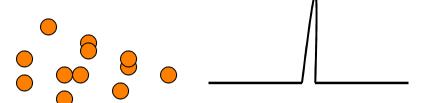
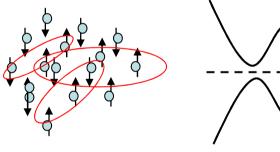
### April 2011

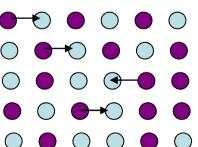
# Elementary Particles of Superconductivity

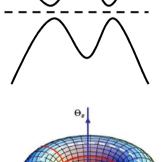
1. Schafroth's bosons?



- 2. BCS paired electrons?
- 3. Lattice Bosons ?!
- -- new paradigm of metallic conductivity...







**Energy** transport wind energy nuclear energy solar cells FOTOSEARCH e008705 www.fotosearch.com

15% of electric power is wasted in wires resistance!

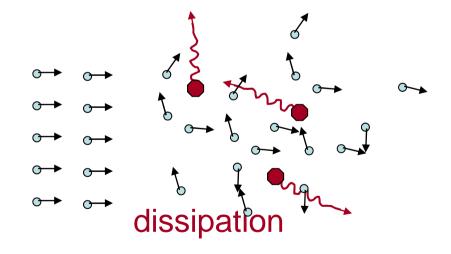
## Resistivity: Boltzmann-Drude Paradigm

- 1. Electrons in metals behave like a free gas.
- 2. Uncorrelated scattering causes dissipation.

Ohm 
$$e \eta \vec{v} = \sigma \vec{E}$$

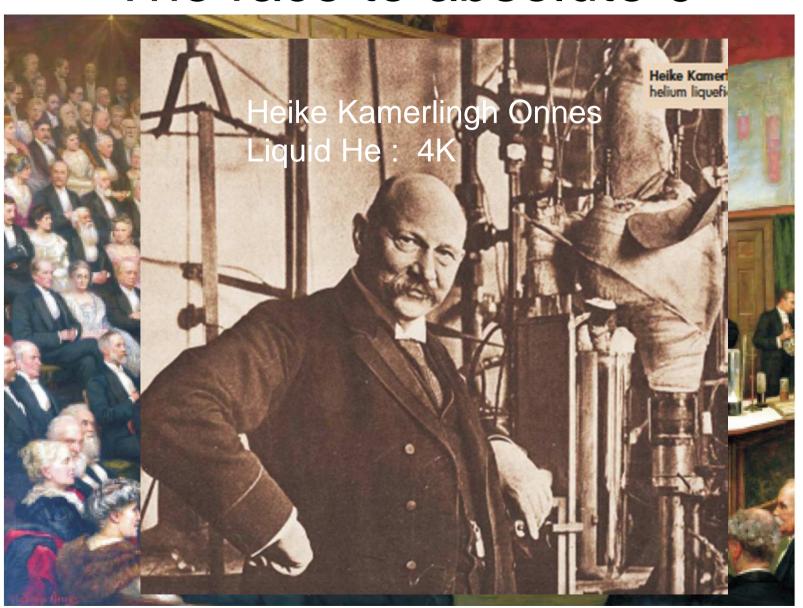
Newton 
$$\vec{v} = -\frac{e\vec{E}\tau}{m}$$

Kinetic theory of gases 
$$\sigma = \frac{ne^2\tau}{m}$$



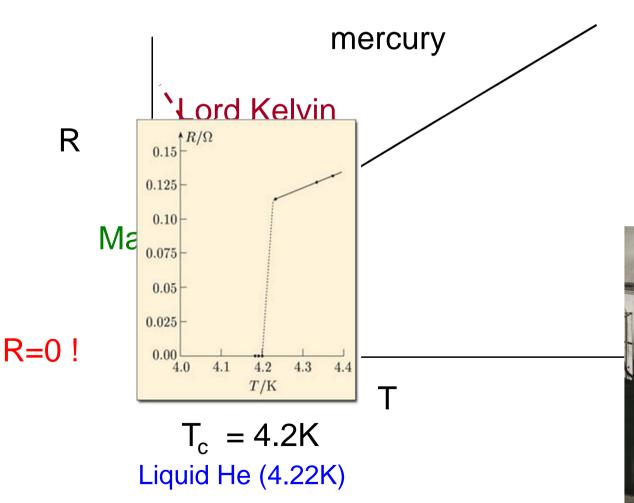
'scattering time'

# The race to absolute 0



### **Discovery of Superconductivity**

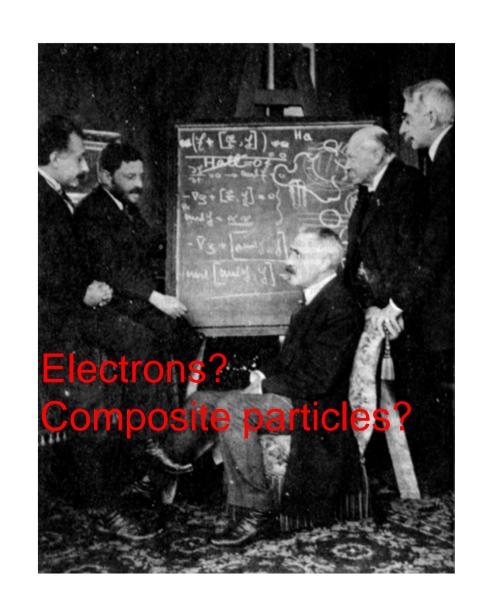
Heike Kamerlingh Onnes, Nov 1911

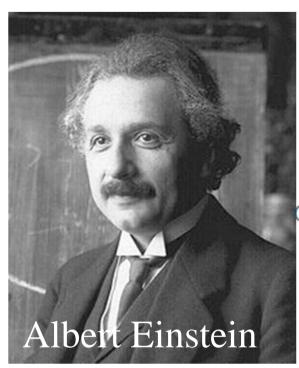






# What is a superconductor made of?





arXiv:Physics/0510251 – (Thanks to Zlatko Tesanovich)
Theoretical remark on the superconductivity
of metals\*

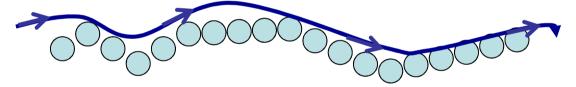
#### A. Einstein

Gedenkboek aangeb. aan H. Kamerlingh Onnes, eaz. Leiden, E. IJdo, 1922,

The theoretical oriented scientist cannot be envied, because nature, i.e. the experiment, is a relentless and not very friendly judge of his work. In the best case scenario it only says "maybe" to a theory, but never "yes" and in most cases "no". If an experiment agrees with theory it means "perhaps" for the latter. If it does not agree it means "no". Almost any theory will experience a "no" at one point in time - most theories very soon after they have been developed. In this paper we want to focus on the fate of theories concerning metallic conductivity and on the revolutionary influence which the discovery of superconductivity must have on our ideas of metallic conductivity.

# Einstein's ideas... (1922)

It seems unavoidable that superconducting currents are carried by closed chains of molecules (conduction chains) whose electrons endure ongoing cyclic exchanges. Therefore, Kamerlingh Onnes compares the closed currents in superconductors to Ampere's molecular currents.

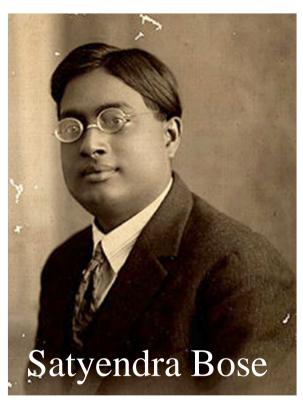


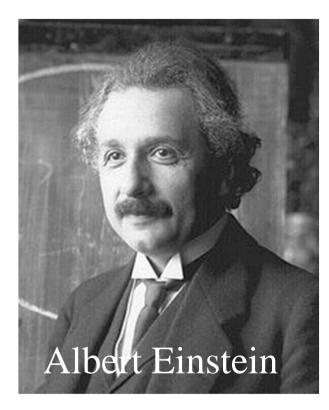
If this idea of elementary currents caused by quanta proves correct it will be evident that such chains can never contain different atoms.

#### P.S.

The last speculation (which by the way is not new<sup>2</sup>) is contradicted by an important experiment which was conducted by Kamerlingh Onnes in the last couple of months. He showed that at the interface between two superconductors (lead and tin) no measureable Ohm resistance appears.

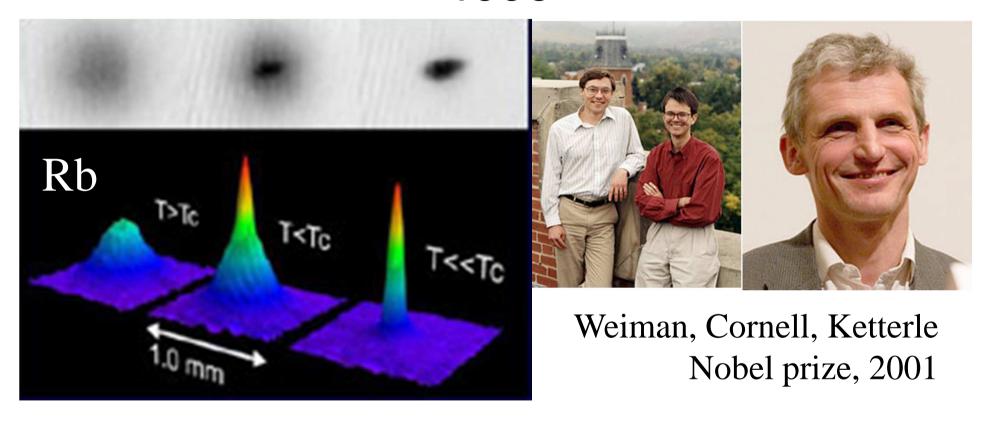
# Bose and Einstein, 1924...





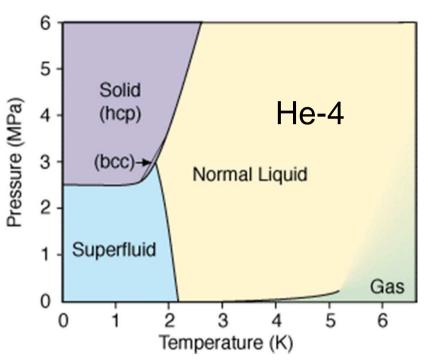
At low temperatures, <u>noninteracting bosons</u> may condense into a single quantum wavefunction

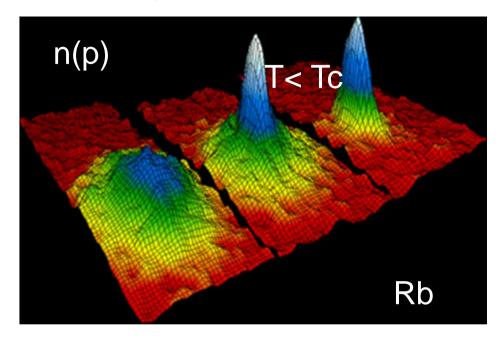
# Discovery of Bose Condensation, 1995



Condensation at zero momentum

# Superfluidity





Order parameter  $T < T_c$ 

$$\langle \psi^{\dagger}(x) \rangle = \sqrt{\rho_s(x)} e^{i\phi(x)}$$

Phase fluctuations

$$H=E_0+rac{\hbar^2
ho_s}{2m}\int d^3x (
abla\phi)^2$$

Theory of Ogg pairs, 1945: real-space "molecules" that underwent Bose-Einstein condensation in Amonia solutions... (Ted Geballe)

#### Superconductivity of a Charged Boson Gas

M. R. SCHAFROTH

F. B. S. Falkiner Nuclear Research Laboratory,\* School of Physics,
The University of Sydney, Sydney, Australia
(Received September 7, 1954)

It is the purpose of this note to point out that there exists a relatively simple physical system which exhibits the essential equilibrium features of a superconductor, namely a phase transition of the second kind at a critical temperature  $T_c$  and the occurrence of a Meissner-Ochsenfeldt effect below it. This system is the ideal gas of charged bosons. The existence of a transition point is well known.

One would then

have to show that in a metal at low temperatures charge-carrying bosons occur e.g., because of the interaction of electrons with lattice vibrations.<sup>5</sup>

# Early demise of the BEC theory of superconductivity

#### Theoretical shortcomings:

- 1. Poor microscopic understanding of pairing.
- 2. No determination of 'boson density' n<sub>b</sub>...

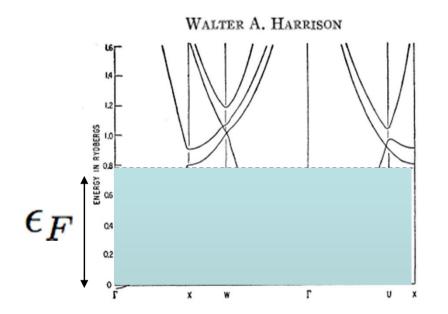
#### Disagreement with experiments:

- 1. BEC cannot explain excitation gap of magnitude ~Tc.
- 2. BEC relations between order parameter, superfluid stiffness, and Tc are inconsistent with experiments.
- 3. BEC cannot explain normal phase above Tc, which is well described by Fermi liquid theory.

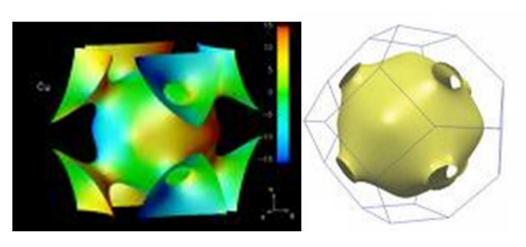
Then came BCS theory...

# Theory of (good) Metals

#### Band Structure of Aluminum



#### Fermi surfaces



# Fermi liquid theory of excitations

Boltzmann transport:

Fermi energy  $\epsilon_F >> rac{\hbar}{ au}$  scattering rate

Bardeen Cooper Schrieffer, 1957







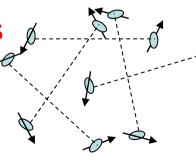


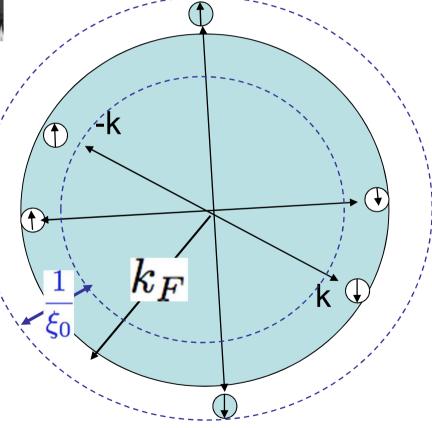
k-space pairing instability

$$\langle c_{k\uparrow}^{\dagger}c_{-k\downarrow}^{\dagger}\rangle = \Delta$$

 $k_F \xi_0 \sim \epsilon_F / \Delta >> 1$ 

large pairs  $\not$ 

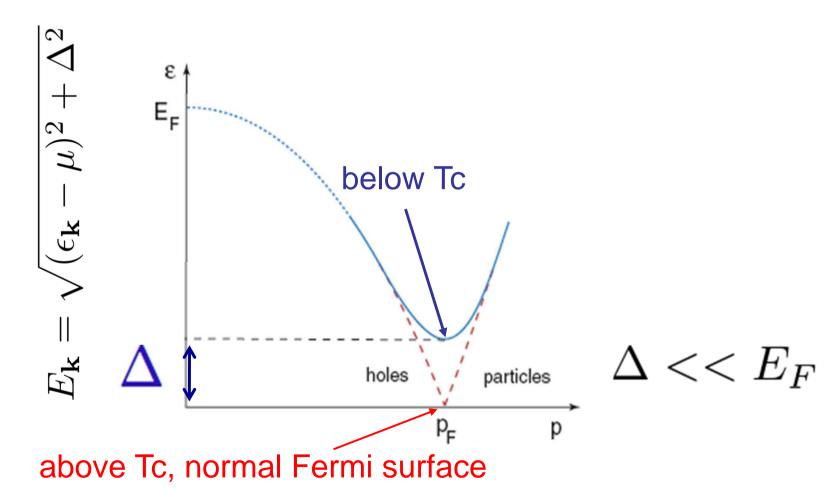




Schrieffer's ballroom dance

# **BCS** Gap

quasiparticle excitations



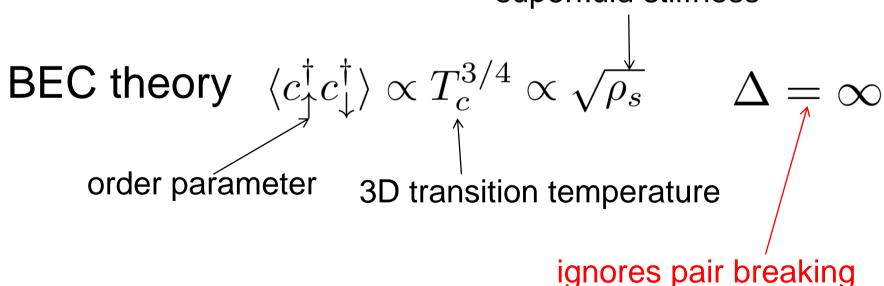
# BCS vs BEC

order parameter excitation gap transition temperature

BCS theory: 
$$V^{\mathrm{el-ph}} \langle c_{\uparrow}^{\dagger} c_{\downarrow}^{\dagger} \rangle \sim \Delta \sim T_c$$
  $\rho_s \propto E_F$ 

supressed phase fluctuations

superfluid stiffness



# MACROSCOPIC QUANTUM PHENOMENA FROM PAIRING IN SUPERCONDUCTORS

Nobel Lecture, December 11, 1972

by

#### J. R. SCHRIEFFER

A clue to the nature of the states  $\Phi_n$  entering strongly in  $\psi_0$  is given by combining Pippard's coherence length  $\xi$  with Heisenberg's uncertainty principle  $\Delta p \sim \hbar/\xi \sim 10^{-4} p_F \tag{4}$ 

where  $p_F$  is the Fermi momentum. Thus,  $\Psi_0$  is made up of states with quasiparticles (electrons) being excited above the normal ground state by a
momentum of order  $\Delta p$ . Since electrons can only be excited to states which are
initially empty, it is plausible that only electronic states within a momentum  $10^4p_F$  of the Fermi surface are involved significantly in the condensation,
i.e., about  $10^4$  of the electrons are significantly affected. This view fits nicely
with the fact that the condensation energy is observed to be of order  $10^4$ .  $k_BT_c$ . Thus, electrons within an energy  $\sim v_F\Delta p \simeq kT_c$  of the Fermi surface
have their energies lowered by of order  $kT_c$  in the condensation. In summary,
the problem was how to account for the phase transition in which a condensation of electrons occurs in momentum space for electrons very near the Fermi
surface. A proper theory should automatically account for the perfect conduc-

#### Schrieffer continued...

The idea that electron pairs were somehow important in superconductivity has been considered for some time (16, 17). Since the superfluidity of liquid He'is qualitatively accounted for by Bose condensation, and since pairs of electrons behave in some respects as a boson, the idea is attractive. The essential point is that while a dilute gas of tightly bound pairs of electrons might behave like a Bose gas (18) this is not the case when the mean spacing between pairs is very small compared to the size of a given pair. In this case the inner structure of the pair, i.e., the fact that it is made of fermions, is essential; it is this which distinguishes the pairing condensation, with its energy gap for single pair translation as well as dissociation, from the spectrum of a Bose con-

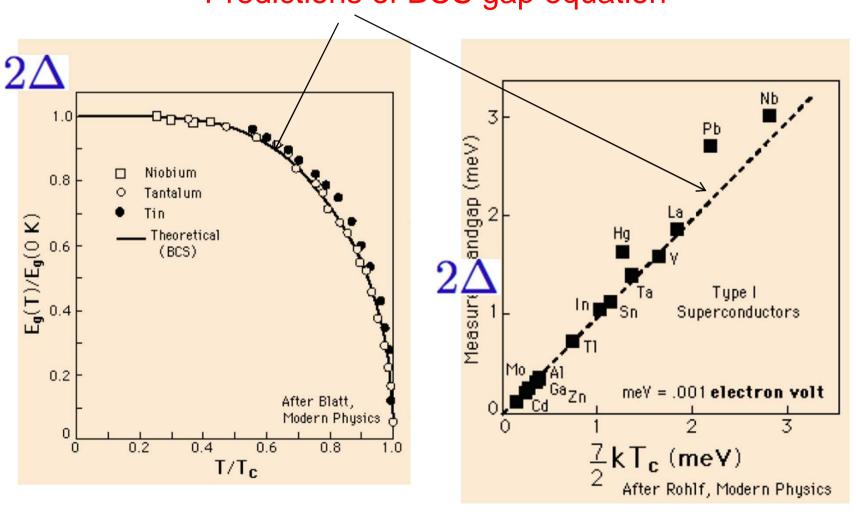
densate, in which the low energy exictations are Bose-like rather than Fermilike as occurs in acutal superconductors. As London emphasized, the condensation is an ordering in occupying momentum space, and not a space-like condensation of clusters which then undergo Bose condensation.

In summary:
BCS Superconductivity = large Cooper pairs,

→ very little phase fluctuations

# Early success of BCS theory

Predictions of BCS gap equation



# However: superconductivity is more general than that described by BCS....

#### Phil Anderson:



2. 
$$\mathbf{j} = -\frac{e^2 \rho_s}{\hbar c} \mathbf{A}$$
 London Eq.

3. No need for a gap...

### conditions:

- 1. Spontaneously broken gauge symmetry
- 2. Wave function rigidity
  Superconductors and superfluids

#### New classes of superconductors

### The "glue" mechanism

Transition temperatures of well-known superconductors (Boiling point of liquid nitrogen for comparison)

Transition Temperature (in Kelvin)	Material		Class
138	Hg <sub>12</sub> Tl <sub>3</sub> Ba <sub>30</sub> Ca <sub>30</sub> Cu <sub>45</sub> O <sub>127</sub>	electron-	electron (?)
110	Bi <sub>2</sub> Sr <sub>2</sub> Ca <sub>2</sub> Cu <sub>3</sub> O <sub>10</sub> (BSCCO)		Copper-oxide superconductors
92	YBa <sub>2</sub> Cu <sub>3</sub> O <sub>7</sub> (YBCO)		
77	Boiling point of liquid nitrogen		
		el-el + e	I-ph (?)
18	Nb <sub>3</sub> Sn		electron-phonon
10	NbTi		Metallic low-temperature supercond
4.2	Hg (Mercury)		

What is the <u>condensation</u> mechanism?

### Classification by Coherence Lengths

$$\xi$$
 = vortex core radius > Cooper pair size  $k_F \xi_{BCS} \sim \frac{\epsilon_F}{2\pi\Delta} >> 1$ 

Guy	Deutscher & Bok 199	$T_c$ (K)	<u>e</u>	$\xi \ (\mu { m m})$	
	Aluminium (1) Indium (1)	1.19 3.40	<sup>r</sup> egin	1.20 0.33	
	Tin (1) Callium (1)	$\frac{3.72}{5.90}$	S	$0.26 \\ 0.16$	
	Lead (1)	7.20	$\mathcal{O}$	0.080	
	Niobium (1)	9.25	$\mathbf{\Omega}$	0.035	
	$PbMoS_8$ (2)	15		0.0025	
	$Nb_3Sn$ (1)	17		0.0040	
	$C_{60}K_{3}$ (3)	19		0.0030	
	$C_{60}Rb_{3}(3)$	31		0.0023	
	Pr.4Y,6Ba2Cu3O7 (4)	40		0.007	
	$YBa_2Cu_3O_7$ (1)	93		0.0015	
d 6-6	BaFe <sub>1.8</sub> Co <sub>0.2</sub> As <sub>2</sub> Yi Yin et. al. 2009			0.0027	
\$-\$ <del>\$</del>	tightly bound	pairs – interacting	boso	ons	

### Small Cooper pairs Versus Schafroth bosons

# Schafroth:

'electron pairs are weakly interacting bosons'—theory

didn't work....



However:

Small Cooper pairs are <u>hard core Lattice Bosons</u>

1. Underlying lattice periodicity:

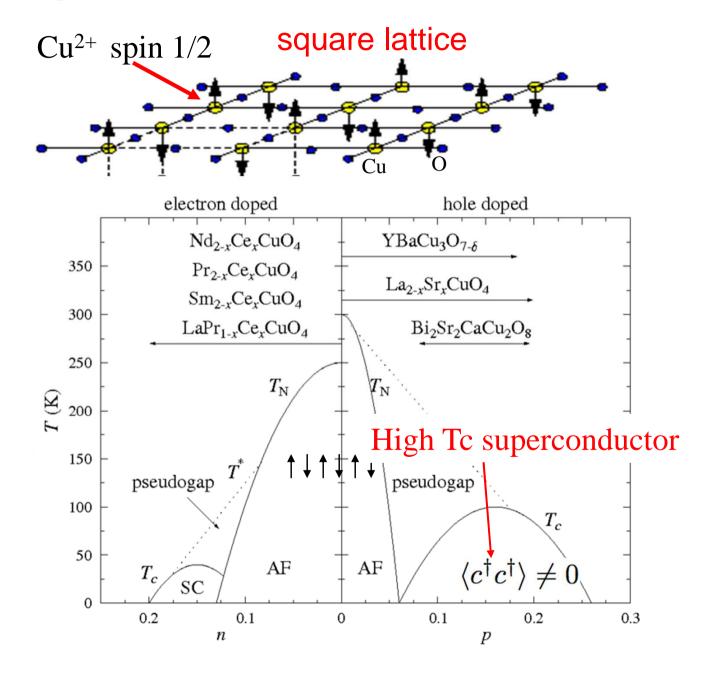
2. Hard Core constraints

$$(b_i^{\dagger})^2 = (c_{i\uparrow}^{\dagger} c_{i\downarrow}^{\dagger})^2 = 0$$

→ Mott phases, current is scattered by lattice potential,

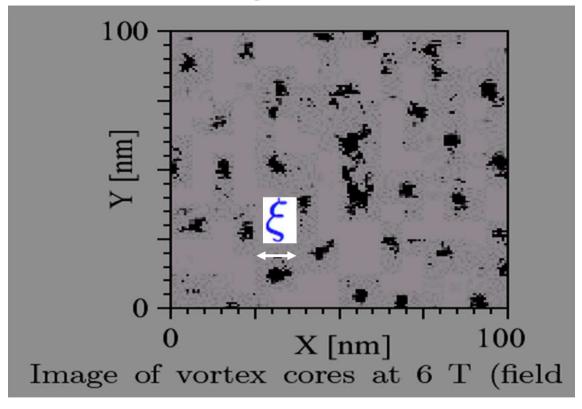
Lattice induced Berry phases, modified vortex dynamics

### High Tc Cuprates, 1987

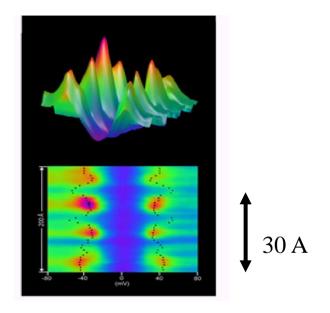


### Cuprates: Coherence Length ξ

Hoogenboom et.al



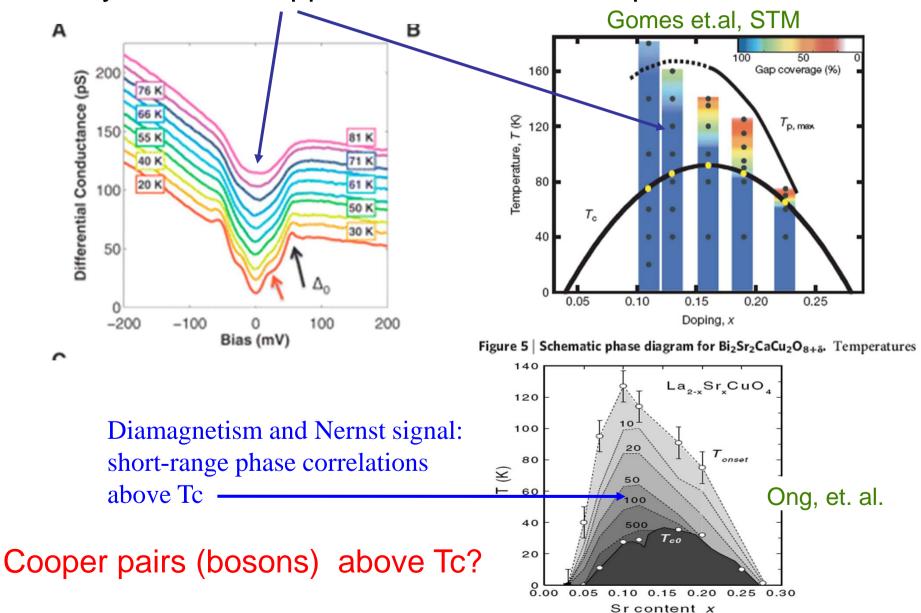
Howald et.al (Stanford 00')
Pan et.al (Berkeley)



$$\xi \approx 20 \stackrel{0}{A} \approx 3a$$
 small pairs  $H_{c2} \equiv \frac{hc}{2e\xi_0^2} \sim 100T$ 

### The "Pseudogap Problem"

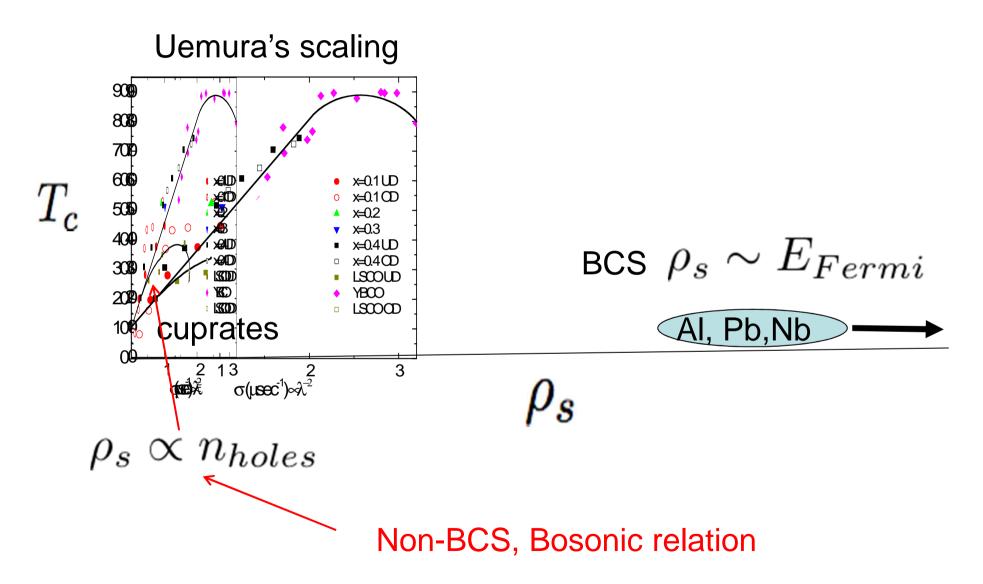
Density of states suppression in the normal phase Loeser et. al '96



## Cuprates Boson – Fermion phenomenology

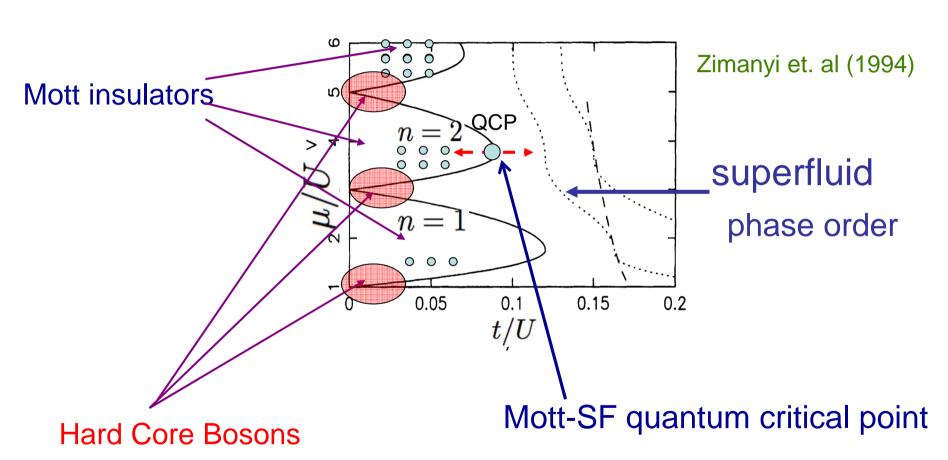
"Plaquette Boson-Fermion model of cuprates", Altman & AA, PRB (2002) Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>8</sub> Unpaired electrons uncondensed boson liquid + nodal fermions pseudogap Condensed hole-pair bosons AF SC0.2 0.1 0.3 0

# The "Superfluid Stiffness problem"

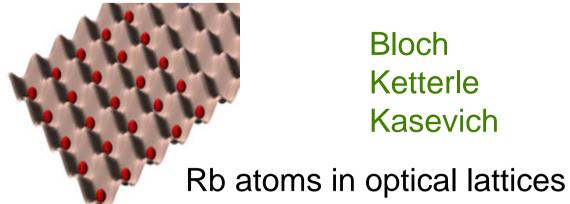


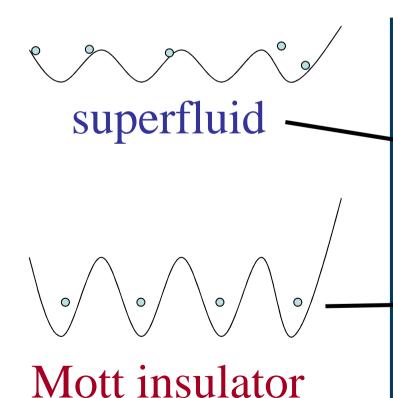
#### Phases of lattice bosons

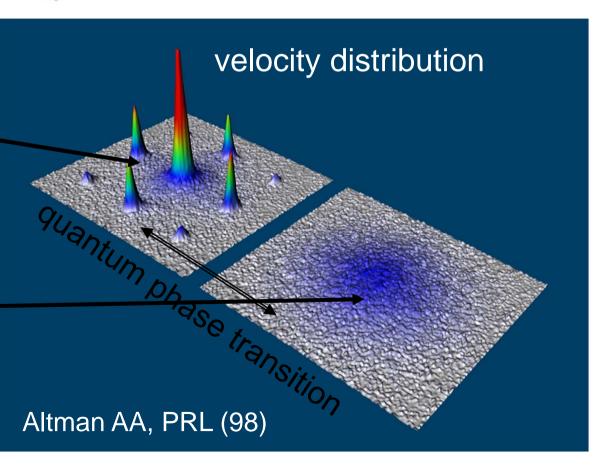
$$\mathcal{H} = -t\sum_{ij} a_i^{\dagger} a_j + U\sum_i n_i^2 - \mu\sum_i n_i$$

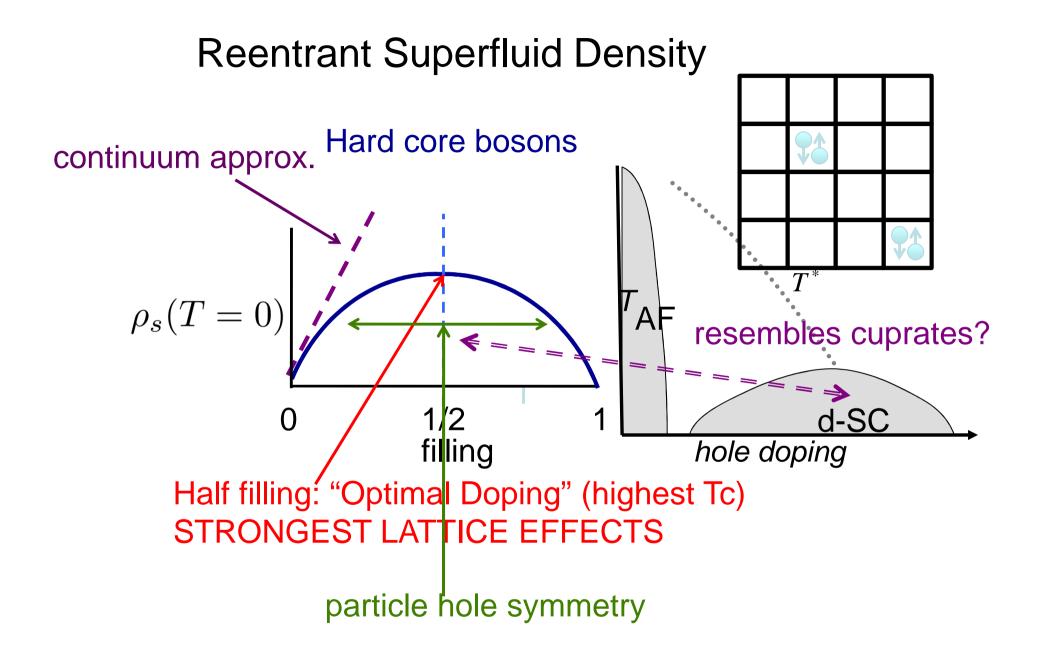


## **Mott-SF transition**

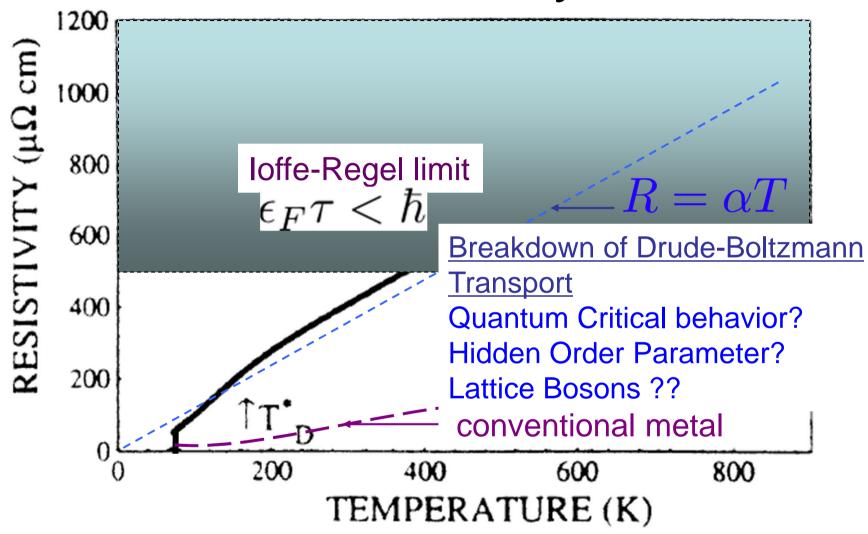








# The "Linear Resistivity Problem"

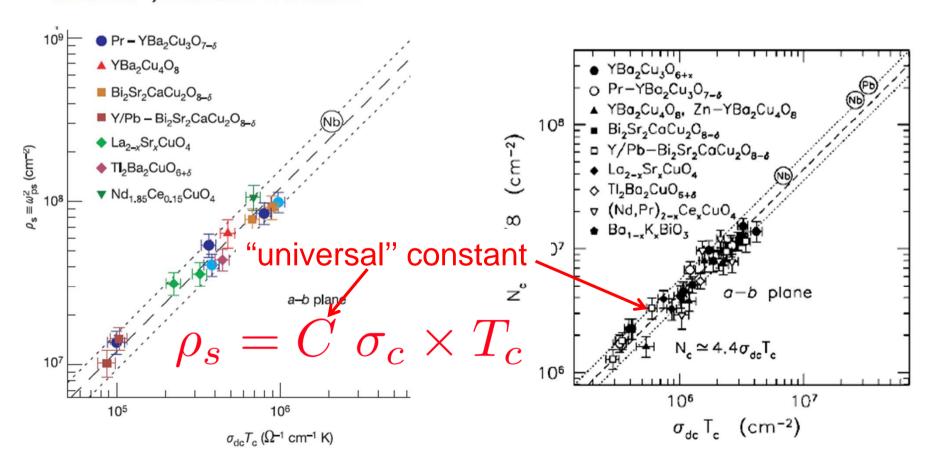


Emery & Kivelson `Bad Metal' behavior

### "Homes Law"

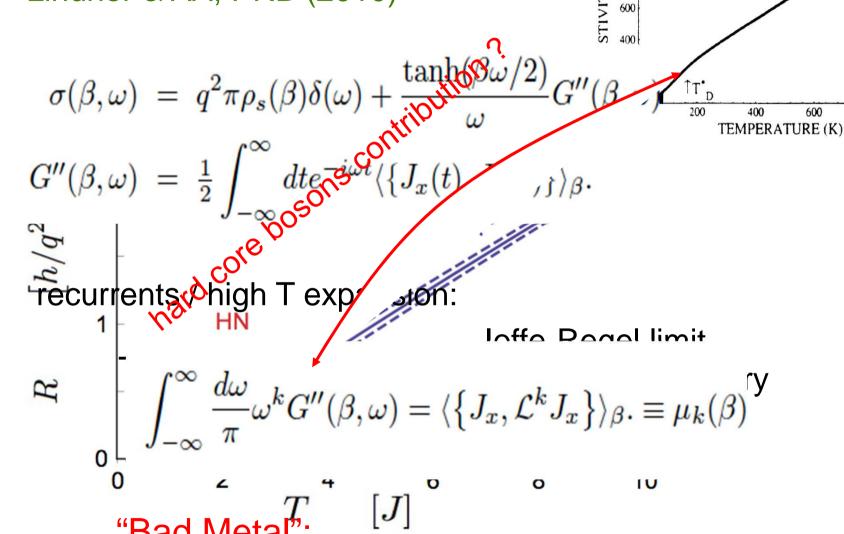
## A universal scaling relation in hightemperature superconductors

C. C. Homes<sup>1</sup>, S. V. Dordevic<sup>1</sup>, M. Strongin<sup>1</sup>, D. A. Bonn<sup>2</sup>, Ruixing Liang<sup>2</sup>, W. N. Hardy<sup>2</sup>, Seiki Komiya<sup>3</sup>, Yoichi Ando<sup>3</sup>, G. Yu<sup>4</sup>, N. Kaneko<sup>5</sup>\*, X. Zhao<sup>5</sup>, M. Greven<sup>5,6</sup>, D. N. Basov<sup>7</sup> & T. Timusk<sup>8</sup>



## Conductivity of hard core bosons

Lindner & AA, PRB (2010)



1000

YBa<sub>2</sub>Cu<sub>4</sub>O<sub>8</sub>

800

Ill a-axis

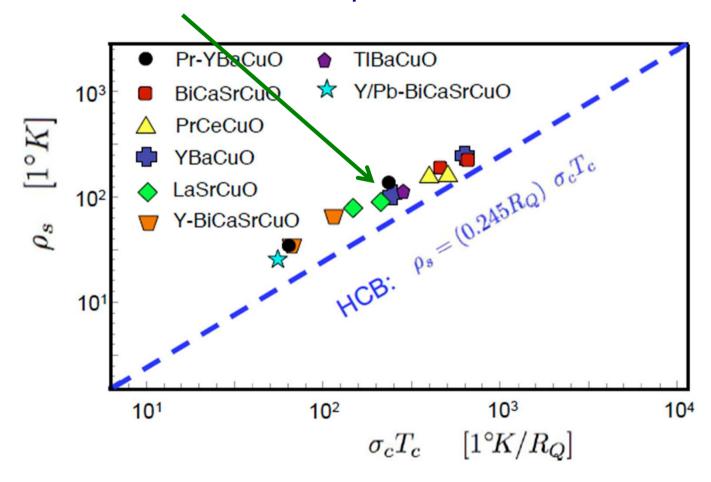
linear increase, no resitivity saturation

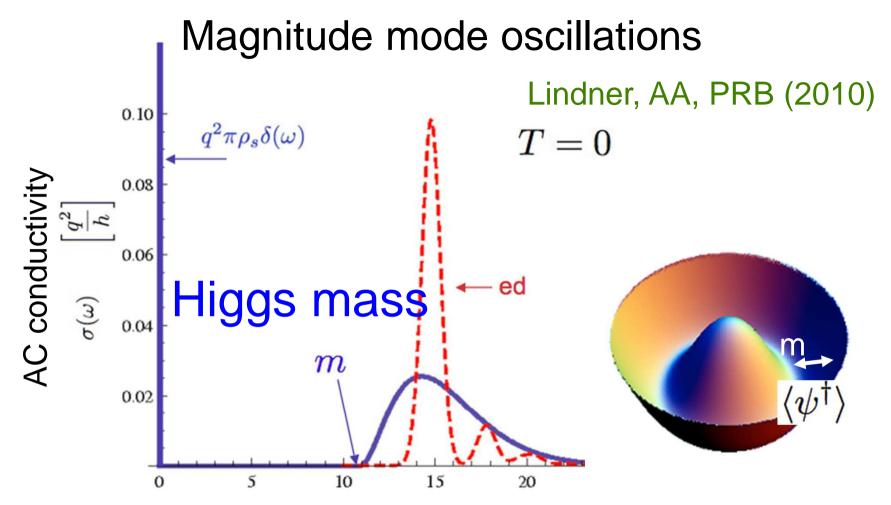
"Homes law" of HCB

Lindner & AA, PRB (2010)

$$\rho_s = 0.245 \frac{h}{4e^2} \left(\frac{dR}{dT}\right)^{-1}$$

Data: Homes et. al. Boson quantum of resistance





Analogues: (w Daniel Podolsky)
Oscillating coherence near Mott phase of optical lattices
Magnitude mode in 1-D CDW's
2-magnon Raman peaks in O(3) antiferromagnets

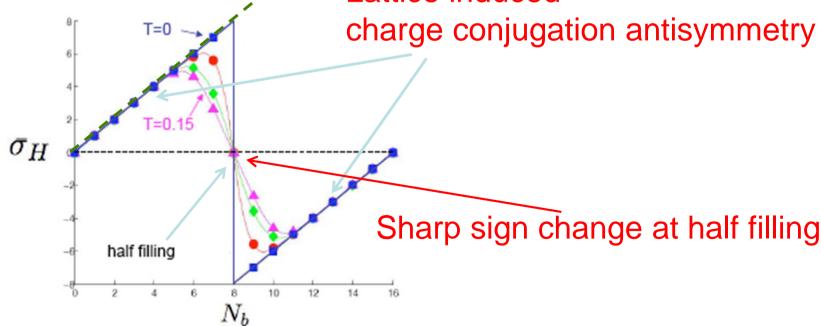
## Hall Conductance of Hard Core Bosons

Thermally averaged Chern numbers

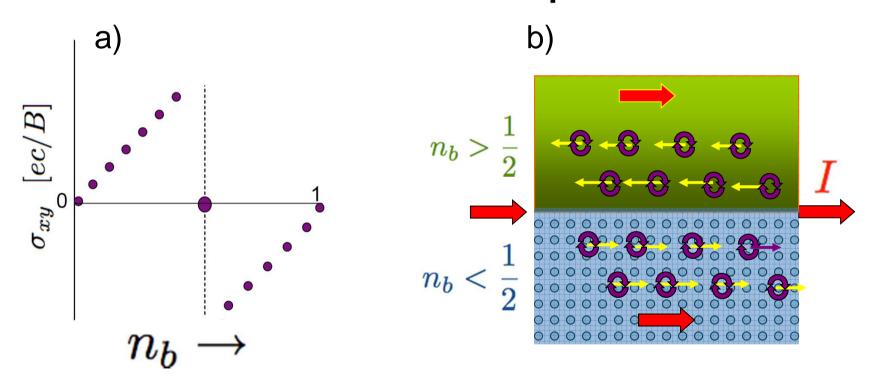
$$\sigma_{\rm H}(n_b,T) \; = \; \frac{1}{4\pi} \sum_{n=0}^{\infty} \int_0^{2\pi} \int_0^{2\pi} d^2 \Theta \; \; \frac{e^{-E_n/T}}{Z} \; \, {\rm Im} \left\langle \frac{\partial \psi_n}{\partial \Theta_x} \middle| \frac{\partial \psi_n}{\partial \Theta_y} \right\rangle$$

Gross Pitaevskii

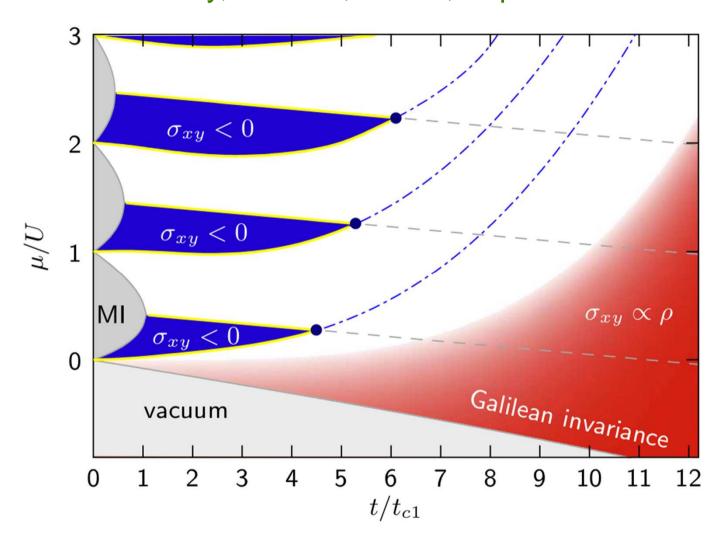
Lattice induced



# Drift direction reversal: proposed cold atoms experiment



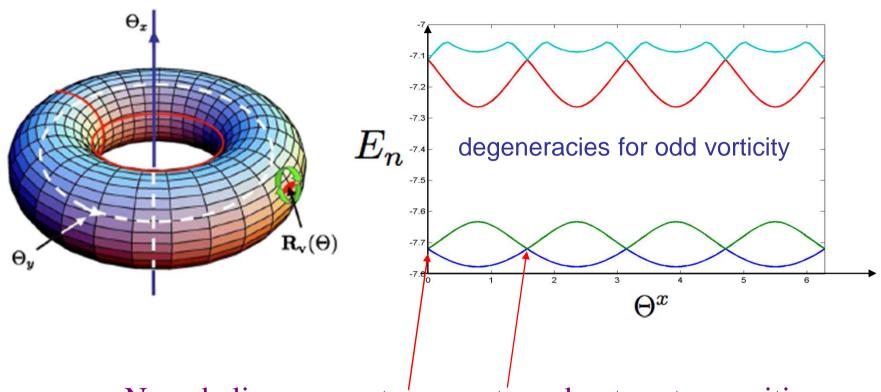
Hall Coefficient Map Podolsky, Lindner, Huber, unpublished



Lattice induced Hall coefficient variations

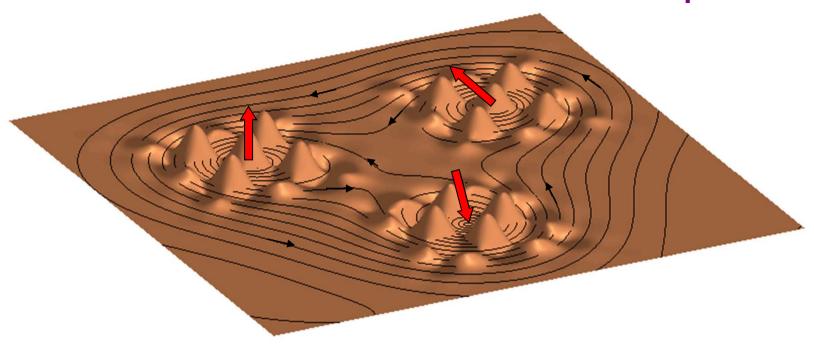
## Emergence of "Vortex spin"

Lindner, AA, Arovas, PRL (2009); PRB 2010



Non abelian symmetry operators about vortex position: Each vortex carries local spin half ('V-spin').

## Illustration of 3 vortices with v-spin

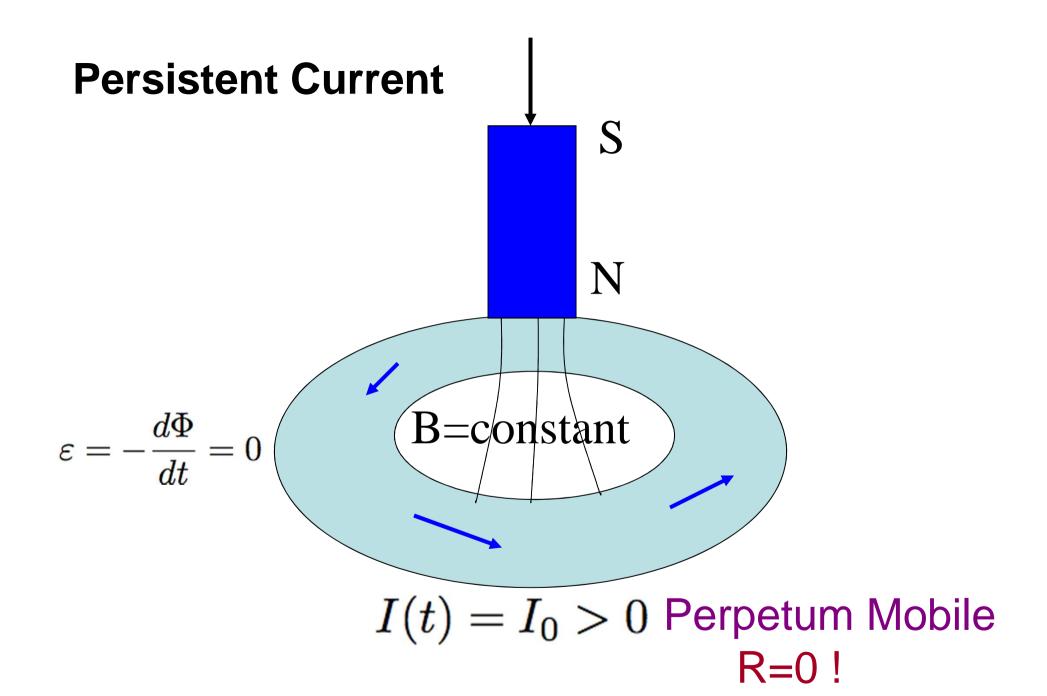


## Implications of v-spins:

- 1. order: CDW (supersolid) in the vortex lattice
- 2. Low temperature entropy of v-spins

# Summary

- "Conventional superconductors": large superfluid density and long coherence length. BCS Superconductivity, Normal phase = Fermi liquid.
- 2. "High Tc" superconductors exhibit low superfluid density and short coherence lengths bosonic phenomenology.
- 3. Schafroth's BEC superconductivity fails to include interactions and lattice effects on the bosons.
- Hard core bosons exhibit reentrant SF density, "bad metal" resistivity, Hall sign changes, and vortex degeneracies -



# The "Superfluid Stiffness problem"

#### Letters to Nature

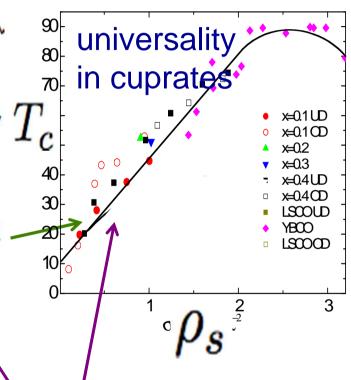
Nature 374, 434-437 (30 March 1995) | doi:10.1038/374434a0; Receiv March 1995

Importance of phase fluctuations in superconductors with small superfluid density  $T_c$ 

V. J. Emery & S. A. Kivelson

Bosonic superfluids  $T_c \sim \rho_s \sim$  BCS:  $\rho_s \sim E_F >> T_c$ 

Uemura's Plot



Was Schafroth right for cuprates:

Superconductivity=Bose condensation?

#### Continuum bosons VS

## Lattice bosons

Gross-Pitaevskii theory

$$S_{\rm GP} = \int \!\! d^2x \! \int \!\! dt \left[ \psi^* (\partial_t - \mu) \psi \right. \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \mathcal{H} = -2J \sum_{\langle ij \rangle} \left( e^{iqA_{ij}} S_i^+ S_j^- + e^{-iqA_{ij}} S_i^- S_j^+ \right) \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \mathcal{H} = -2J \sum_{\langle ij \rangle} \left( e^{iqA_{ij}} S_i^+ S_j^- + e^{-iqA_{ij}} S_i^- S_j^+ \right) \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \mathcal{H} = -2J \sum_{\langle ij \rangle} \left( e^{iqA_{ij}} S_i^+ S_j^- + e^{-iqA_{ij}} S_i^- S_j^+ \right) \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle| (-i\nabla - qA) \psi \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle|^2 + \frac{1}{2} g |\psi|^4 \right] \\ \left. + \frac{1}{2m^*} \middle|^2 + \frac{1}{2} g |\psi|$$

Gauged Spin ½ XXZ model

$$\mathcal{H} = -2J \sum_{\langle ij \rangle} \left( e^{iqA_{ij}} S_i^+ S_j^- + e^{-iqA_{ij}} S_i^- S_j^+ \right) + 4V \sum_{\langle i,j \rangle} S_i^z S_j^z - \mu \sum_i (S_i^z + \frac{1}{2}).$$

## Dynamics determined by:

Galilean symmetry

At fillings n/2, n=1,2,... Relativistic dynamics, Charge conjugation symmetry, Berry phases

#### Hall conductance

$$\sigma_{\mathrm{Hall}} = \frac{nec}{B}$$

$$\sigma_{\mathrm{Hall}} \neq \frac{nec}{B}$$

<sup>4</sup>He, Cold atoms in large traps JJ arrays, Cold atoms in Optical lattices short coherence length SC

#### **Order Parameter**

$$\langle b^{\dagger} \rangle = \sqrt{n}$$

**Galilean invariance** 

$$\langle b^{\dagger} \rangle \neq \sqrt{n}$$

## Superfluid density

$$\rho_s = \frac{\hbar^2 n}{m_b}$$

$$\rho_s \propto n(1-n)$$

## Transition temperature

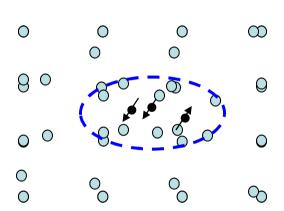
$$T_c = \frac{2\pi\hbar^2}{m} \left(\frac{n}{\zeta_{3/2}(1)}\right)^{2/3}$$

$$T_c \propto \rho_s(T=0)$$

## Cooper pairing

### Electron phonon interactions:

- 1. 2 electrons share lattice deformations
- 2. Attraction is local in space and retarded in time





Pairing in the many electron state

→ Instability of the Fermi surface.

## The race to absolute 0

