S = 1/2$ , orbital-ly nondegenerate state, strongly hybridized

to reexamine the idea of the “resonating valence-bond” (RVB) state (5).

Early doubts about the nature of the ground state of the antiferromagnetic Heisenberg Hamiltonian

$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j \quad (1)$$



Science, February 1987

RVB has its critics

# Hiawatha's Valence Bonding

*by R.B. Laughlin*

*Department of Physics, Stanford University, Stanford, California*

*With apologies to Lewis Carroll (and H. W. Longfellow)*

*[EDITOR'S NOTE: The author's Nobel Prize is not in the field of literature]*

## Introduction

Since all men have imperfections  
Hanging bones inside their closets  
That they trust no one will notice  
Absent tips on where to find them,  
It will shock no one to learn that  
Even mighty Hiawatha  
Famous Chief of myth and legend

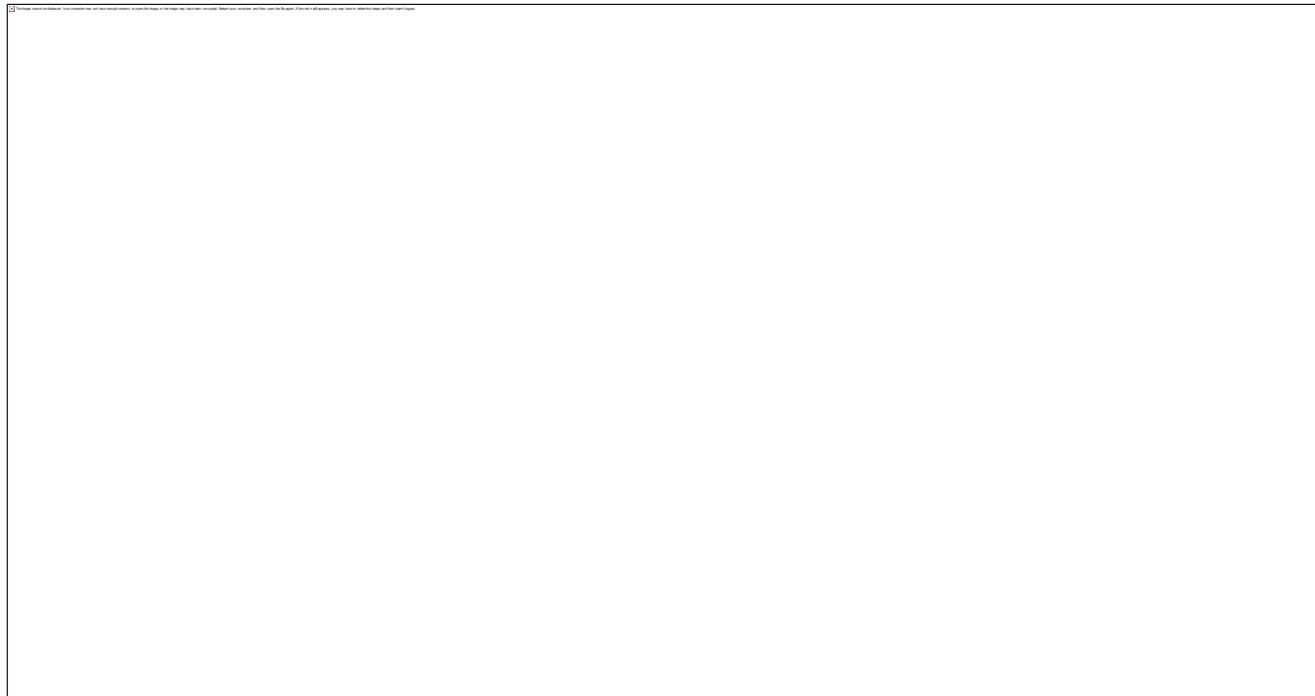
Of a noble man of Nature  
Was a total fabrication  
Of a team of gifted spin docs  
Hired later for this purpose.  
He was really just a tech nerd  
Who cared only for equations  
And explaining all behavior  
From the basic laws of physics  
Armed with only mathematics.

And the tragic Ludwig Boltzmann  
Who ascribed these laws to counting  
But fell victim to depression  
When he found no one believed him  
And so killed himself by jumping  
From an Adriatic tower.  
Hiawatha saw that Maxwell's  
Guessing missing laws of motion  
Needed for predicting light waves,

Bob Laughlin  
Annals of Improbable Research, May-June 2004

RVB (“resonating valence bond”) is a strong coupling theory for cuprates developed by Phil Anderson and his colleagues

It postulates a liquid of spin singlets



Neel Lattice

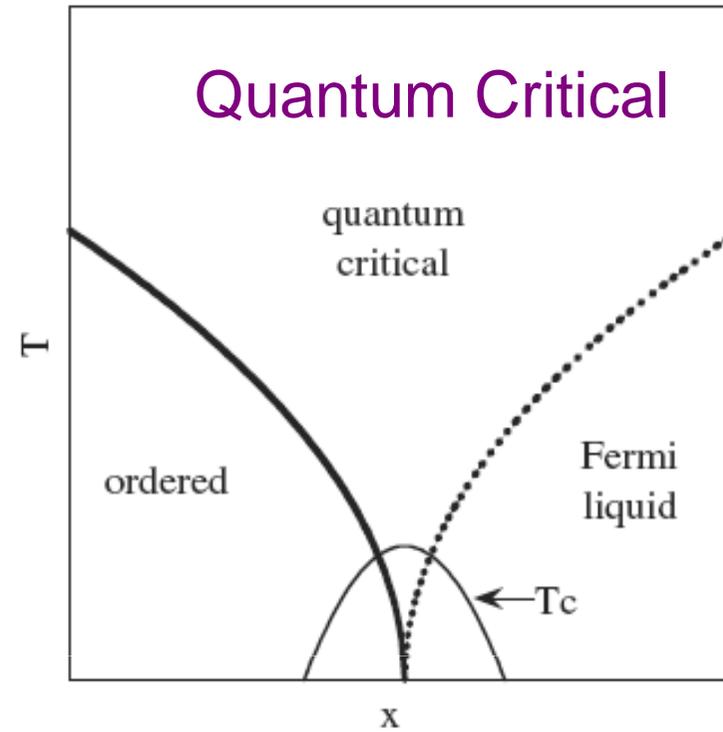
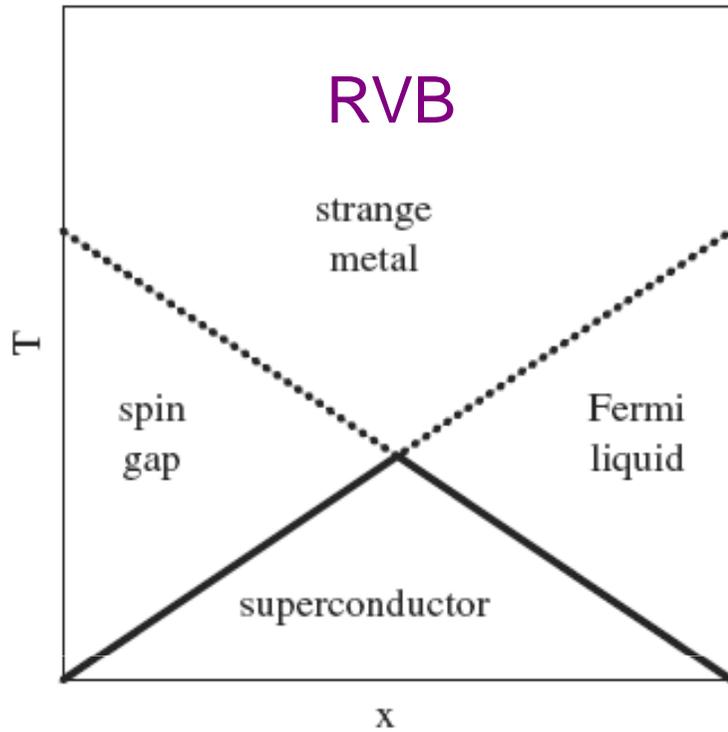
RVB

RVB Model (Anderson-Baskaran - 1987, Kotliar - 1988,  
Gros-Rice-Zhang, Lee-Nagaosa-Wen, Randeria-Trivedi, etc.)

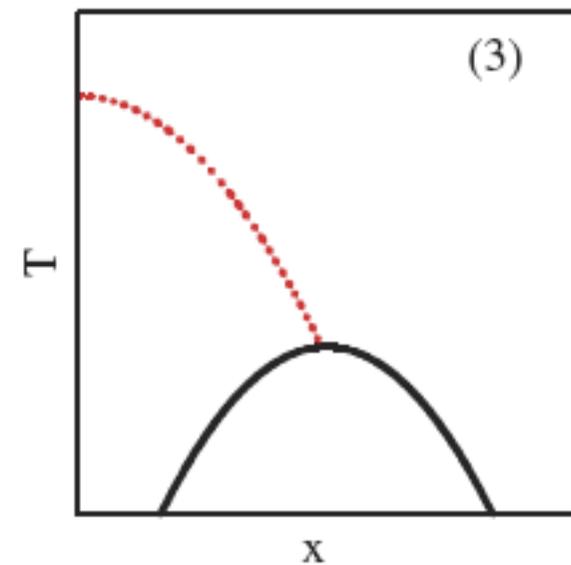
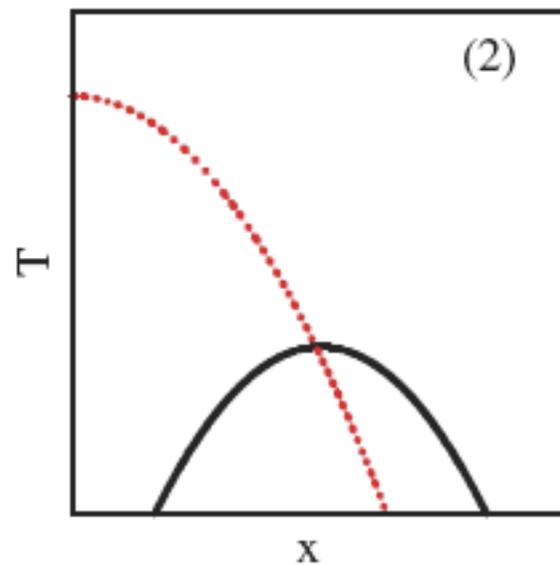
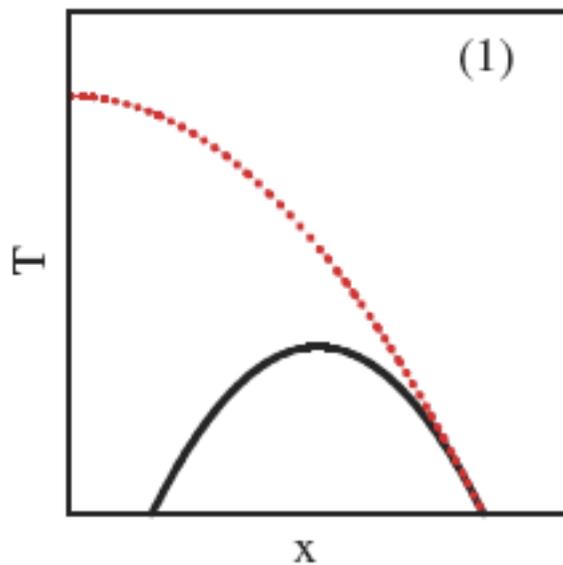


The pseudogap phase corresponds to a d-wave pairing of spins (left panel). At zero doping, this is quantum mechanically equivalent to an orbital current phase (middle panel). The spin gap,  $\Delta$ , is not equivalent to the superconducting order parameter,  $\Delta_{sc}$ , as it would be in BCS theory (right panel).

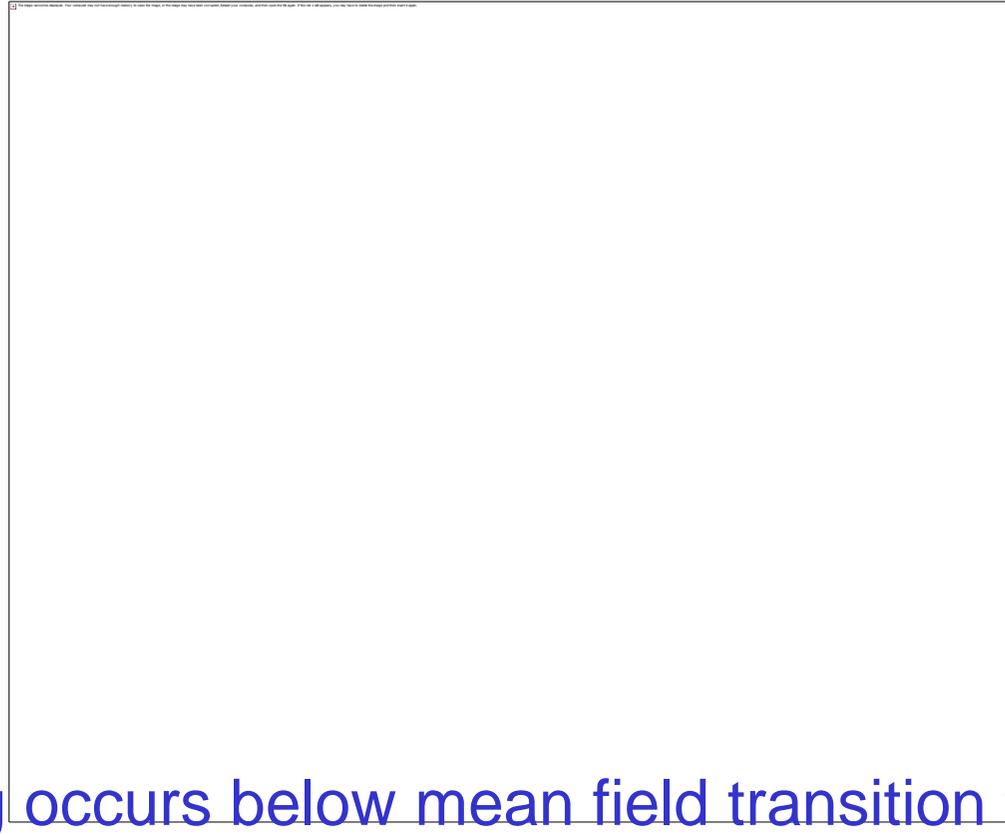
# Two Theories of the Phase Diagram



## Relation of $T^*$ to $T_c$



“Emery-Kivelson” picture  
Nature (1995)

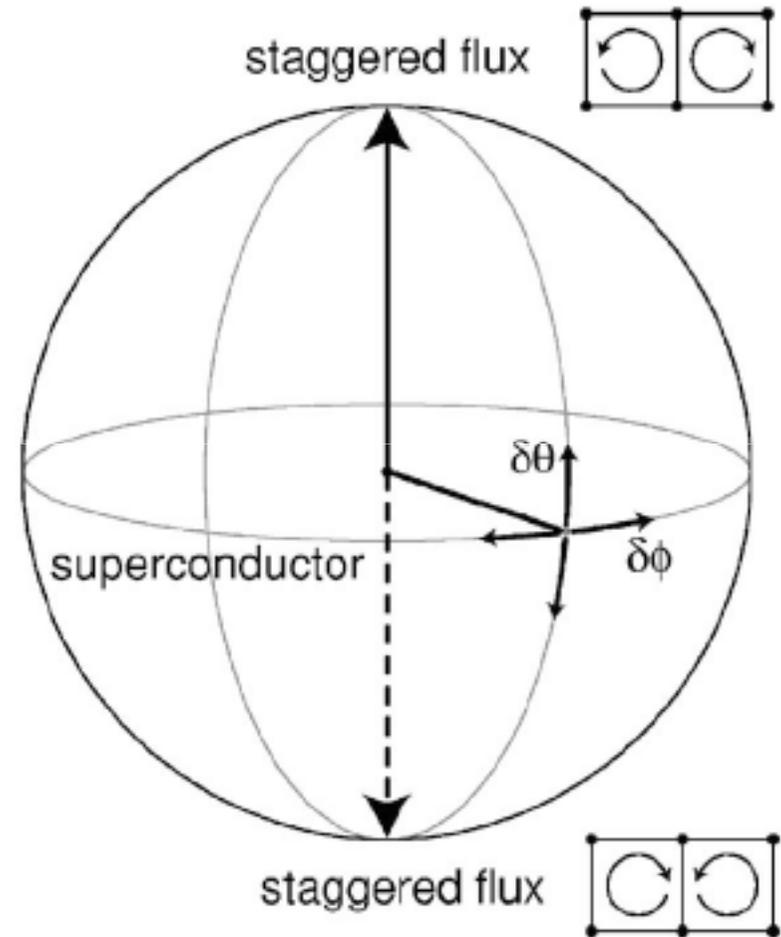
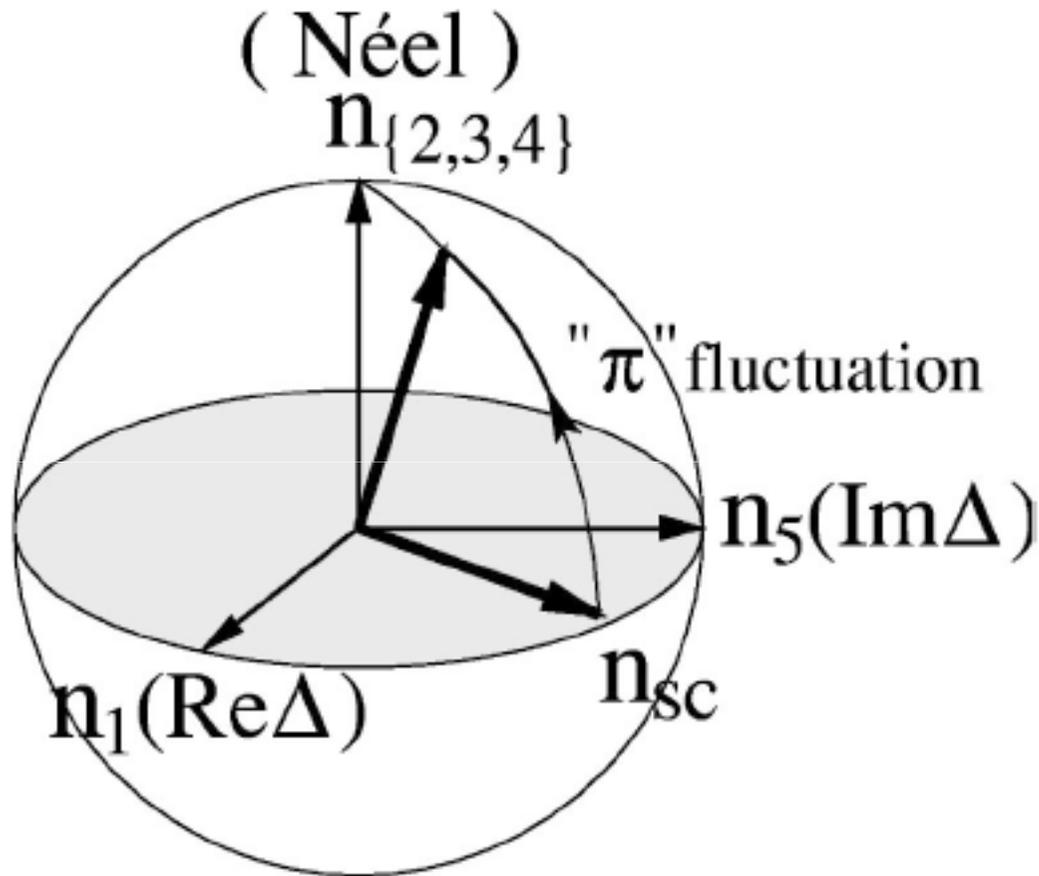


Pairing occurs below mean field transition temperature  
Coherence occurs below phase ordering temperature  
Superconductivity occurs only below both temperatures

SO(5)

vs

SU(2)



Demler, Hanke, and ZhangLee, Nagaosa, and Wen

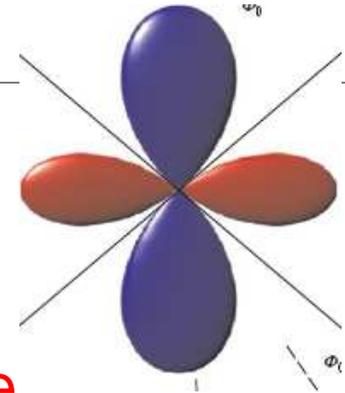
Rev Mod Phys (2004)

Rev Mod Phys (2006)

Antiferromagnetic spin fluctuations can lead to d-wave pairs  
(an  $e^-$  with up spin wants its neighbors to have down spins)

Heavy Fermions - Varma (1986), Scalapino (1986)

High  $T_c$  - Scalapino (1987), Pines (1991)

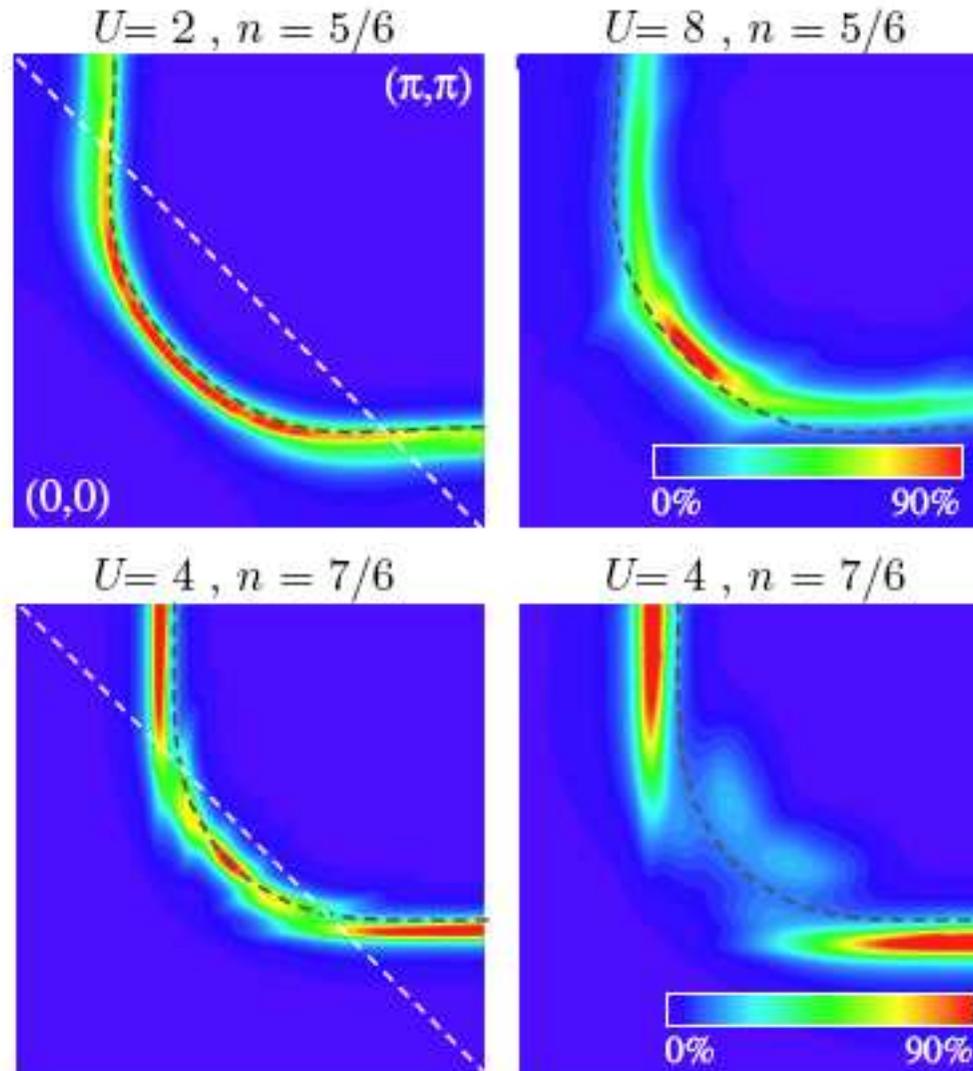


< Repulsive

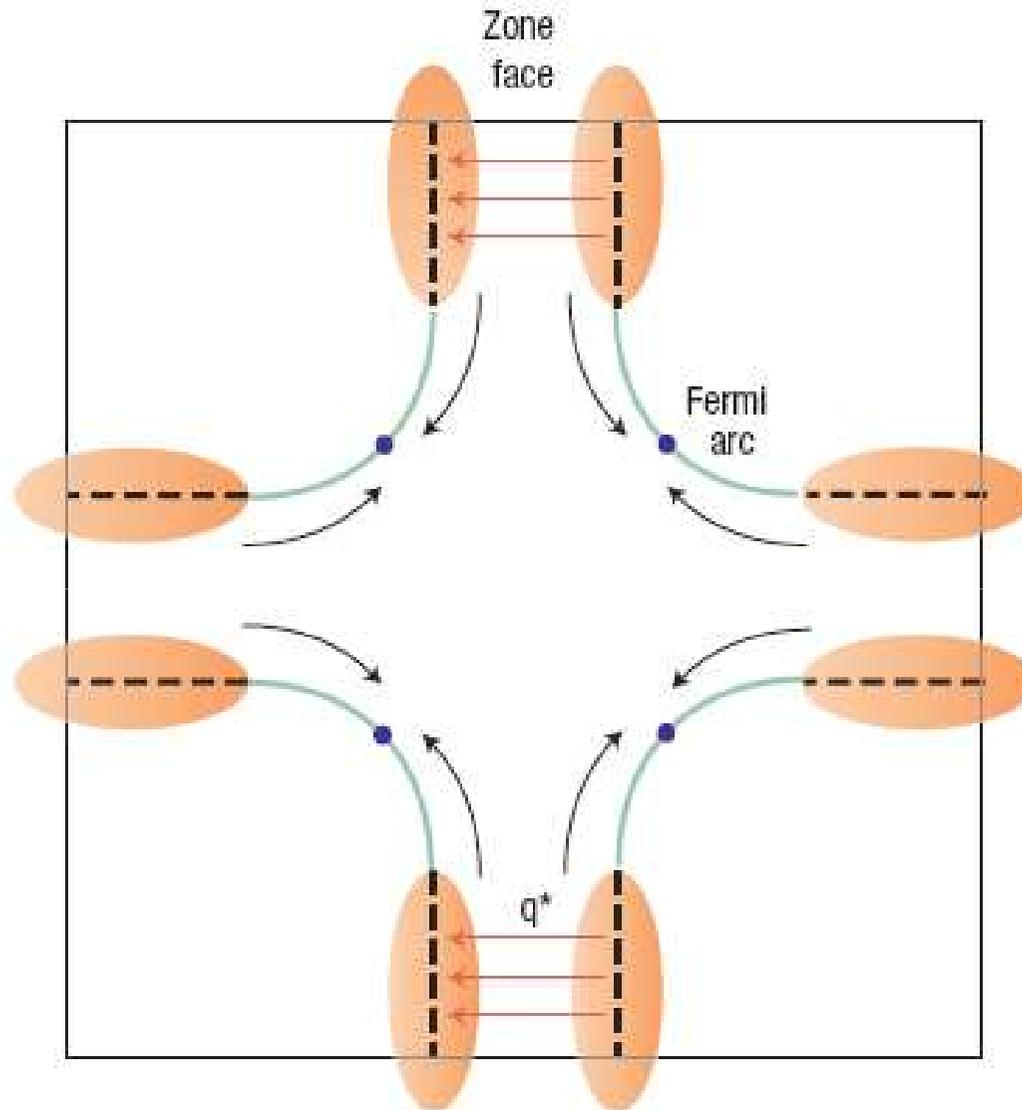
< Attractive

(plots from Scalapino)

Dynamical Mean Field Theory (Georges, Kotliar, Tremblay)  
Magnetic correlations wipe out parts of the Fermi surface



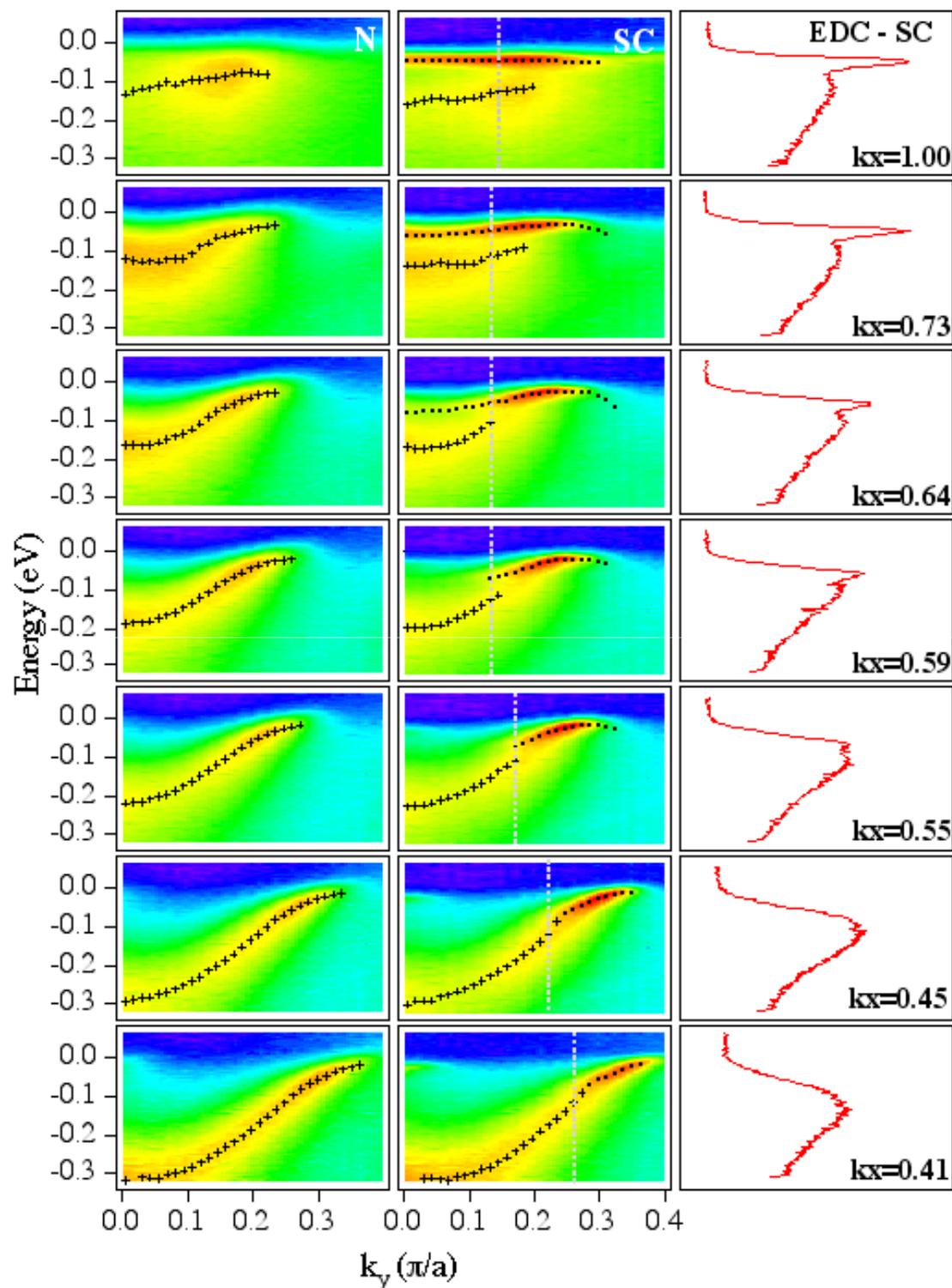
Does charge ordering wipe out part of the Fermi surface?



McElroy - Nat. Phys. (2006)

The dispersion kink at the node continuously evolves into a two branch dispersion (peak-dip-hump) as one approaches the anti-node

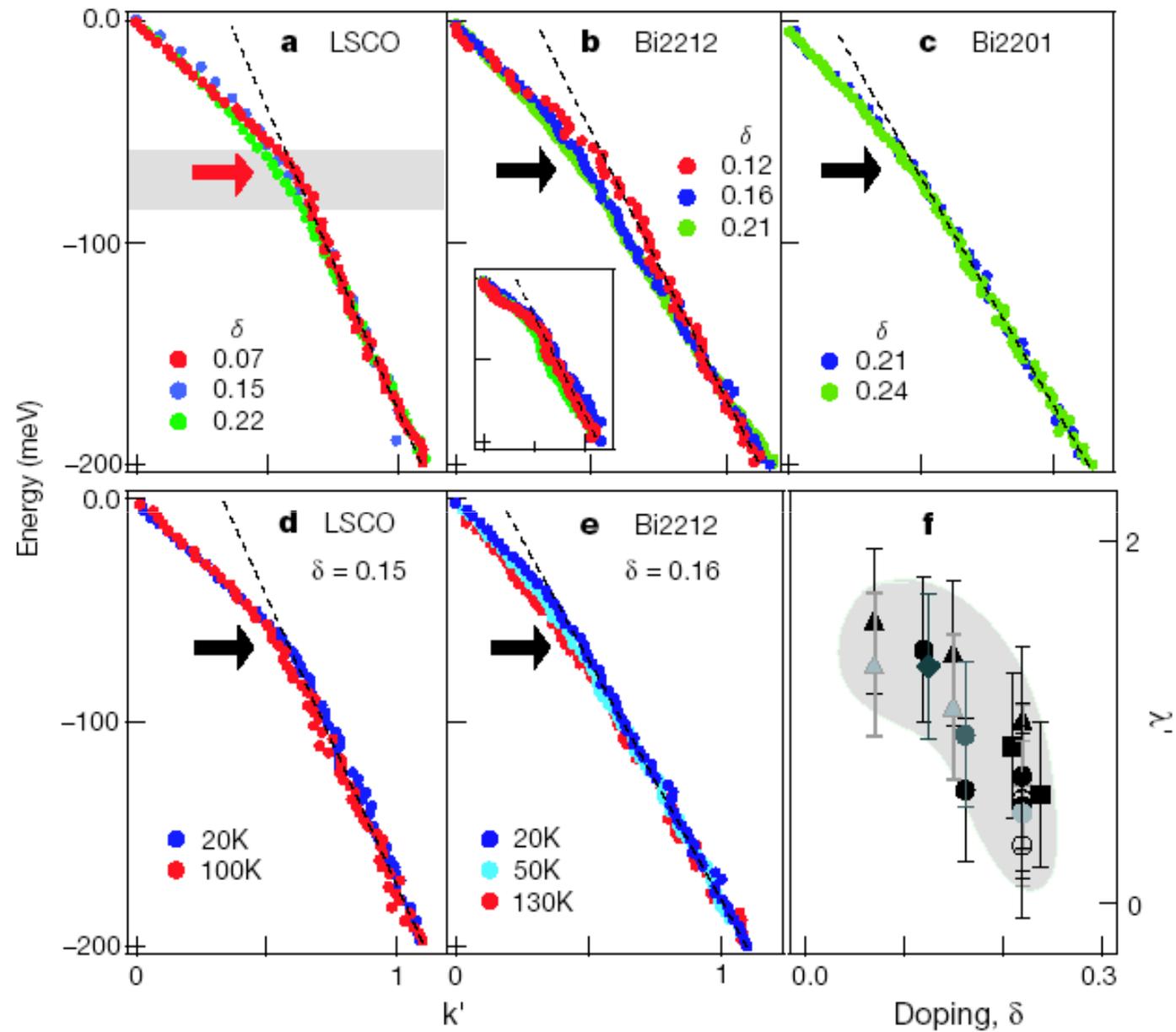
Spin resonance mode?



Kaminski *et al*, PRL (2001)

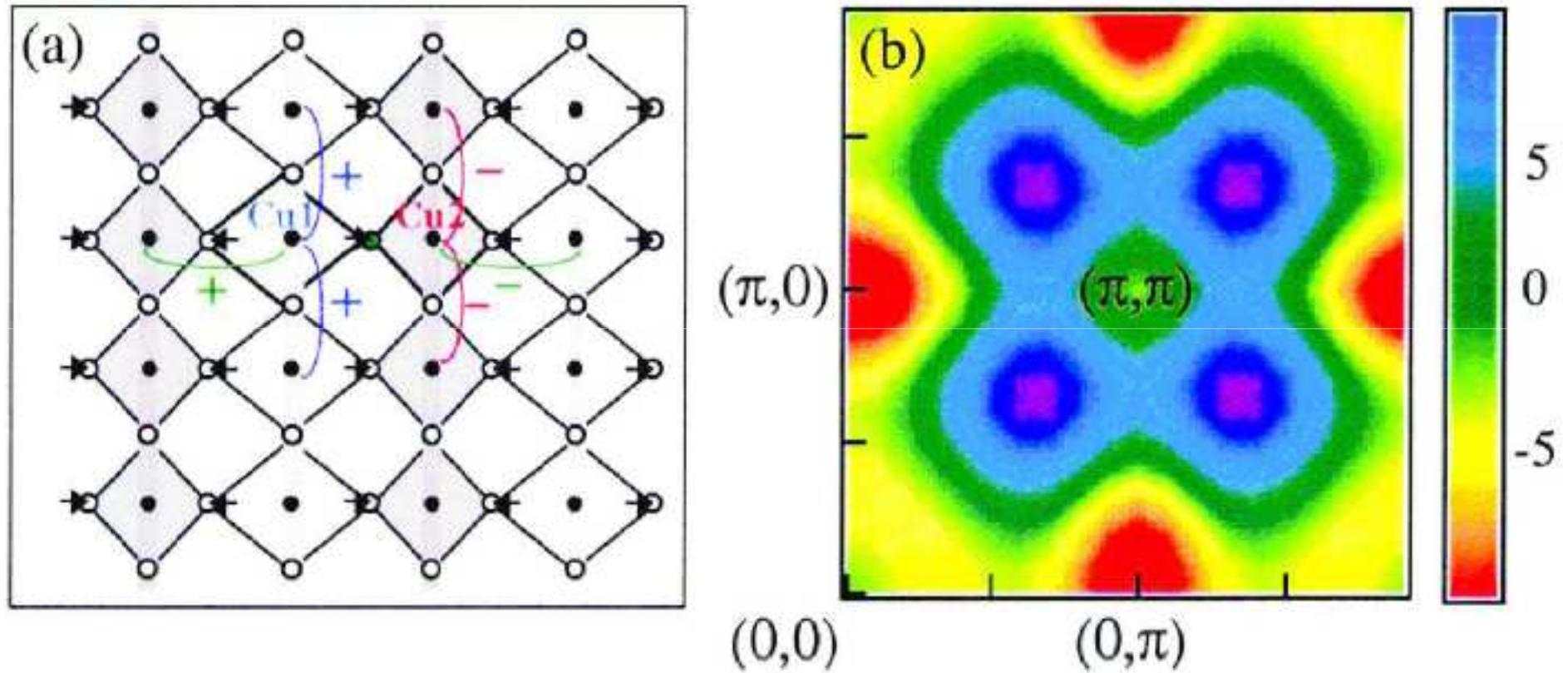
The kink is seen in a variety of the cuprates at the same energy

A phonon?



Lanzara *et al*, Nature (2001)

d-wave pairing due to a half-breathing phonon mode??  
Shen, Lanzara, Ishihara, Nagaosa - Phil Mag B (2002)



# Connection to Other Fields (Cold Atoms, Nuclear Matter, ...)

