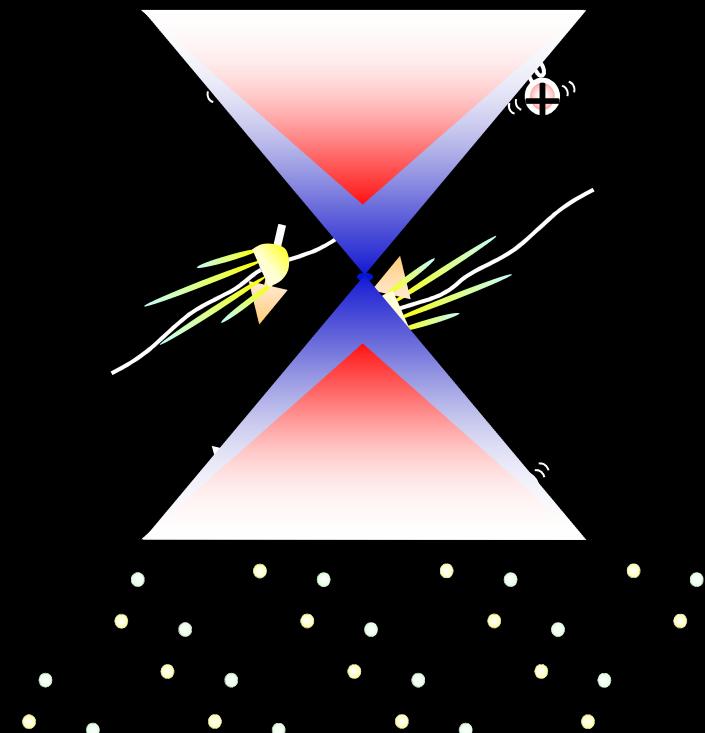


Massless and Massive Electrons: Relativistic Physics in Condensed Matter Systems

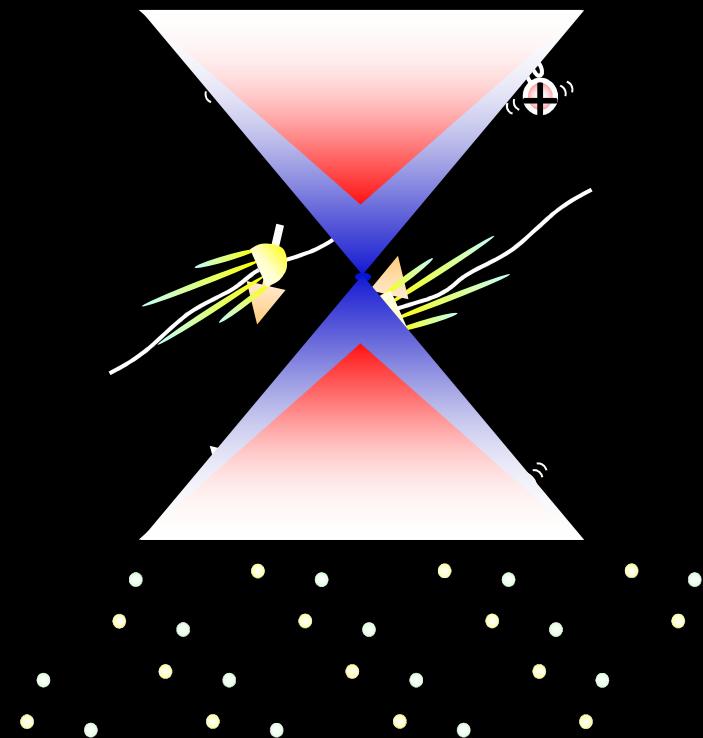
Vidya Madhavan

University of Illinois, Urbana-Champaign



U.S. DEPARTMENT OF
ENERGY

Massless Electrons in Condensed Matter Systems



Emergence

(More is different, P.A)



A termite "cathedral" mound produced by a termite colony



Ripple patterns in a sand dune created by wind or water



Slime mold: For most of its life, the slime mold exists as thousands of single-celled organisms, invisible to the naked eye as they dine on decaying leaves and wood. But when weather conditions become less than ideal, those cells band together, forming a single entity—"they" become an "it." If you used time-lapse photography, you could actually see the macroorganism crawl along, slow as a starfish.

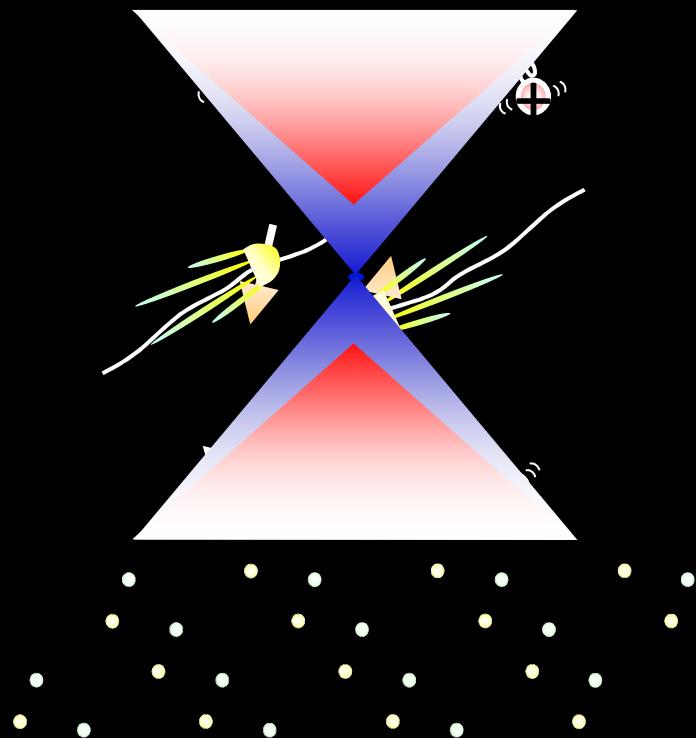


The mind may be the ultimate exemplar of emergence. The brain contains several billion neurons that perform a very simple function: relaying electrical messages across synapses to their neighbors. It's a physical action, yet out of their collective firings somehow arises a psychological phenomenon—the conscious mind.





Relativistic Electrons in Condensed Matter Systems

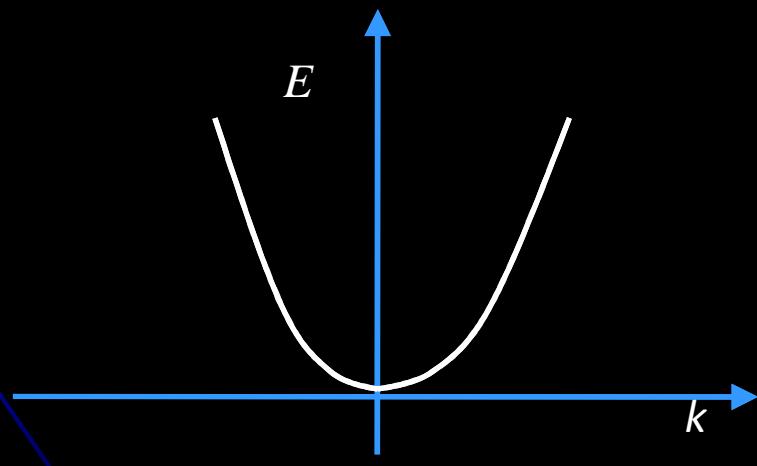




Non-Relativistic Energy and Hamiltonian

$$E = \frac{1}{2}mv^2; \quad mv = p; \quad v = p/m$$

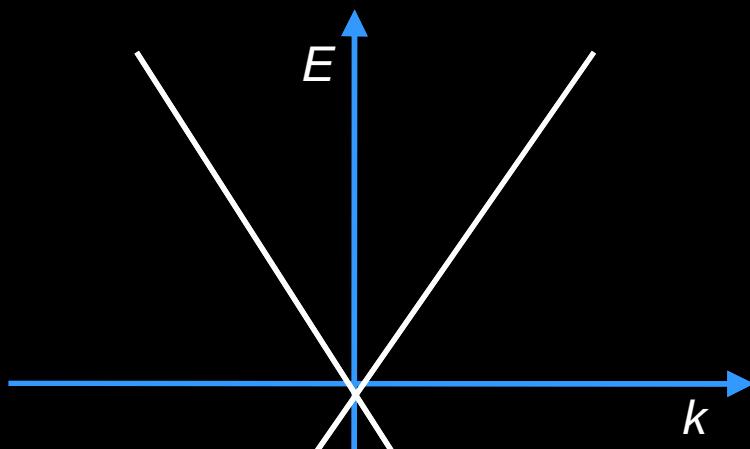
$$E = \frac{p^2}{2m} \xrightarrow{\text{blue arrow}} \hat{H} = \frac{p^2}{2m}; \quad p = \hbar k; \quad E = \frac{\hbar^2 k^2}{2m}$$





Relativistic Energy and `Hamiltonian'

$$E \neq \frac{p^2}{2m}; \quad E^2 = p^2c^2 + m^2c^4; \quad \text{let } m = 0$$
$$E = \pm pc \quad \xrightarrow{\text{blue arrow}} \quad \hat{H} = \pm \hat{p}c; \quad E = \pm \hbar k c$$

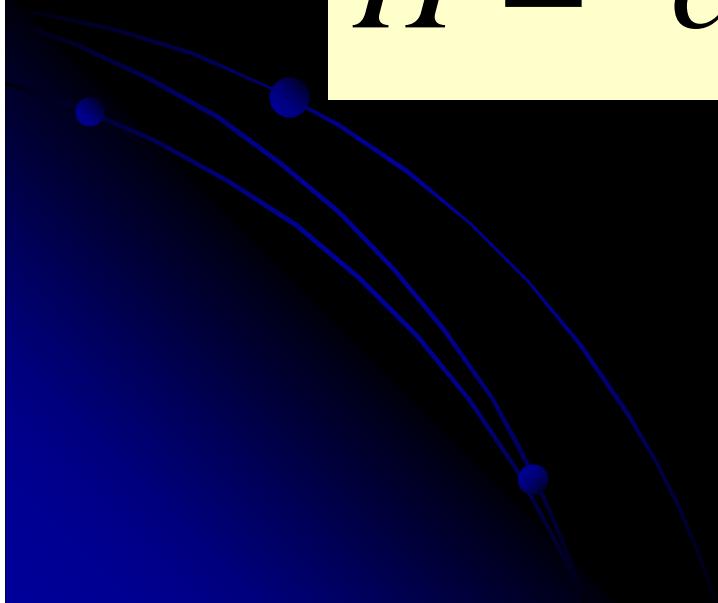




Dirac Hamiltonian

$$\hat{H}^2 \approx \hat{p}^2 c^2$$

$$\hat{H} = c\sigma.p + mc^2\sigma_z \quad (\text{in 2D})$$





Consequences of Dirac Hamiltonian

$$\hat{H} = c\sigma.p$$

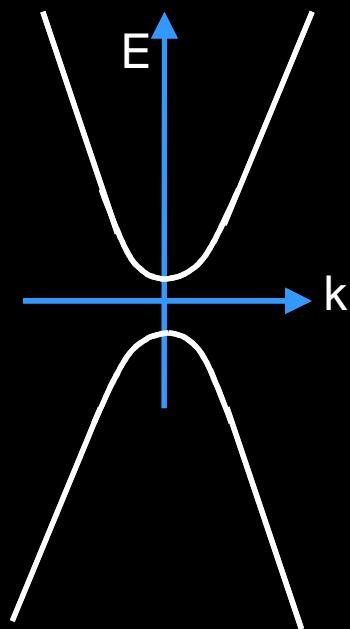
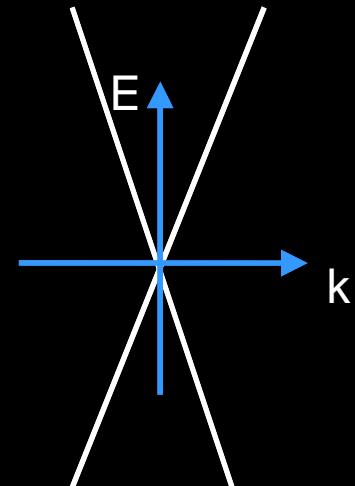
Massless Dirac Particles

$$E(k) = \pm \sqrt{\hbar^2 c^2 k^2}$$

$$\hat{H} = c\sigma.p + mc^2\sigma_z$$

Massive Dirac Particles

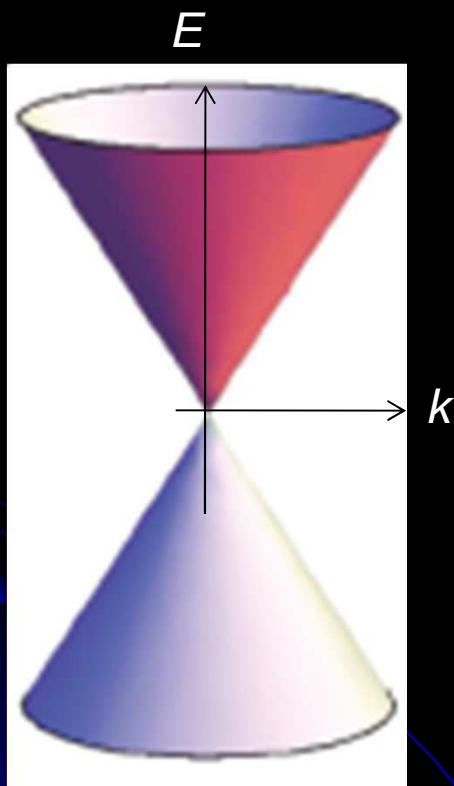
$$E(k) = \pm \sqrt{\hbar^2 c^2 k^2 + (mc^2)^2}$$





Dirac Electrons in 2D

$$\hat{H} = v_F \boldsymbol{\sigma} \cdot \mathbf{p} + m v_F^2 \boldsymbol{\sigma}_z$$



Graphene (2004)

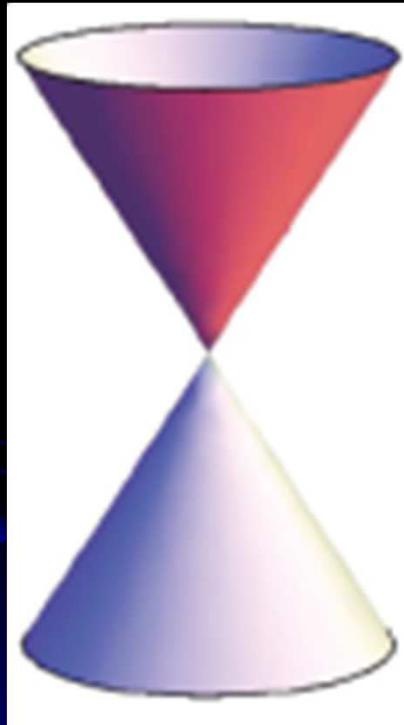
Conventional Z_2 3D Topological Insulators (2007/2008)

Topological Crystalline Insulators (2012)



Dirac Electrons in 2D

$$\hat{H} = v_F \boldsymbol{\sigma} \cdot \mathbf{p} + m v_F^2 \boldsymbol{\sigma}_z$$



Graphene (2004)

Conventional Z_2 3D Topological Insulators (2007/2008)

Topological Crystalline Insulators (2012)

Outline

- The System: Topological Insulators
 - Unique properties of Conventional Z2 Topological Insulators
 - New Topological Material: Topological Crystalline Insulator
- The Technique: Scanning Tunneling Microscopy
 - Interference Patterns
 - Landau Level Spectroscopy
- The Experiment and Results: Breaking Mirror Symmetry to impart Mass to Massless Dirac Fermions
- Outstanding Questions and the Future

Outline

- The System: Topological Insulators
 - Unique properties of Conventional Z2 Topological Insulators
 - New Topological Material: Topological Crystalline Insulator
- The Technique: Scanning Tunneling Microscopy
 - Interference Patterns
 - Landau Level Spectroscopy
- The Experiment and Results: Breaking Mirror Symmetry to impart Mass to Massless Dirac Fermions
- Outstanding Questions and the Future



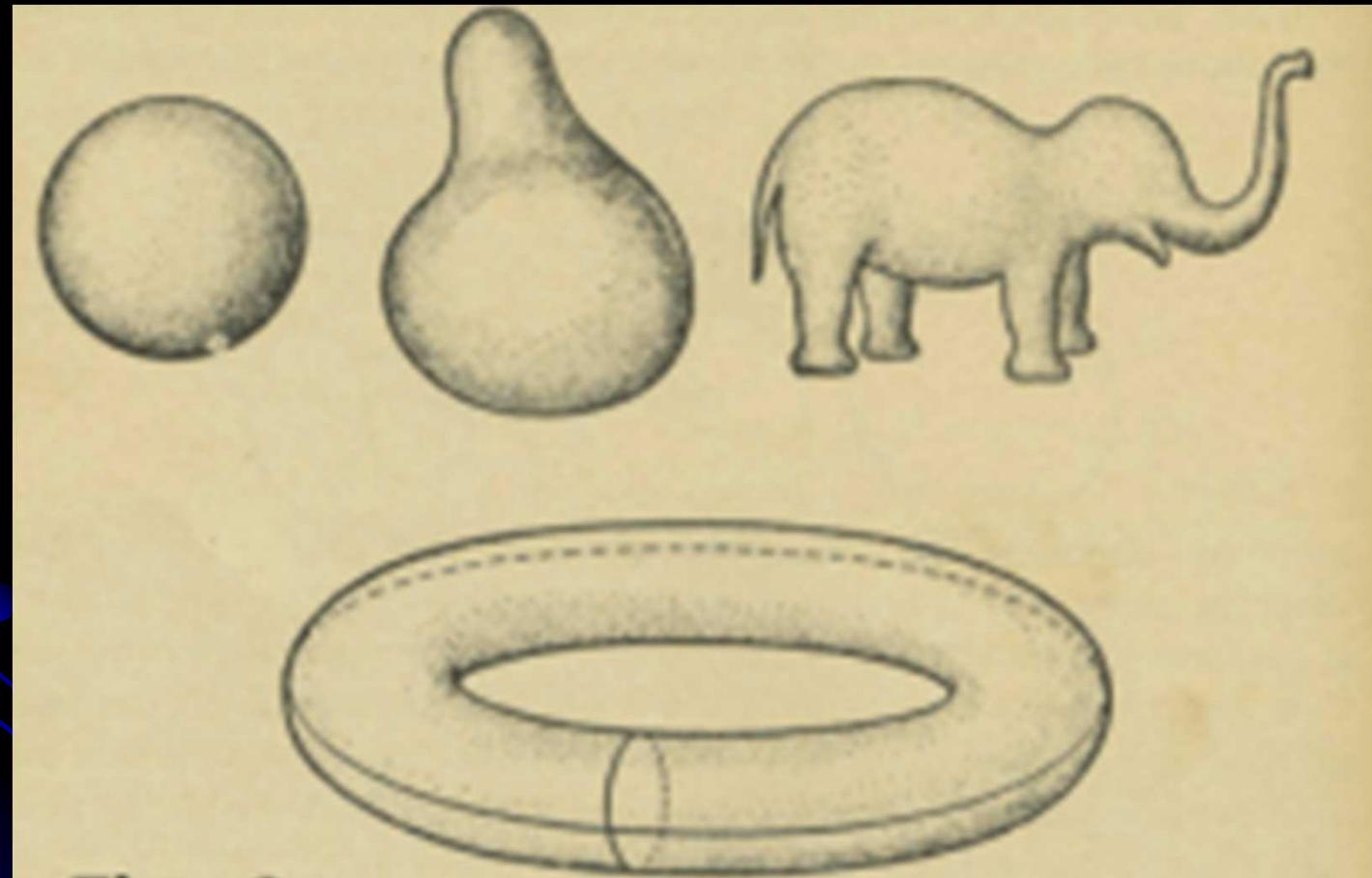
Conventional Topological Insulators

A new phase of matter that is characterized by a topological invariant associated with the bands rather than a broken symmetry

Example of Topological Invariant: Quantized conductance in integer quantum Hall effect



Spot the difference similarity



Topological Invariant for geometric shapes



Gaussian curvature

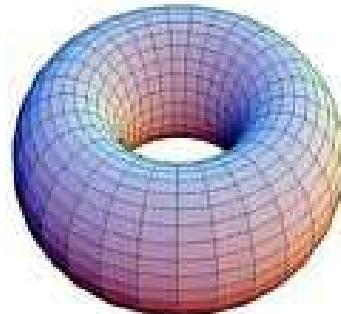
$$\chi = \frac{1}{2\pi} \left[\int_M K dA + \int_{\partial M} k_g ds \right]$$

Geodesic curvature

$\chi=2$



$\chi=0$



$\chi=-2$

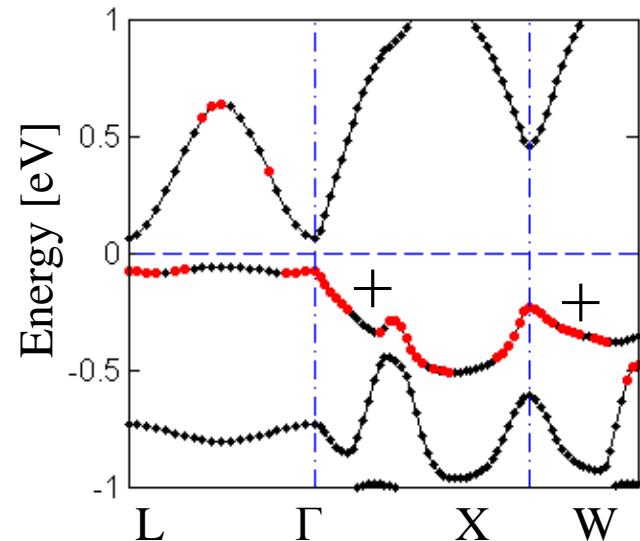


Courtesy Hsin Lin

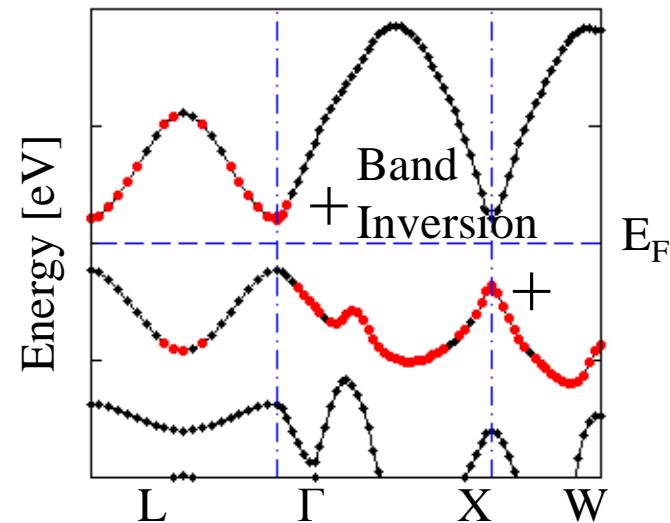


Z_2 Topological Invariant

NORMAL
INSULATOR



INVERTED BAND
INSULATOR



$Z_2 = \text{Even}$

\longrightarrow $Z_2 = \text{Odd}$

Berry connection

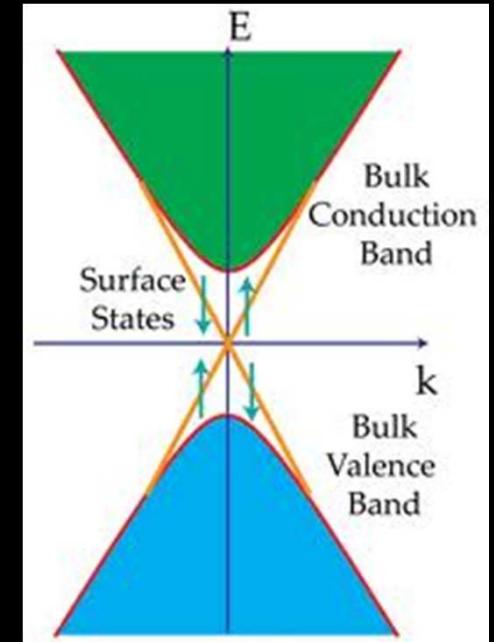
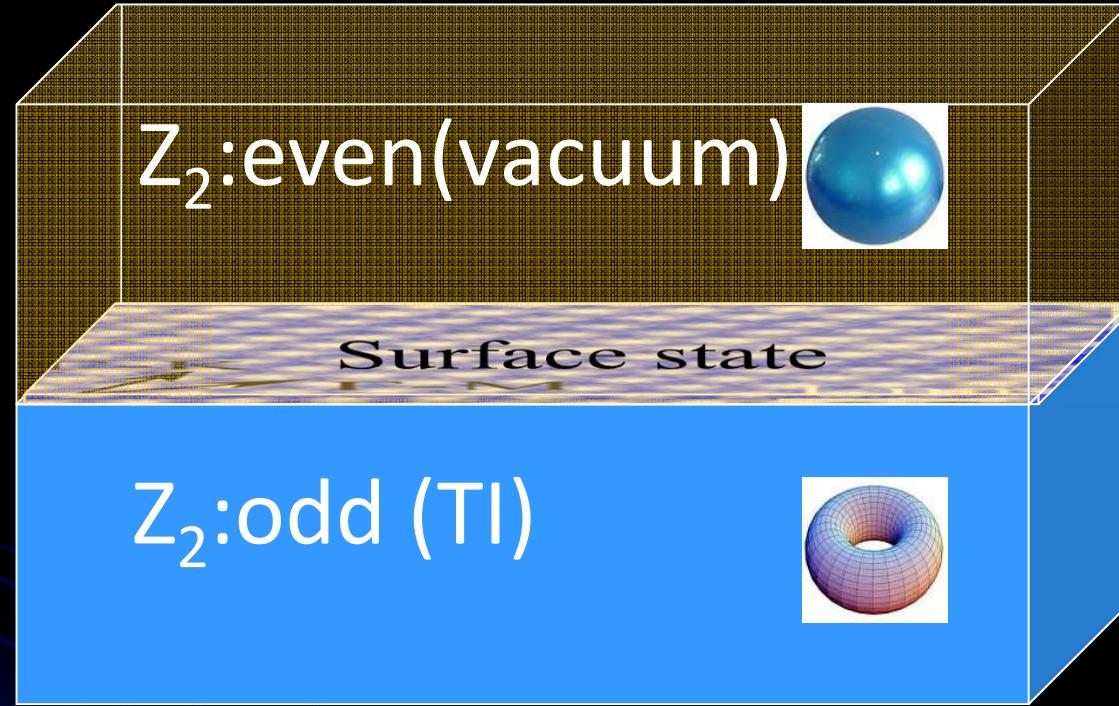
$$\mathbb{Z}_2 = \frac{1}{2\pi} \left[\oint_{\partial\mathcal{B}^+} dk \cdot \mathbf{A}(\mathbf{k}) - \int_{\mathcal{B}^+} d^2k \mathcal{F}(\mathbf{k}) \right] \bmod 2$$

Berry curvature

From Hsin Lin



3D Topological Insulator

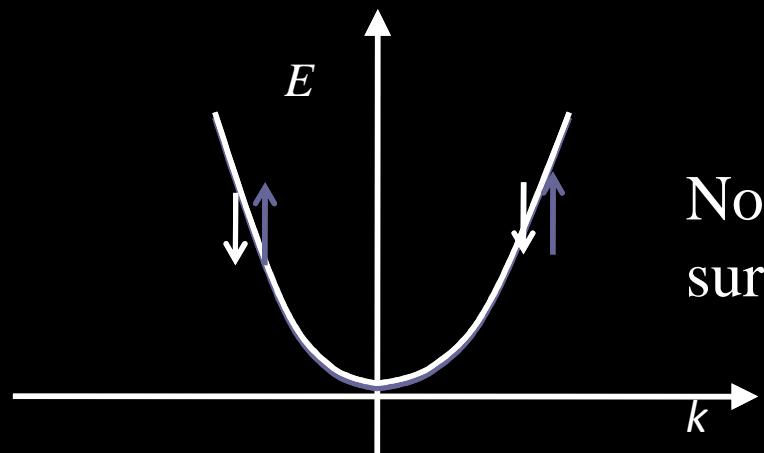


Odd Z₂ topological Invariant **dictates** the existence
of a **Surface State** with unique properties

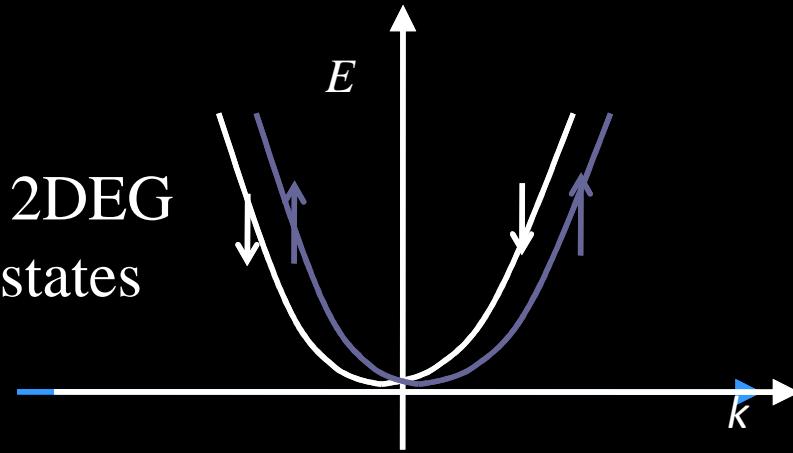


2D Surface States

Conventional surface states



Conventional Rashba Surface states in presence of Strong Spin-Orbit Coupling

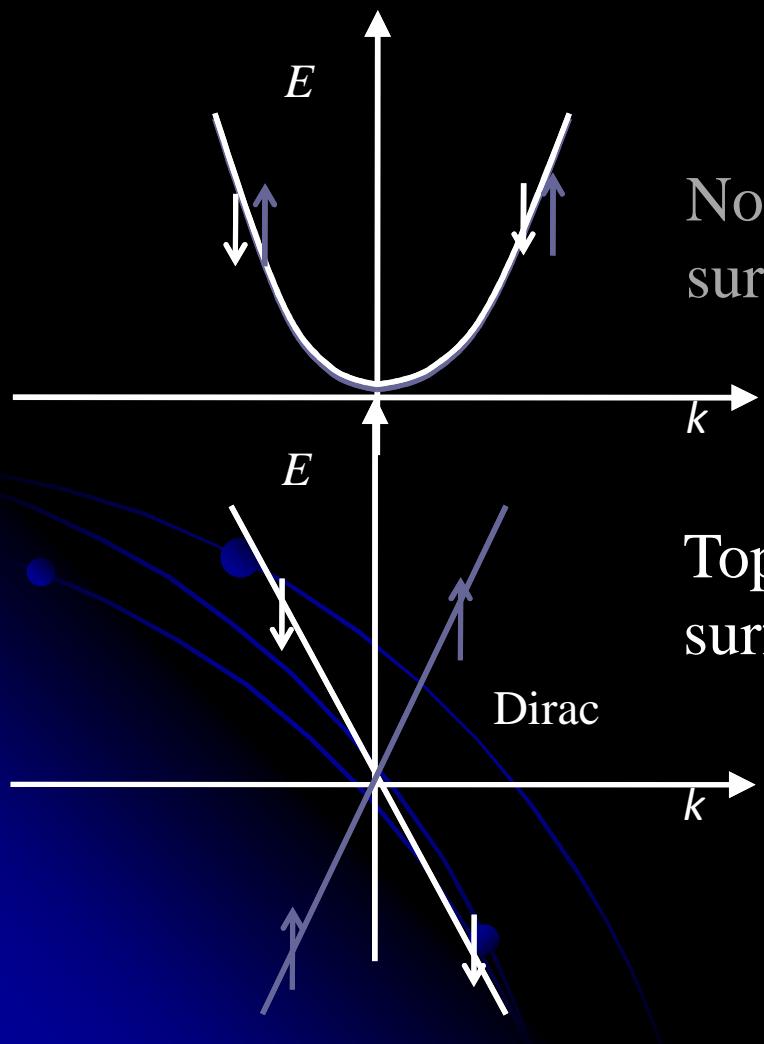


Normal 2DEG surface states

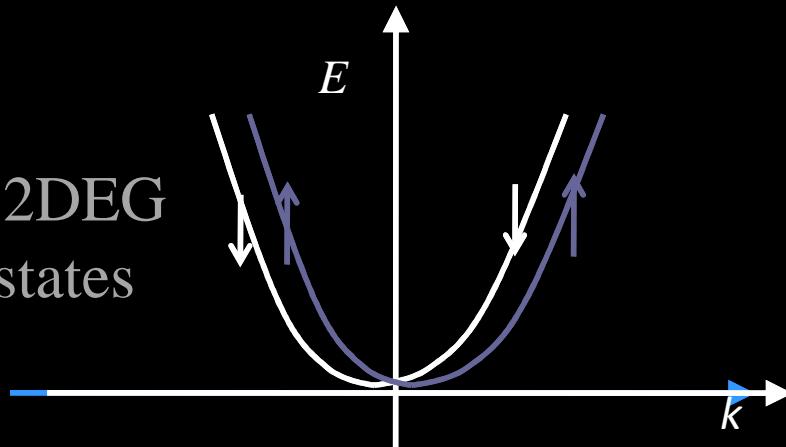


2D Surface States

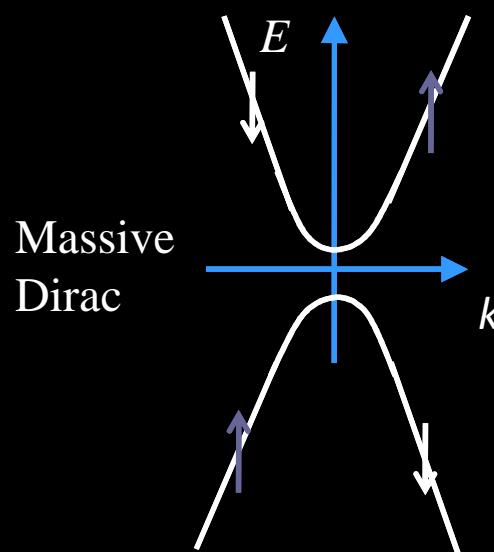
Conventional surface states



Conventional Rashba Surface states in presence of Strong Spin-Orbit Coupling



Normal 2DEG surface states

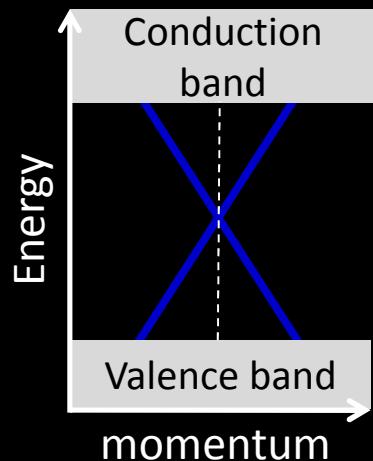


Topological surface states

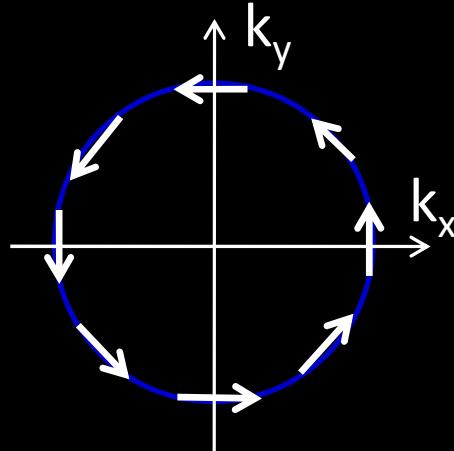
Topological surface states



(I) Linear band dispersion



(III) Chiral spin texture

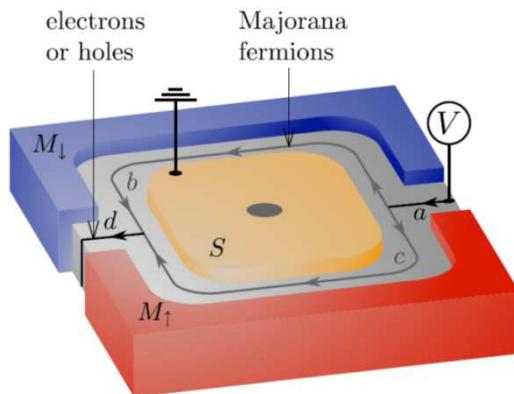


(II) Dirac node protected by:

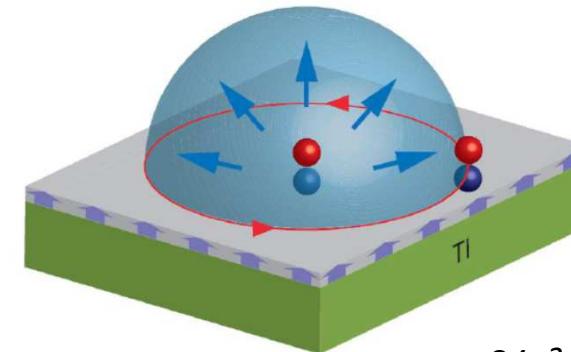
- time-reversal symmetry -- Z_2 topological insulators ($\text{Bi}_2\text{Se}(\text{Te})_3$, $\text{TlBi}(\text{S}_{1-x}\text{Se}_x)_2$, ...)
- crystalline symmetries -- Topological crystalline insulators ($\text{Pb}_{1-x}\text{Sn}_x\text{Se}(\text{Te})$)

3D Topological insulators : Playground for new physics

Majorana fermions Topological quantum computing

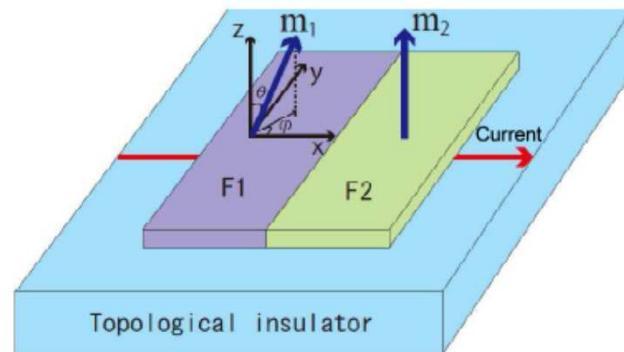


Axion electrodynamics

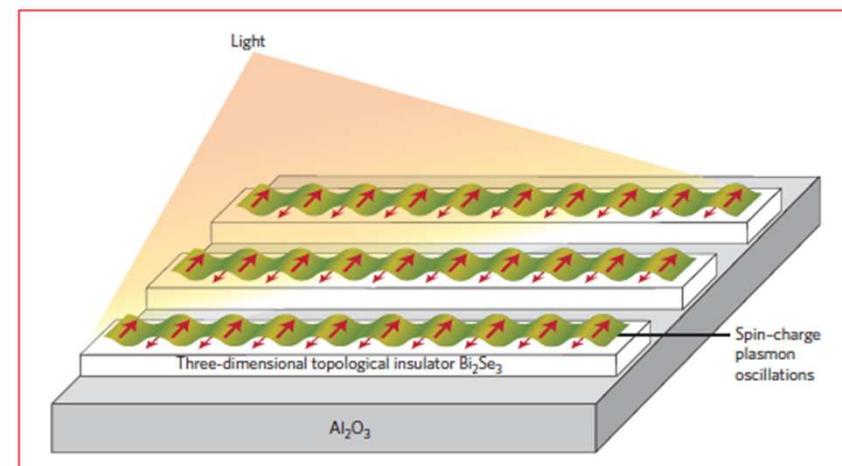


$$\Delta L_{axion} = \vartheta(e^2/2\pi\hbar c) \mathbf{B} \cdot \mathbf{E}$$

Low power spintronics



Spin Plasmons

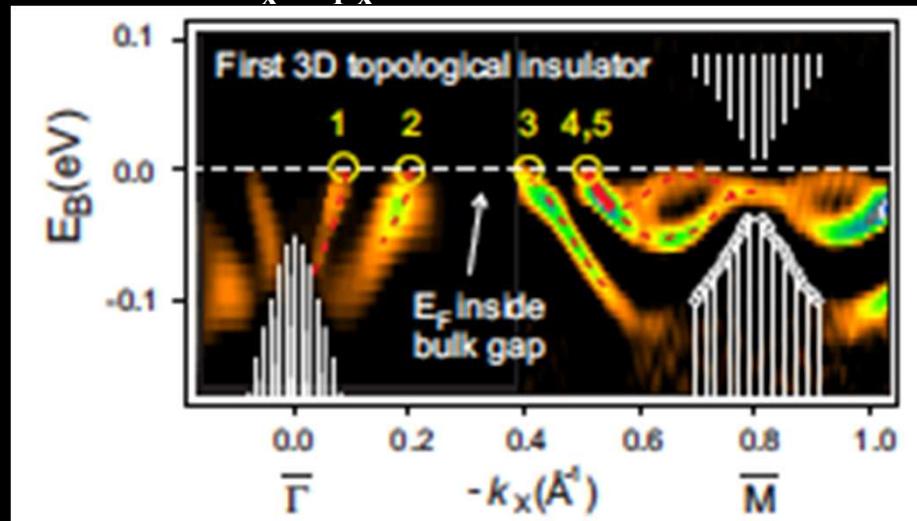


Courtesy David Hsieh

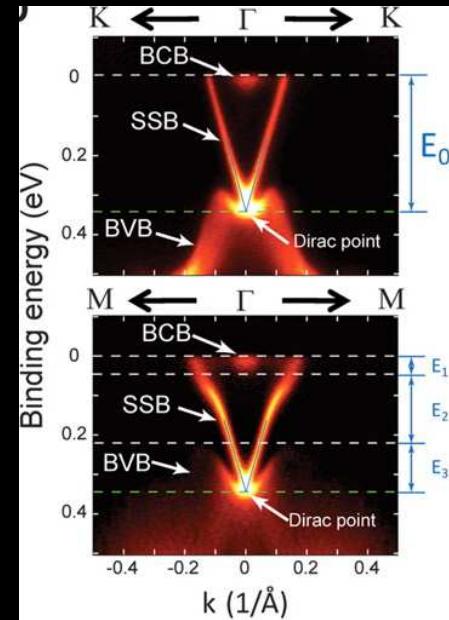
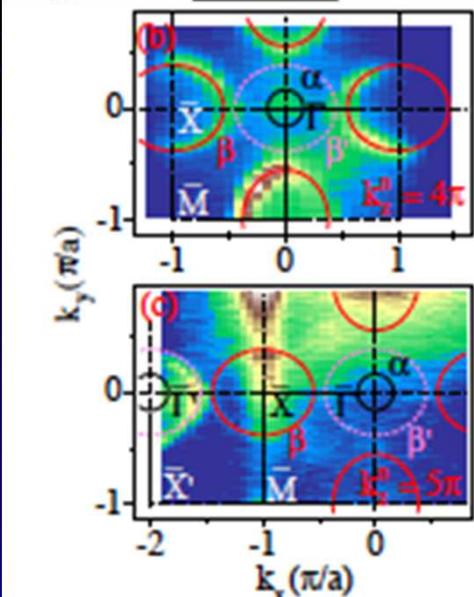


3D Topologically Non-Trivial Families

2008: $\text{Bi}_x\text{Sb}_{1-x}$

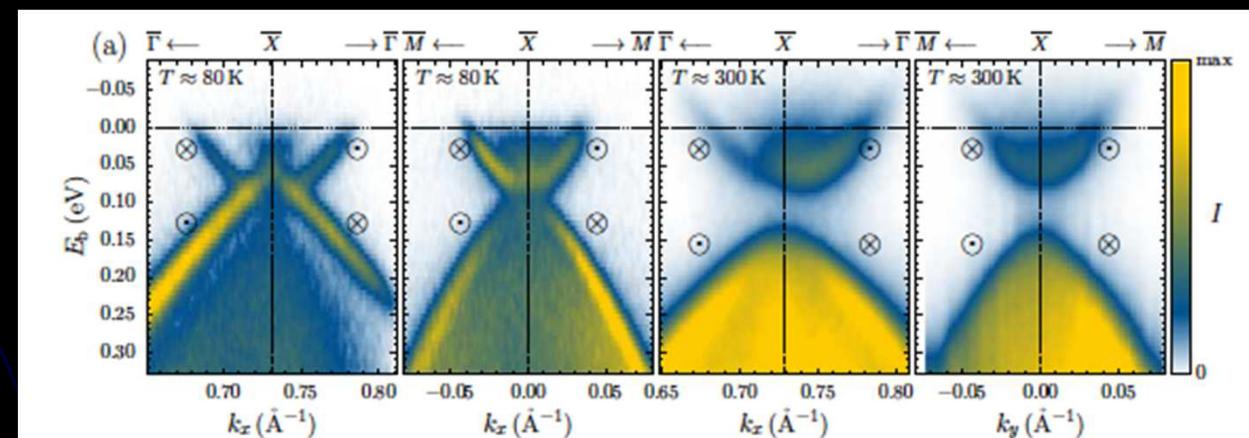


Topological Kondo insulator candidate SmB_6

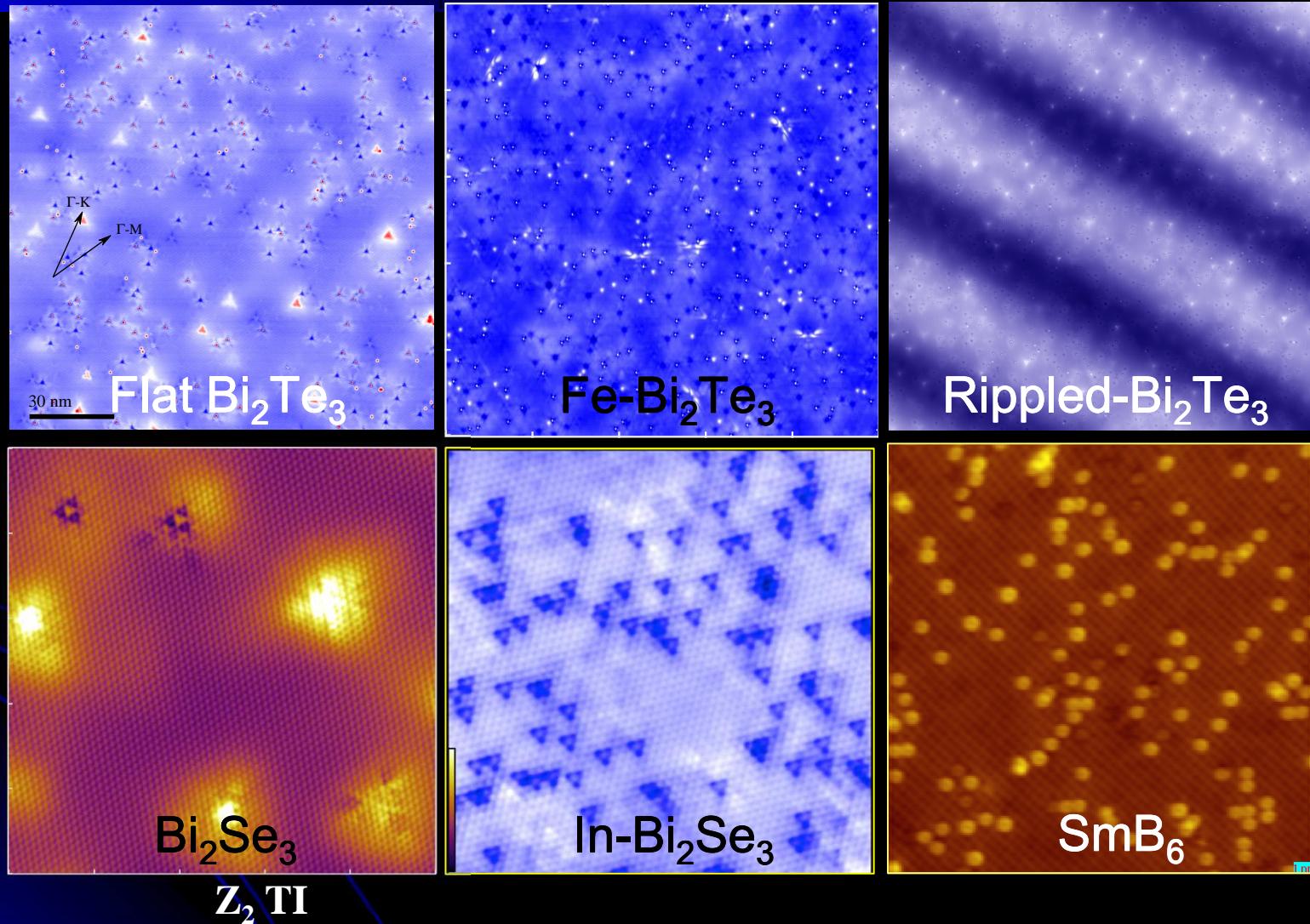


Prototypical TI
 $\text{Bi}_2\text{Se}_3/\text{Bi}_2\text{Te}_3$

Topological Crystalline Insulator: Pb/SnSe



Z_2 3D-TI materials probed at Boston College



Z_2 TI

- Y. Okada *et al.* Phys. Rev. Lett. (2011)
- Y. Okada *et al.* Phys. Rev. Lett. (2012)
- Y. Okada *et al.* Nature Commn. (2013)

STM is also very powerful for TCIs

- Y. Okada *et al.* Science (2013)
- Ilija Zeljkovic and Y. Okada *et al.* (archive)

Essential Ingredients for Topological Material with Dirac Surface States



- Band Inversion
- Insulating Gap
- Symmetry protecting the Dirac Node (Time-Reversal/Mirror/Lattice or crystalline symmetry, etc)
- Spin-Orbit Coupling
 $H_{SO} = \lambda \mathbf{L} \cdot \mathbf{S}$

Essential Ingredients for Topological Material with Dirac Surface States



- Band Inversion

- Insulating Gap

- Symmetry protecting the Dirac Node (Time-Reversal/Mirror/Lattice or crystalline symmetry, etc)

- Spin-Orbit Coupling

$$H_{SO} = \lambda \mathbf{L} \cdot \mathbf{S}$$

Essential Ingredients for Topological Material with Dirac Surface States

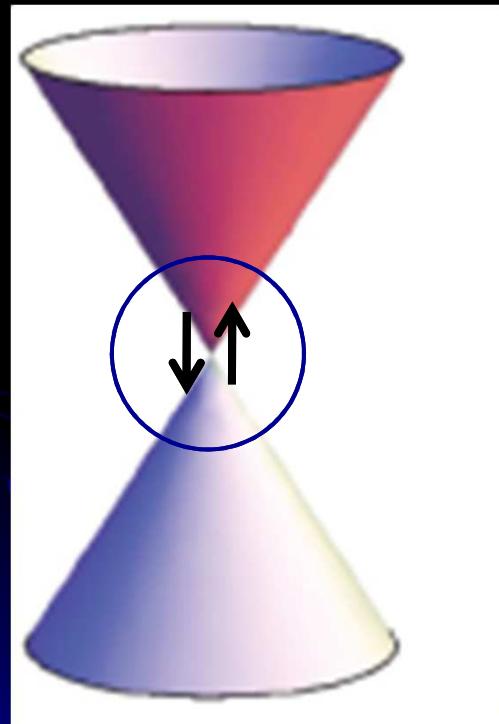


- Band Inversion
- Insulating Gap
- Symmetry protecting the Dirac Node (Time-Reversal/Mirror/Lattice or crystalline symmetry, etc)
- Spin-Orbit Coupling

Role of Symmetry protecting Dirac Point



Two fold degeneracy at Dirac point is protected by a Symmetry



(Eigenstates of the Symmetry Group)

As long as, perturbations don't break the global symmetry, degeneracy cannot be lifted.

Conventional Topological Insulators: Dirac node protected by Time-Reversal symmetry.

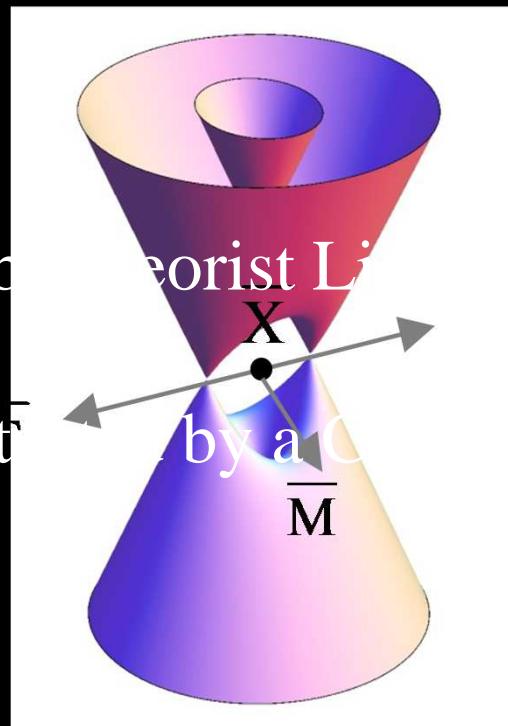
Topological Crystalline Insulators: Degeneracy is protected by Crystalline Symmetries

New Dirac Material: Topological Crystalline Insulators



Predicted by

Dirac point prof



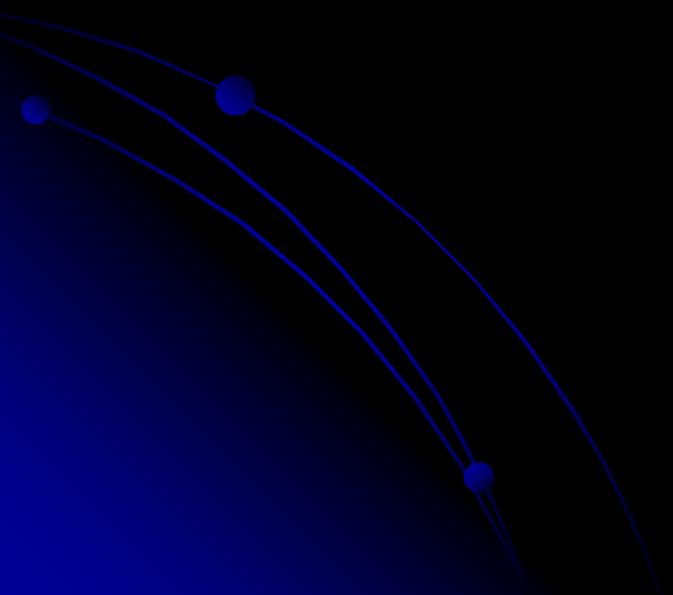
Fu in 2011

line Symmetry

Liang Fu, "Topological Crystalline Insulators", Phys. Rev. Lett. 106, 106802 (2011)



In 2012: ARPES studies suggested Pb/Sn Se and
Pb/Sn Te classes are potentially TCI



$Pb_{1-x}Sn_xSe$: Promising TCI class of materials



Transition from a TCI to a trivial phase may form the basis of a thermal 'switch'

High mobility ($30,000\text{ cm}^2\text{ V}^{-1}\text{s}^{-1}$ for $Pb0.77Sn0.23Se$ at liquid-helium temperatures)

Extraordinary tunability by alloying and temperature: ferromagnetism, superconductivity, ferroelectricity ...

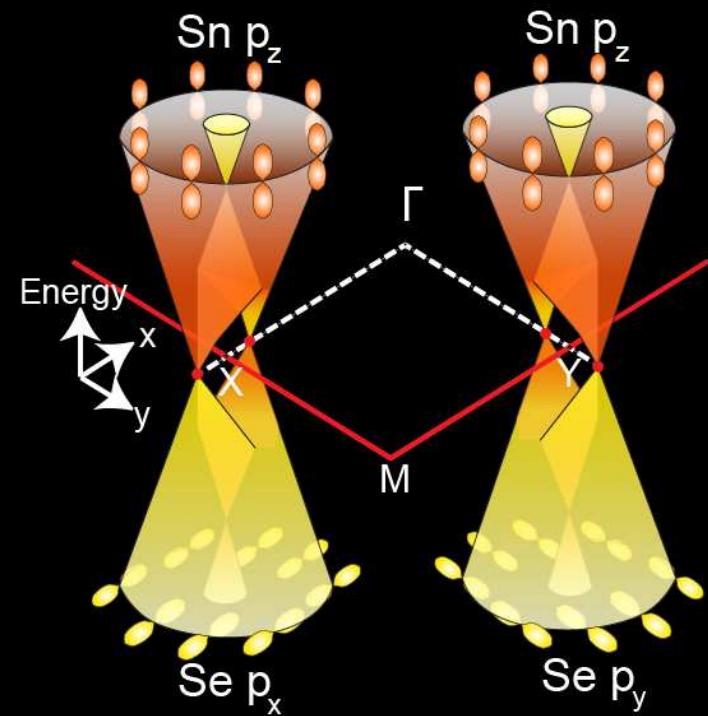
Potential device applications: tunable electronics, spintronics and spin-plasmonics

System: Topological crystalline insulators



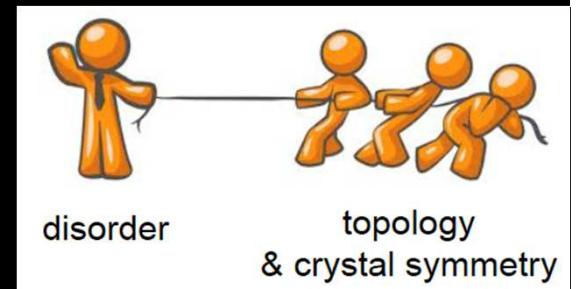
Distinct from other topological materials:

- Multiple Dirac cones within the BZ
- Theoretical prediction: Vastly different orbital character below and above the Dirac point
- Theoretical prediction: Surface states topologically protected by mirror symmetry



System: Topological crystalline insulators

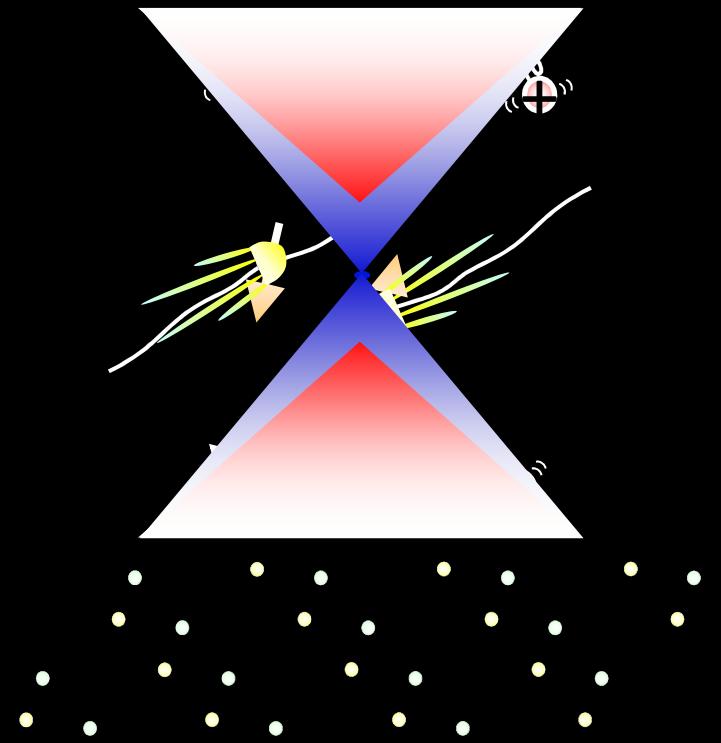
- Band Inversion known
- Is the dispersion linear near the `Dirac point'? (Do we have massless Dirac electrons?)
- Are the surface states topologically protected by mirror symmetry? (Can you open up a gap by breaking mirror symmetry?)
- Disorder necessarily violates crystal symmetry (Do we still have Massless Fermions?)
- Are TCI surface states robust against strong disorder?



Outline

- The System: Topological Insulators
 - Unique properties of Conventional Z2 Topological Insulators
 - New Topological Material: Topological Crystalline Insulator
- The Technique: Scanning Tunneling Microscopy
 - Interference Patterns
 - Landau Level Spectroscopy
- The Experiment and Results: Breaking Mirror Symmetry to impart Mass to Massless Dirac Fermions
- Outstanding Questions and the Future

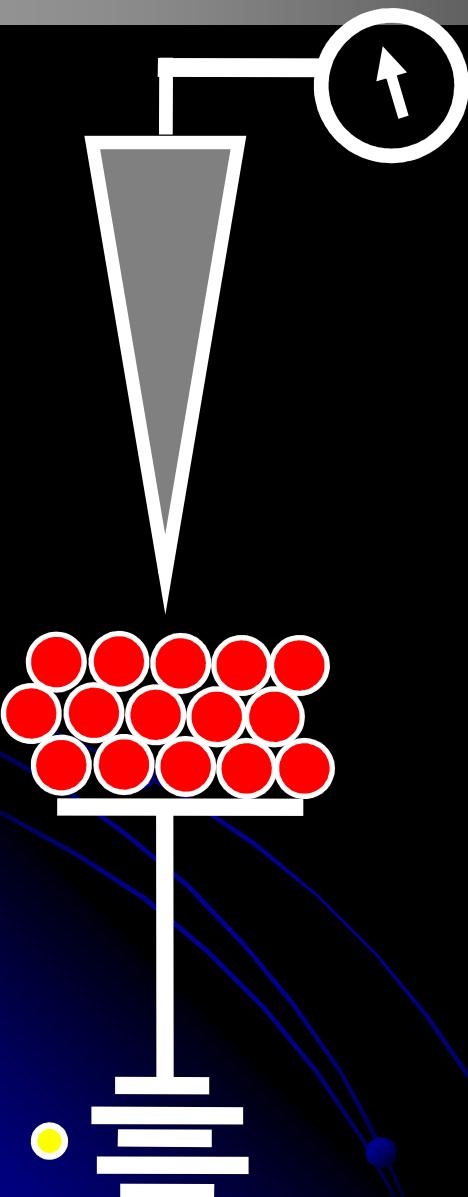
STM studies of Dirac Materials: Topological Crystalline Insulators



- ◆ Quasiparticle interference-Fourier transform spectroscopy
- ◆ Landau level Spectroscopy

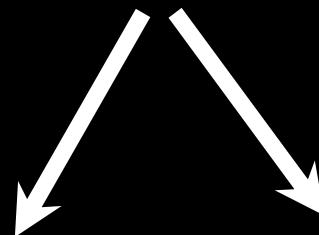


Scanning Tunneling Microscopy (STM)



The STM measures the number of electrons that tunnel across the Vacuum barrier (tunnel current) at a fixed real space position

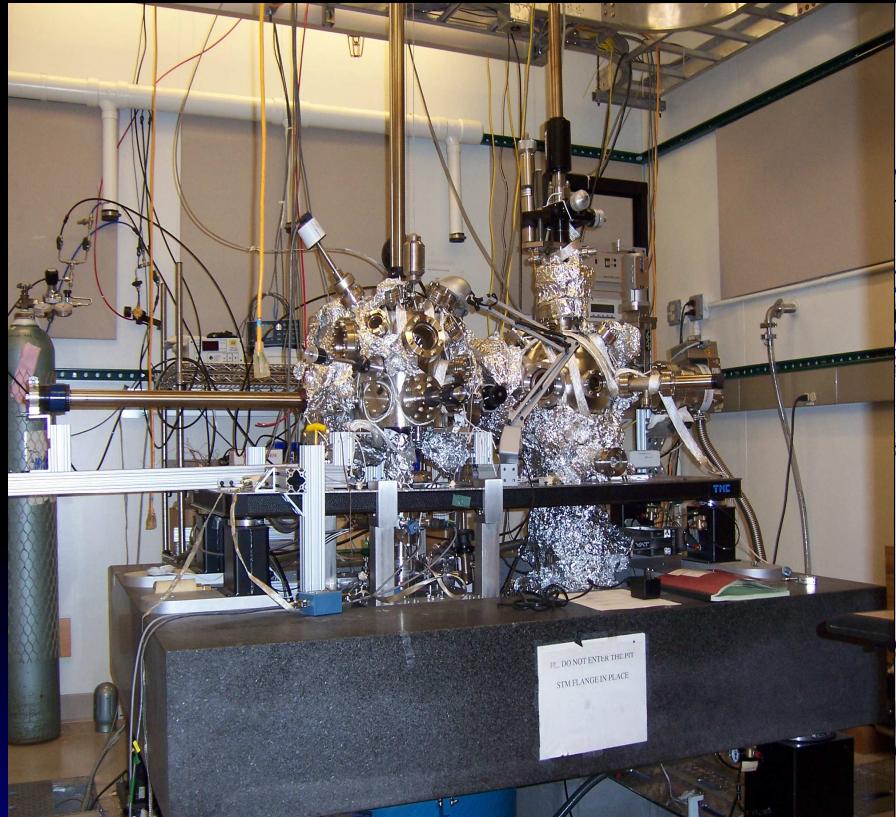
Imaging
Atoms



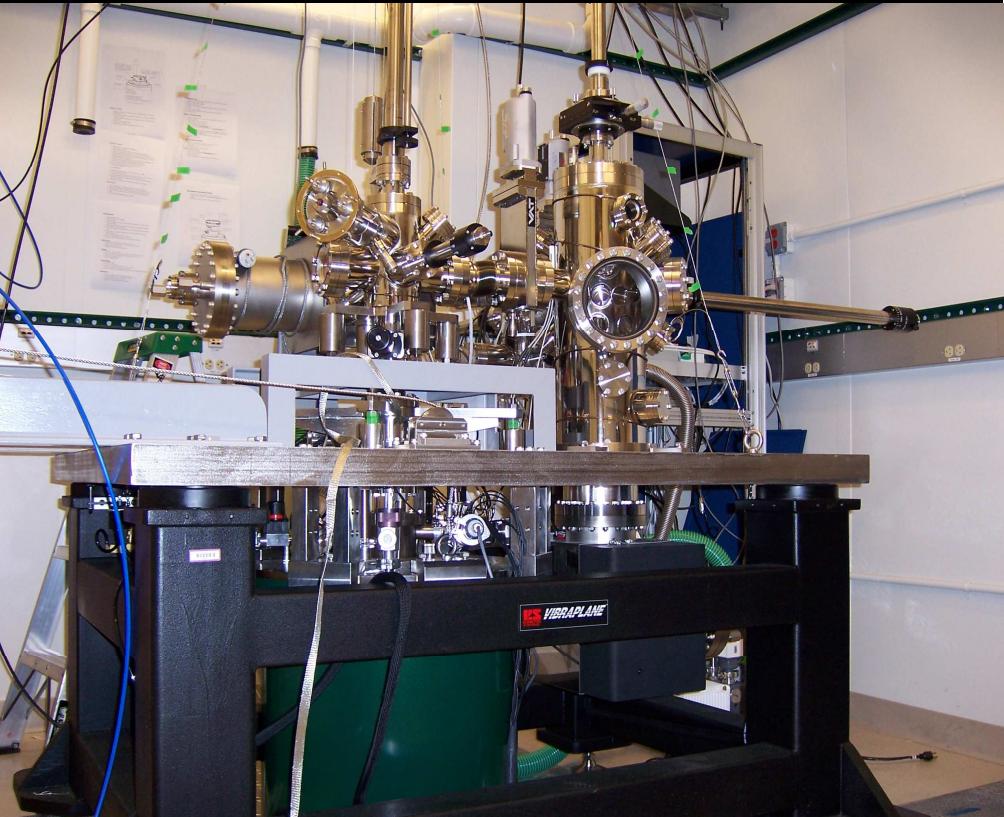
Precision
Spectroscopy



STMs at Boston College

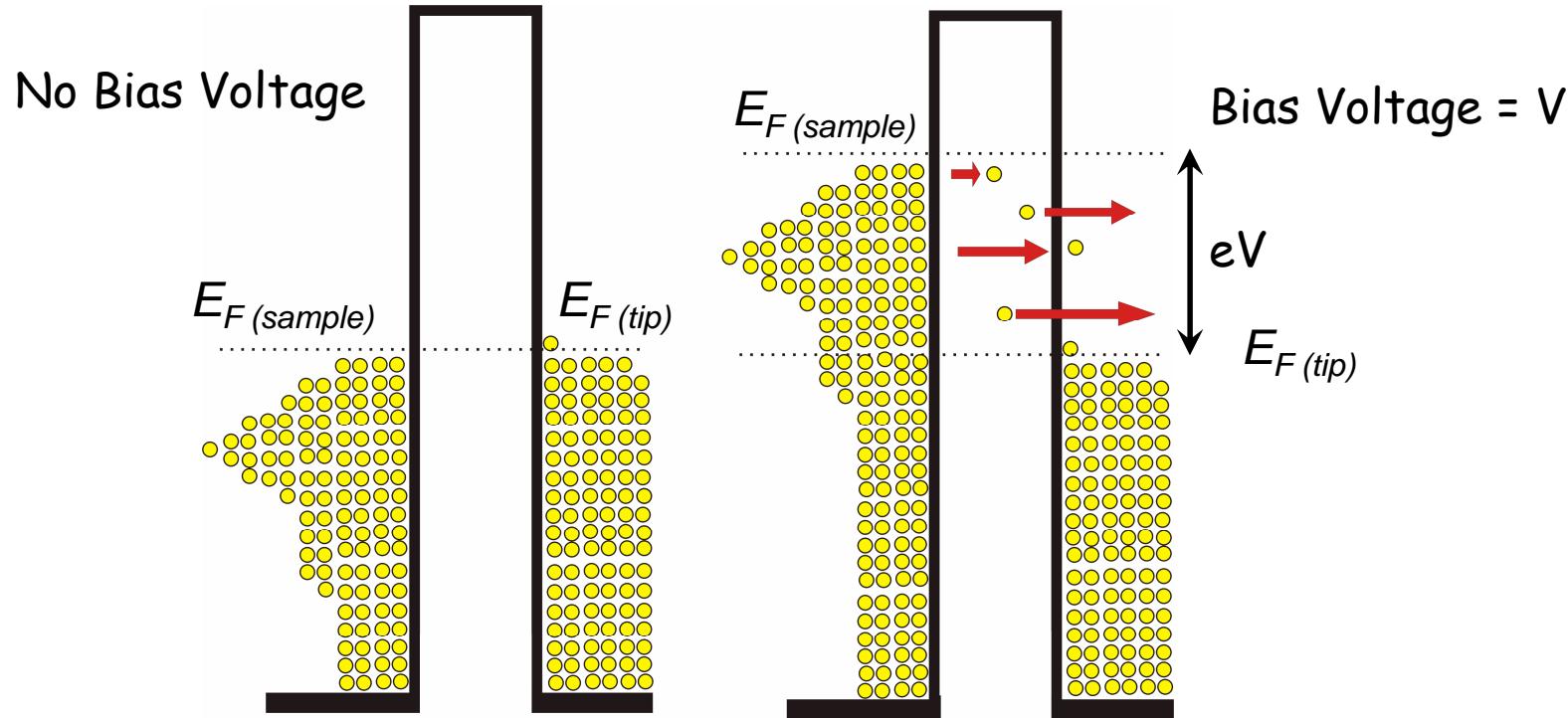


Homebuilt STM



Custom Unisoku STM

STM Technique: Imaging

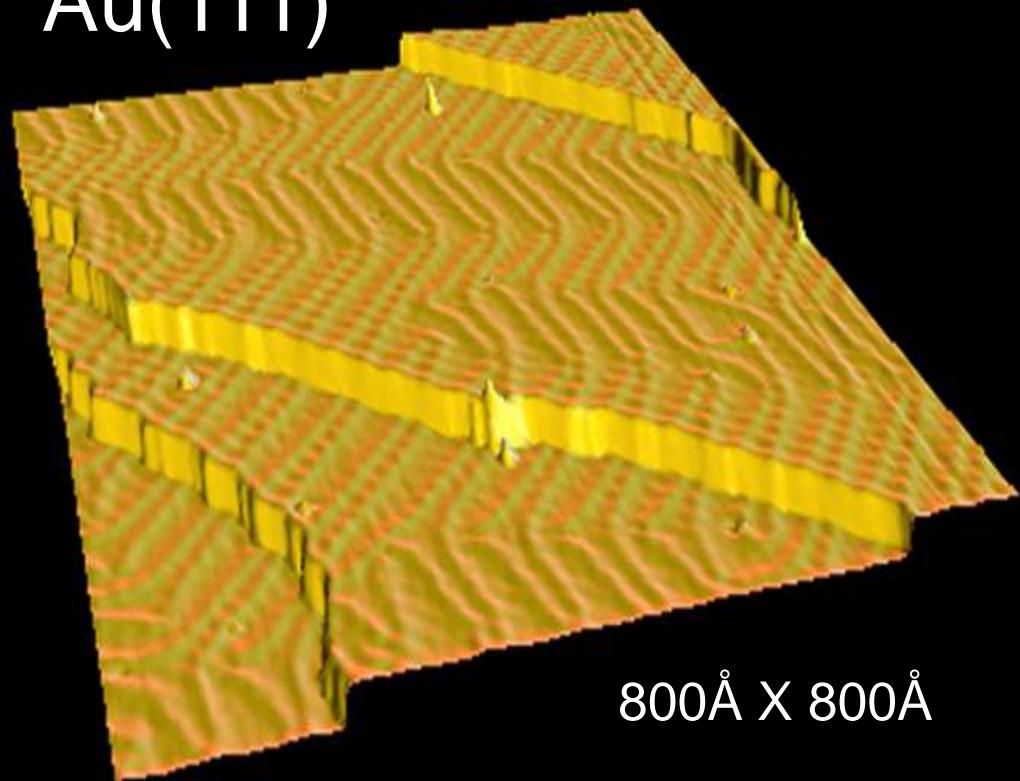


$$I(eV_b) = \int_0^{eV_b} \sum_n |\Psi_n(r)|^2 \delta(eV - E_n) dV$$

$$I(eV_b) \approx \int_0^{eV_b} LDOS(E) dE \sim \exp(-2kd)$$

$I \sim \exp(-2kd)$ → Measure $I(x, y)$ to obtain image

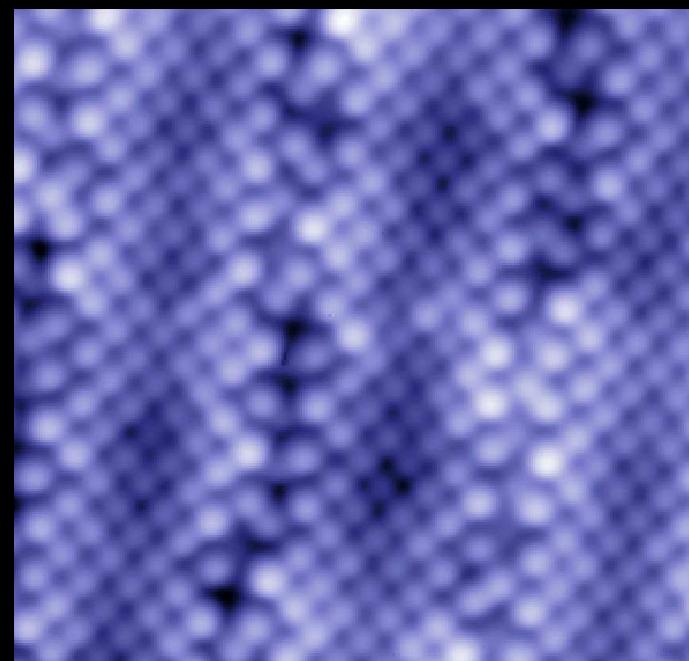
Au(111)



800Å X 800Å

*W. Chen, V. Madhavan, T. Jamneala, M.F. Crommie
Phys. Rev. Lett. 80, 1469 (1998)*

$\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$



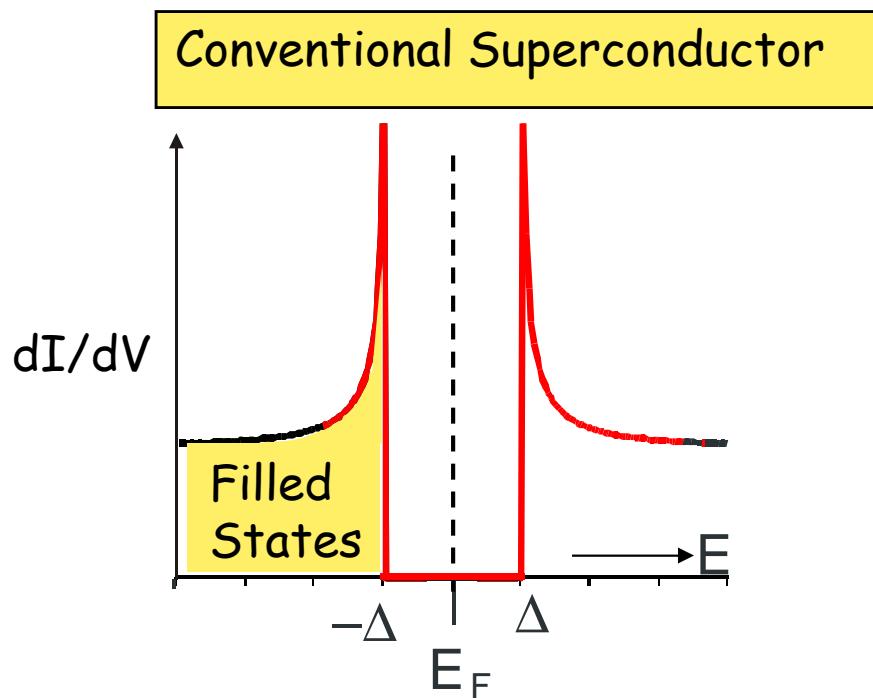
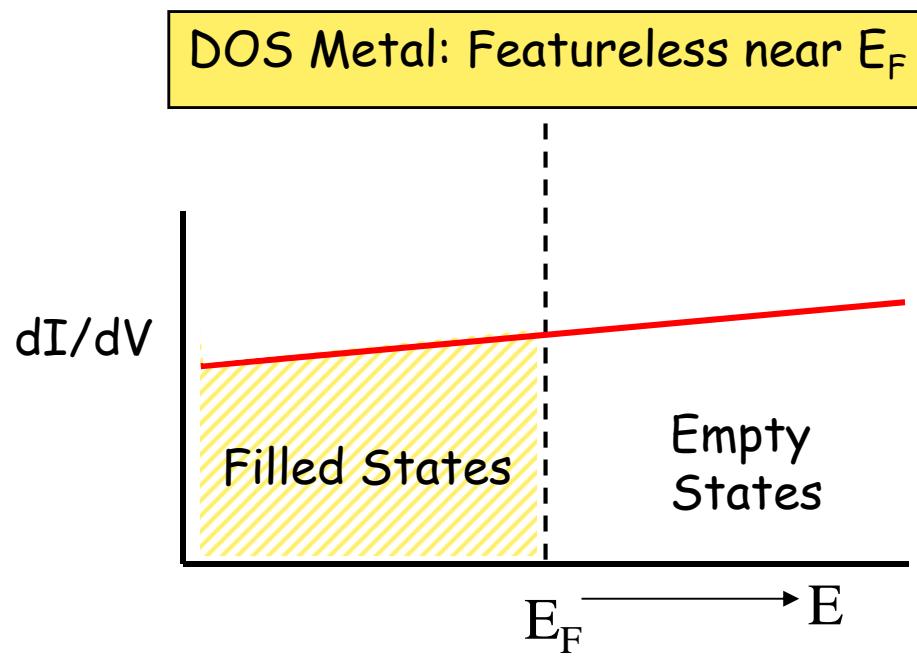
64Å X 64Å

STM Technique: Spectroscopy

$$I(eV_b) = \int_0^{eV_b} \sum_n |\Psi_n(r)|^2 \delta(eV - E_n) dV \quad \longrightarrow \quad I(eV_b) = \int_0^{eV_b} LDOS dV$$

STM Spectroscopy

Measure dI/dV to obtain density of states



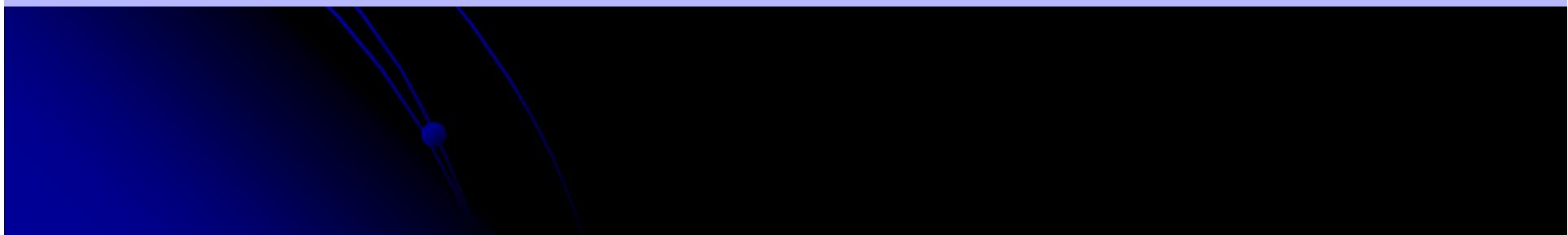
Quasiparticle interference-Fourier transform spectroscopy



Band structure, gaps, resonances, phonons, spin etc

$$dI/dV(r, \text{eV}) \square \Psi^2_S(r, \text{eV}) \text{ DOS}_S(r, \text{eV})$$

Interference patterns , dispersion, charge ordering



Using Interference Patterns to obtain
momentum space (k-space) information

Surface state electrons

Free electrons

$$E_K = \frac{\hbar^2 k_E^2}{2m} \quad \psi(x, E) = A e^{ik_E x}$$
$$\psi^2(x, E) = A e^{ik_E x} A^* e^{-ik_E x} = A^2$$

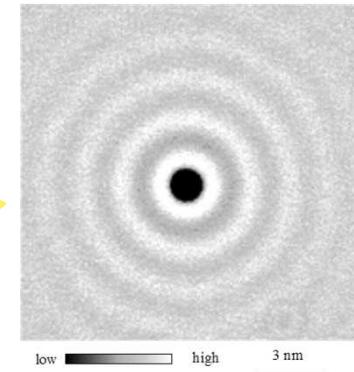
$$\psi^2(x, E) = \text{const}$$

Scattered states

$$\psi = \psi_i(x, E) + \psi_r(x, E) = A(e^{ik_E x} + e^{-ik_E x})$$

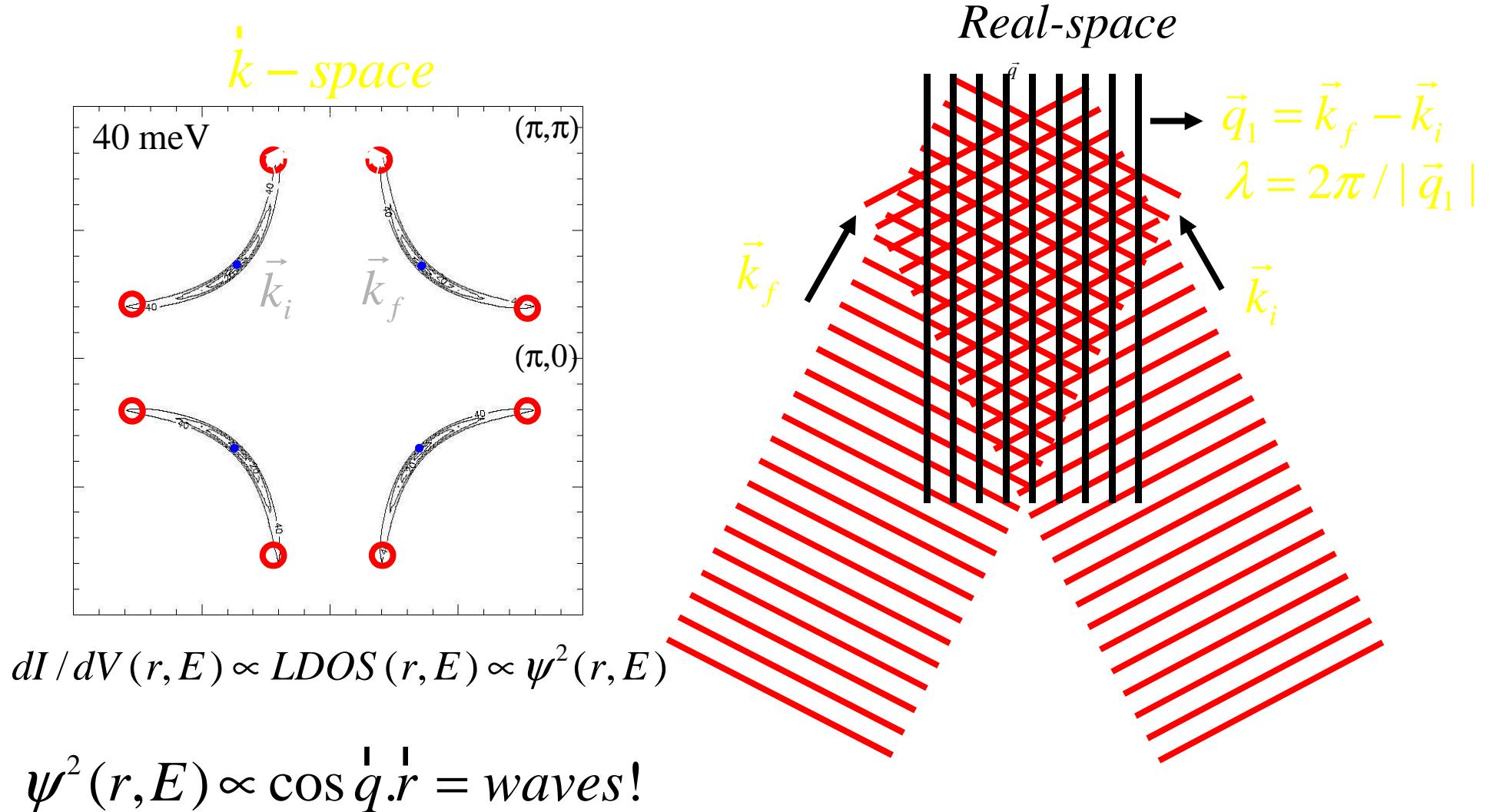
$$\psi^2(x, E) = A(e^{ik_E x} + e^{-ik_E x}) A^* (e^{ik_E x} + e^{-ik_E x}) = 2A^2 \cos 2k_E x$$

$$\psi^2(x, E) \propto \cos 2k_E x = \text{waves!}$$



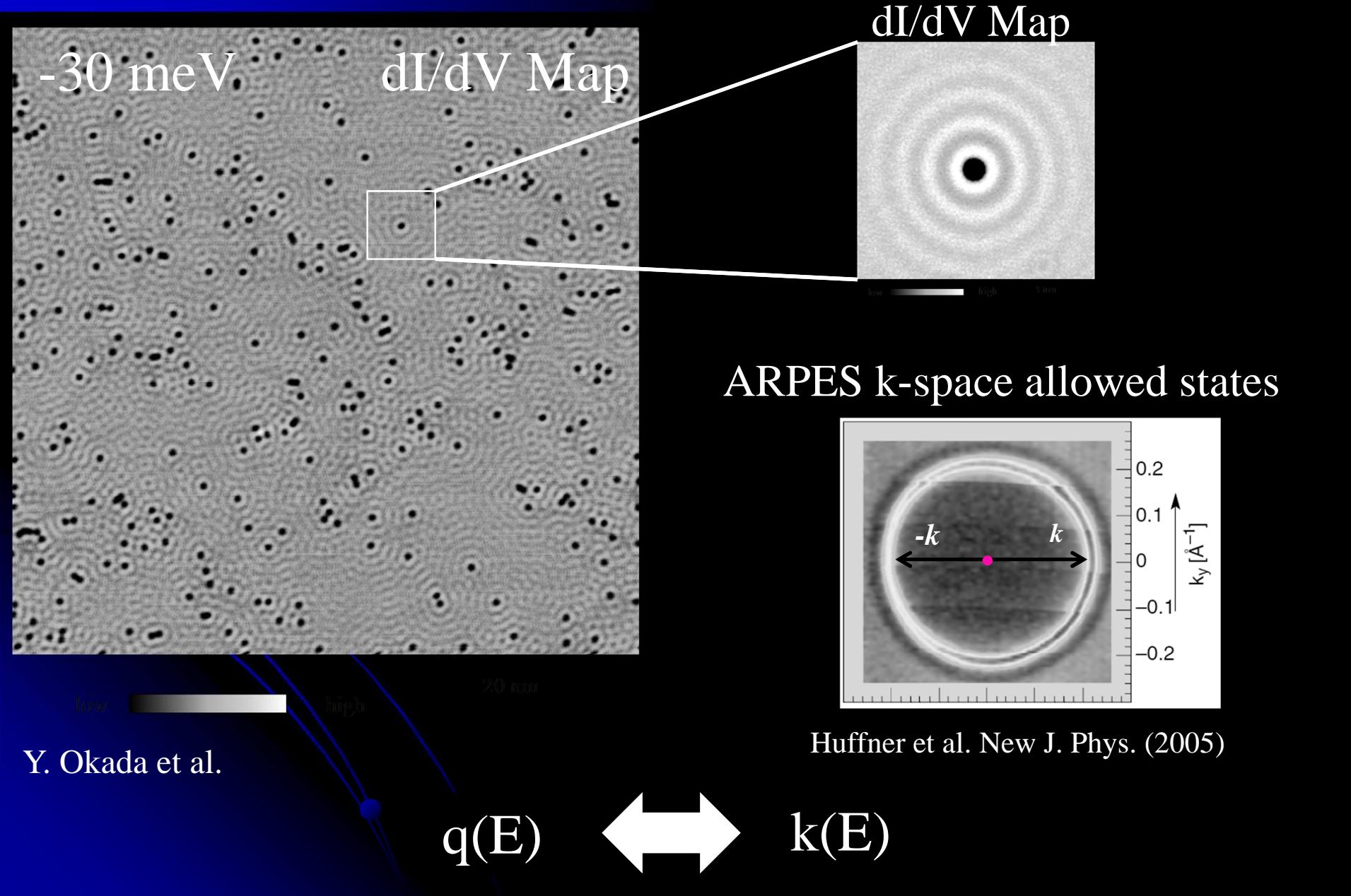
In general: $\psi^2(x, E) \propto \cos(k_i - k_f)x$

Scattering between \vec{k} -space states (allowed states in momentum space) produces LDOS interference patterns in real-space with wavelength where \mathbf{q}_1 is the scattering vector

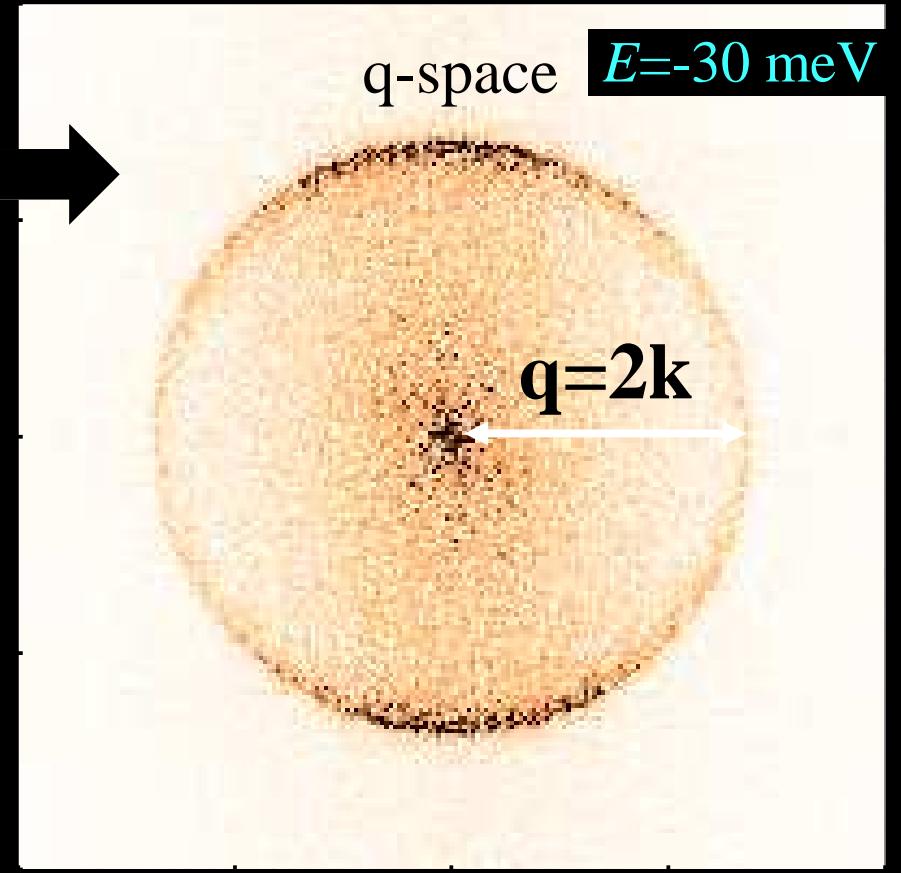
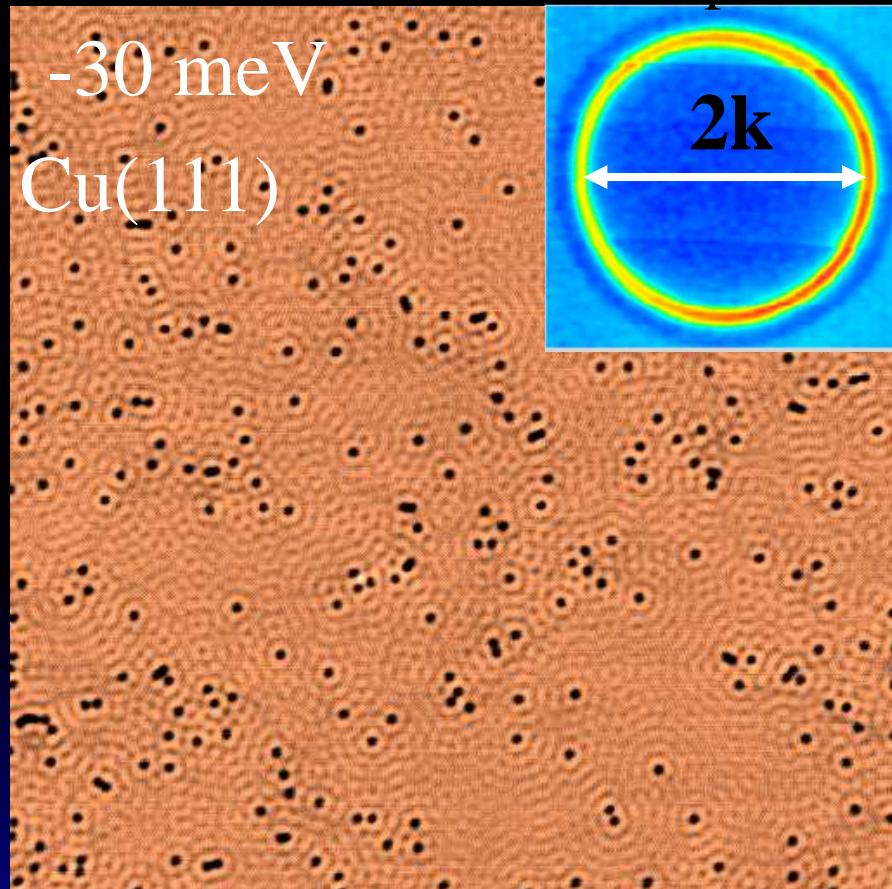


Slide courtesy: Seamus Davis

Cu(111): Parabolic 2D surface state band

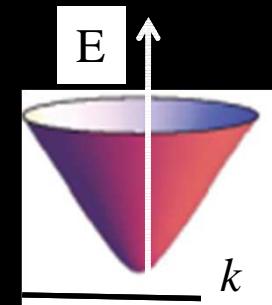
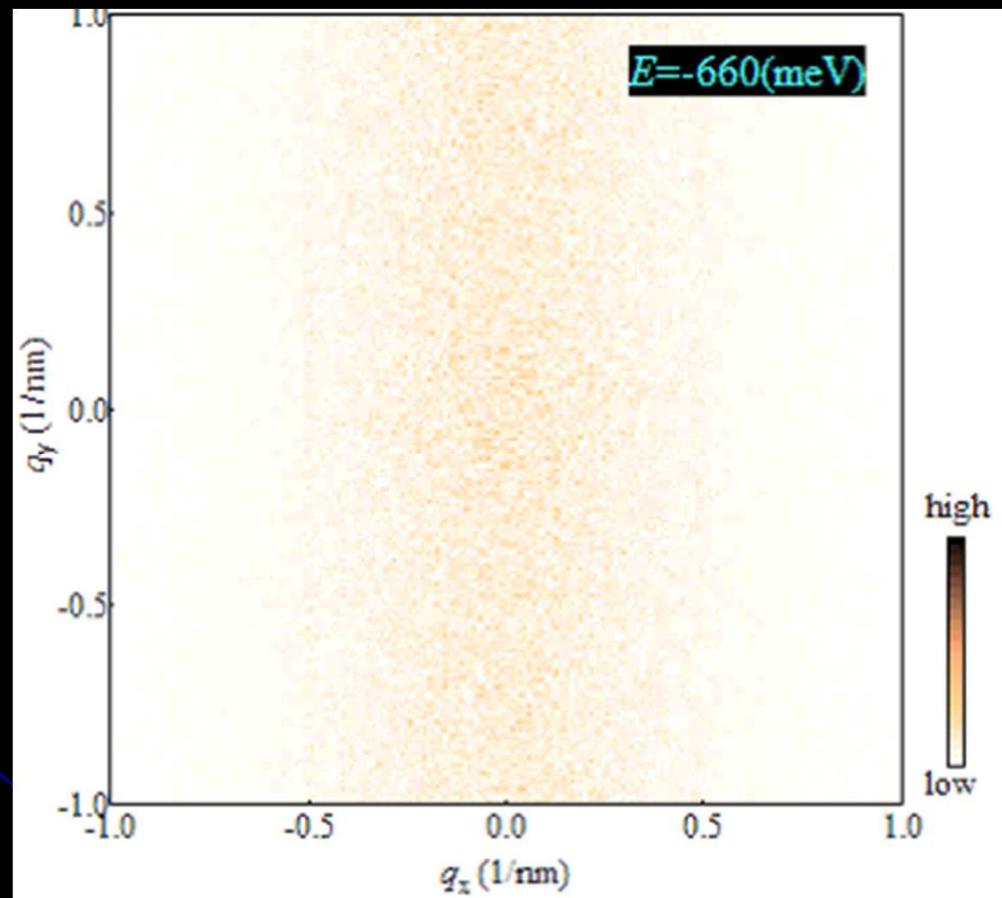


q space reflects momentum (k -space) allowed states



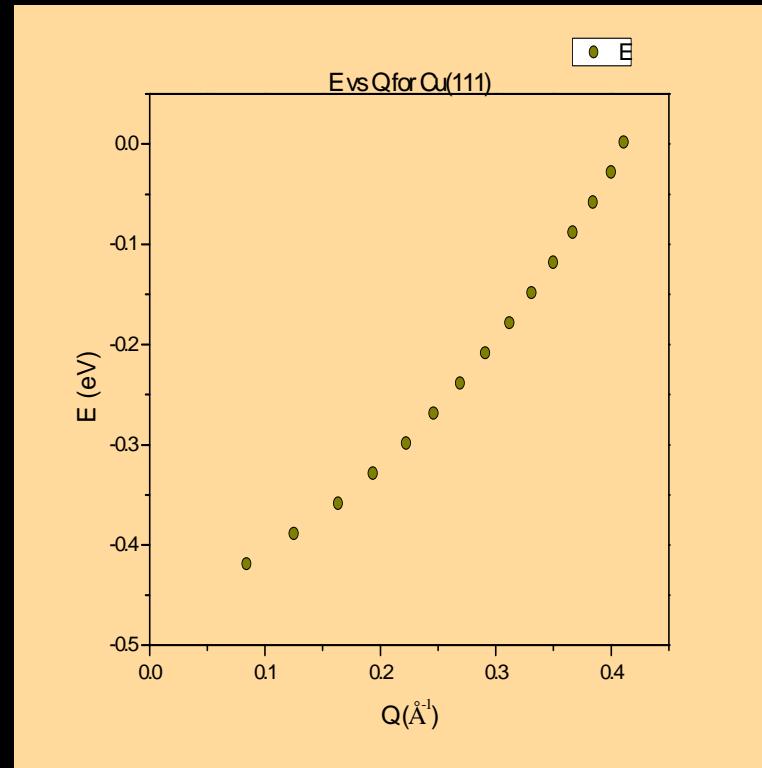
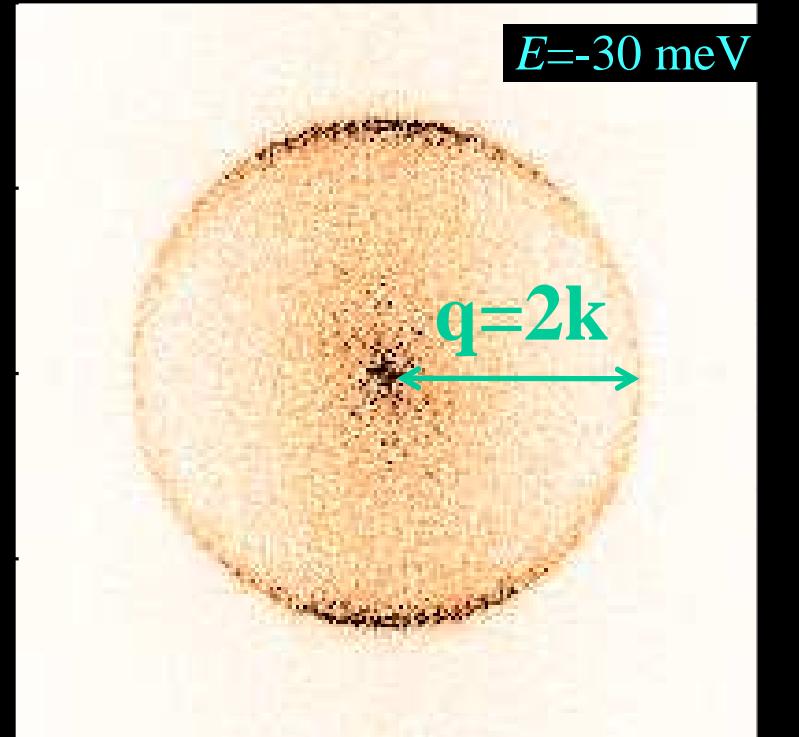
$$q(E) \leftrightarrow k(E)$$

Cu(111)

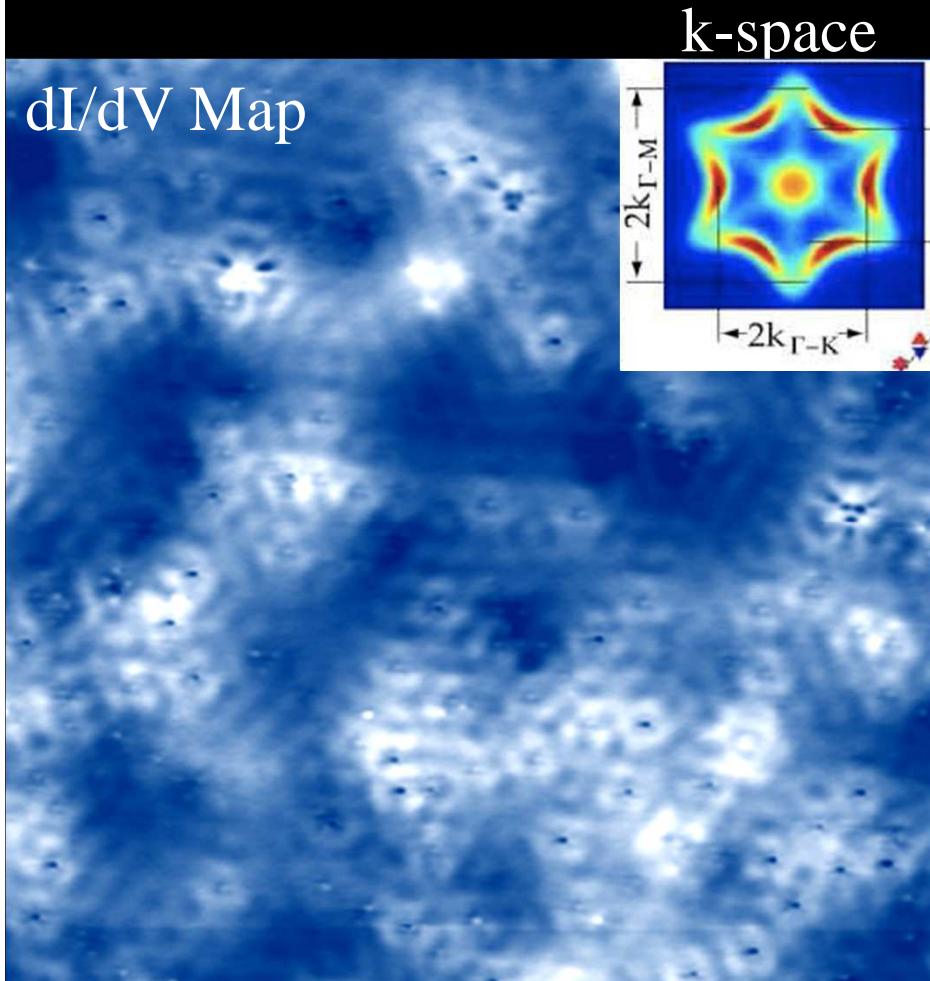


Cu(111)

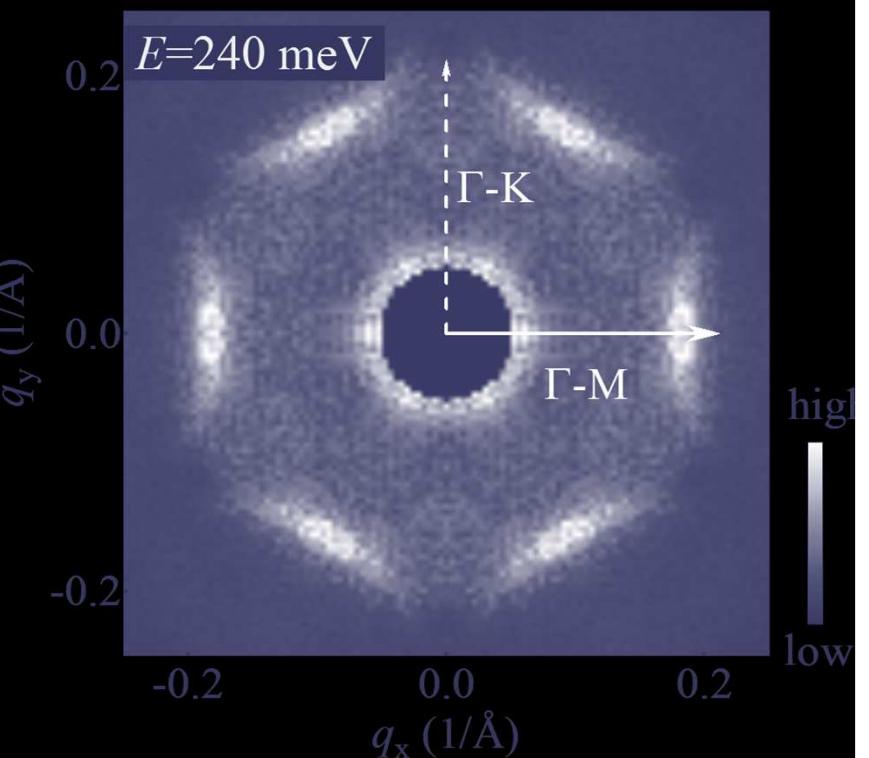
Dispersion



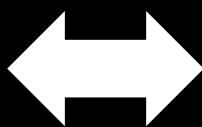
Bi_2Te_3 : q space reflects momentum (k-space)



Fourier Transform
q-space

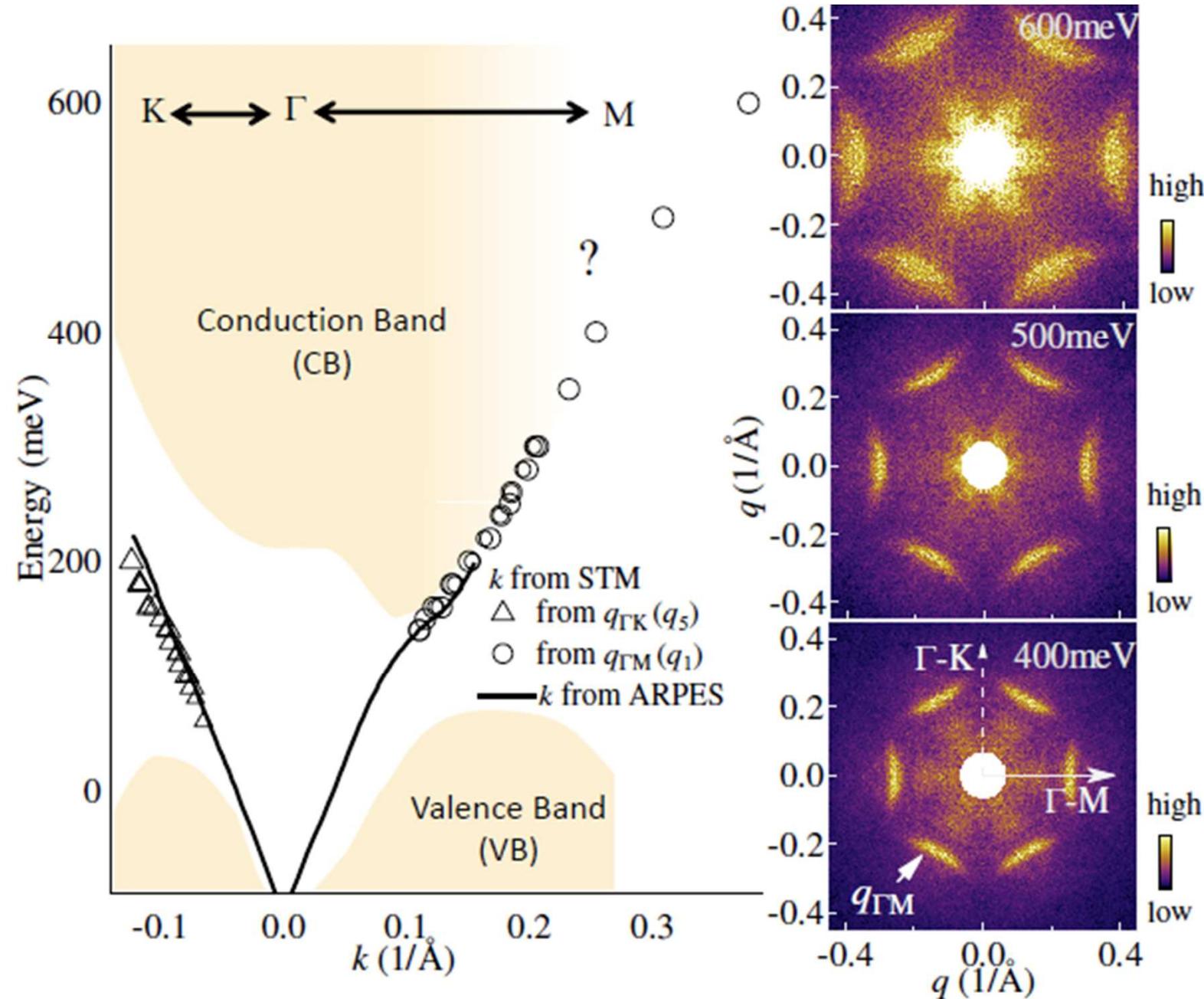


$q(E)$



$k(E)$

Surface State dispersion from Interference Patterns

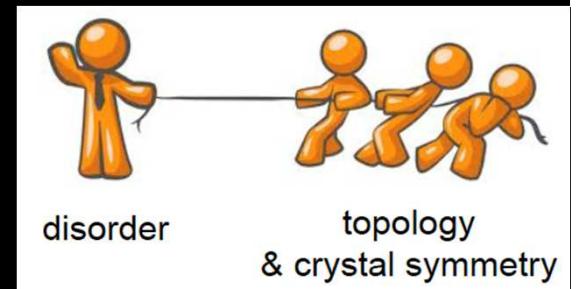


Outline

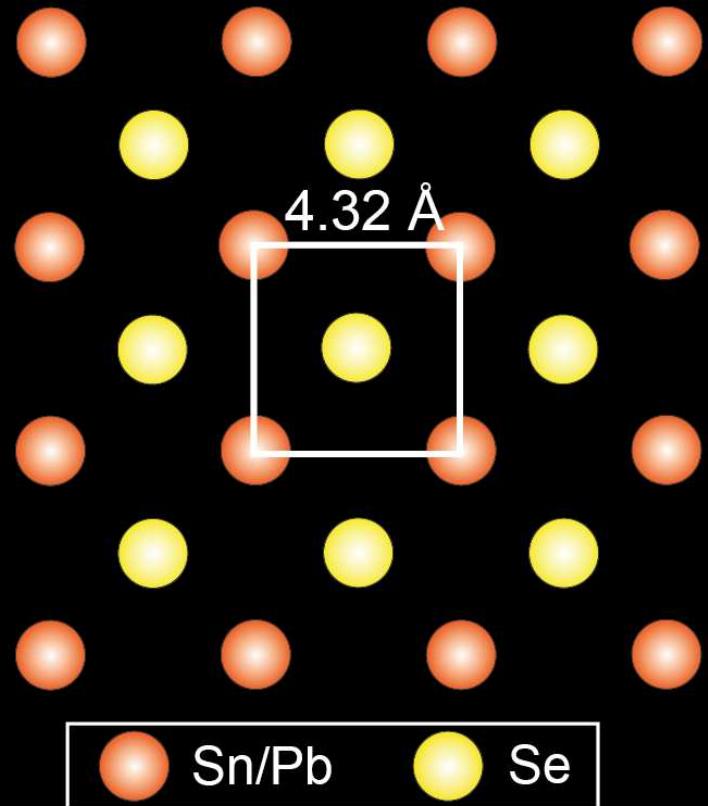
- The System: Topological Insulators
 - Unique properties of Conventional Z2 Topological Insulators
 - New Topological Material: Topological Crystalline Insulator
- The Technique: Scanning Tunneling Microscopy
 - Interference Patterns
 - Landau Level Spectroscopy
- The Experiment and Results: Breaking Mirror Symmetry to impart Mass to Massless Dirac Fermions
- Outstanding Questions and the Future

System: Topological crystalline insulators

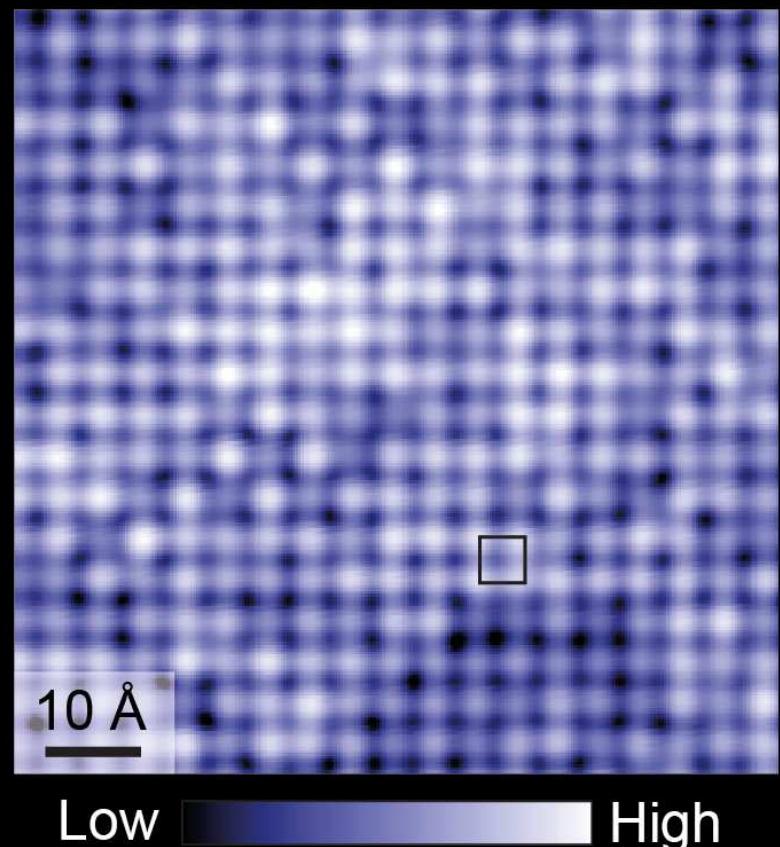
- Band Inversion known
- Is the dispersion linear near the ‘Dirac point’? (Do we have massless Dirac electrons?)
- Are the surface states topologically protected by mirror symmetry? (Can you open up a gap by breaking mirror symmetry?)
- Disorder necessarily violates crystal symmetry (Do we still have Massless Fermions?)
- Are TCI surface states robust against strong disorder?



(001) surface structure of $\text{Pb}_{1-x}\text{Sn}_x\text{Se}$

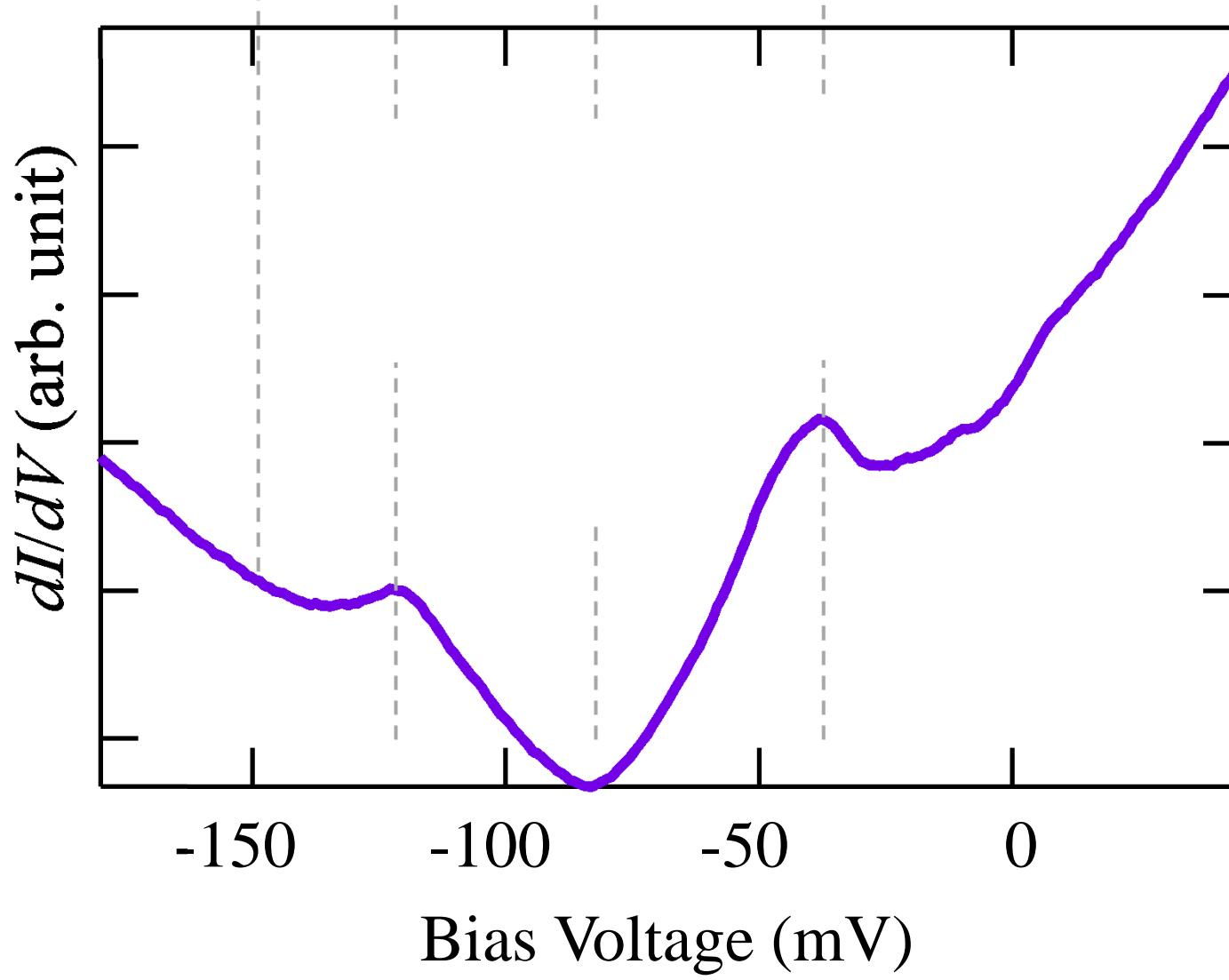


STM topograph (10 pA, -100 mV)

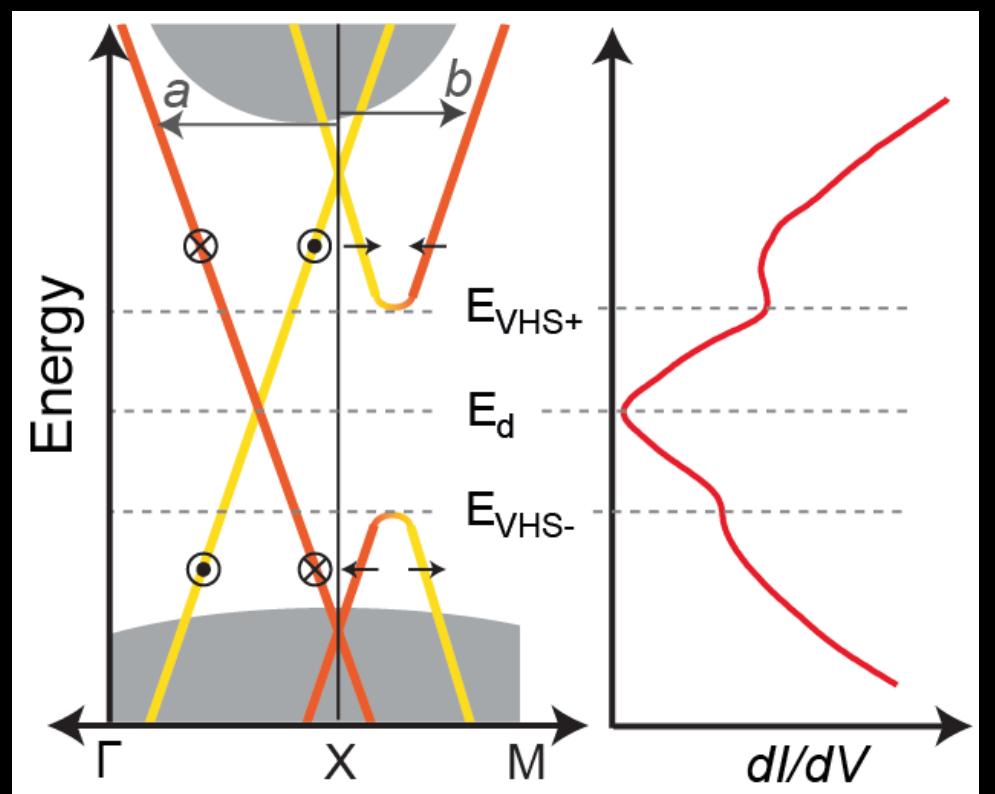
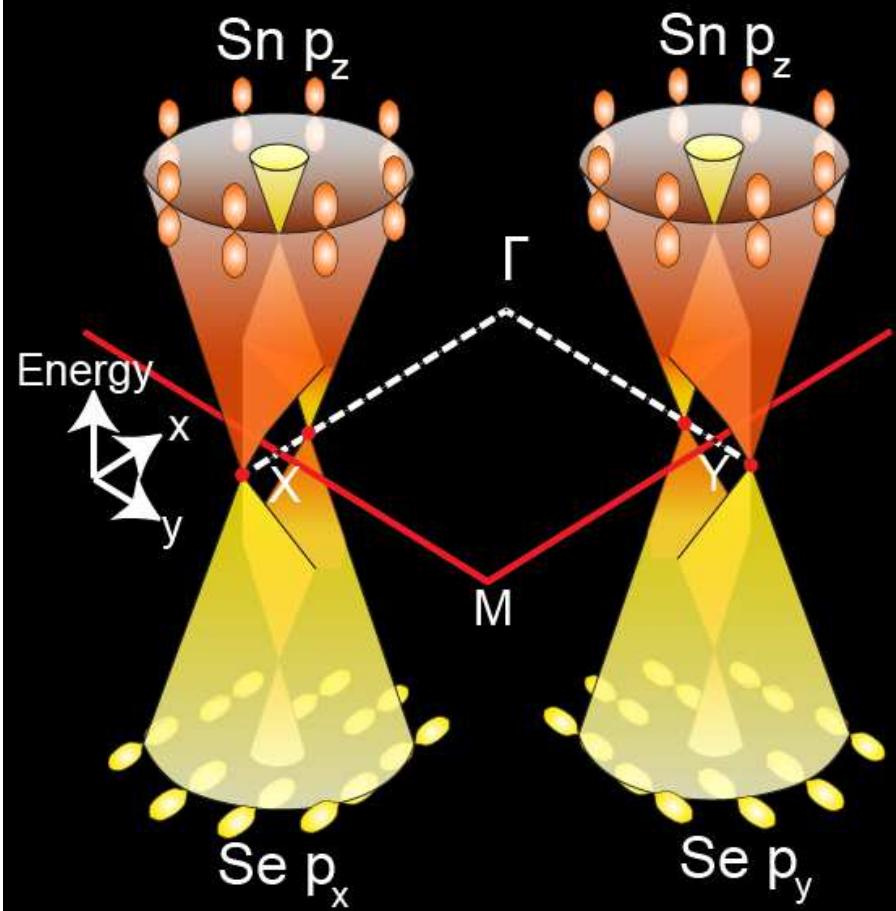


Only image the Pb/Sn sublattice

Density of states from STM spectroscopy



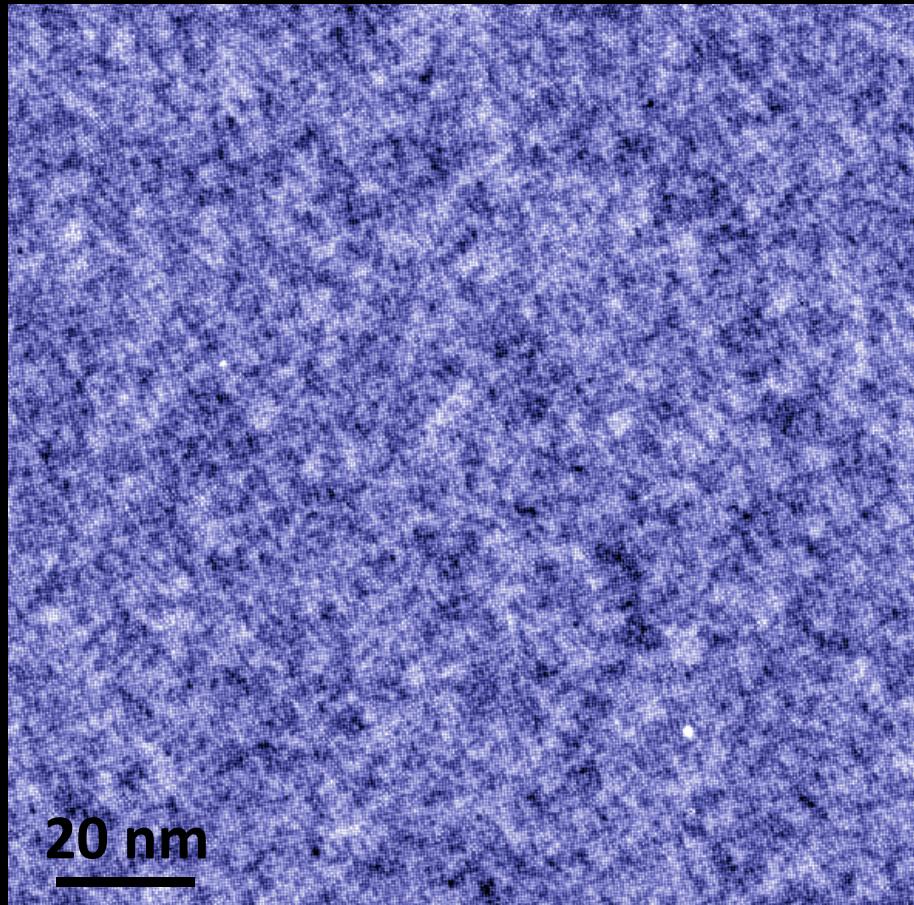
Band structure of $\text{Pb}_{1-x}\text{Sn}_x\text{Se}$ (001) surface



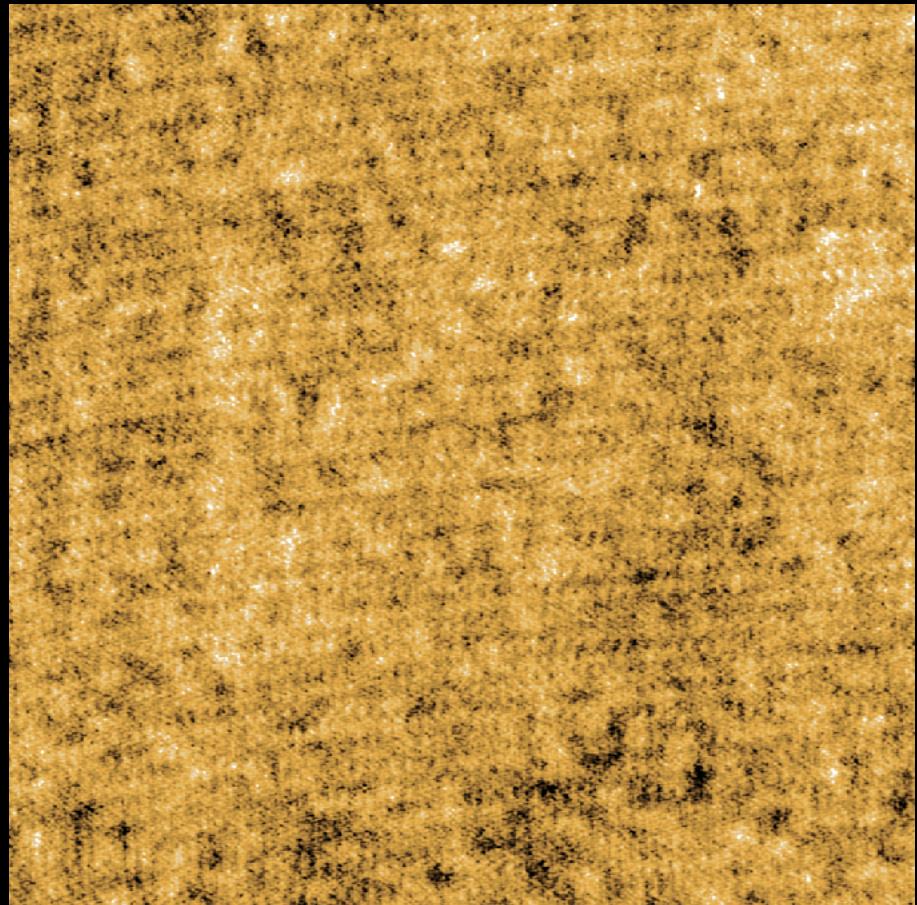
dI/dV conductance maps of $Pb_{0.63}Sn_{0.37}Se$



STM topograph



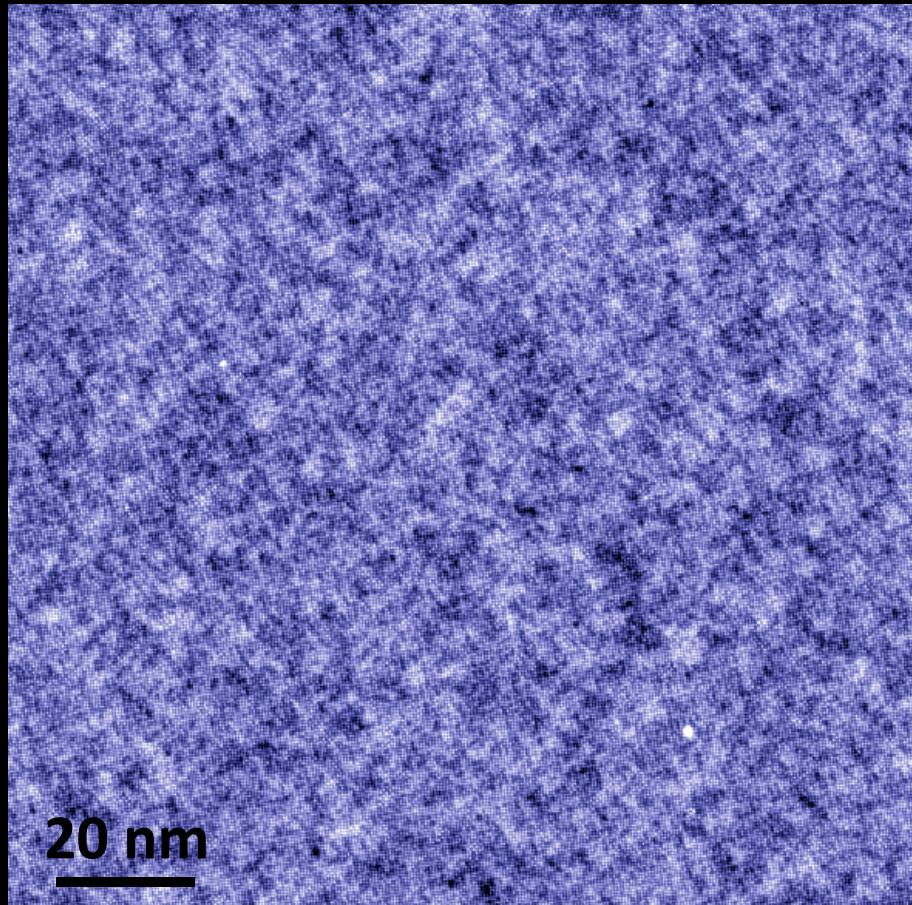
dI/dV conductance map (-15 mV)



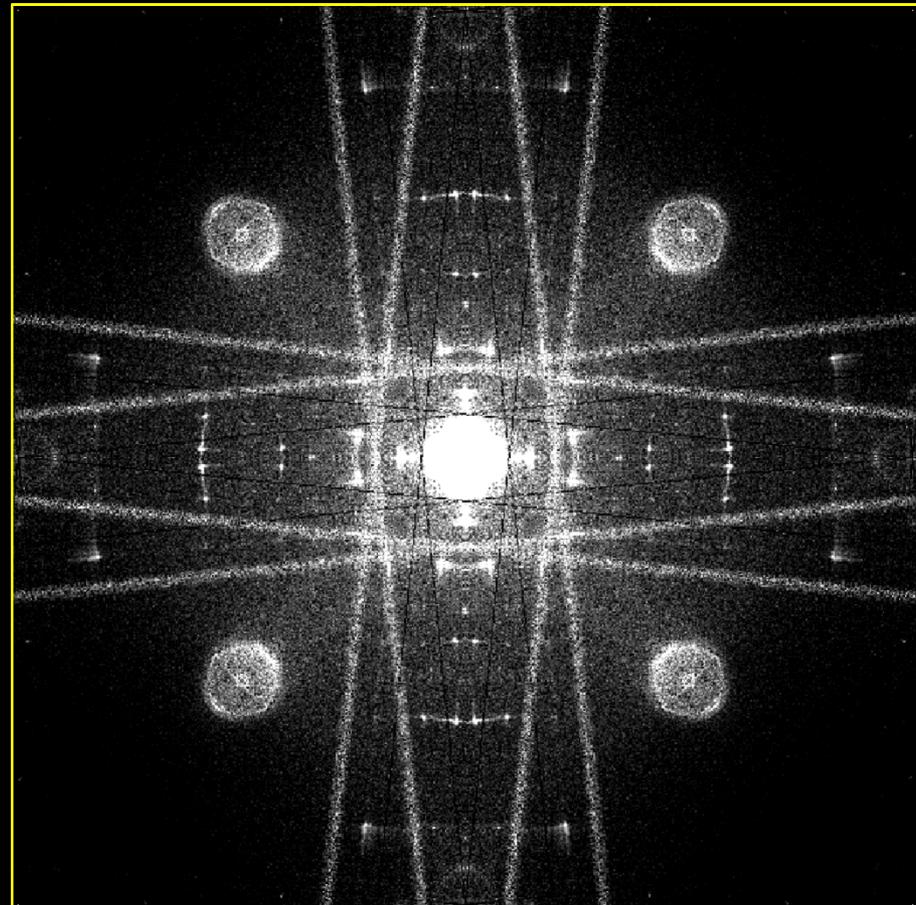
dI/dV conductance maps of $Pb_{0.63}Sn_{0.37}Se$



STM topograph



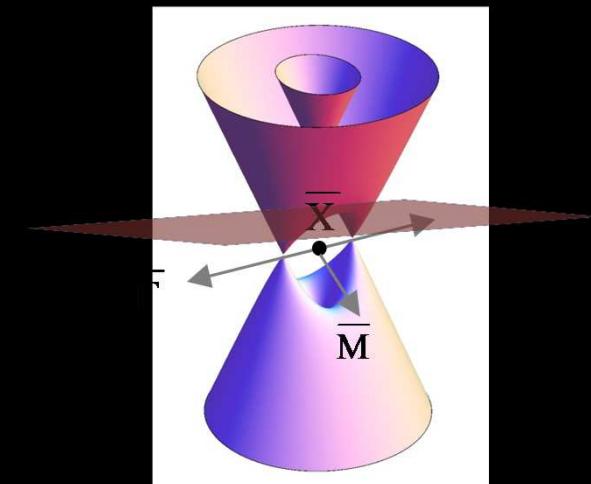
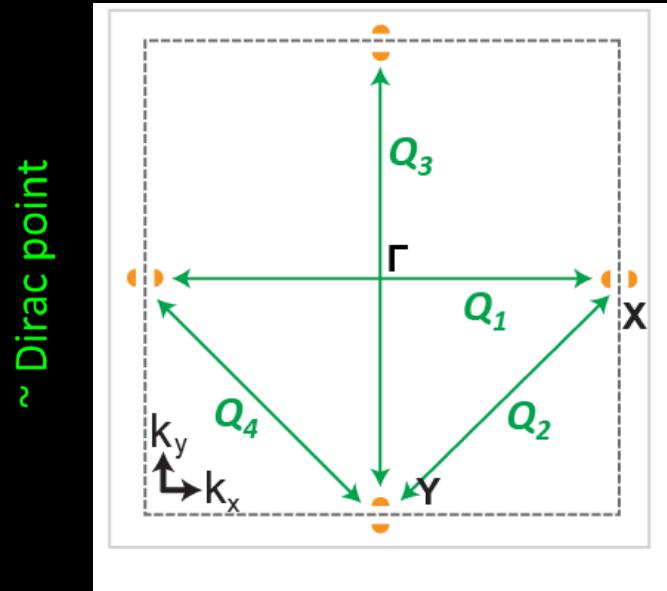
Fourier Transform of
 dI/dV conductance map (-15 mV)





Expected QPI pattern

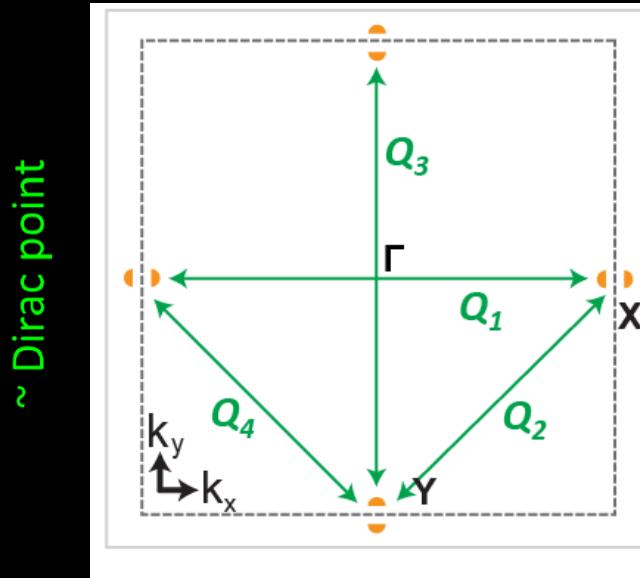
Constant-energy contours
(CECs)



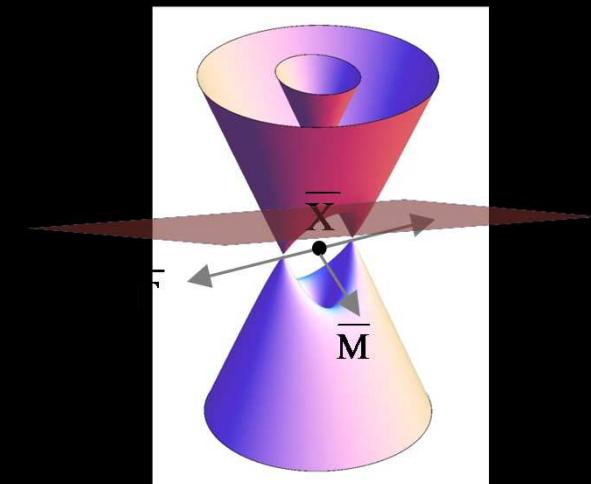
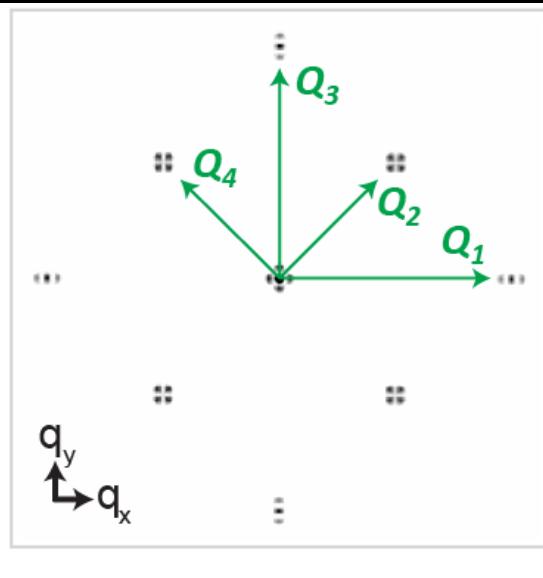


Expected QPI pattern

Constant-energy contours
(CECs)



Autocorrelation of CECs

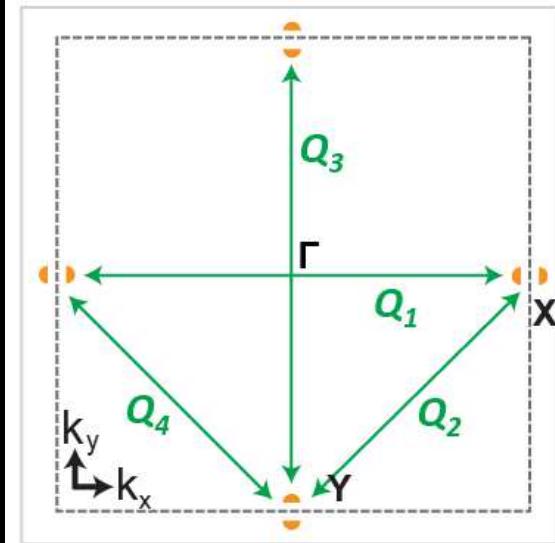




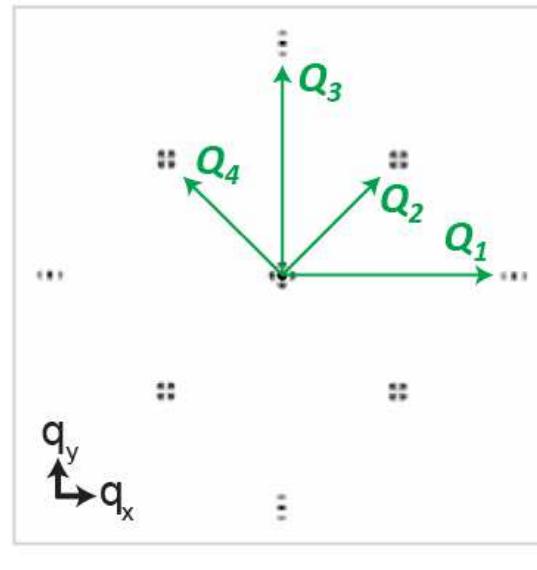
Expected QPI pattern

Constant-energy contours
(CECs)

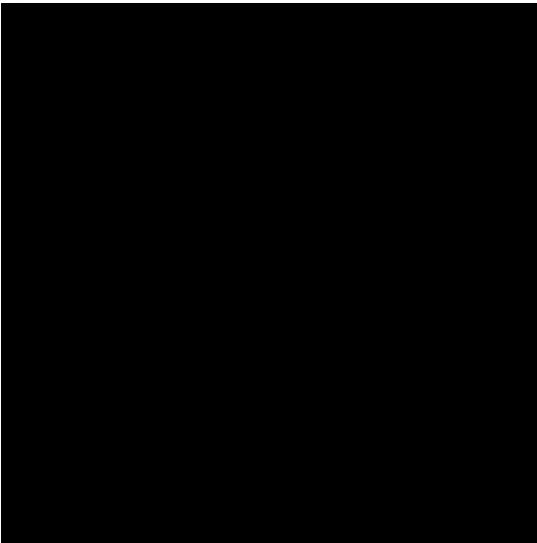
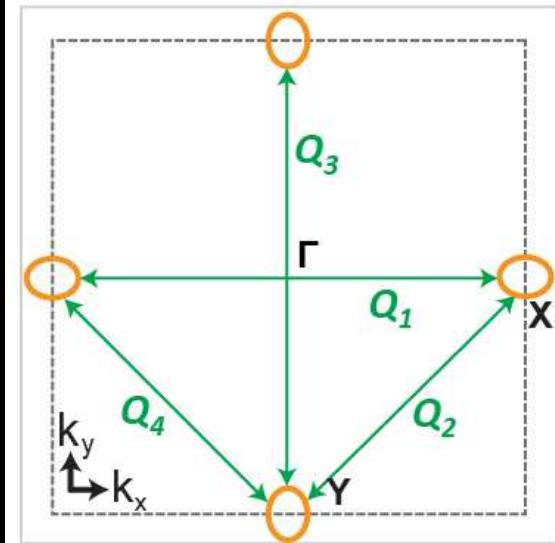
~ Dirac point



Autocorrelation of CECs



Away from Dirac point

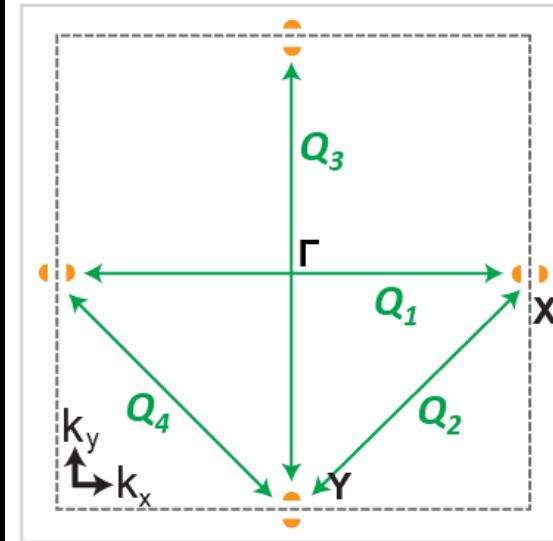




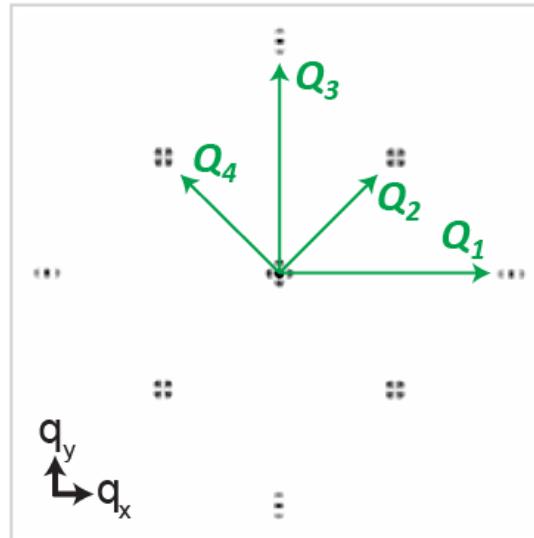
Expected QPI pattern

Constant-energy contours
(CECs)

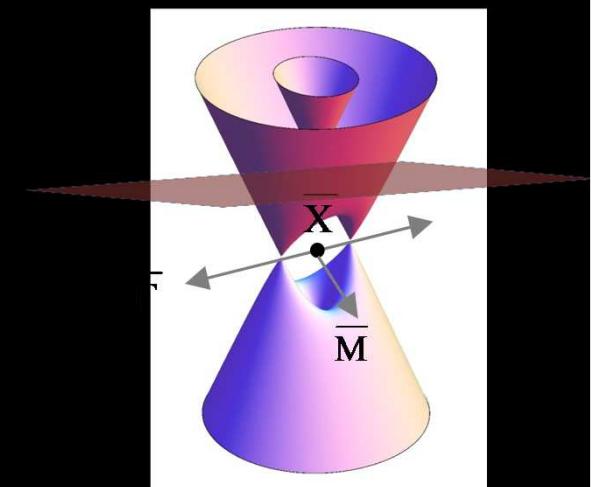
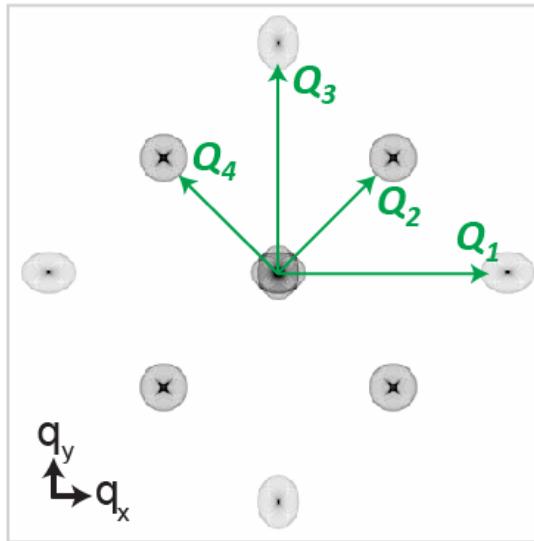
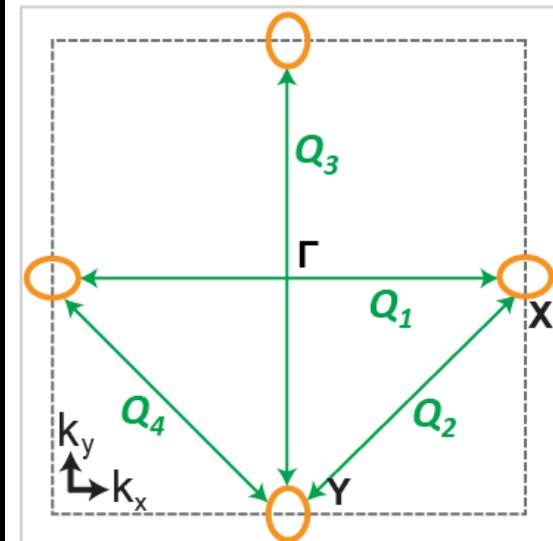
~ Dirac point



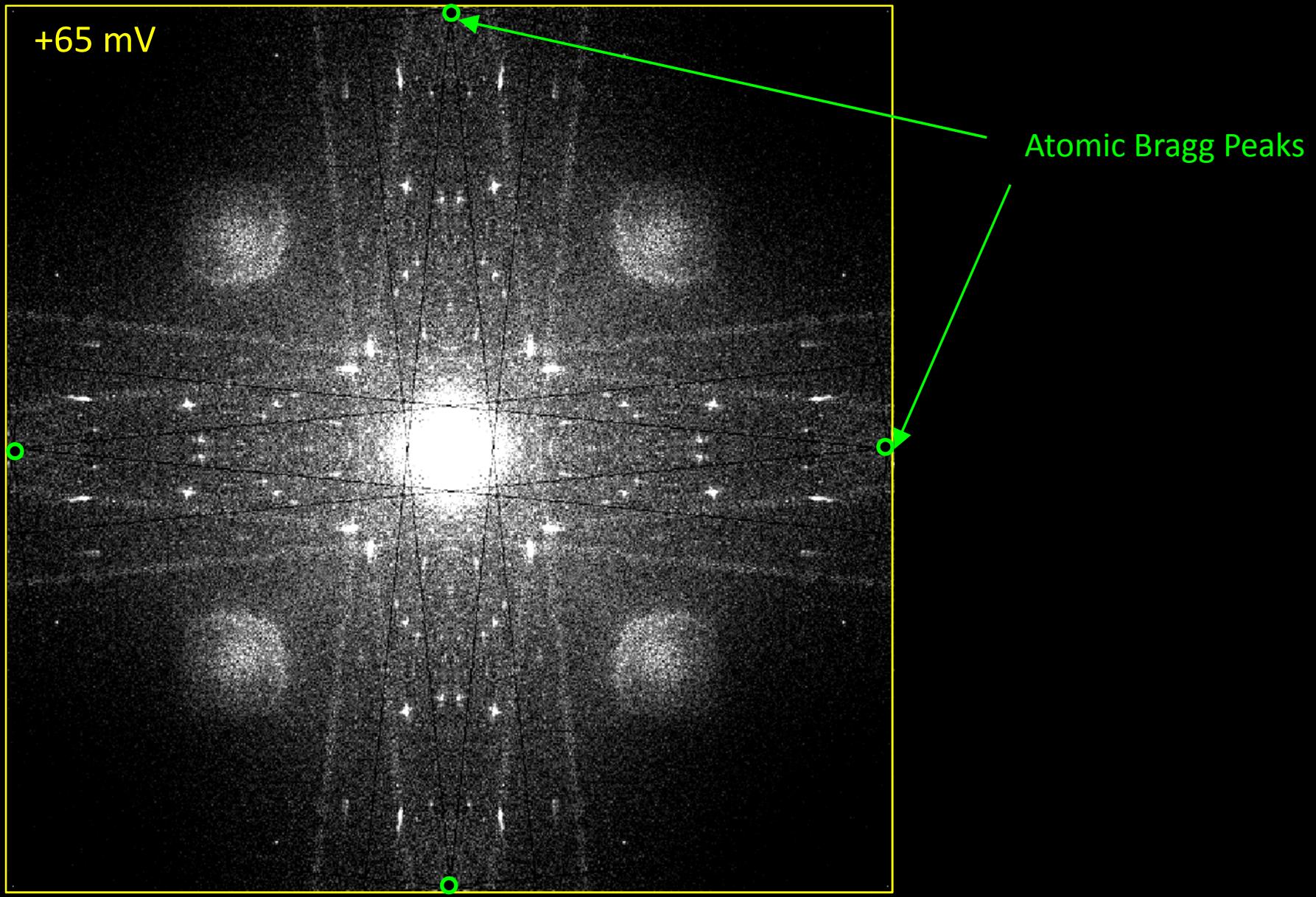
Autocorrelation of CECs



Away from Dirac point



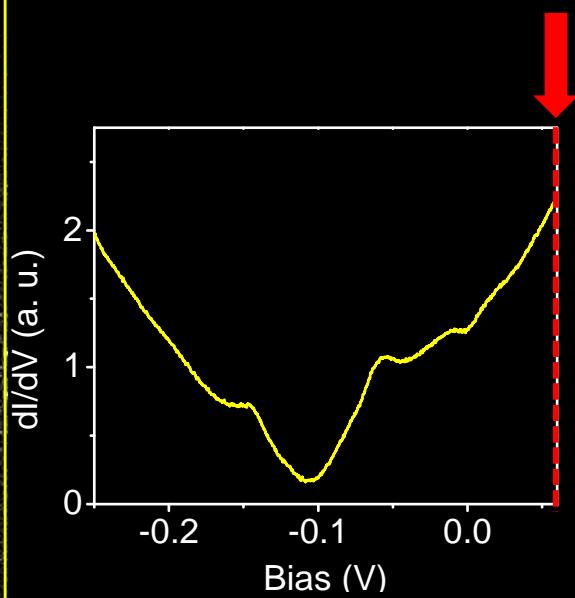
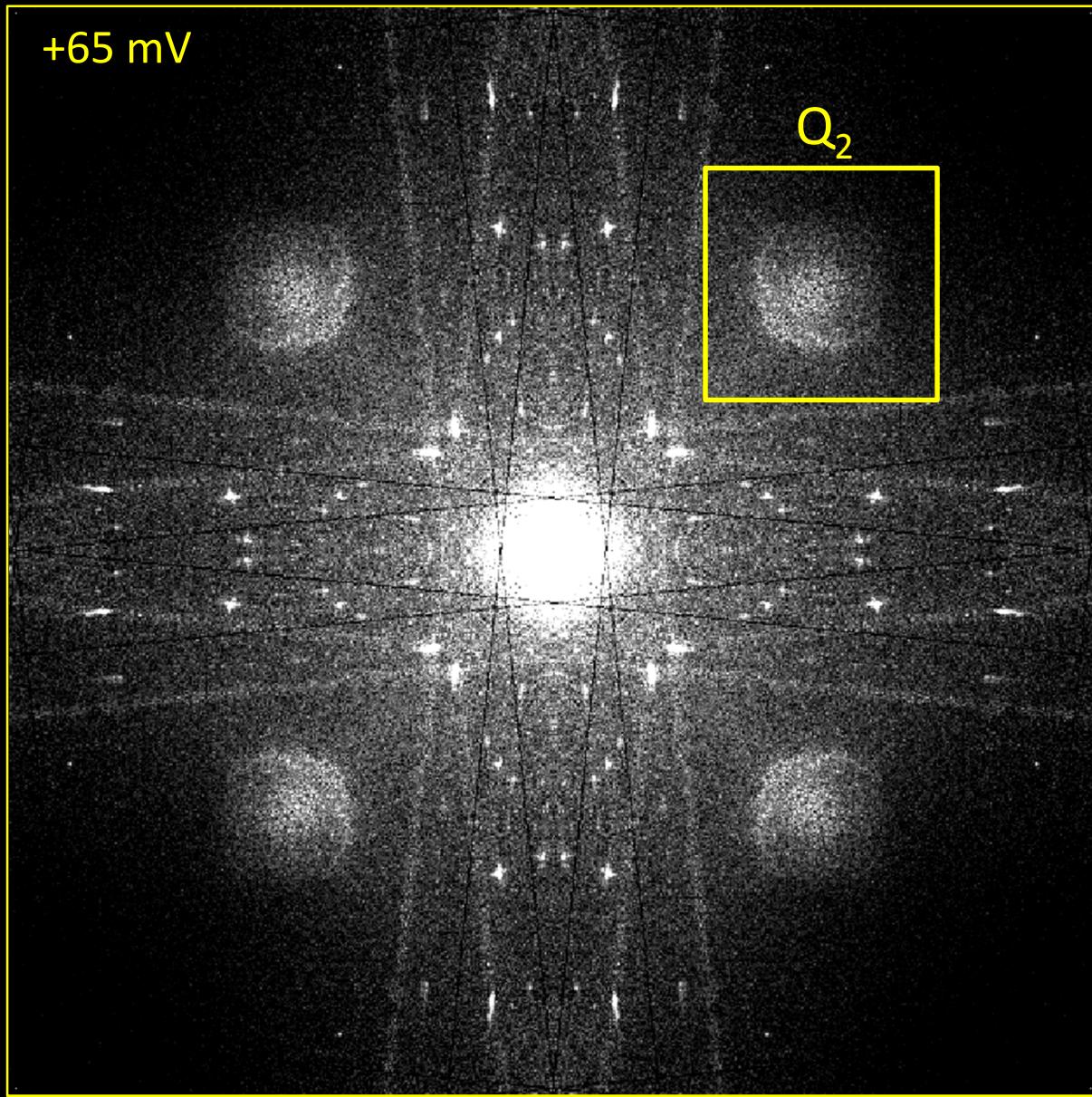
QPI Fourier transform patterns



QPI Fourier transform patterns



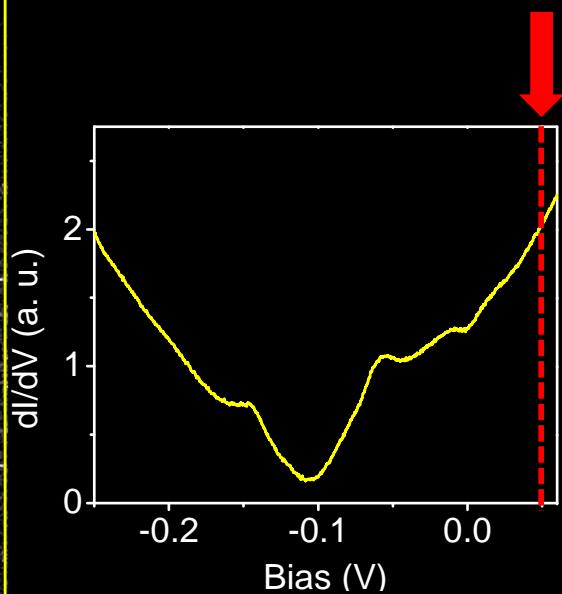
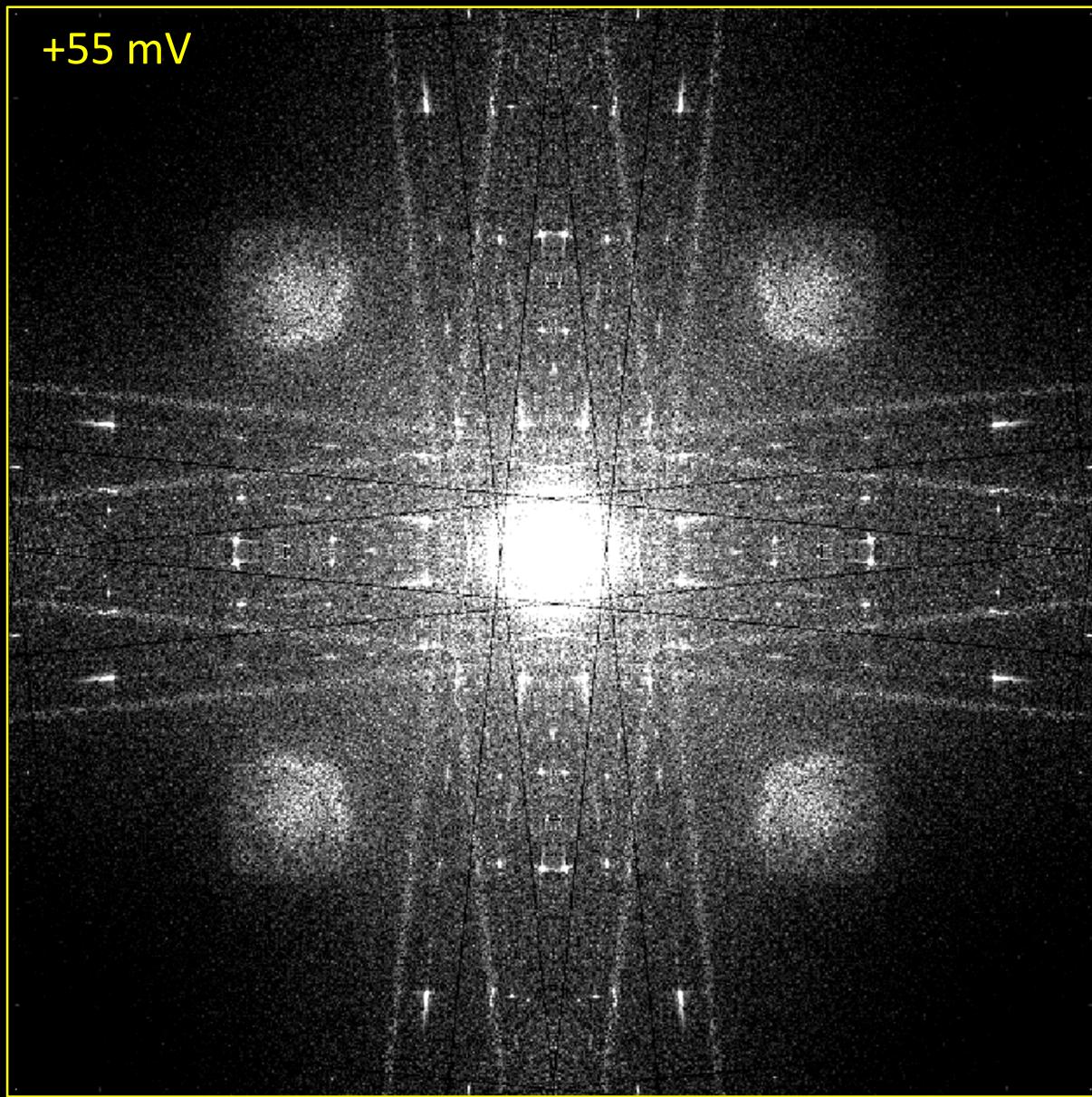
+65 mV



QPI Fourier transform patterns



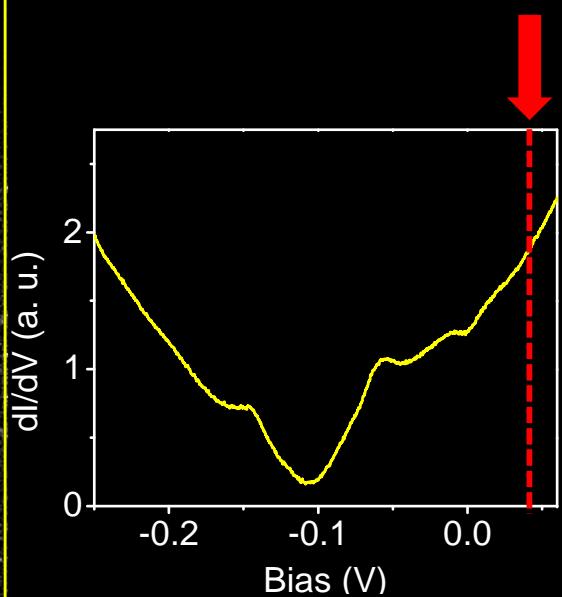
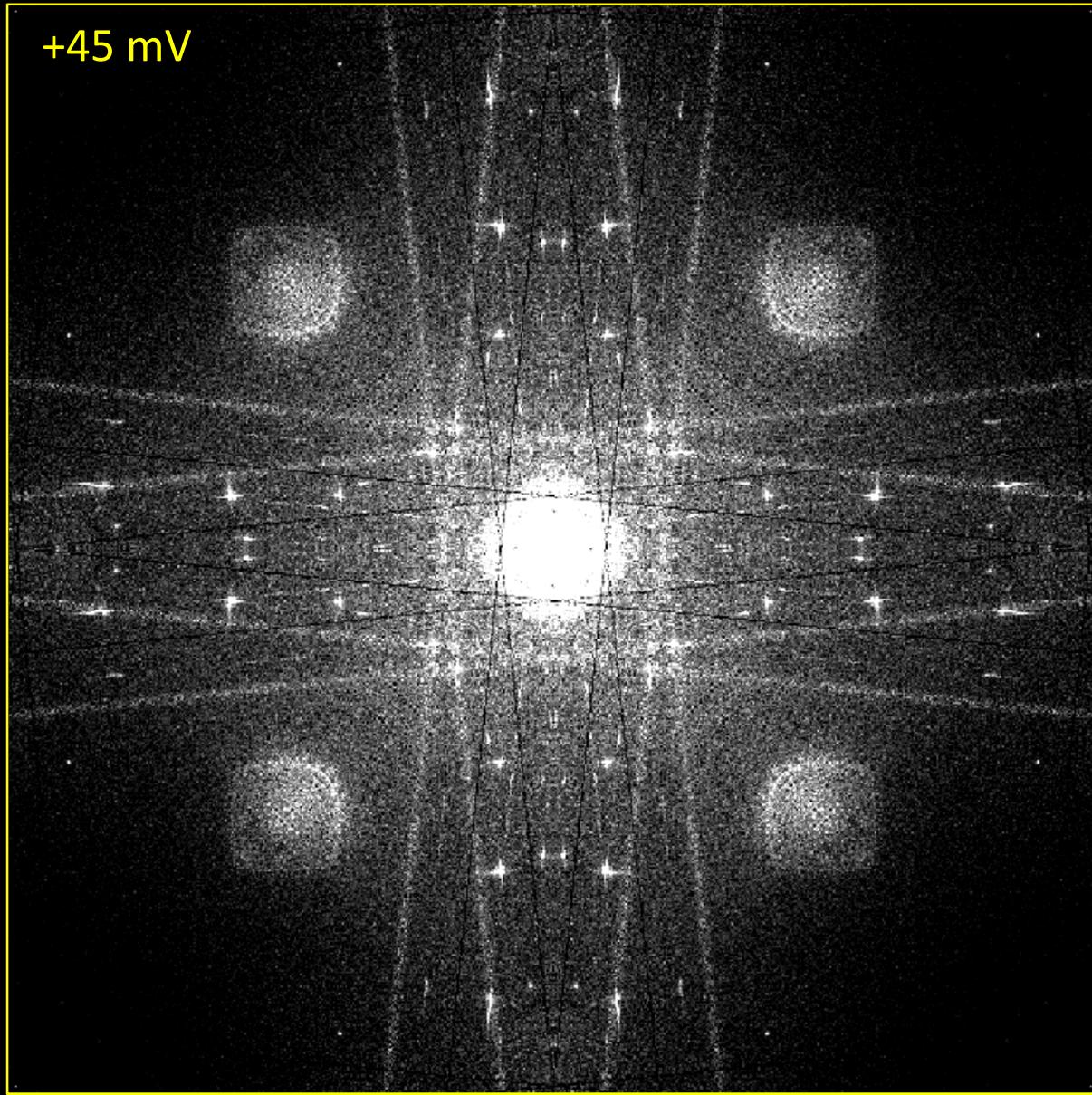
+55 mV



QPI Fourier transform patterns



+45 mV



dI/dV (a. u.)

2
1
0

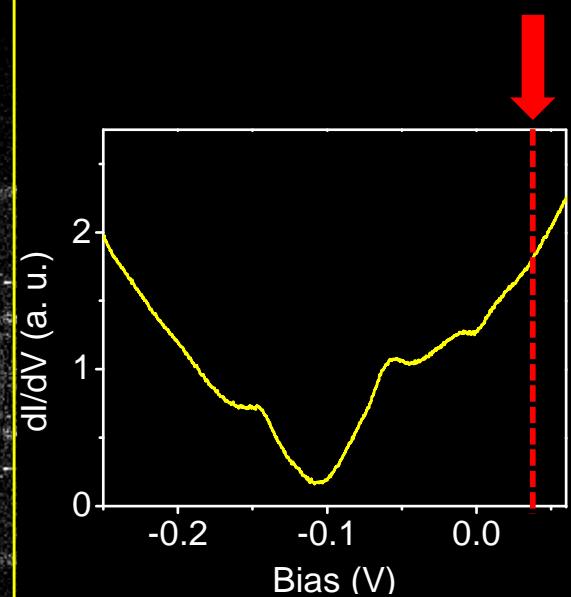
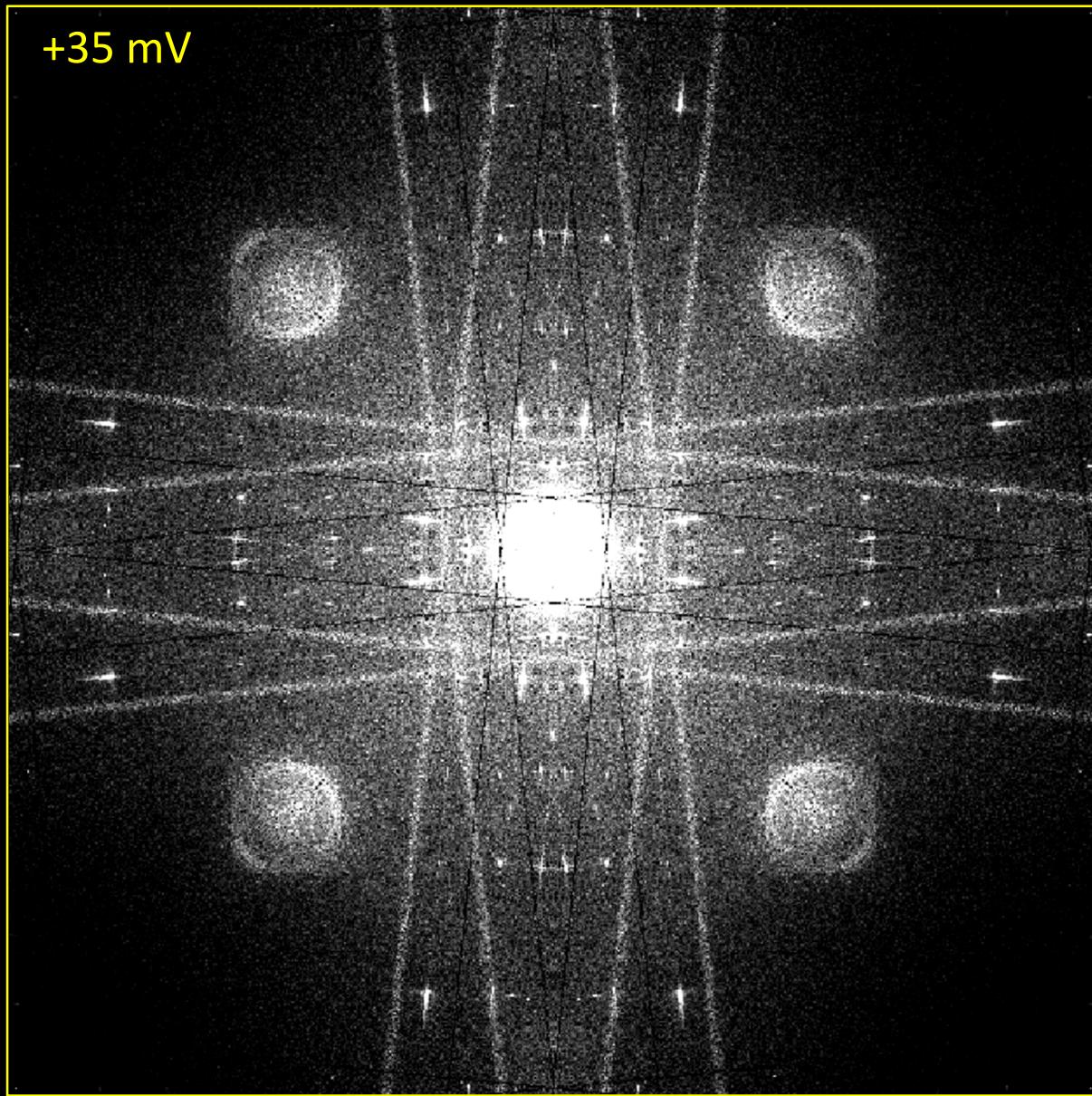
-0.2 -0.1 0.0

Bias (V)

QPI Fourier transform patterns



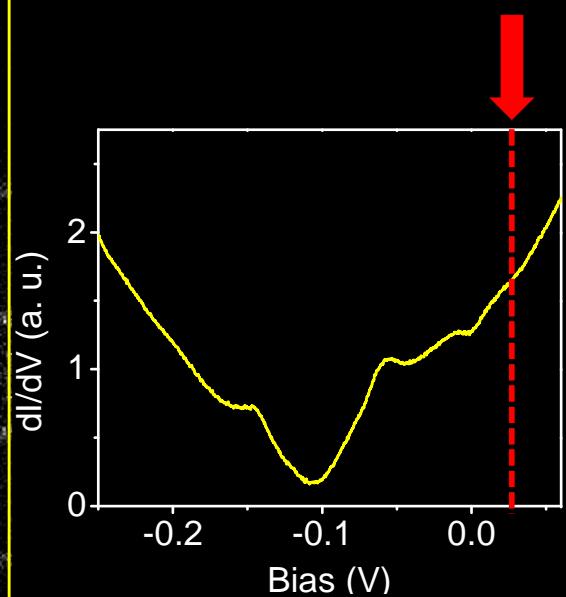
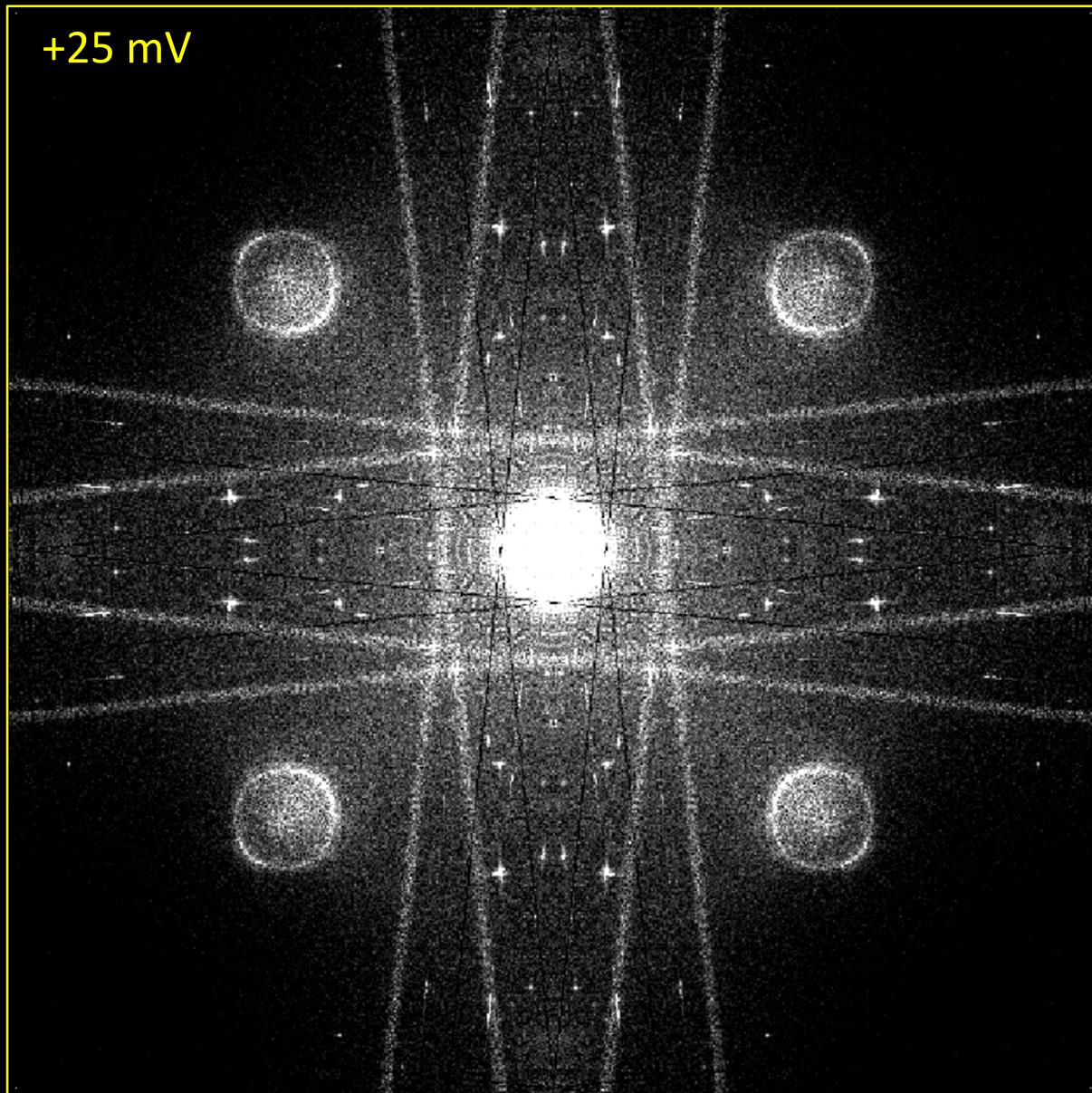
+35 mV



QPI Fourier transform patterns



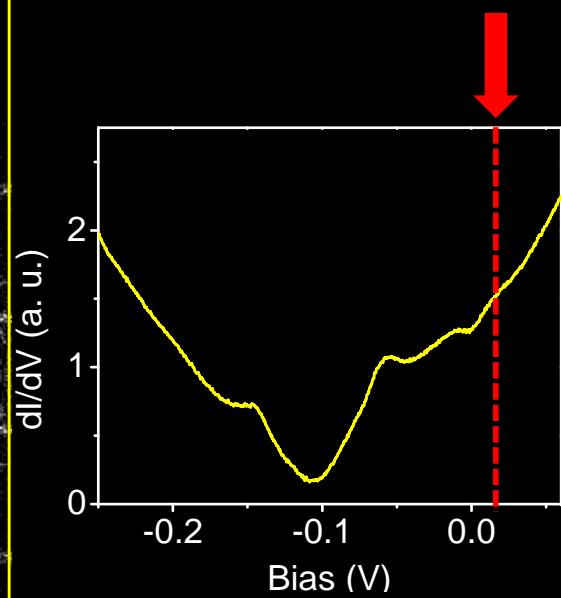
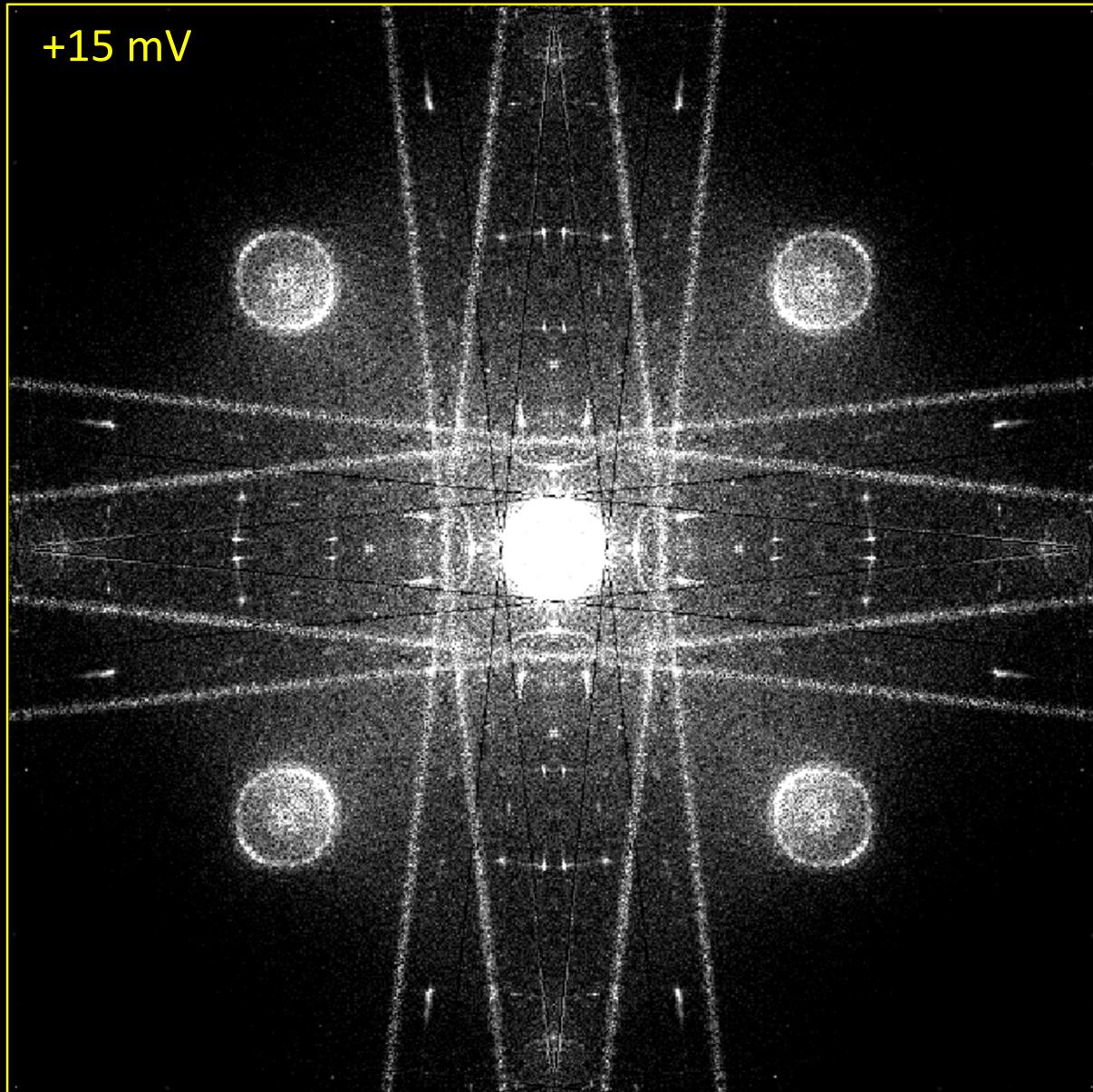
+25 mV



QPI Fourier transform patterns



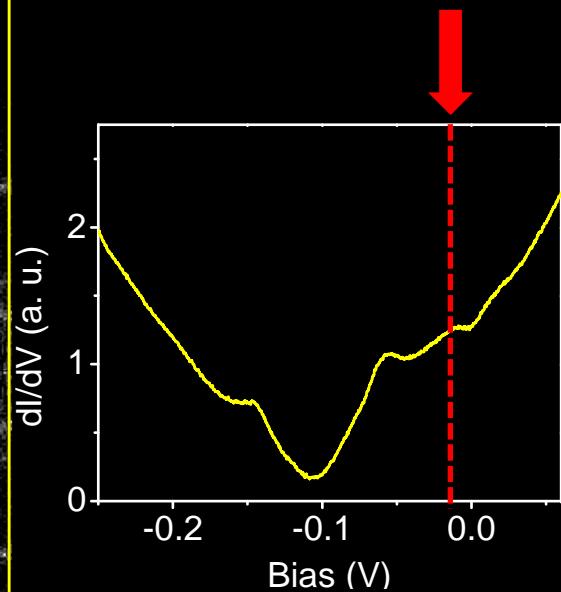
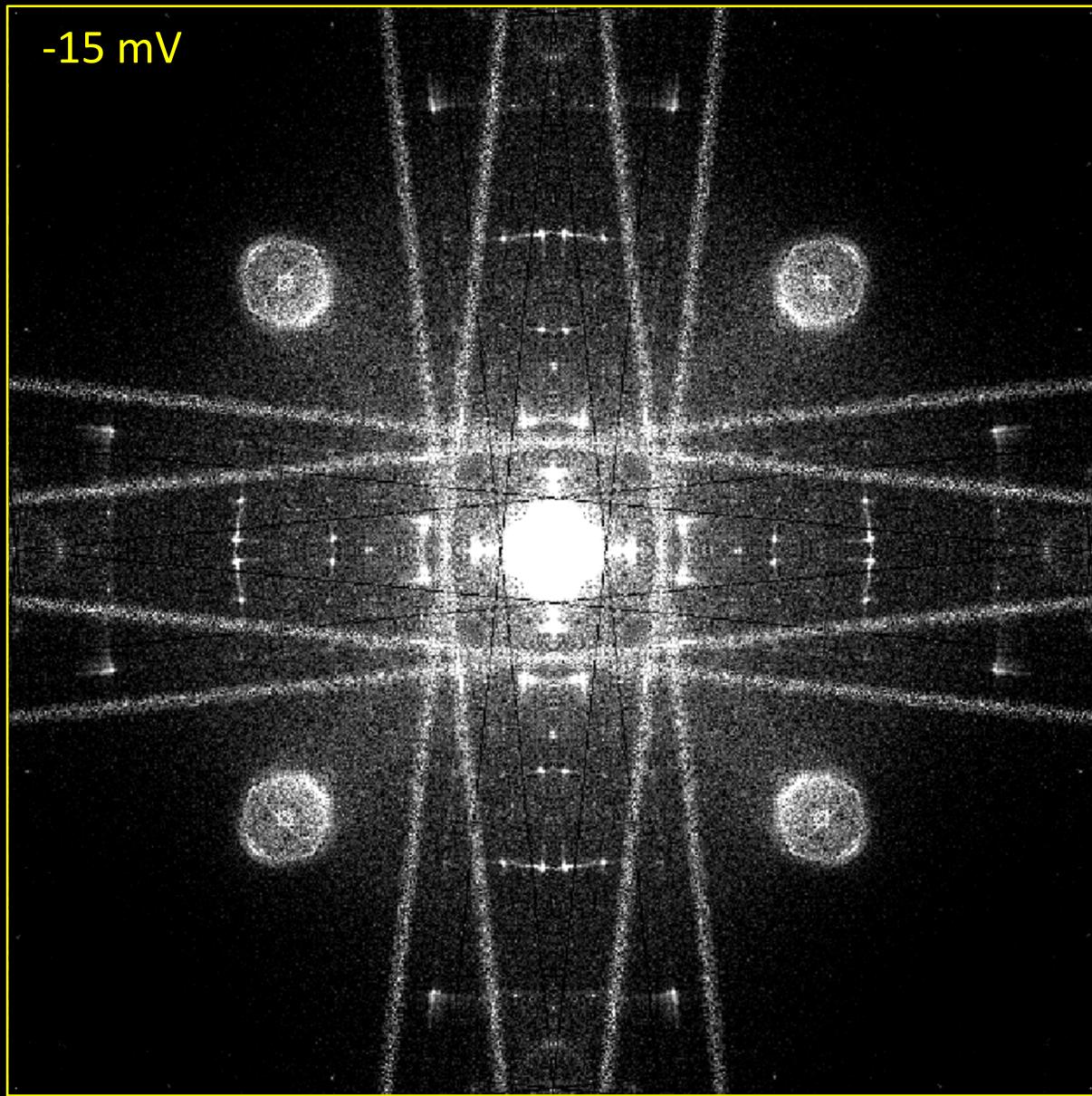
+15 mV



QPI Fourier transform patterns



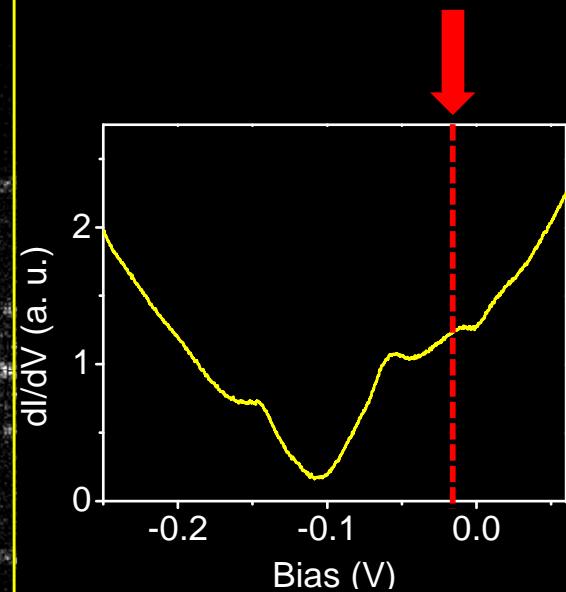
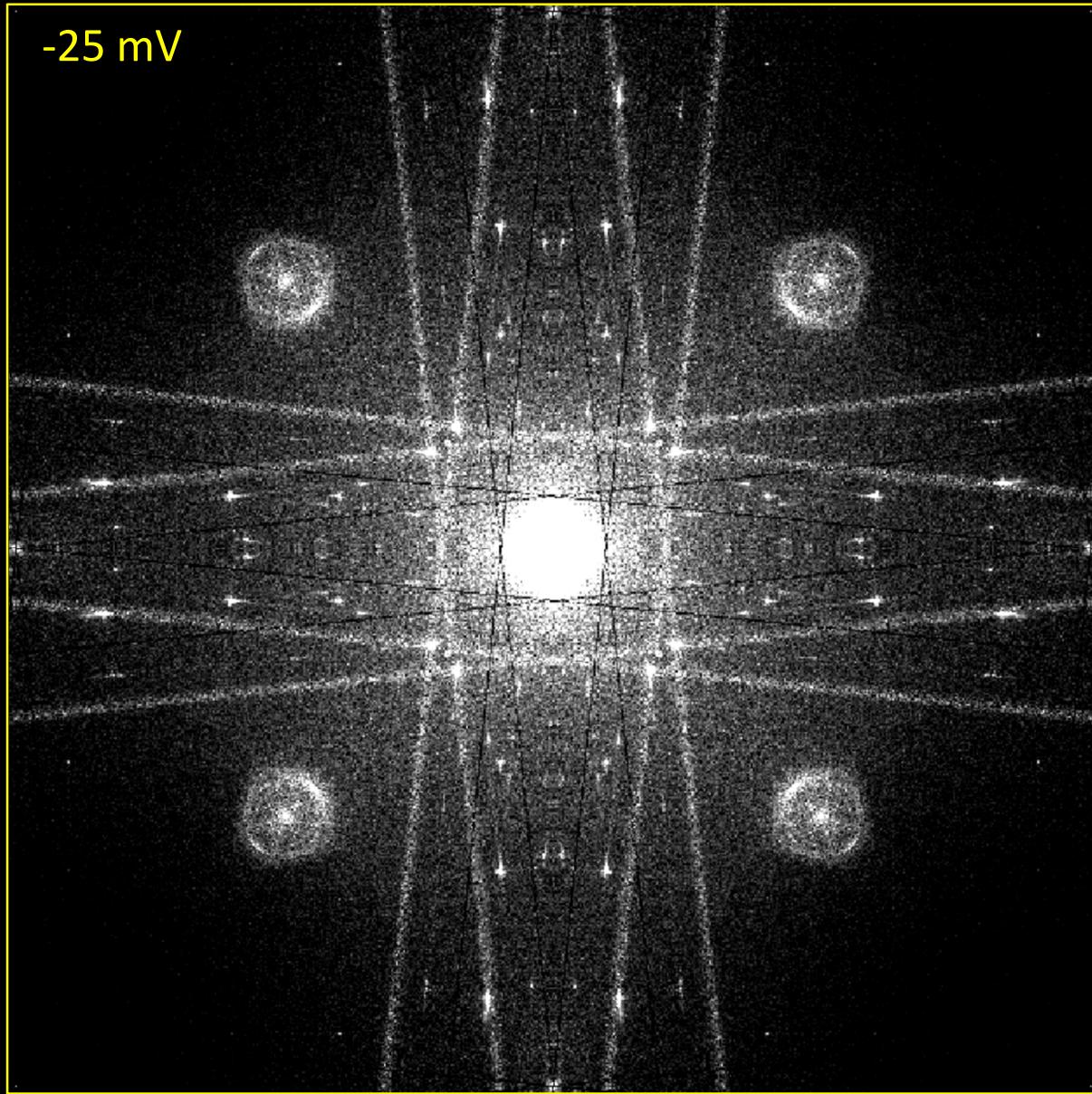
-15 mV



QPI Fourier transform patterns



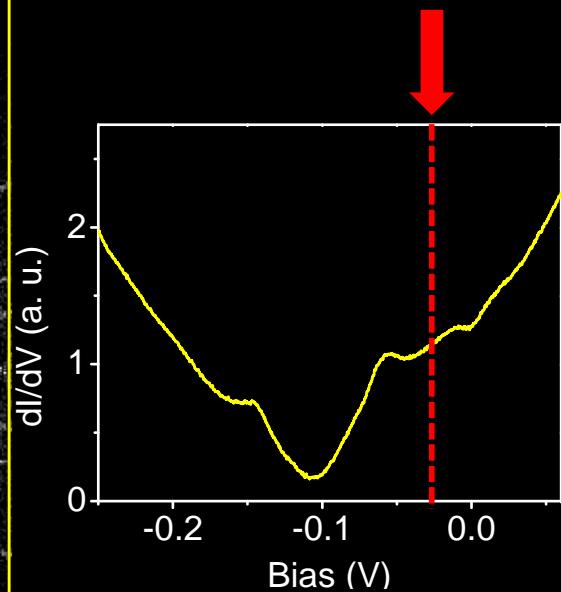
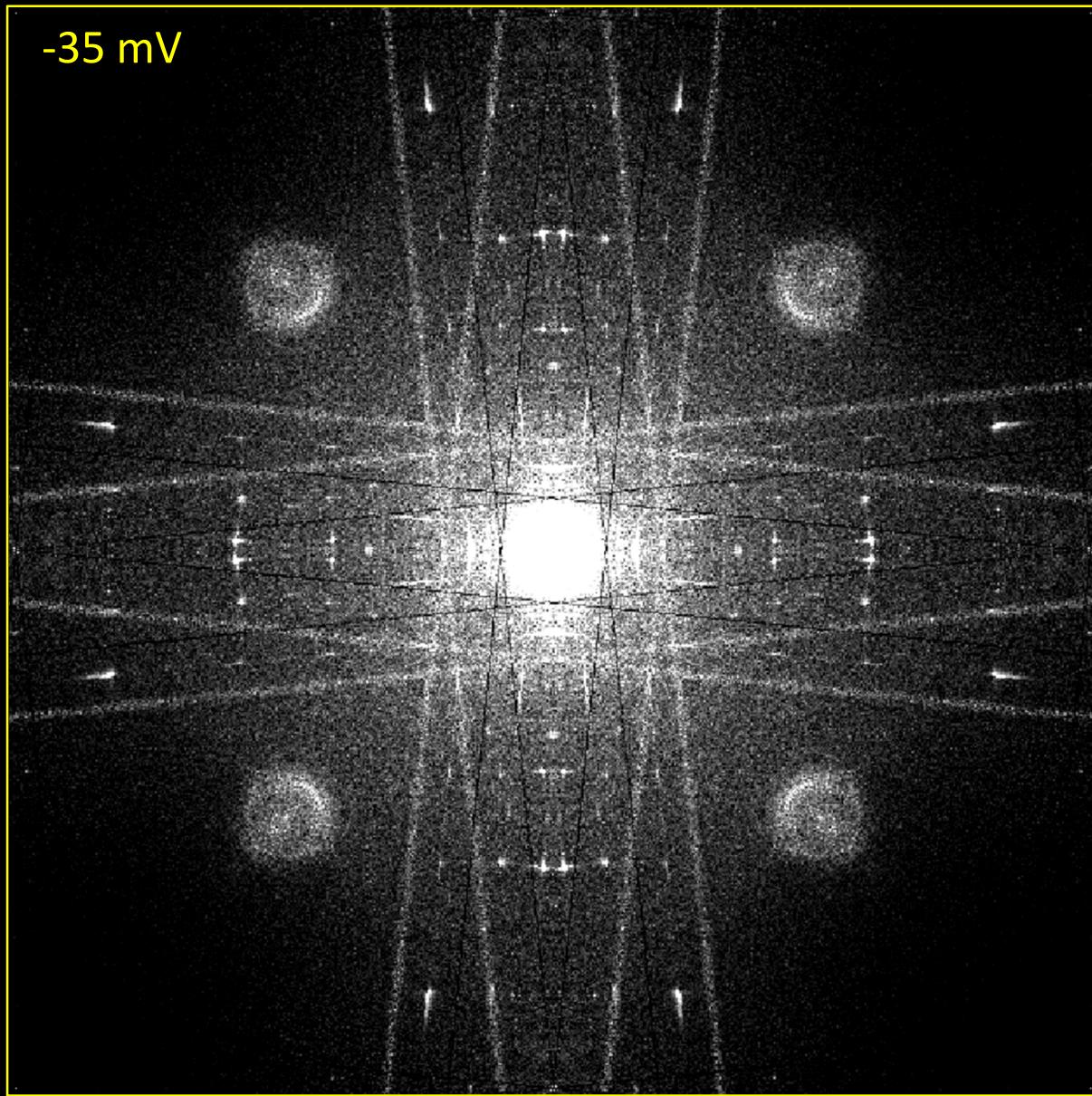
-25 mV



QPI Fourier transform patterns



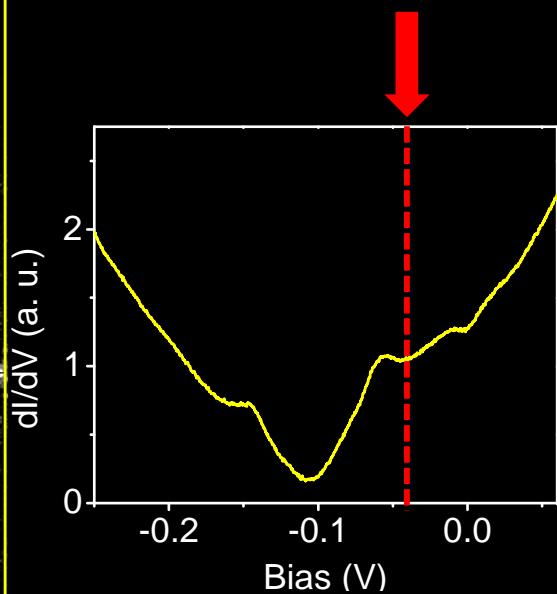
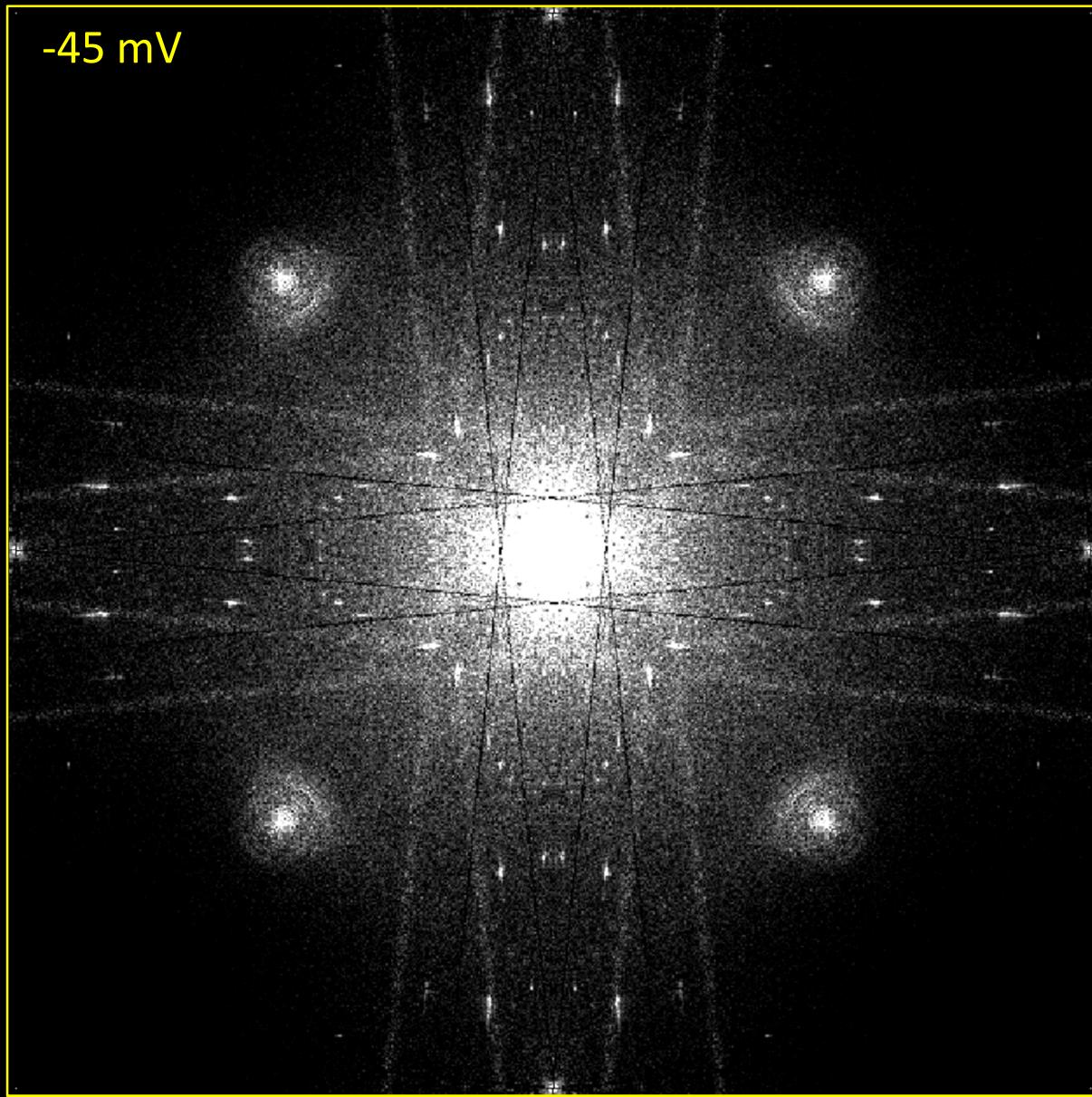
-35 mV



QPI Fourier transform patterns



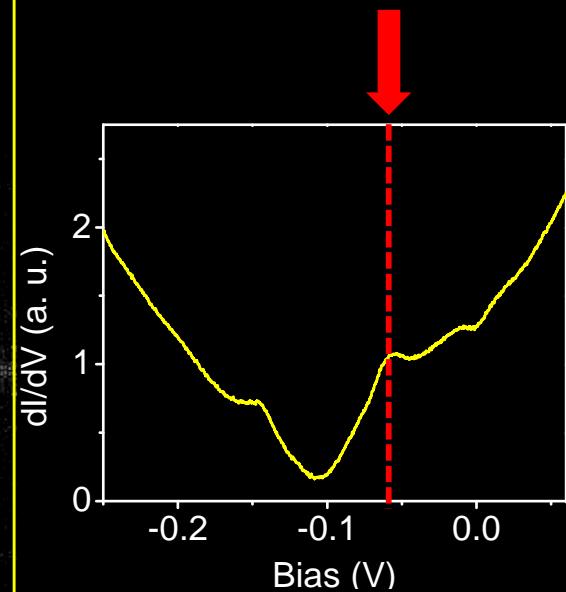
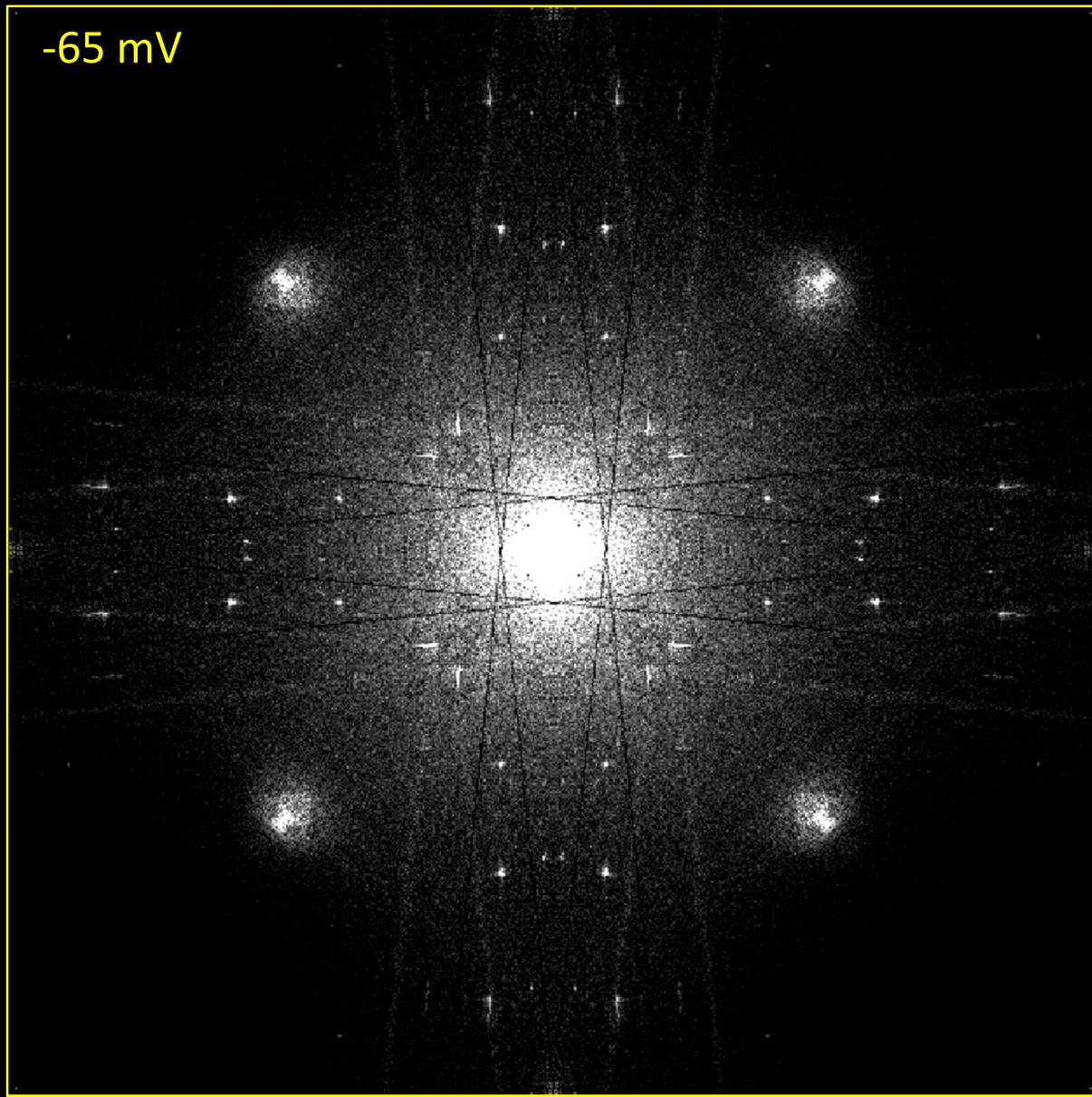
-45 mV



QPI Fourier transform patterns



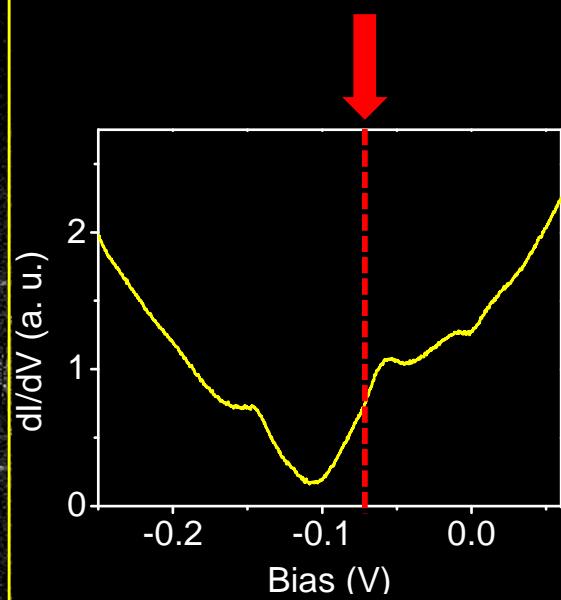
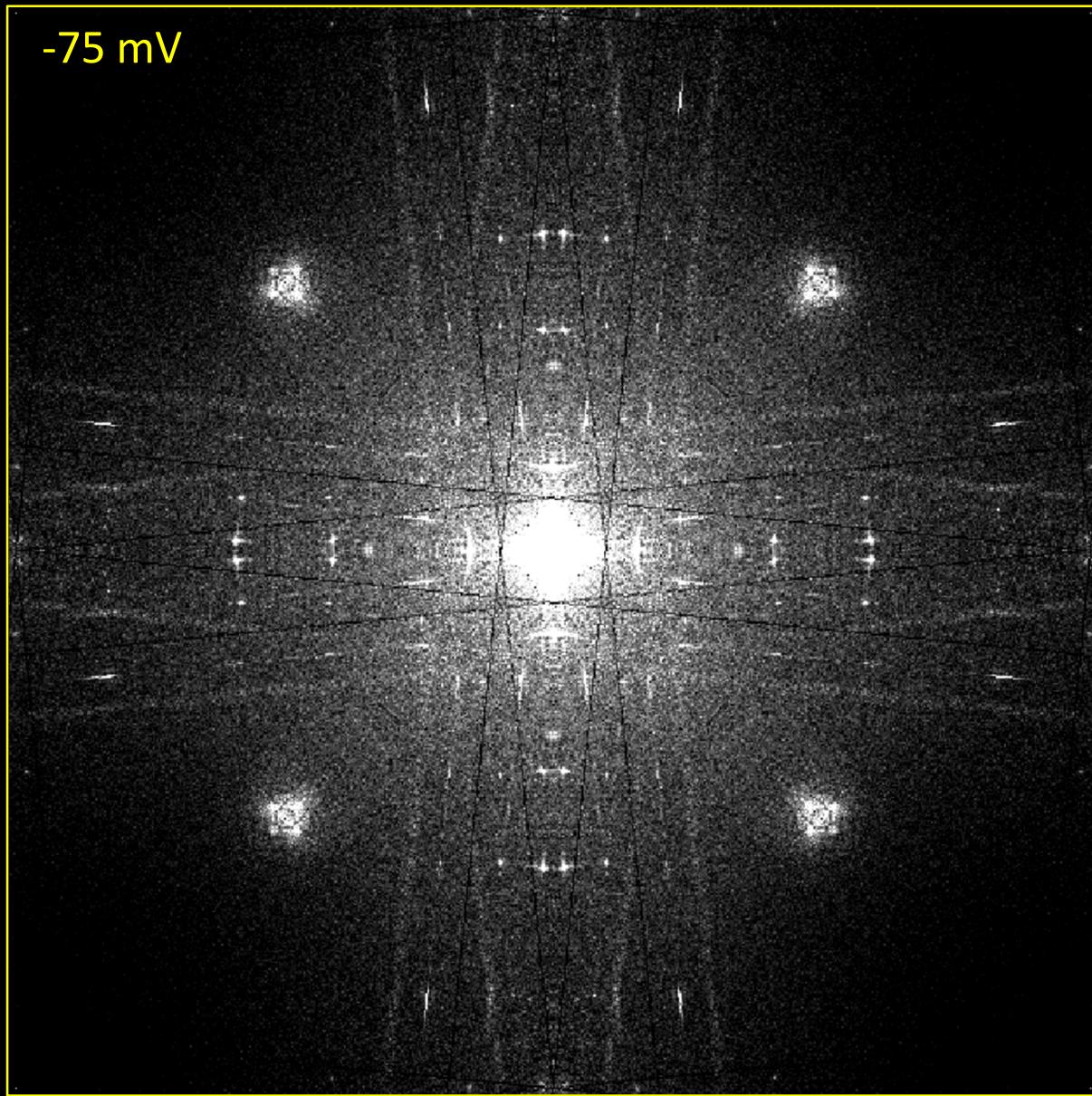
-65 mV



QPI Fourier transform patterns



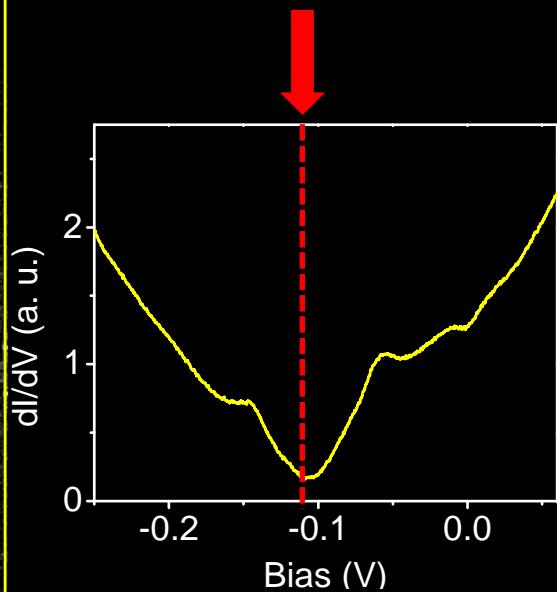
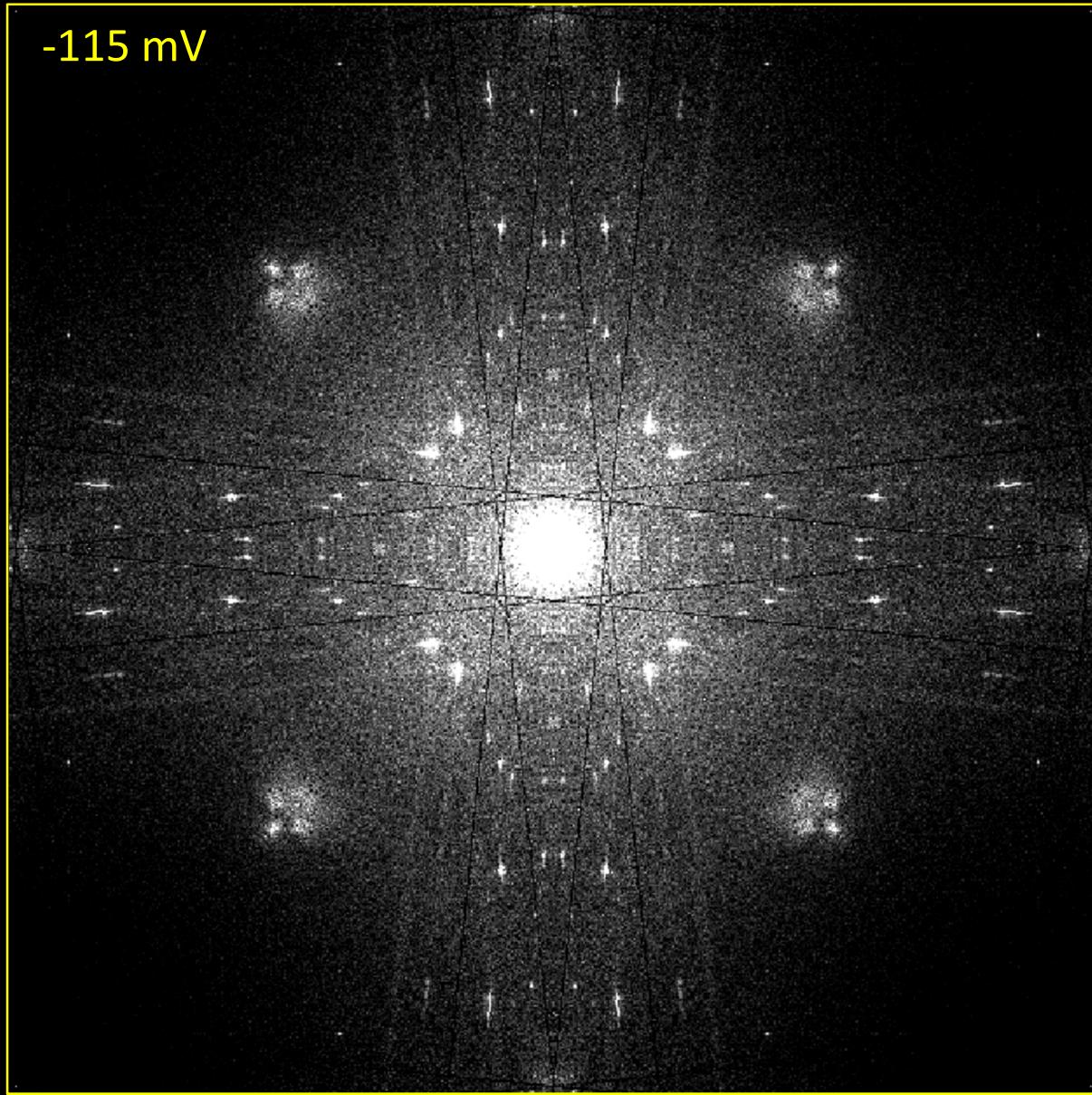
-75 mV



QPI Fourier transform patterns



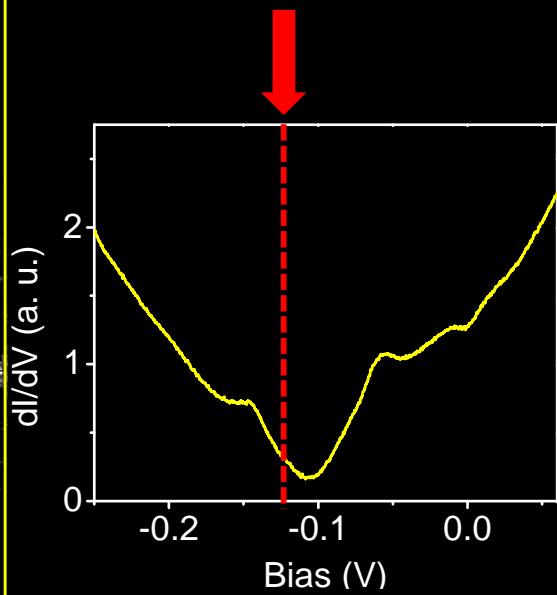
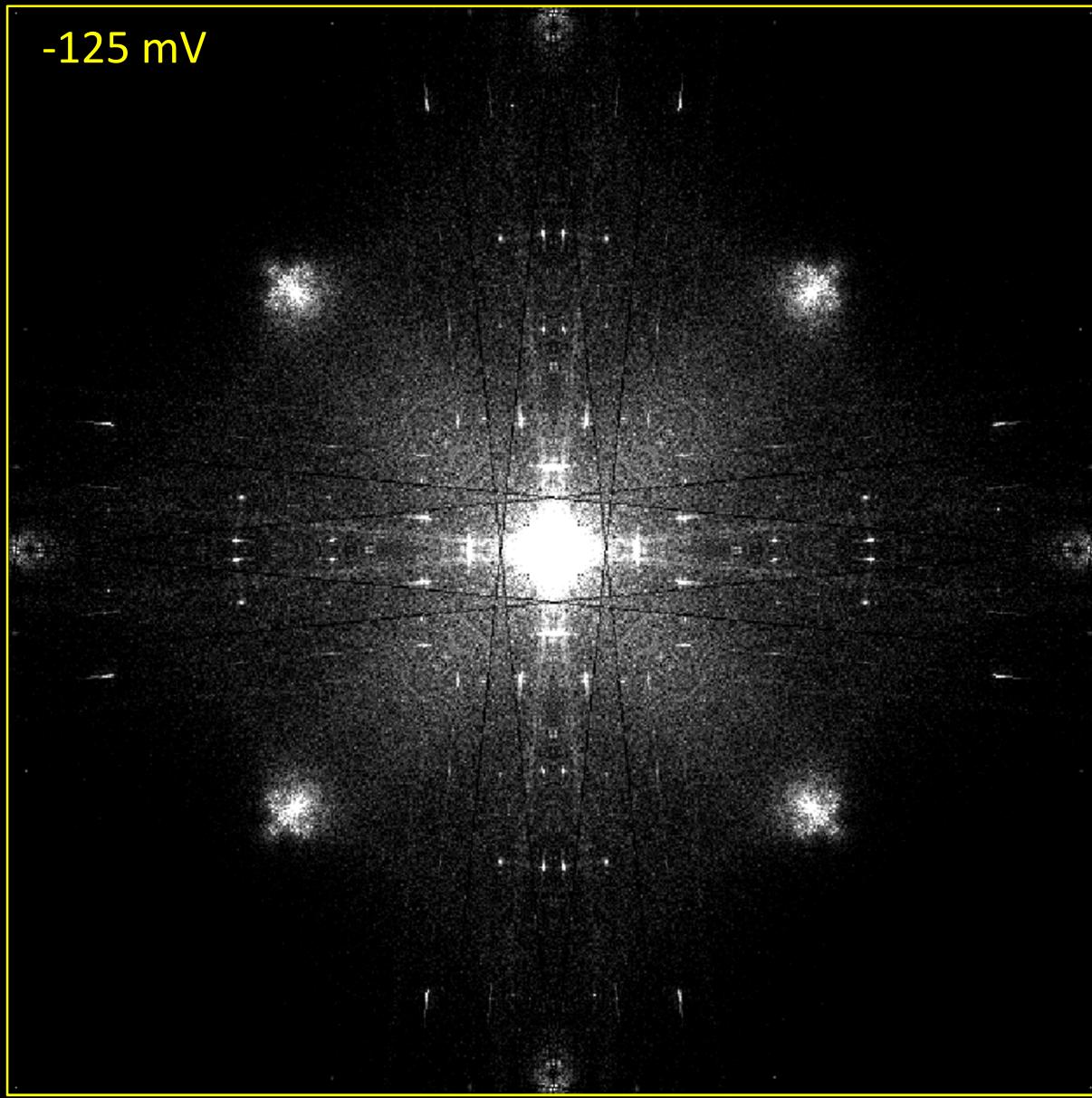
-115 mV



QPI Fourier transform patterns



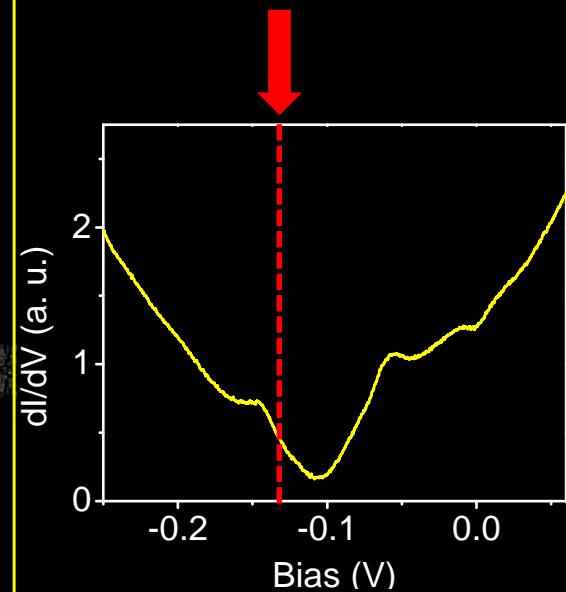
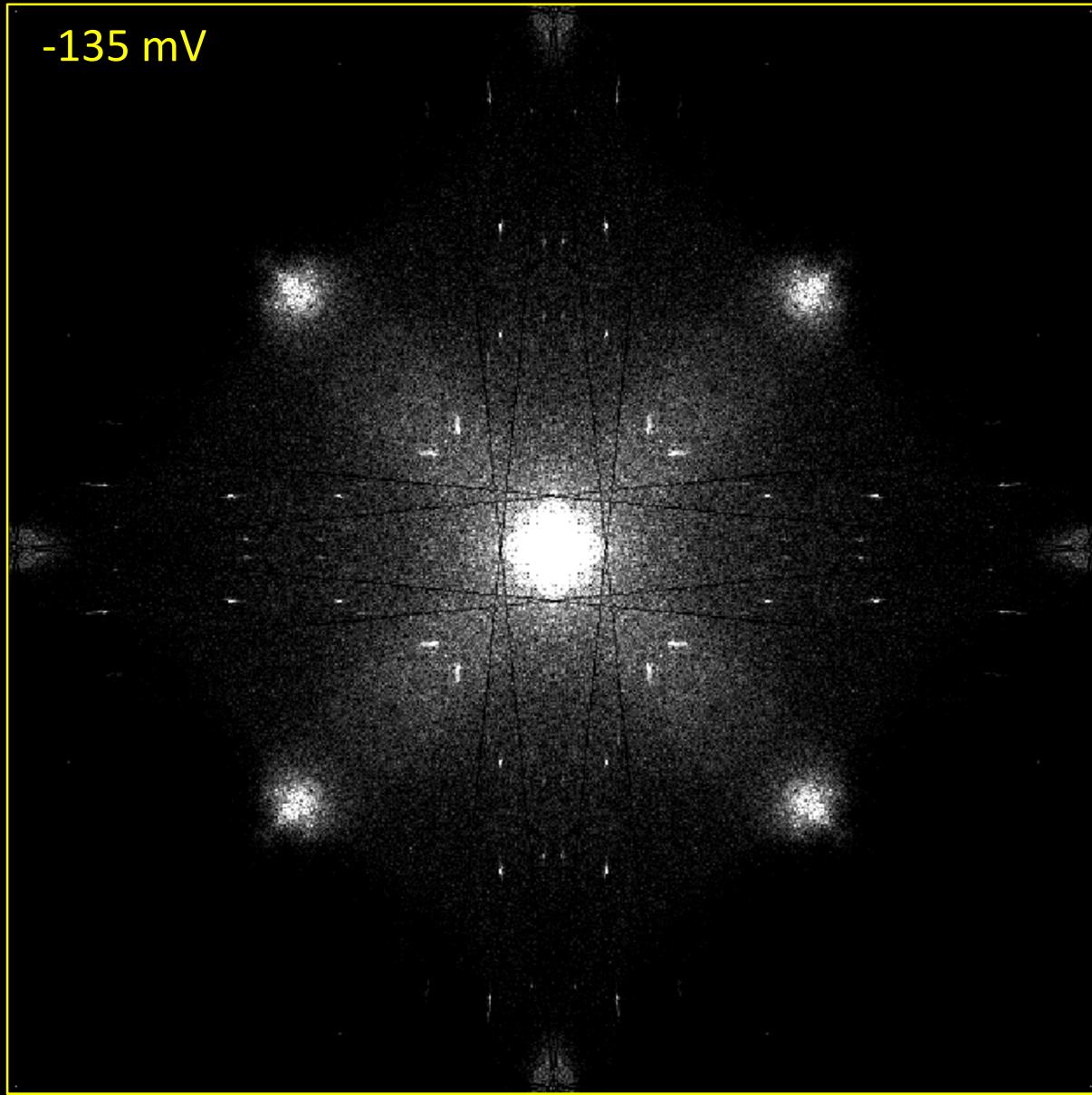
-125 mV



QPI Fourier transform patterns



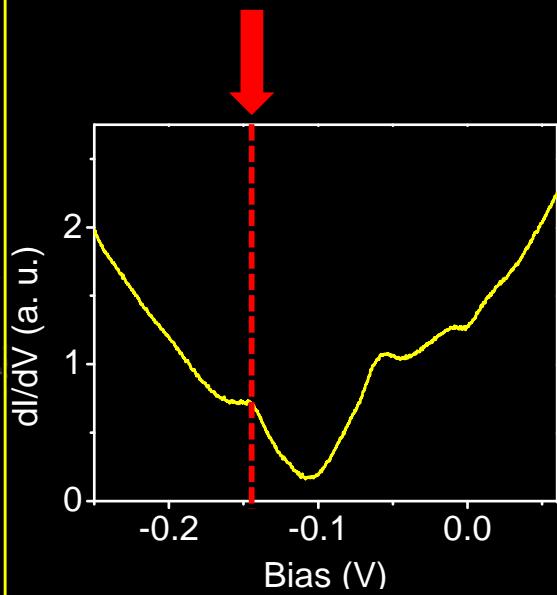
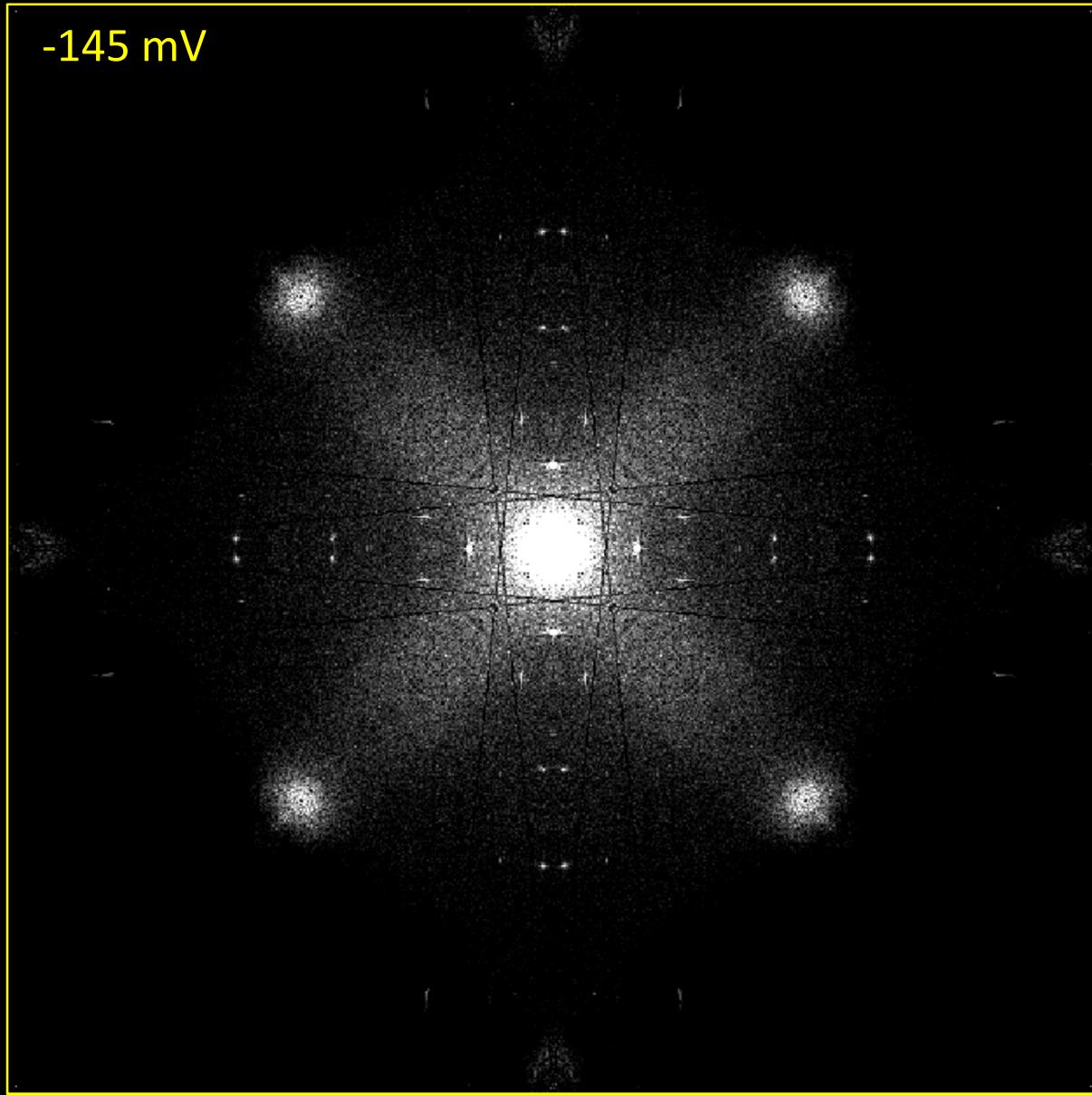
-135 mV



QPI Fourier transform patterns



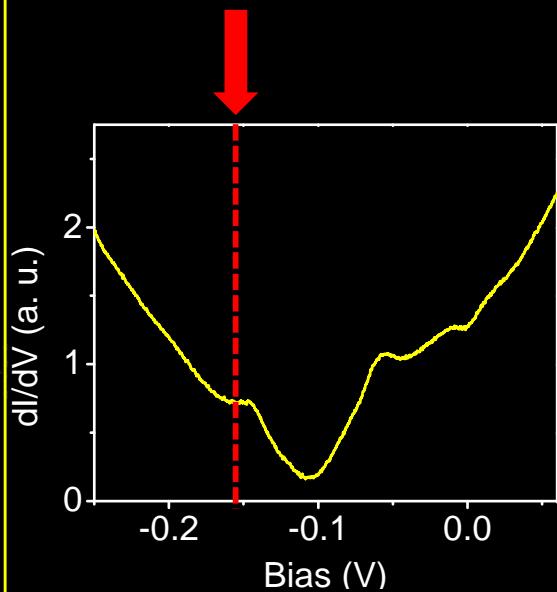
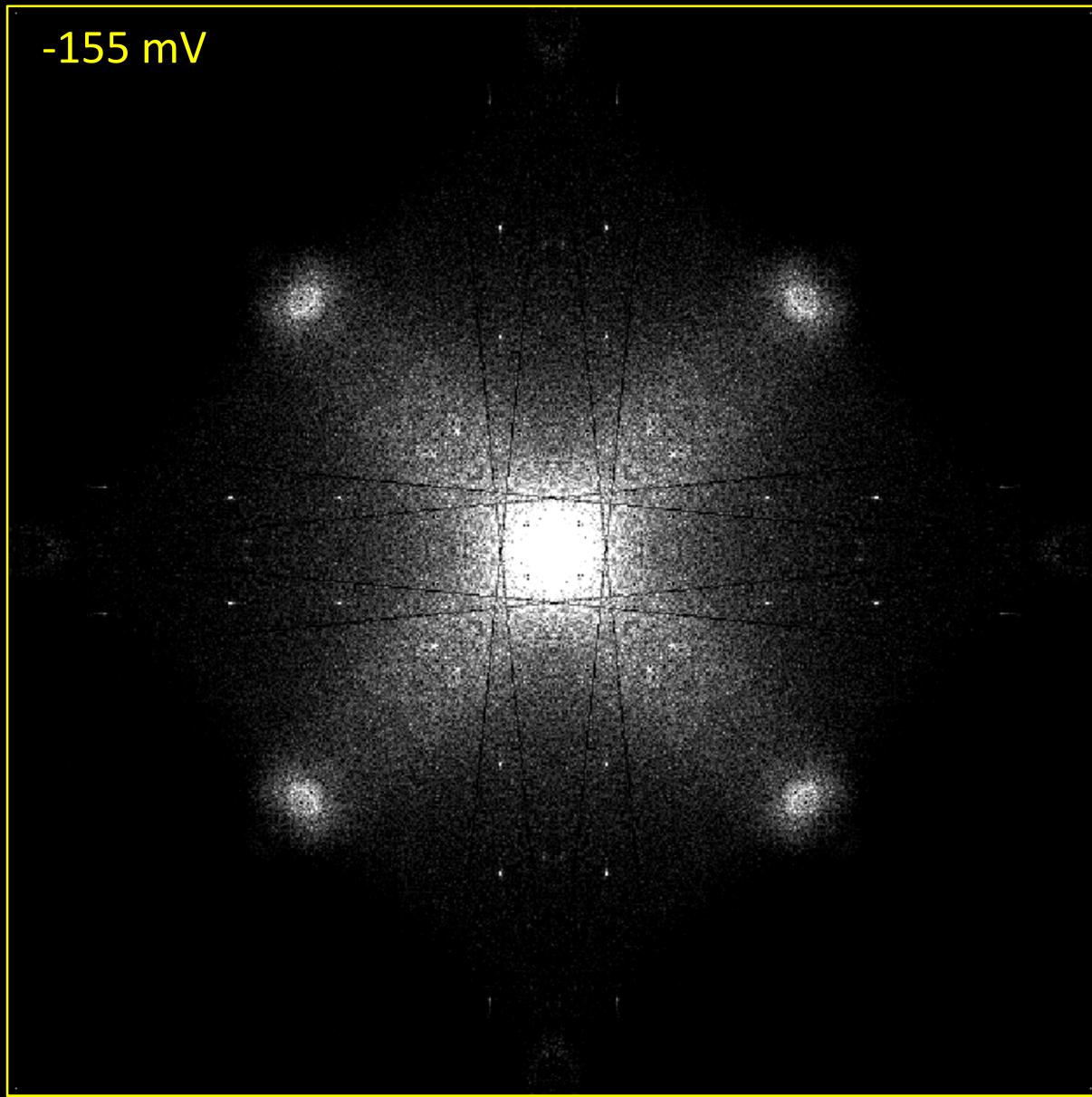
-145 mV



QPI Fourier transform patterns



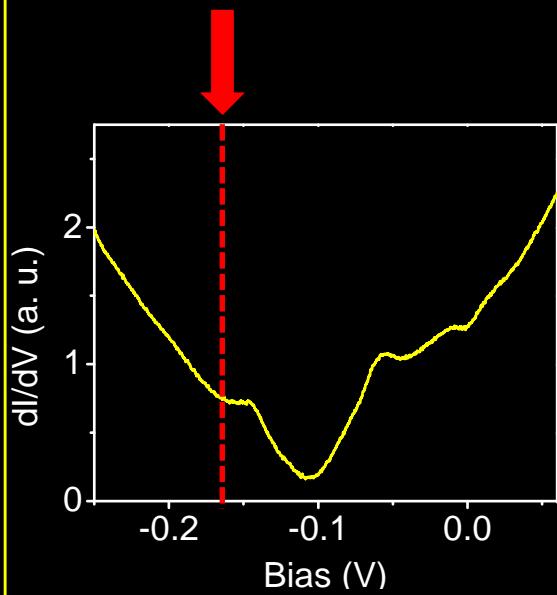
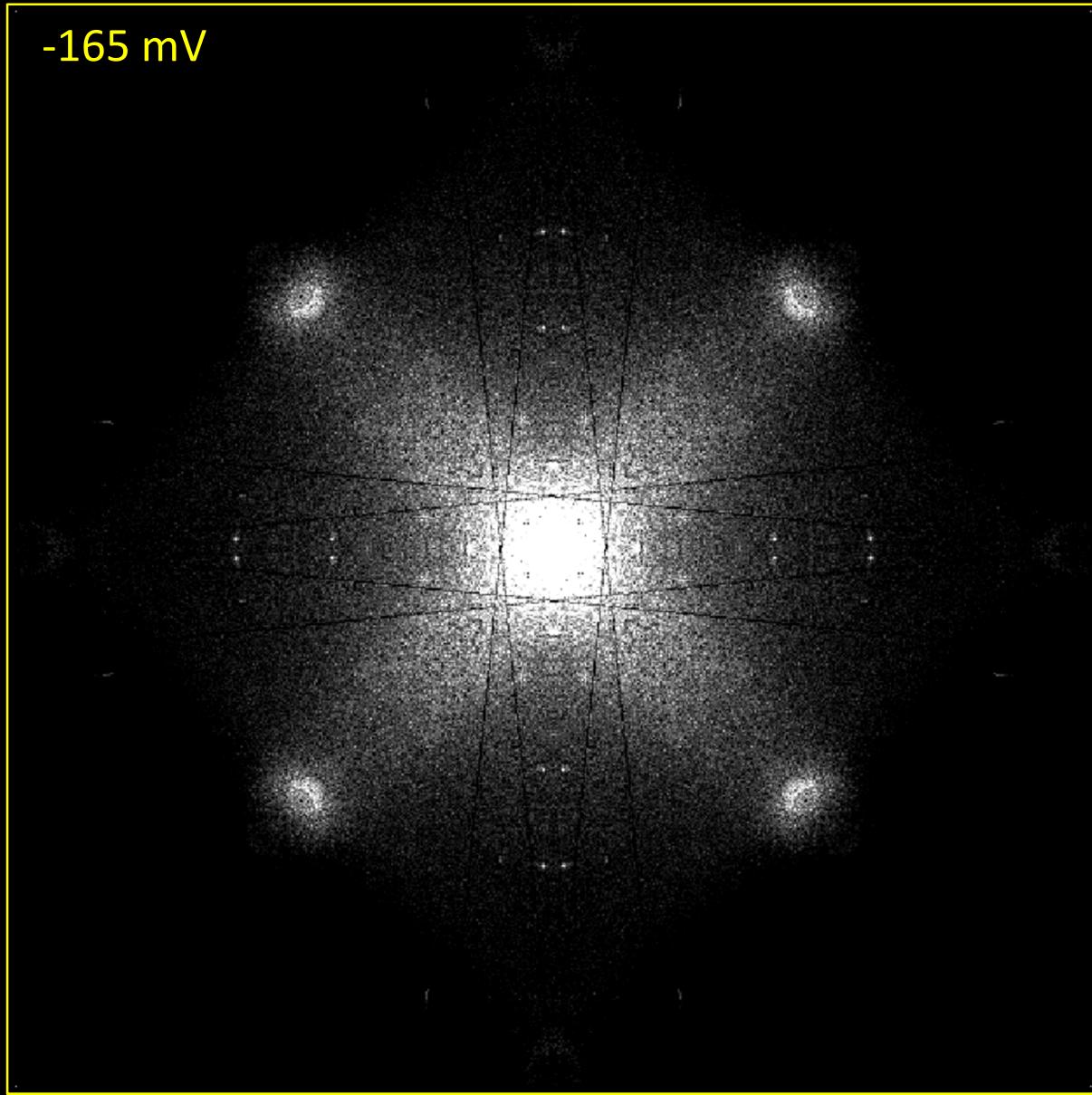
-155 mV



QPI Fourier transform patterns



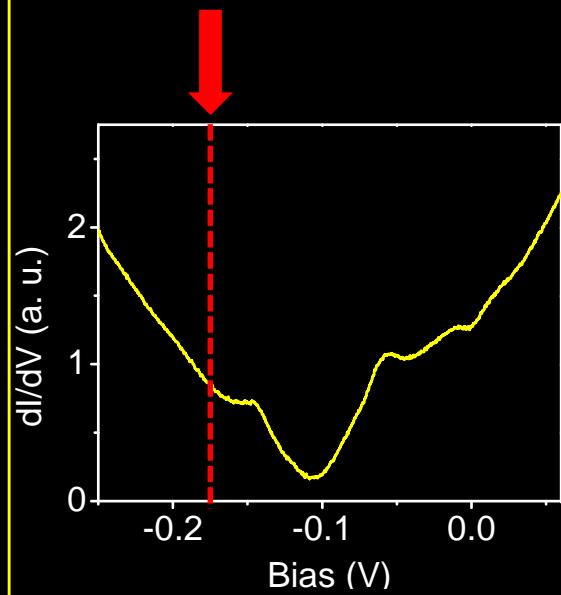
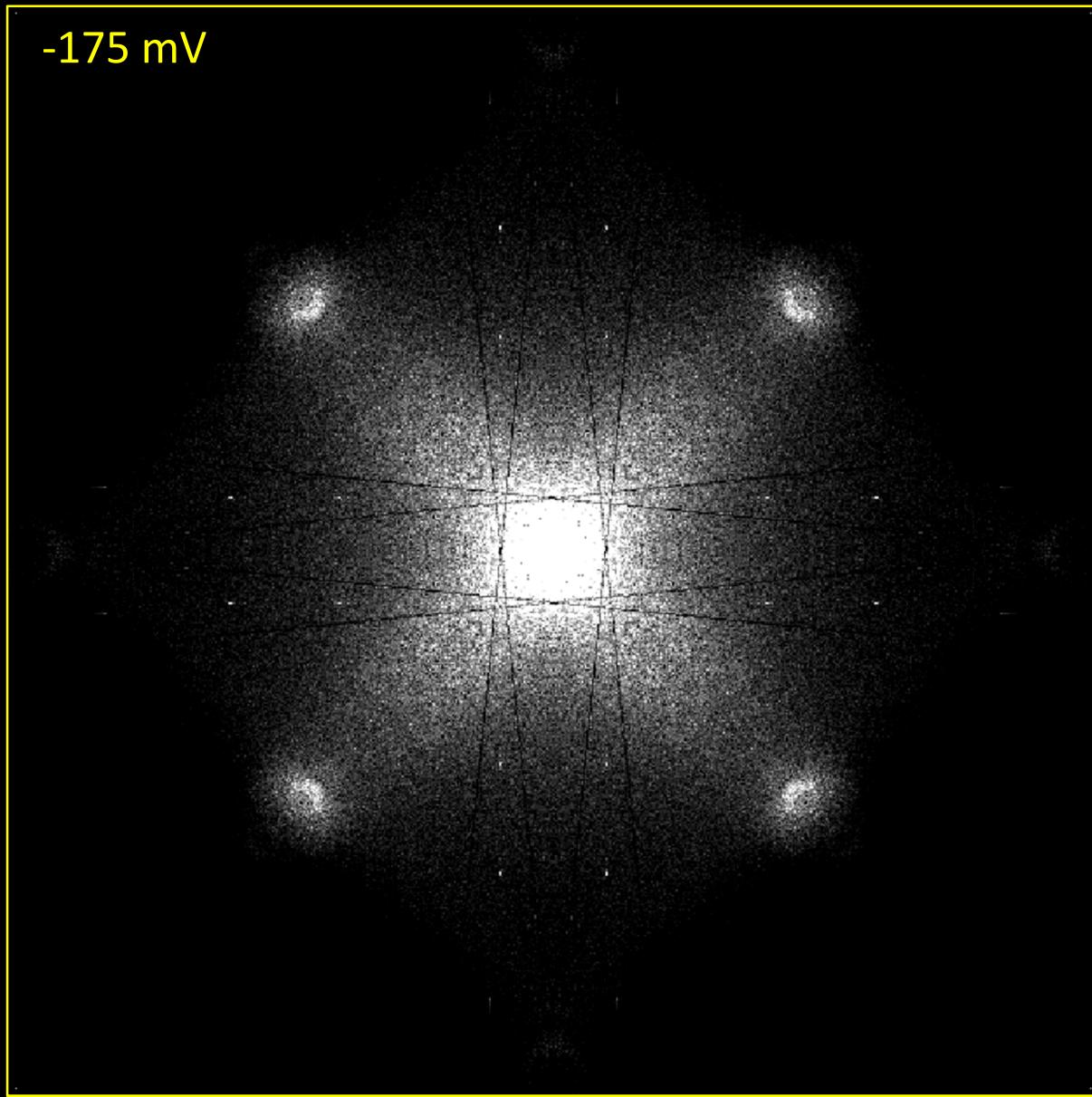
-165 mV



QPI Fourier transform patterns



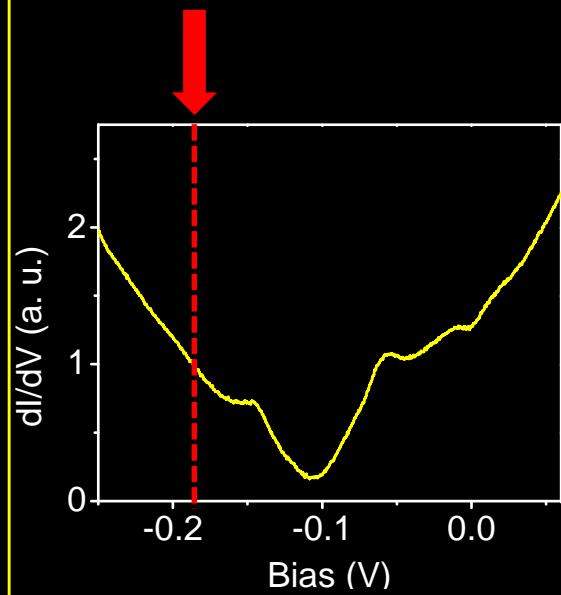
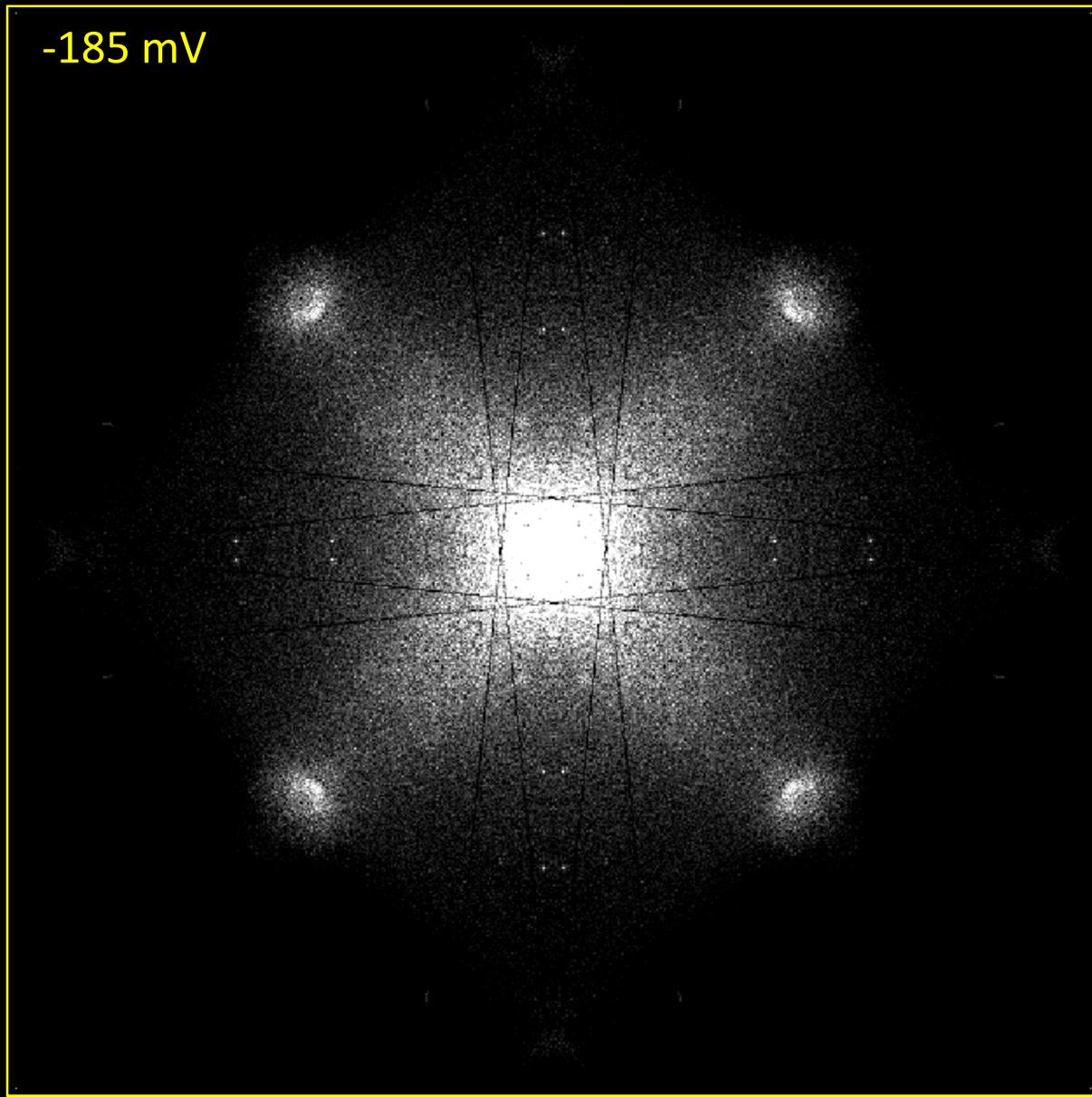
-175 mV



QPI Fourier transform patterns



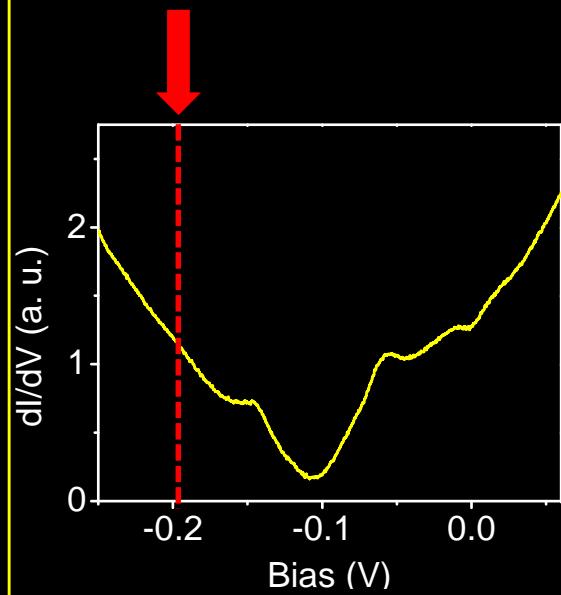
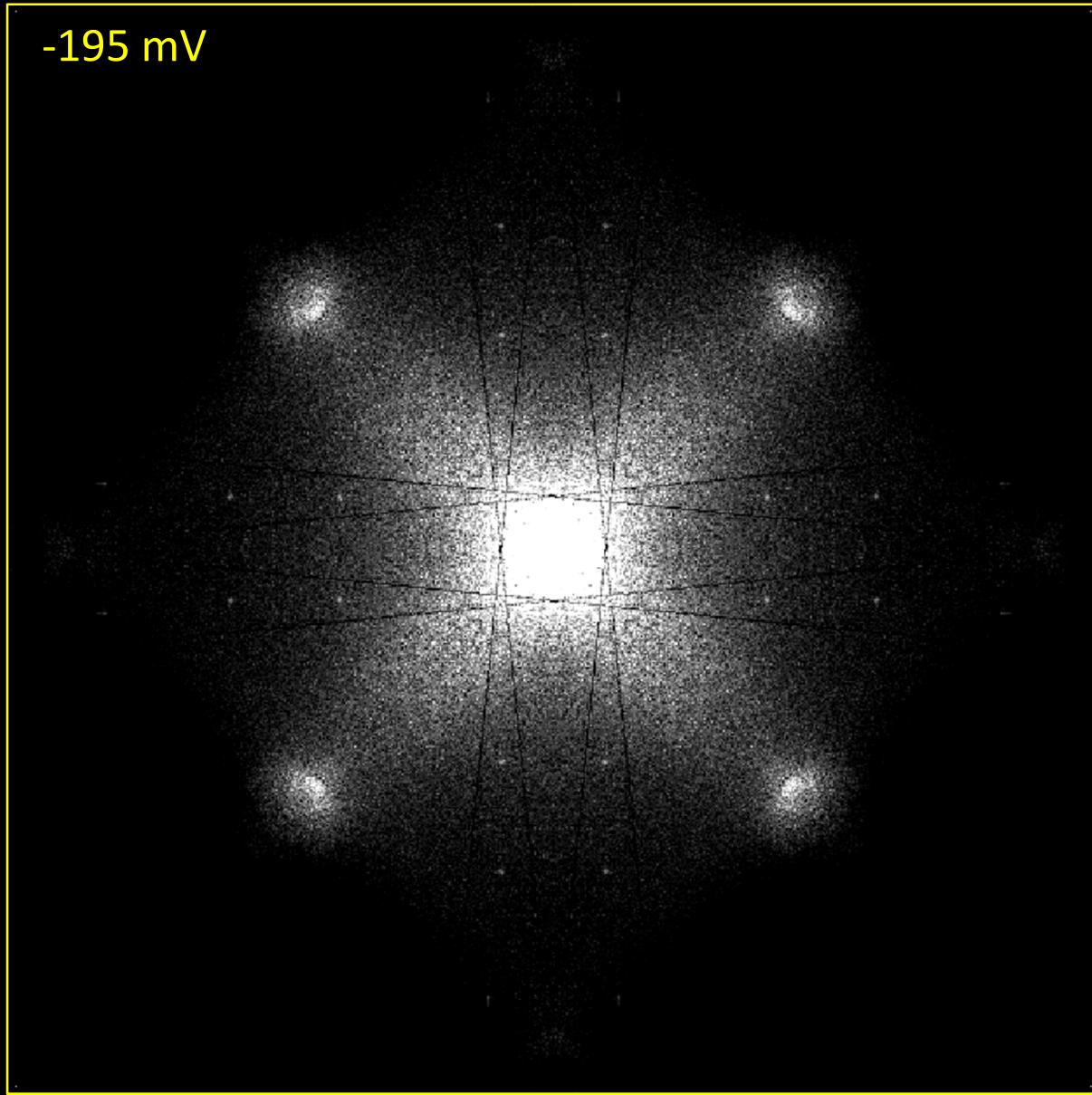
-185 mV



QPI Fourier transform patterns



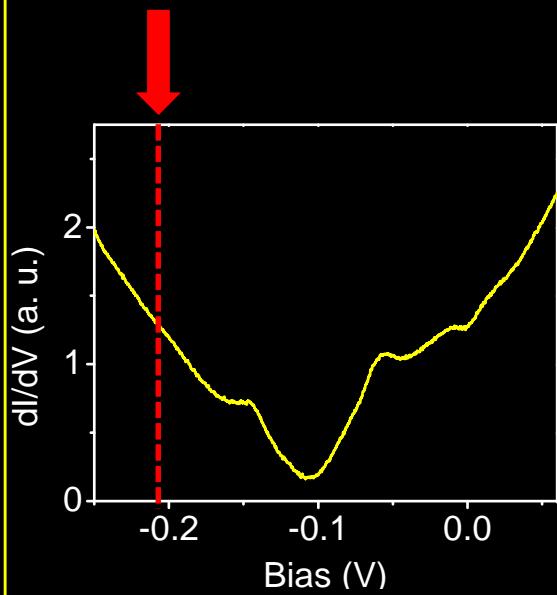
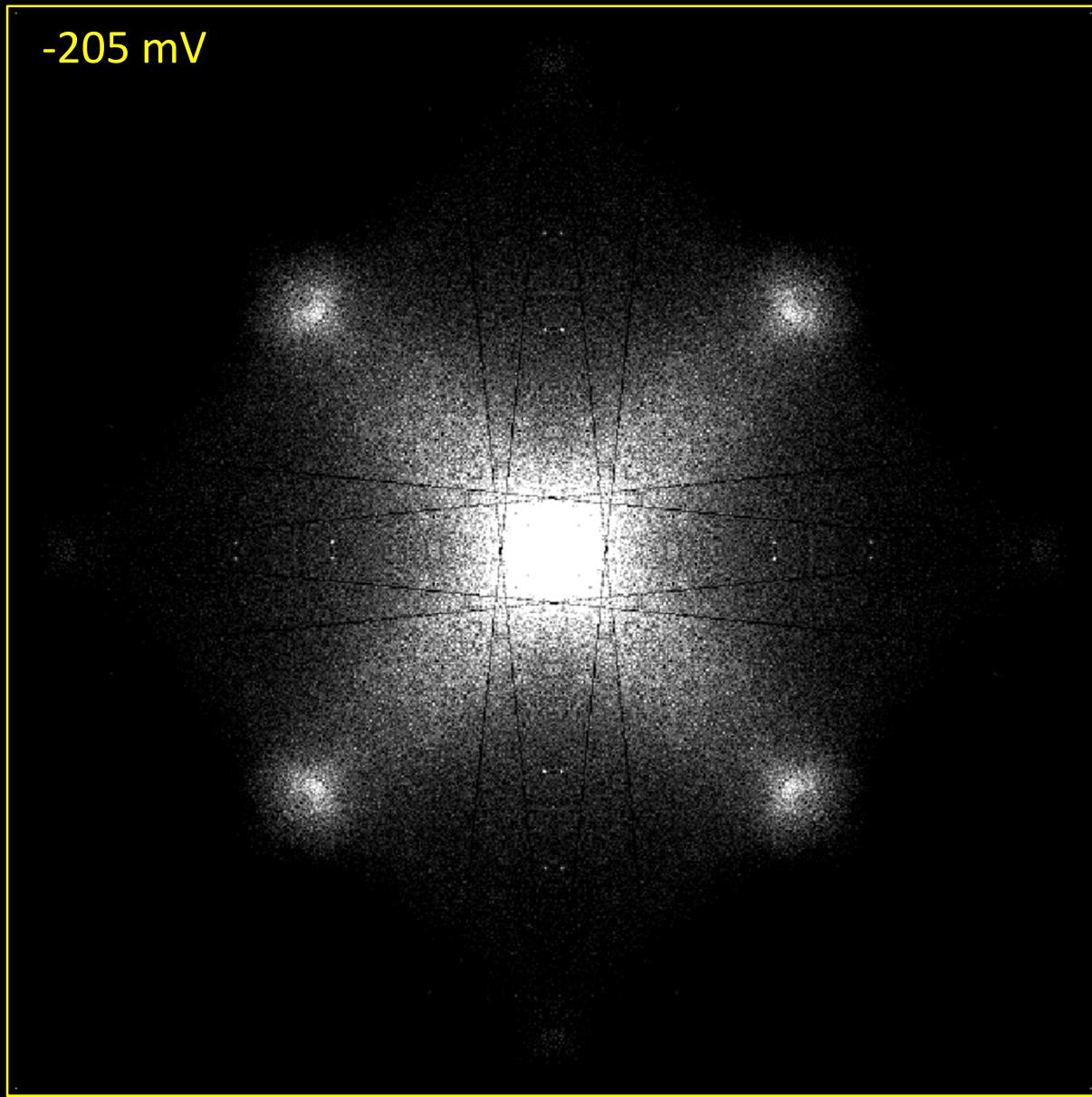
-195 mV



QPI Fourier transform patterns



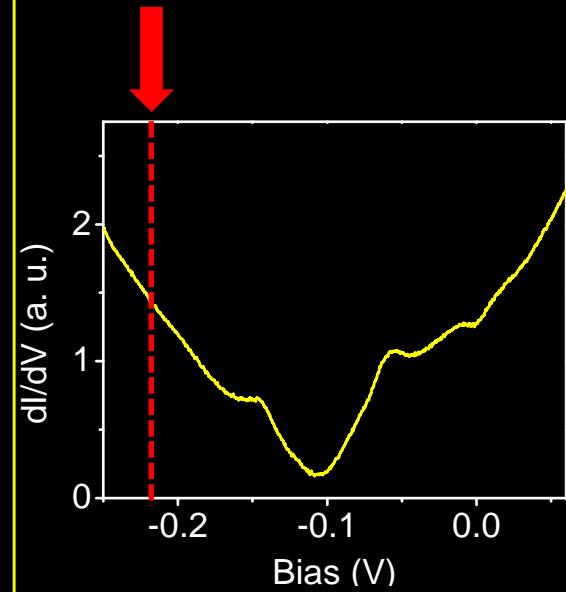
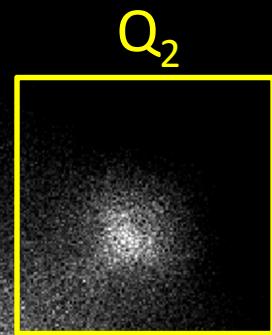
-205 mV



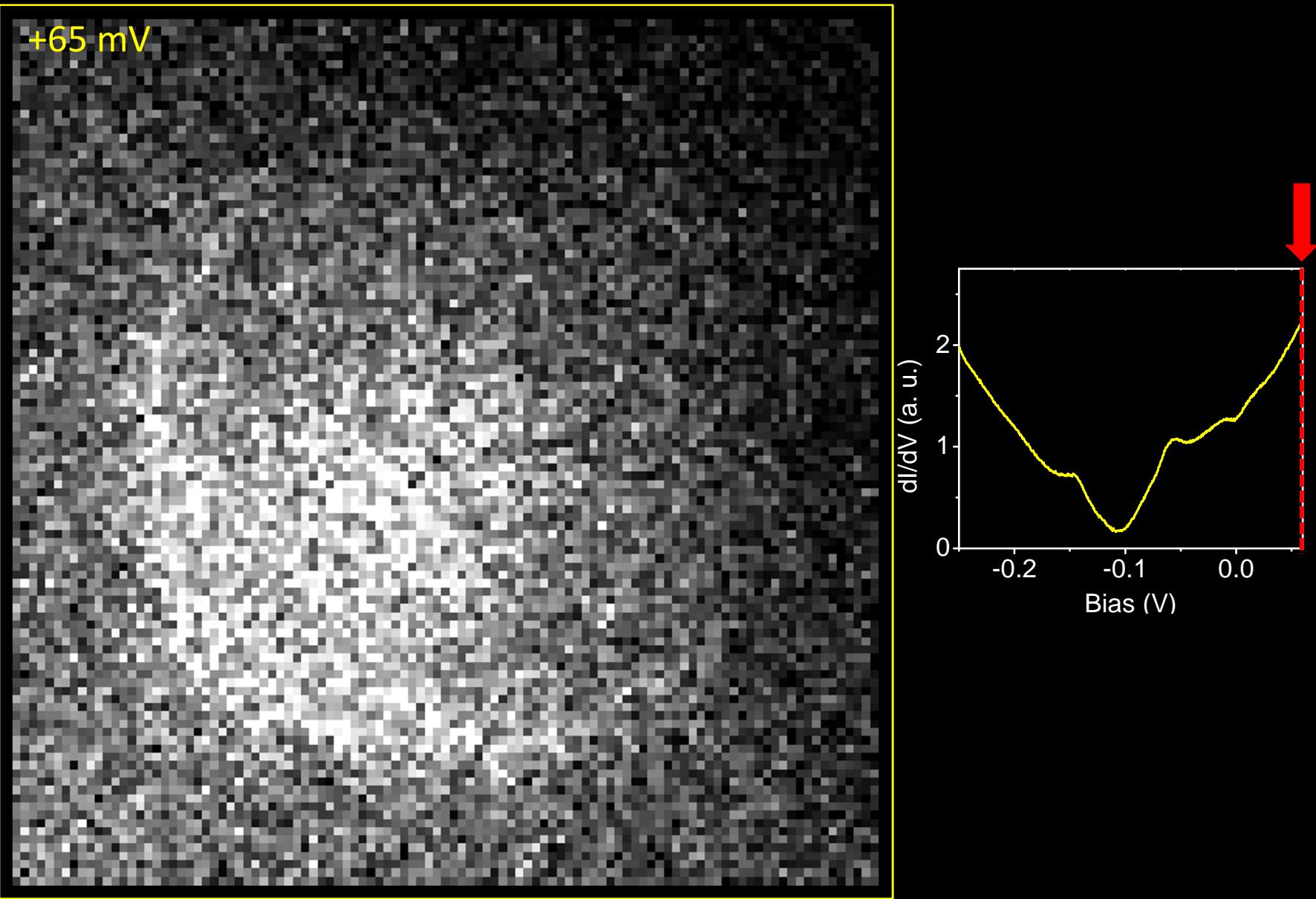
QPI Fourier transform patterns



-215 mV



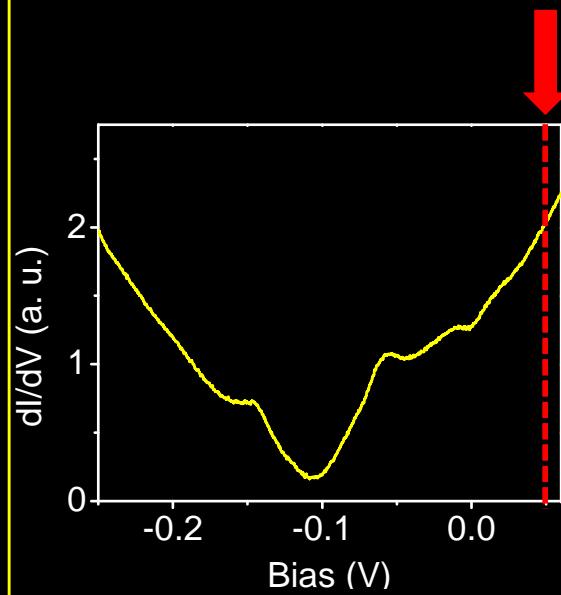
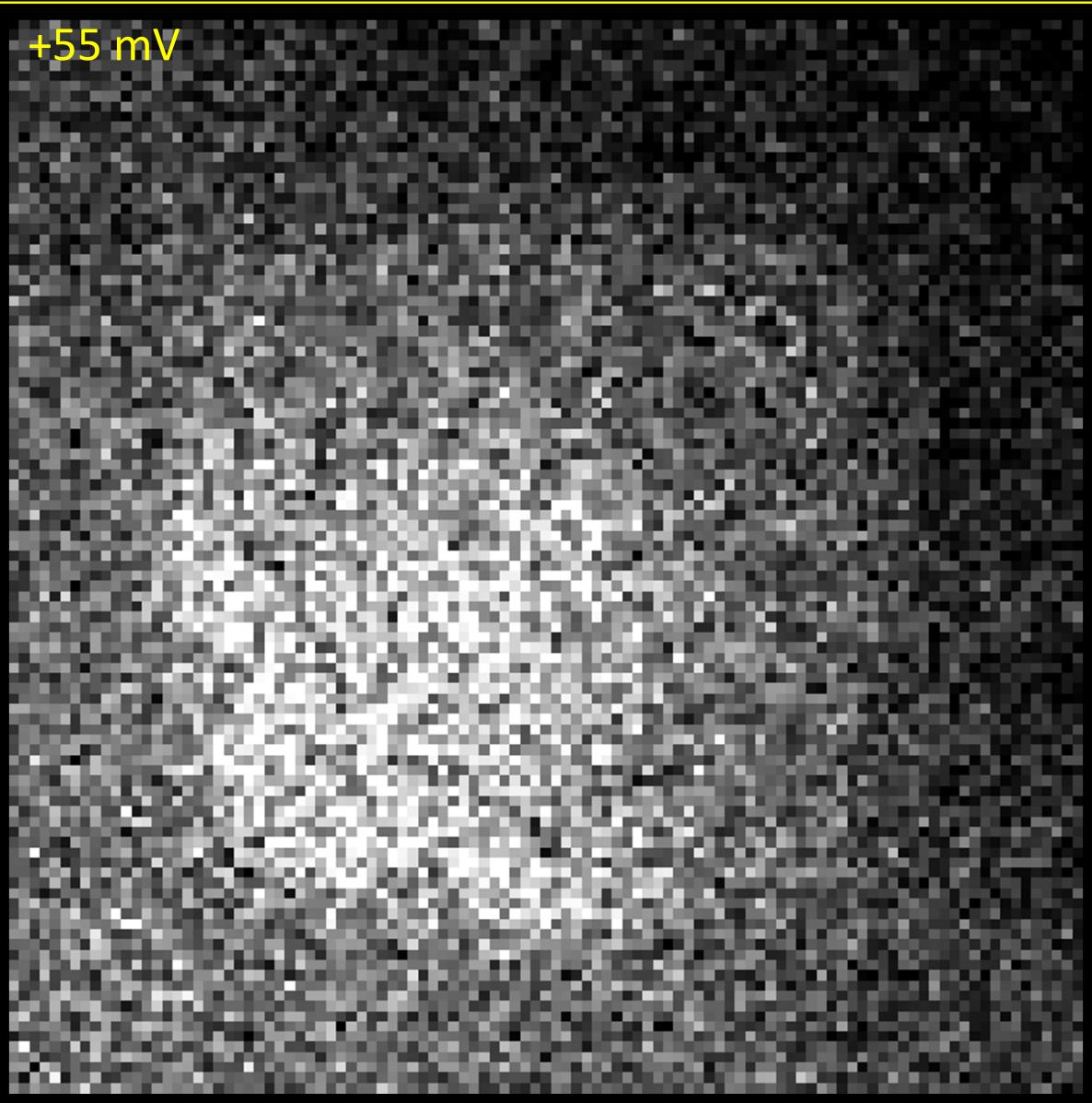
QPI Fourier transform patterns



QPI Fourier transform patterns



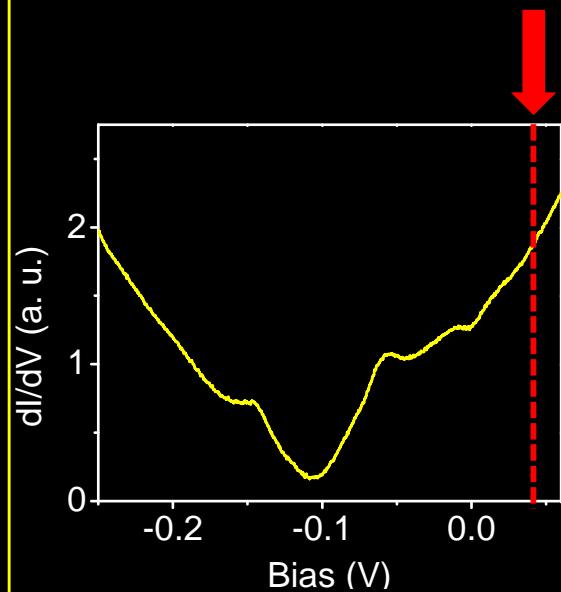
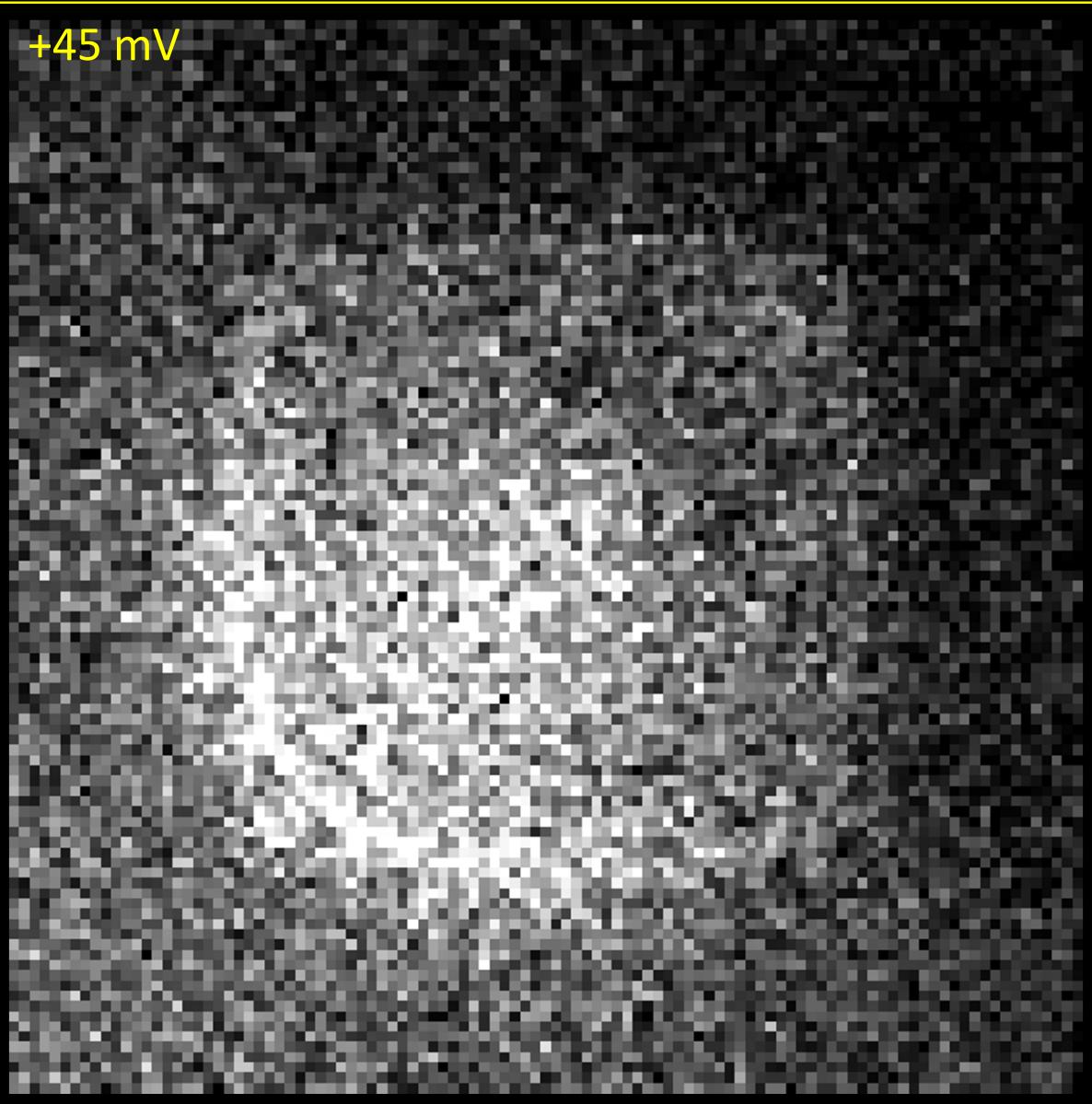
+55 mV



QPI Fourier transform patterns



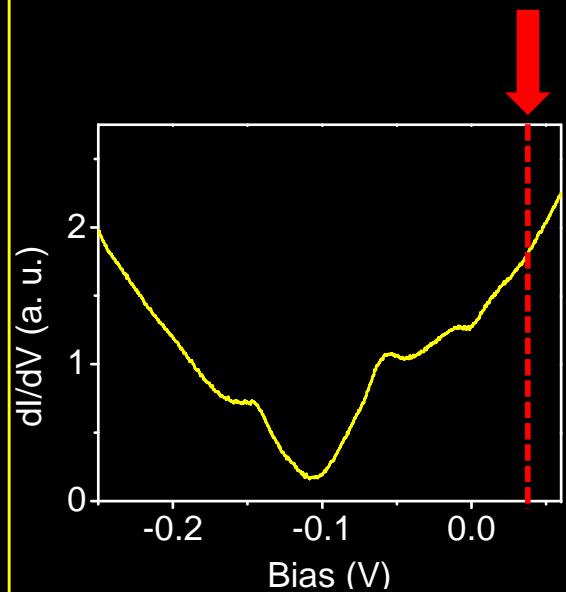
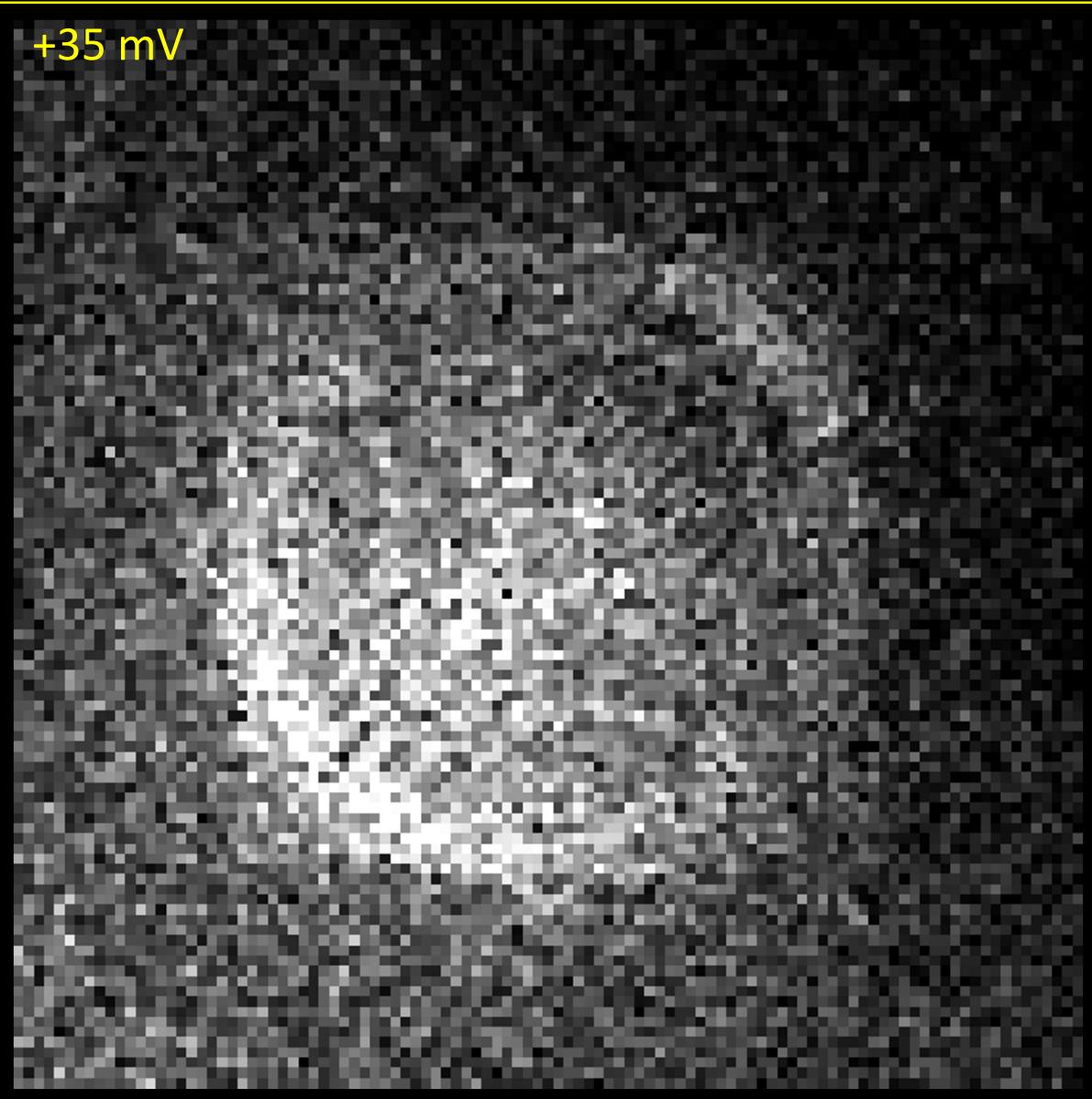
+45 mV



QPI Fourier transform patterns



+35 mV



dI/dV (a. u.)

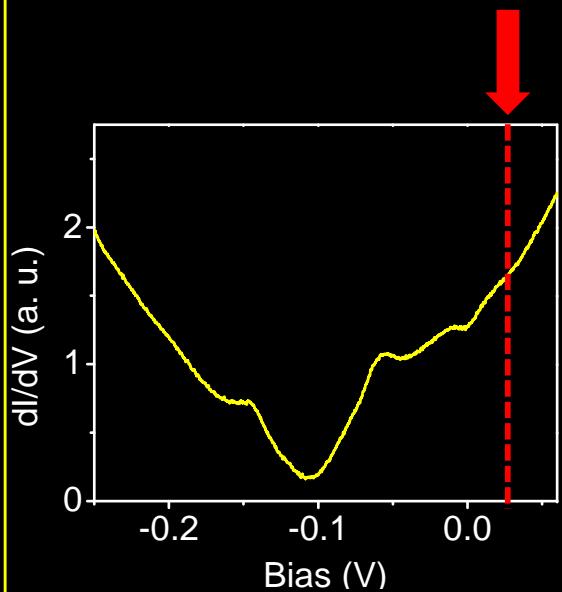
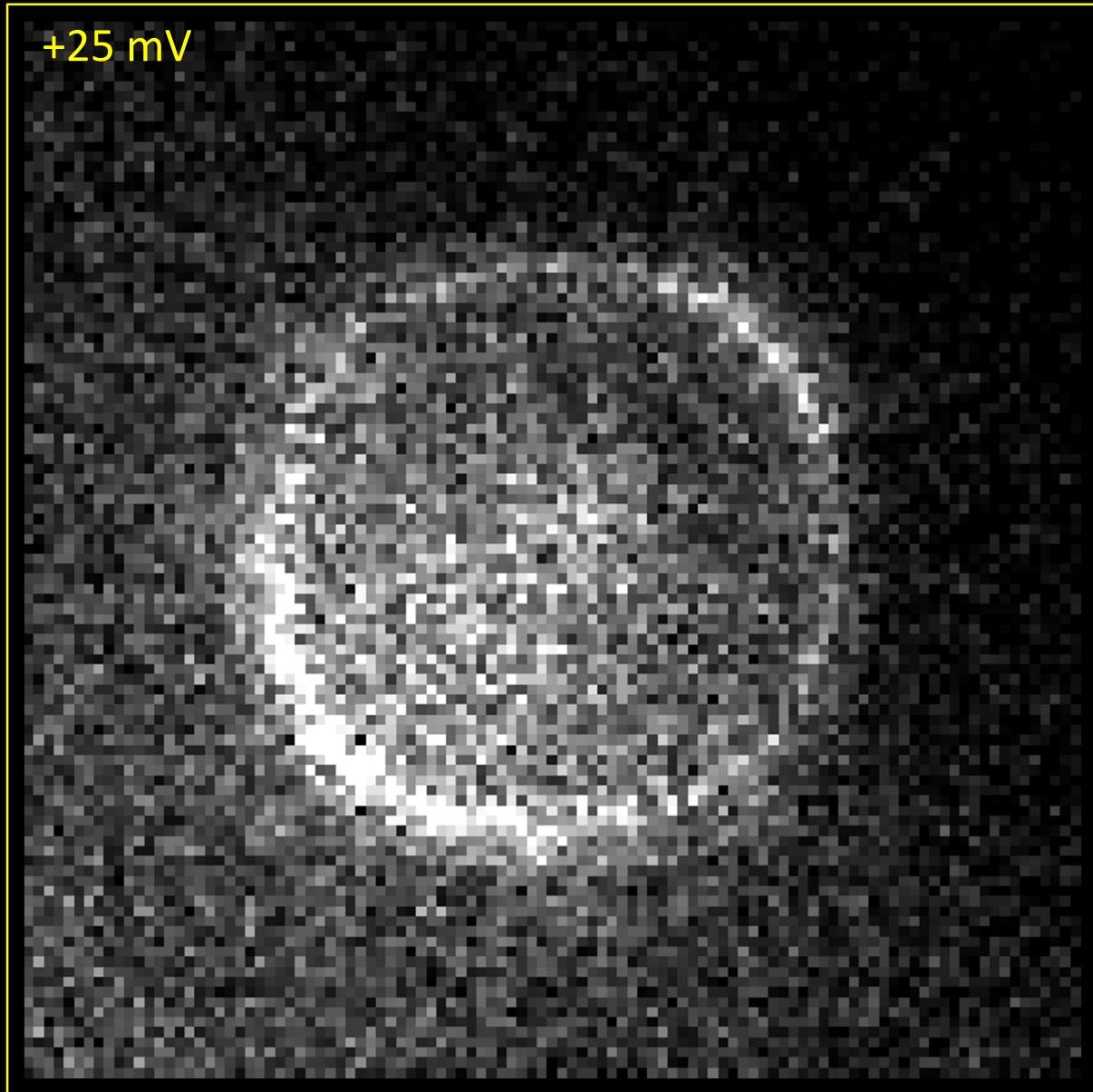
-0.2 -0.1 0.0

Bias (V)

QPI Fourier transform patterns



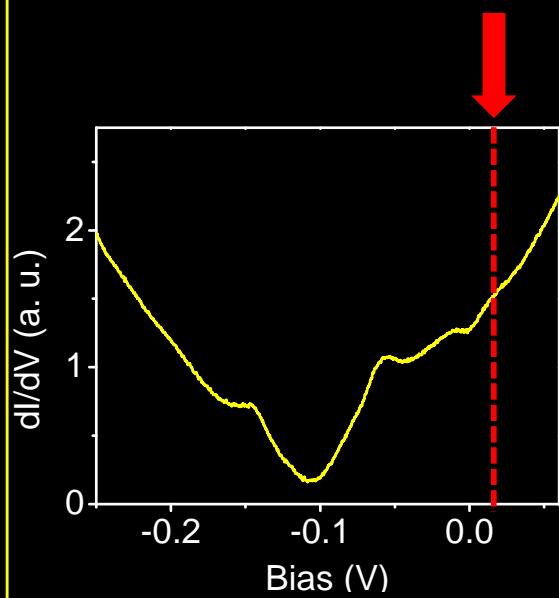
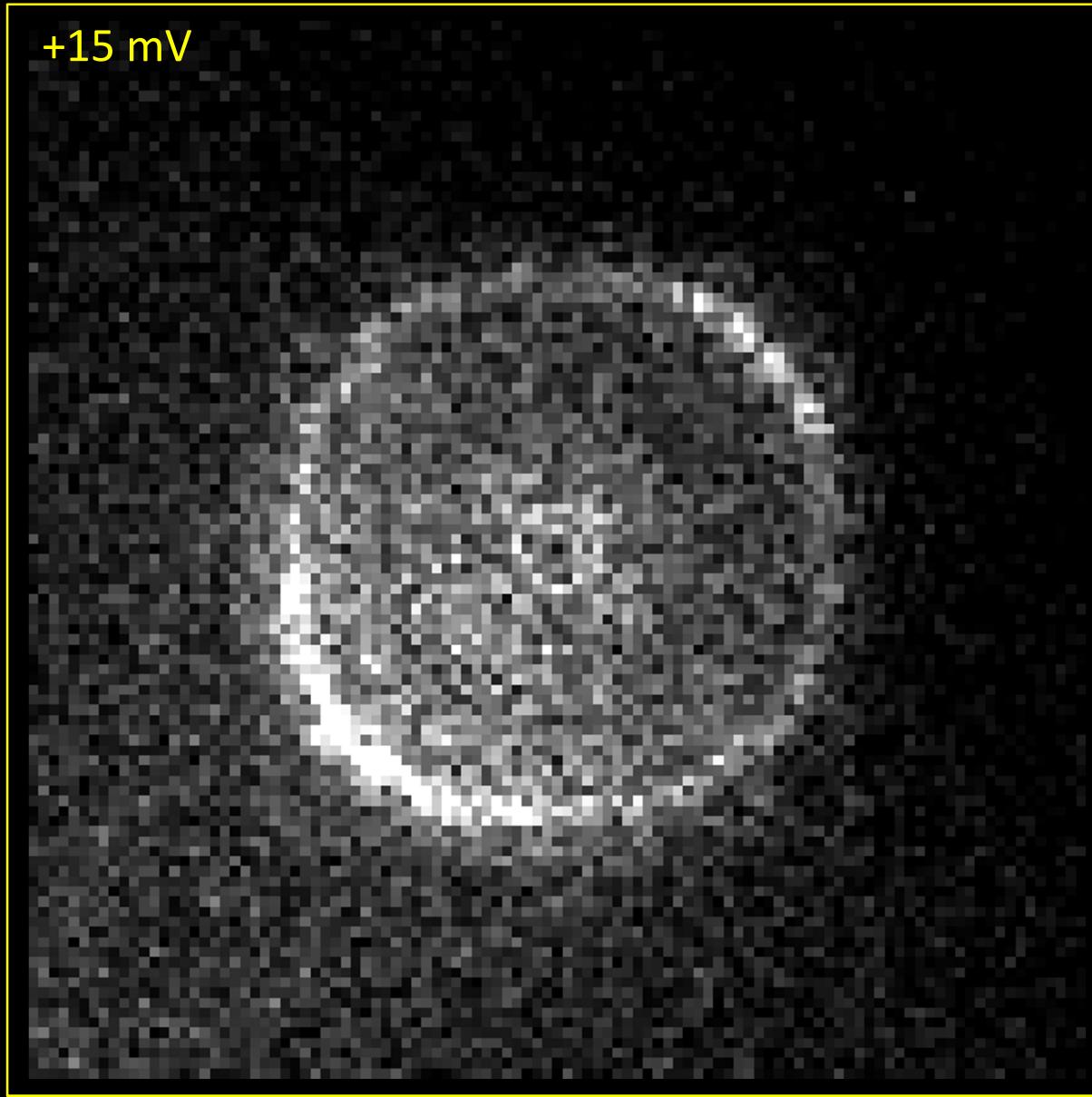
+25 mV



QPI Fourier transform patterns



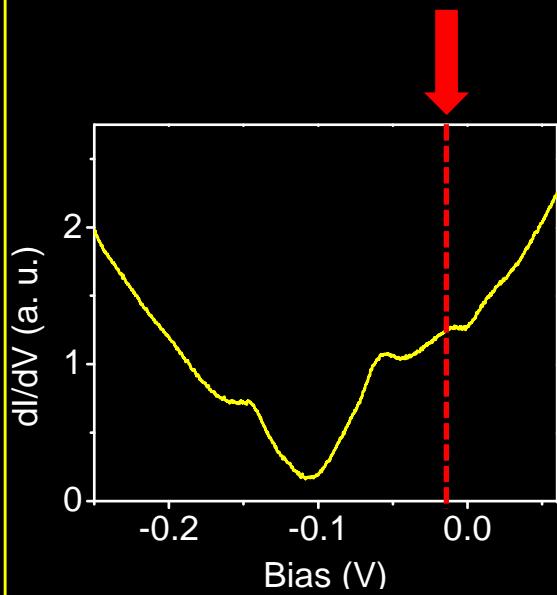
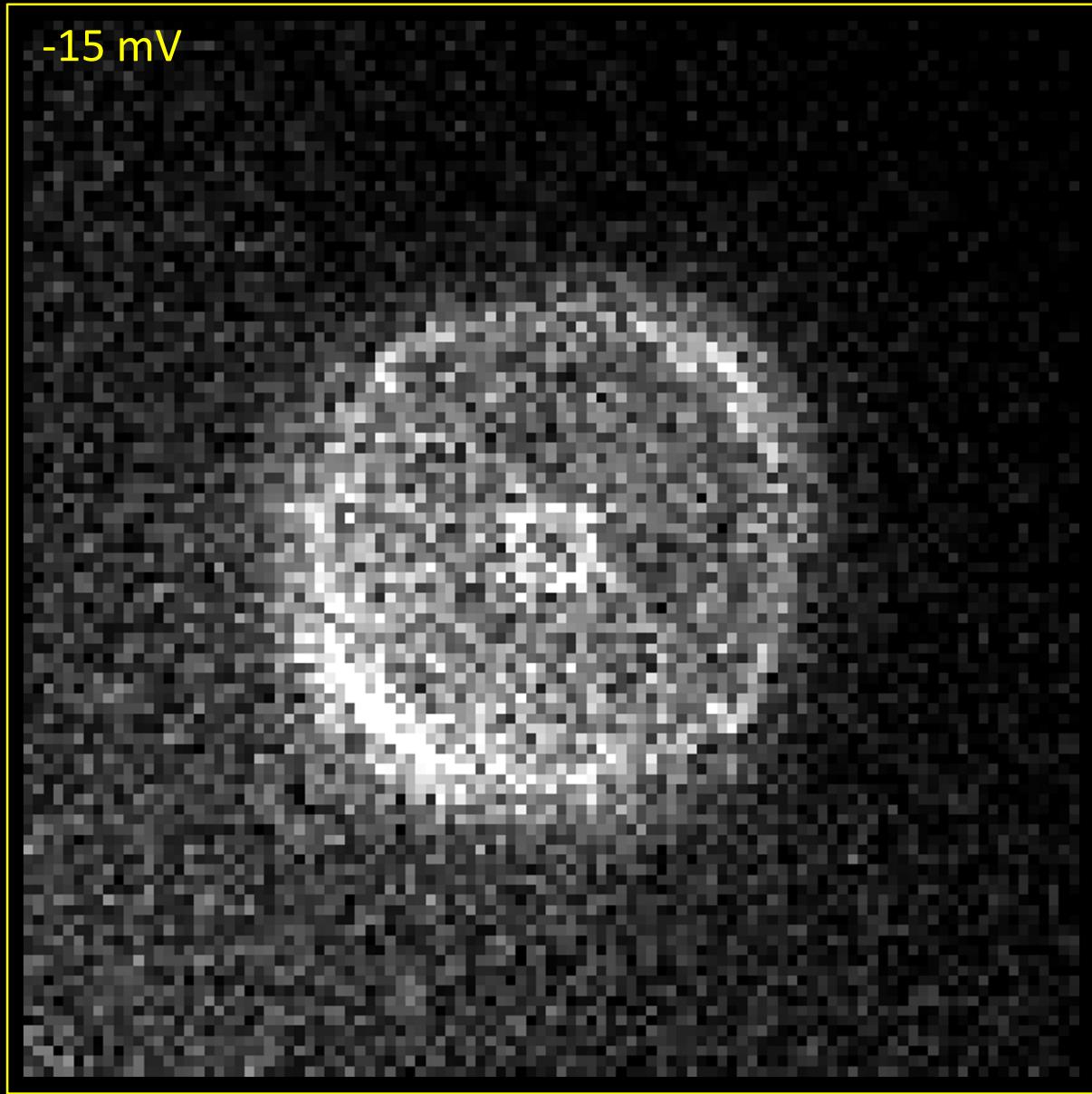
+15 mV



QPI Fourier transform patterns



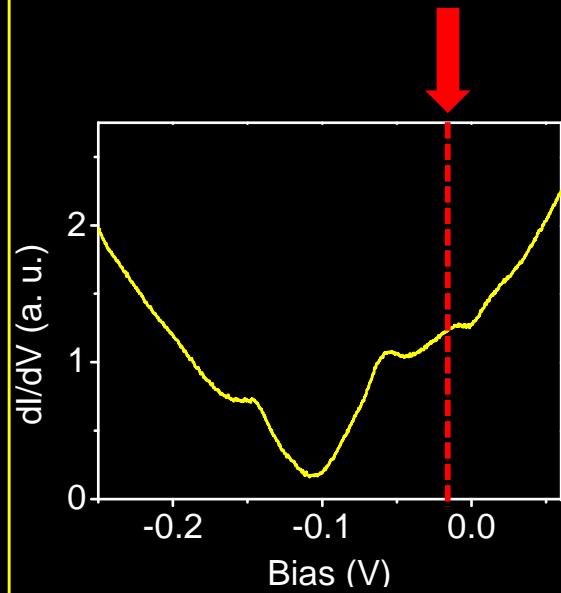
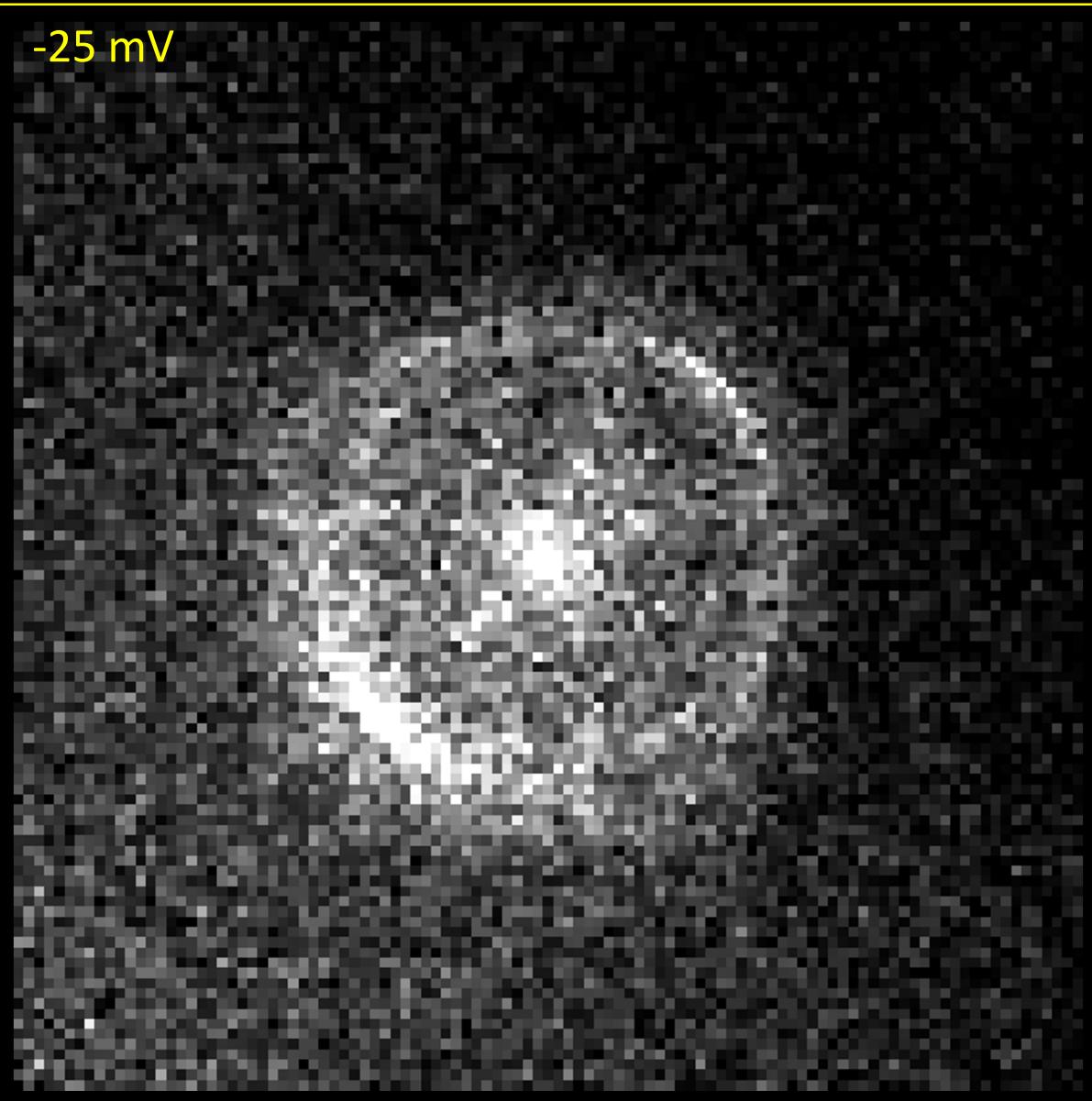
-15 mV



QPI Fourier transform patterns



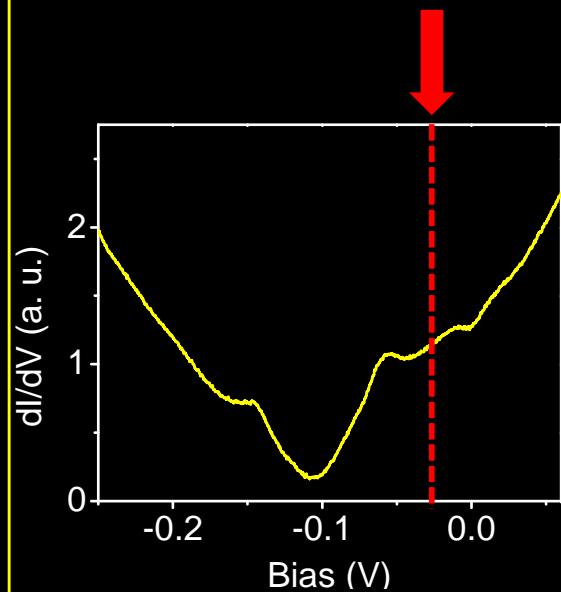
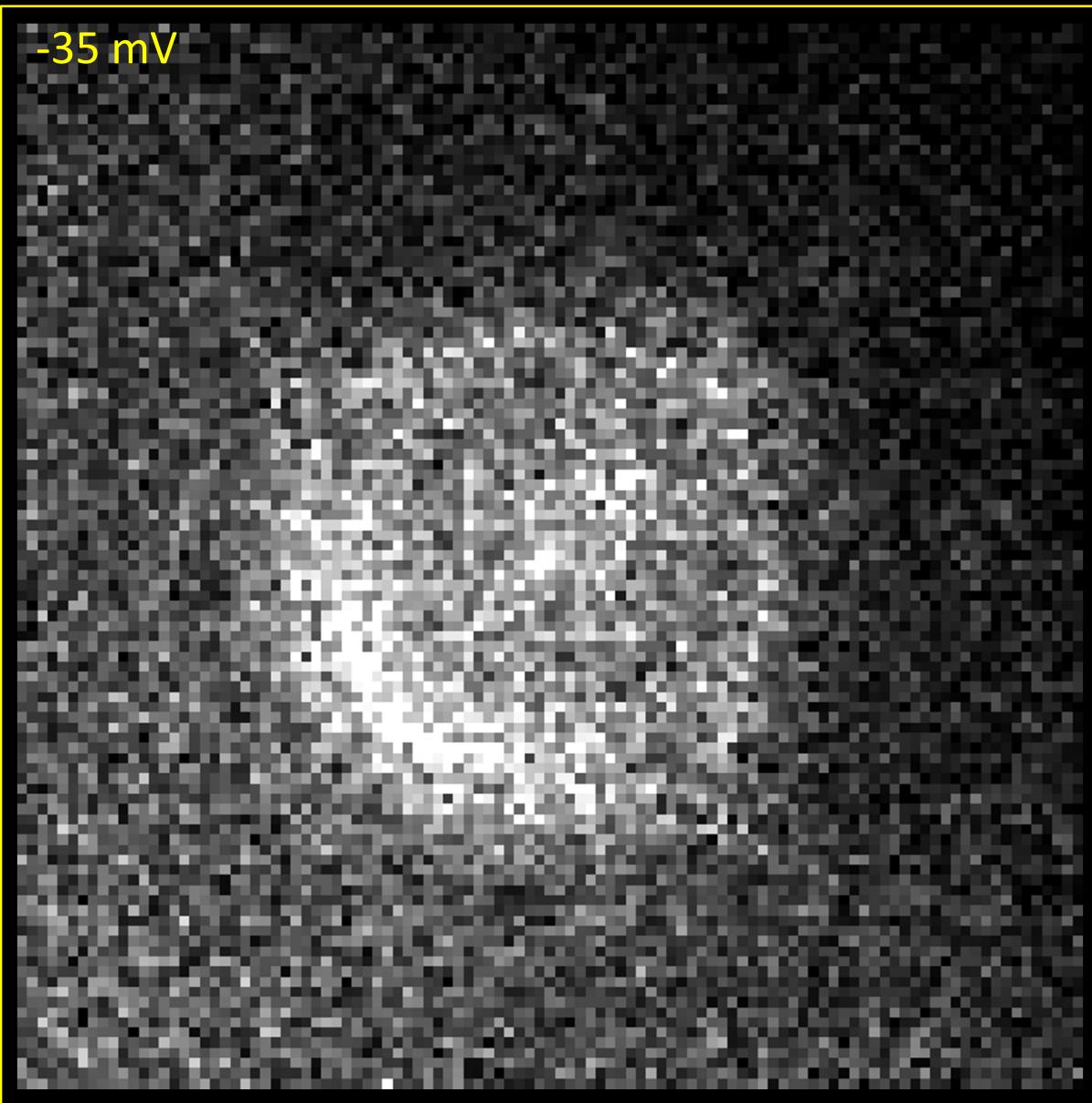
-25 mV



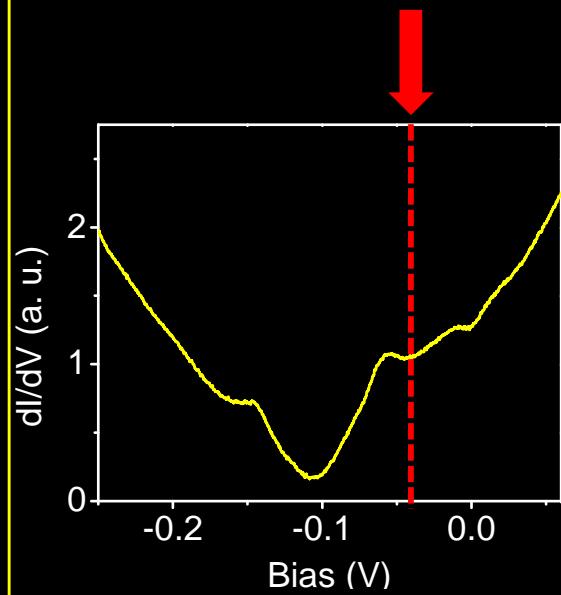
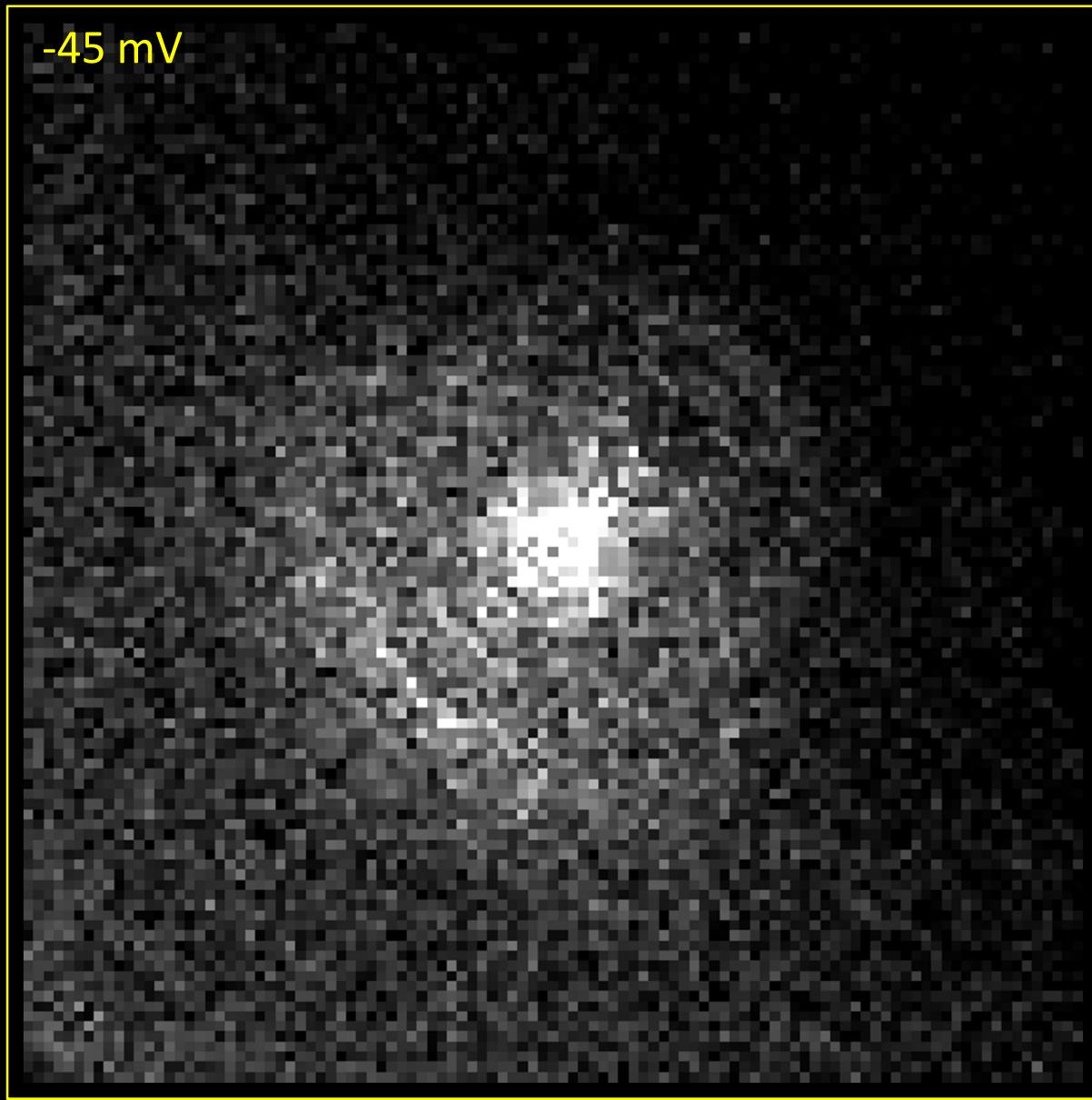
QPI Fourier transform patterns



-35 mV



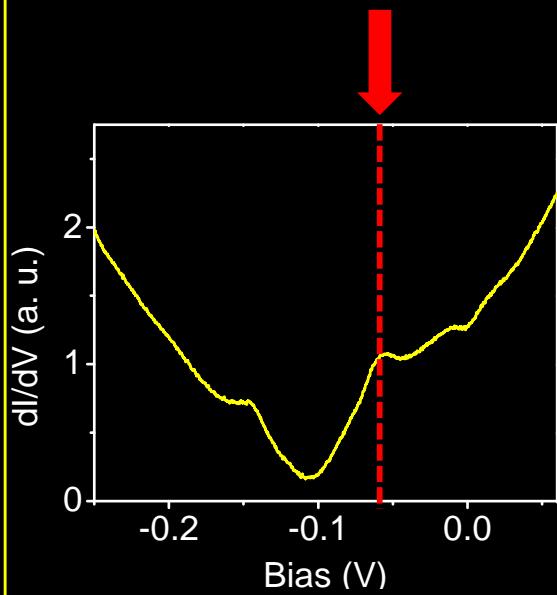
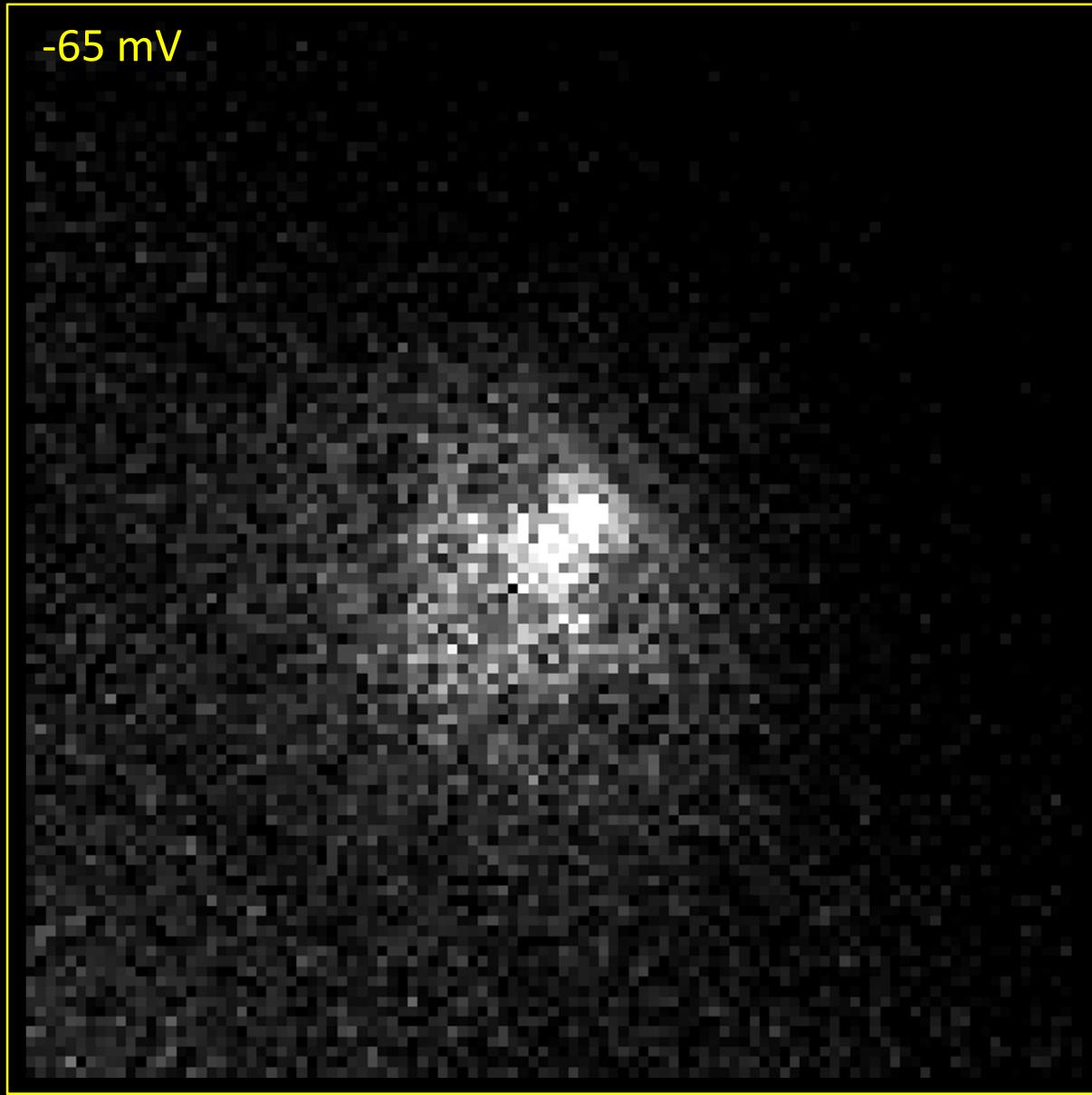
QPI Fourier transform patterns



QPI Fourier transform patterns



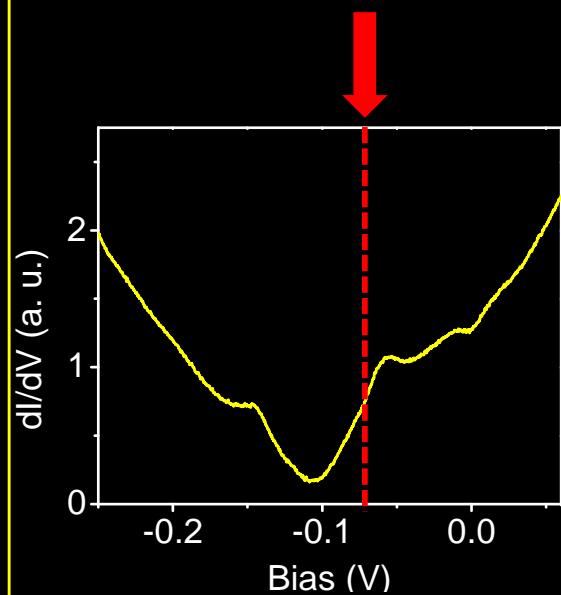
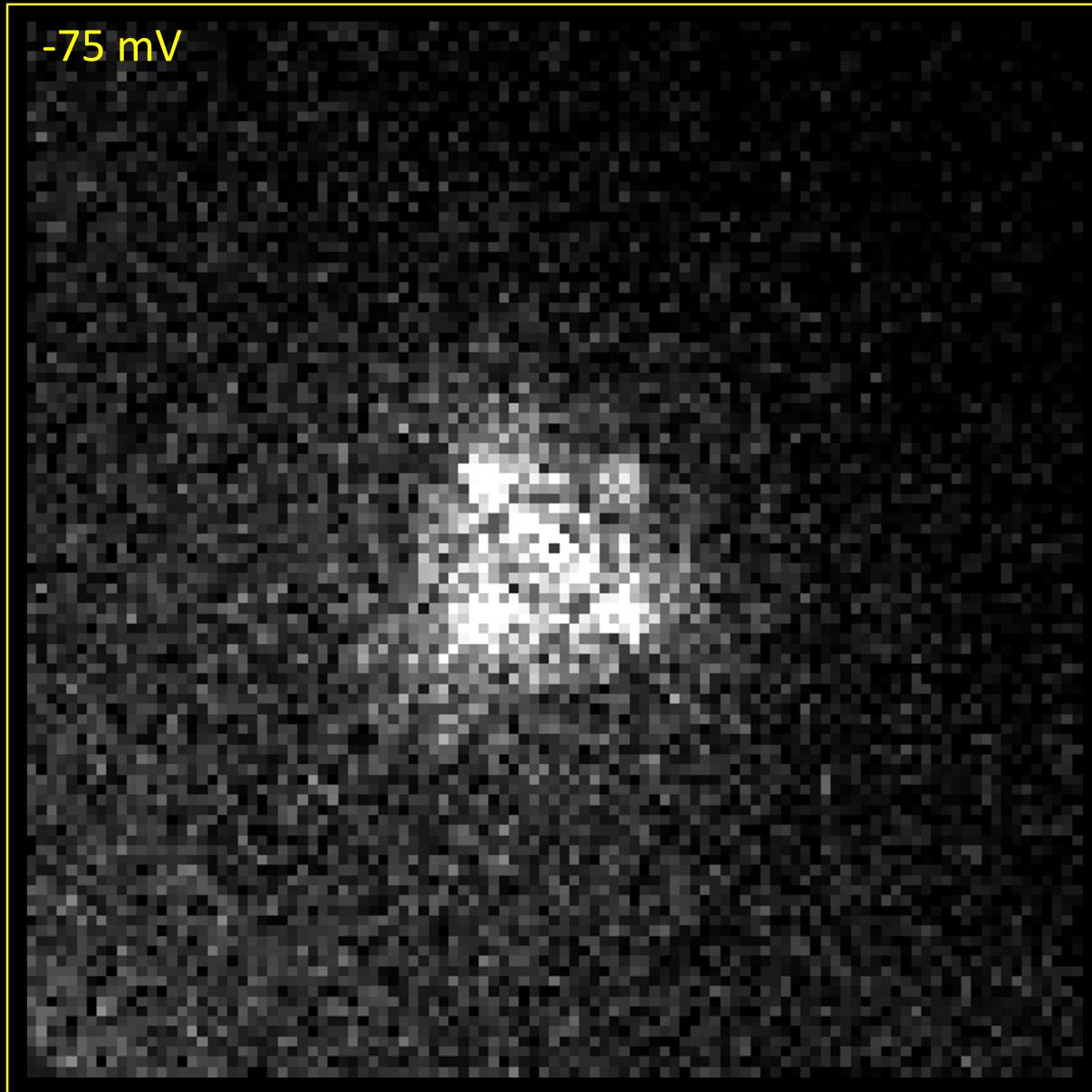
-65 mV



QPI Fourier transform patterns



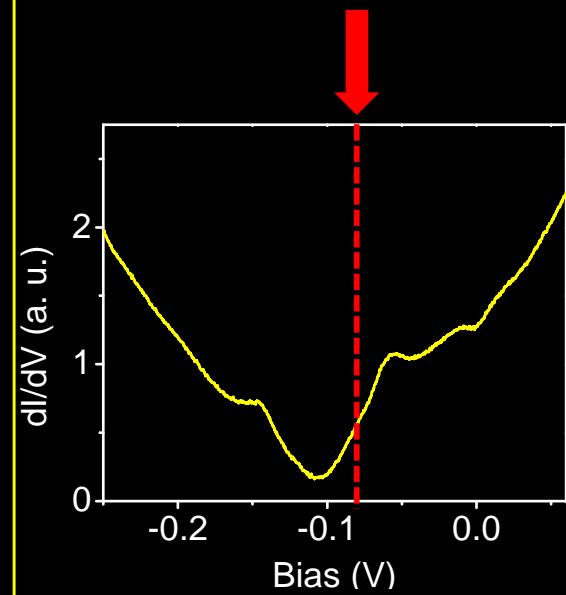
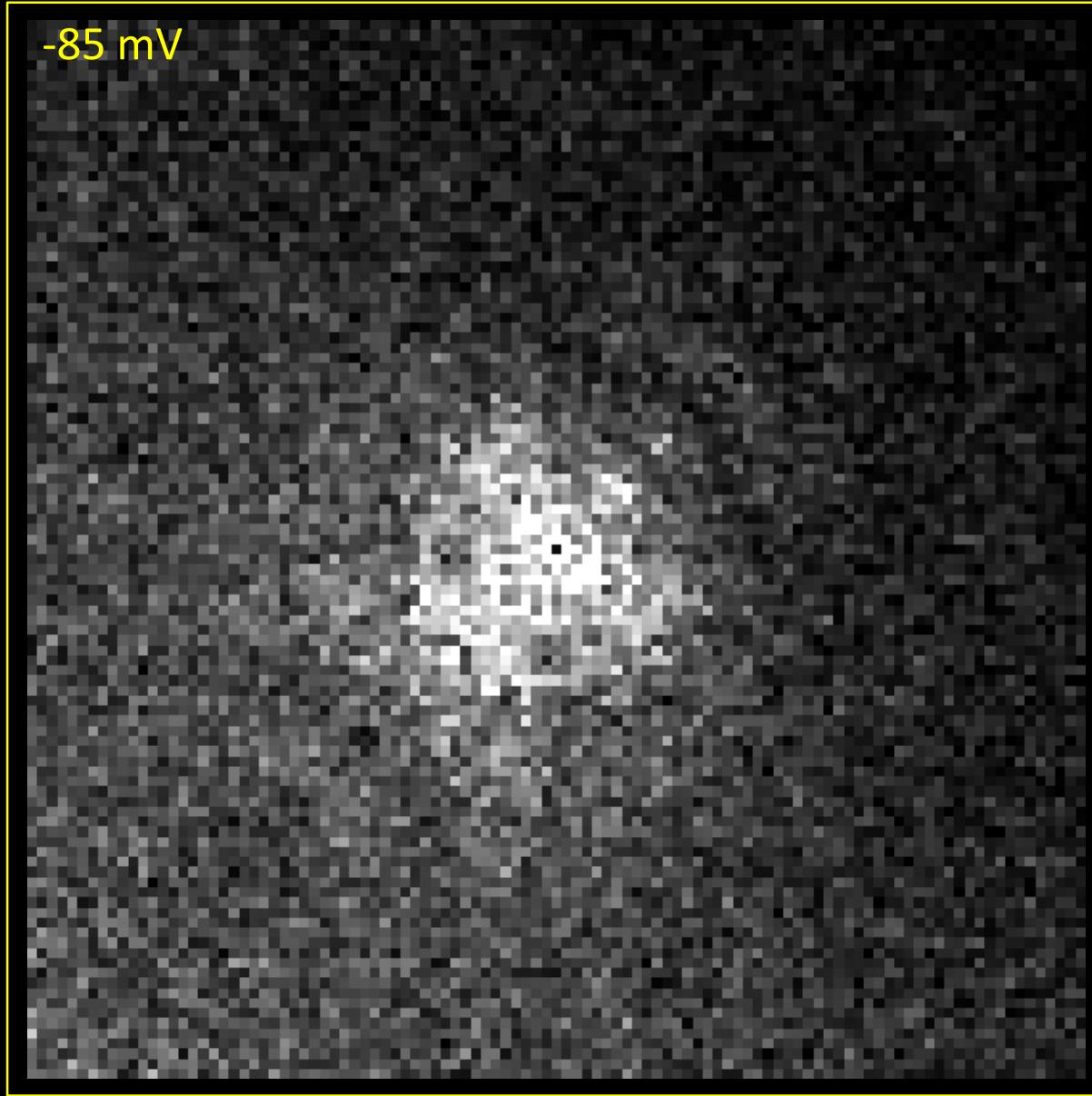
-75 mV



QPI Fourier transform patterns



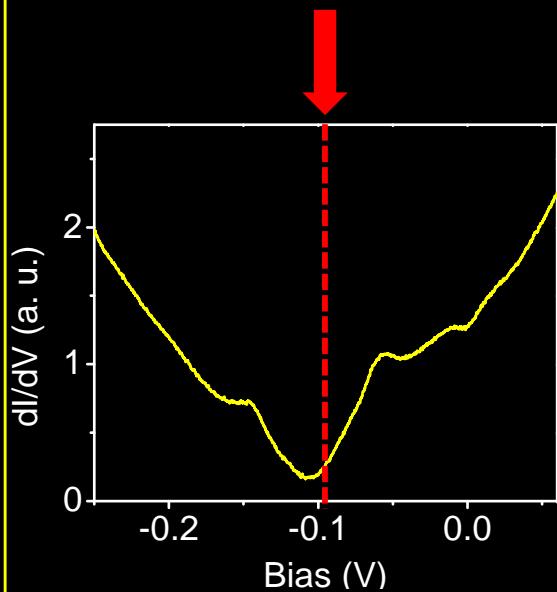
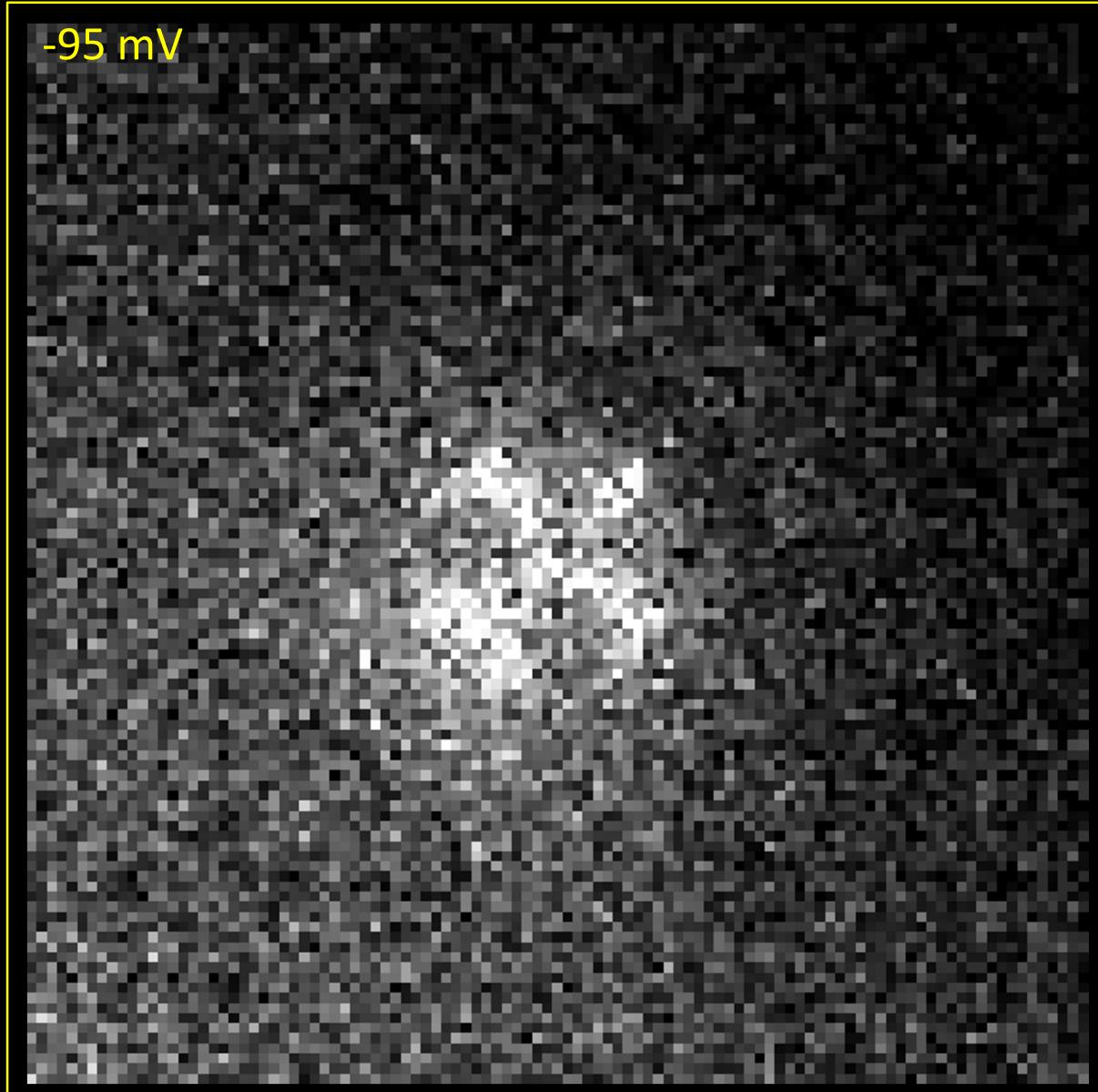
-85 mV



QPI Fourier transform patterns



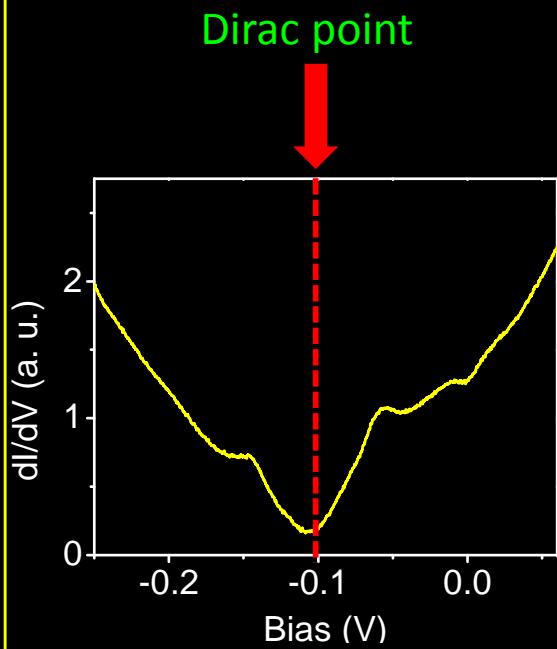
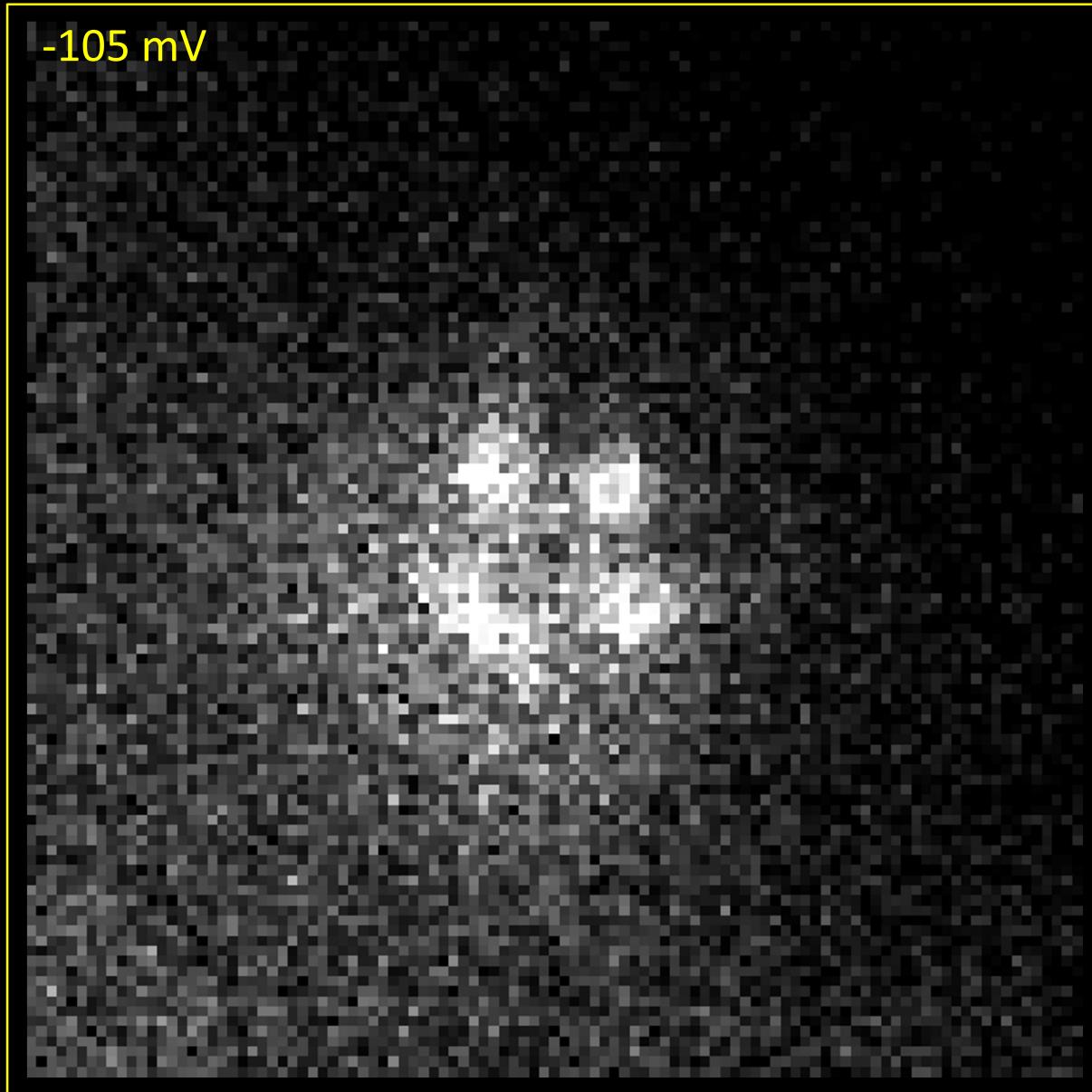
-95 mV



QPI Fourier transform patterns



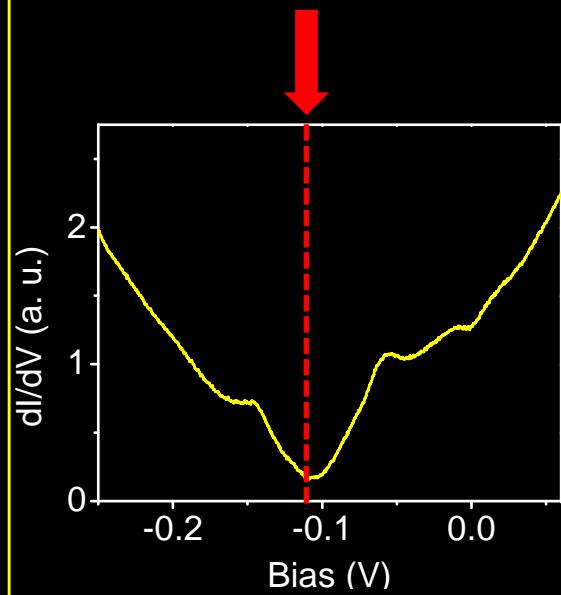
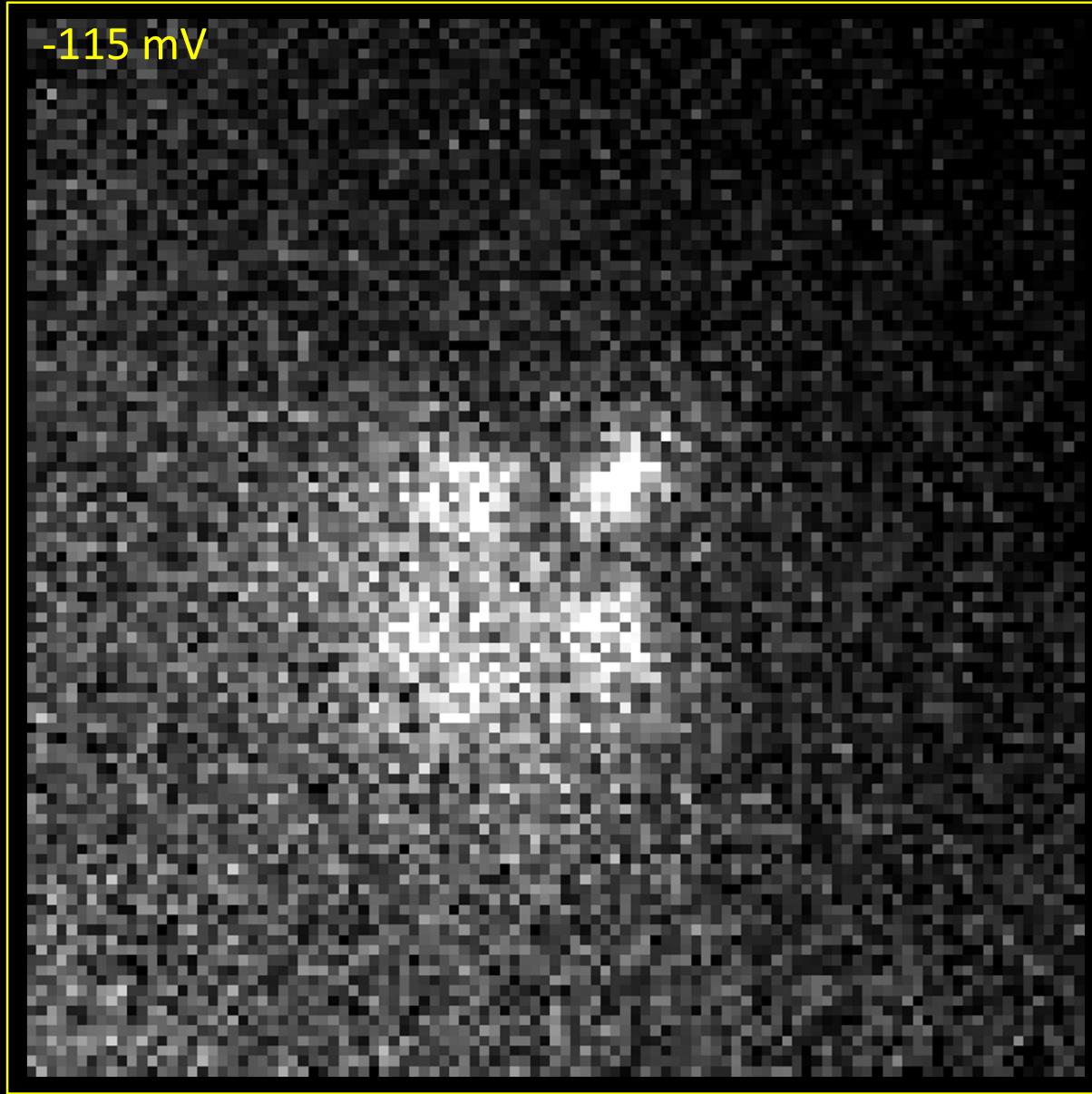
-105 mV



QPI Fourier transform patterns



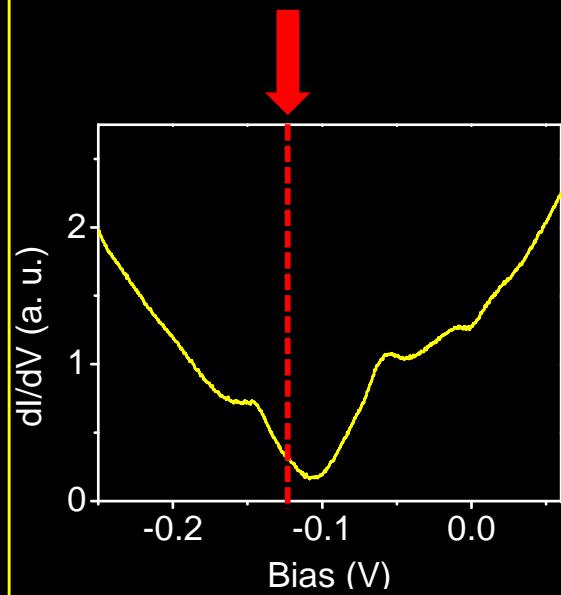
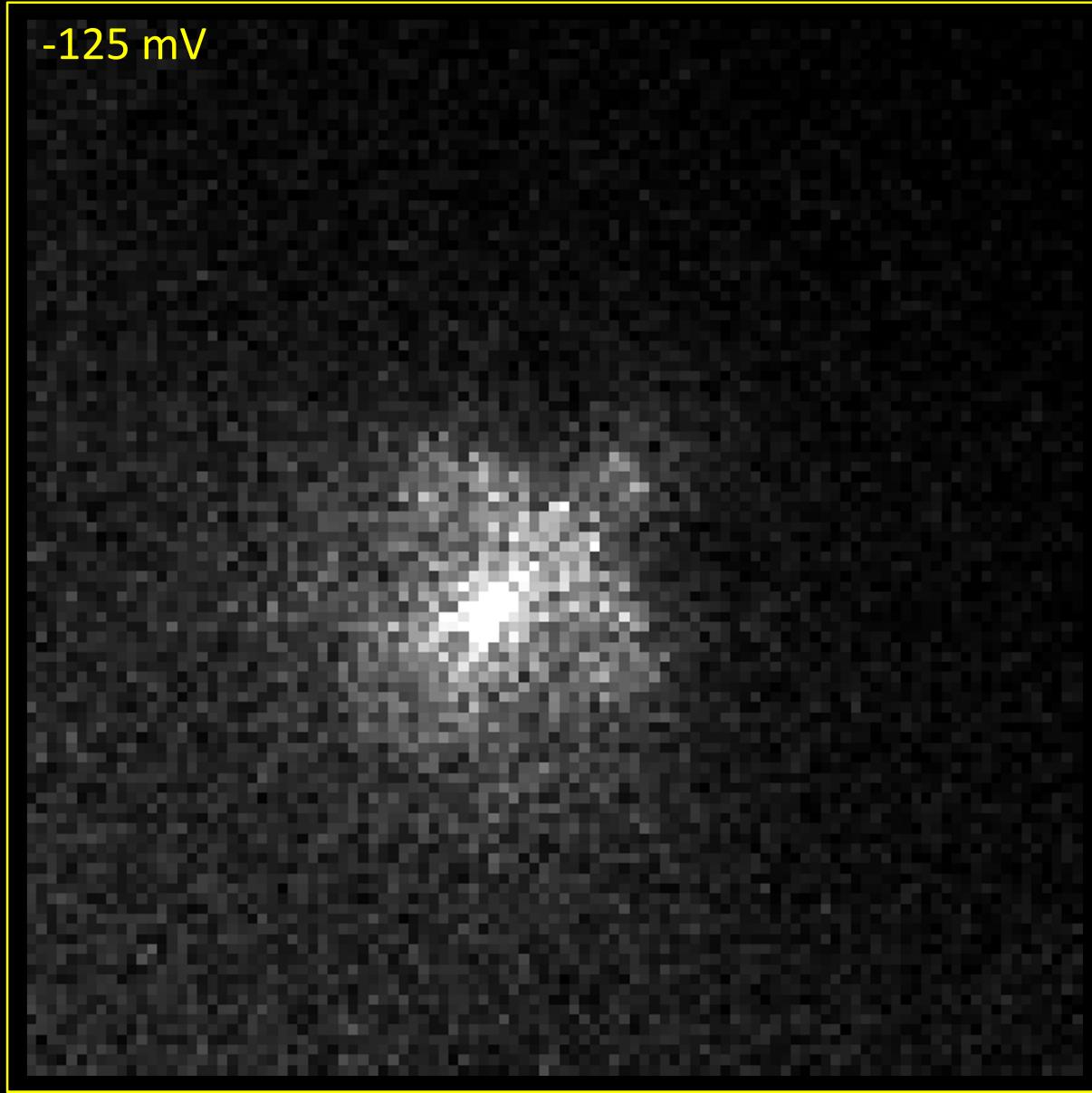
-115 mV



QPI Fourier transform patterns



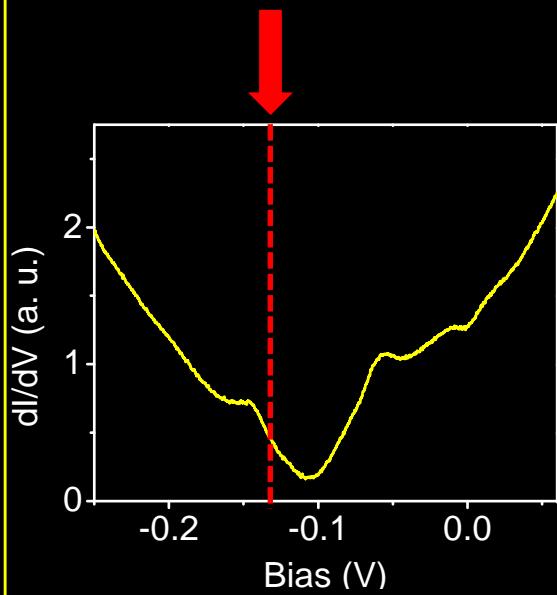
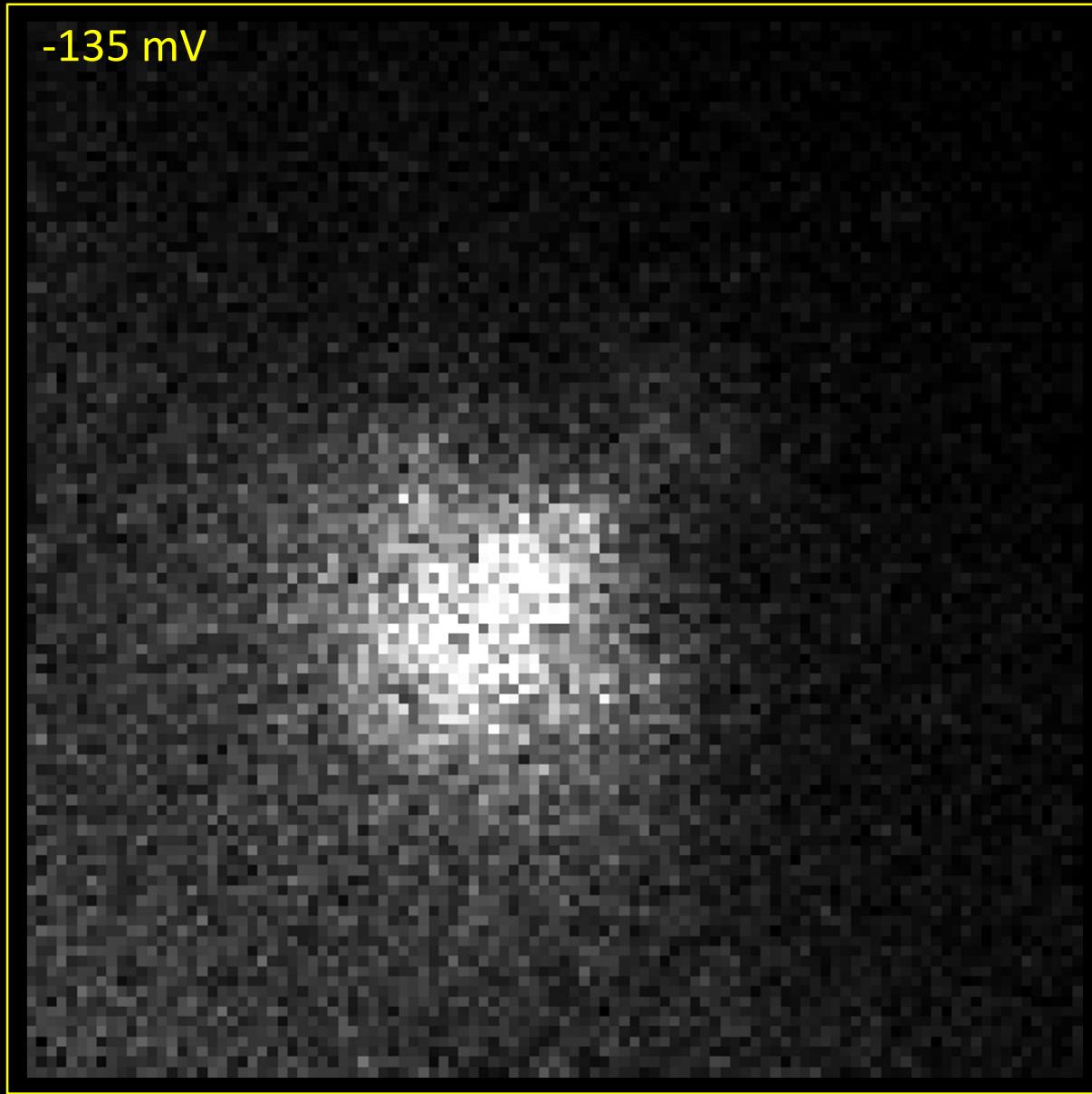
-125 mV



QPI Fourier transform patterns



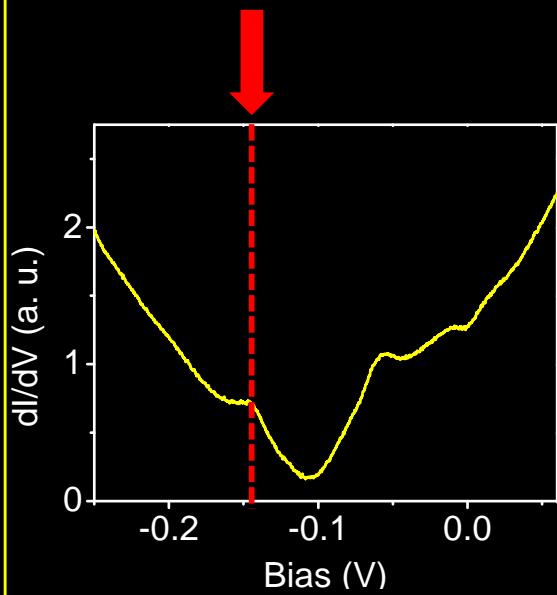
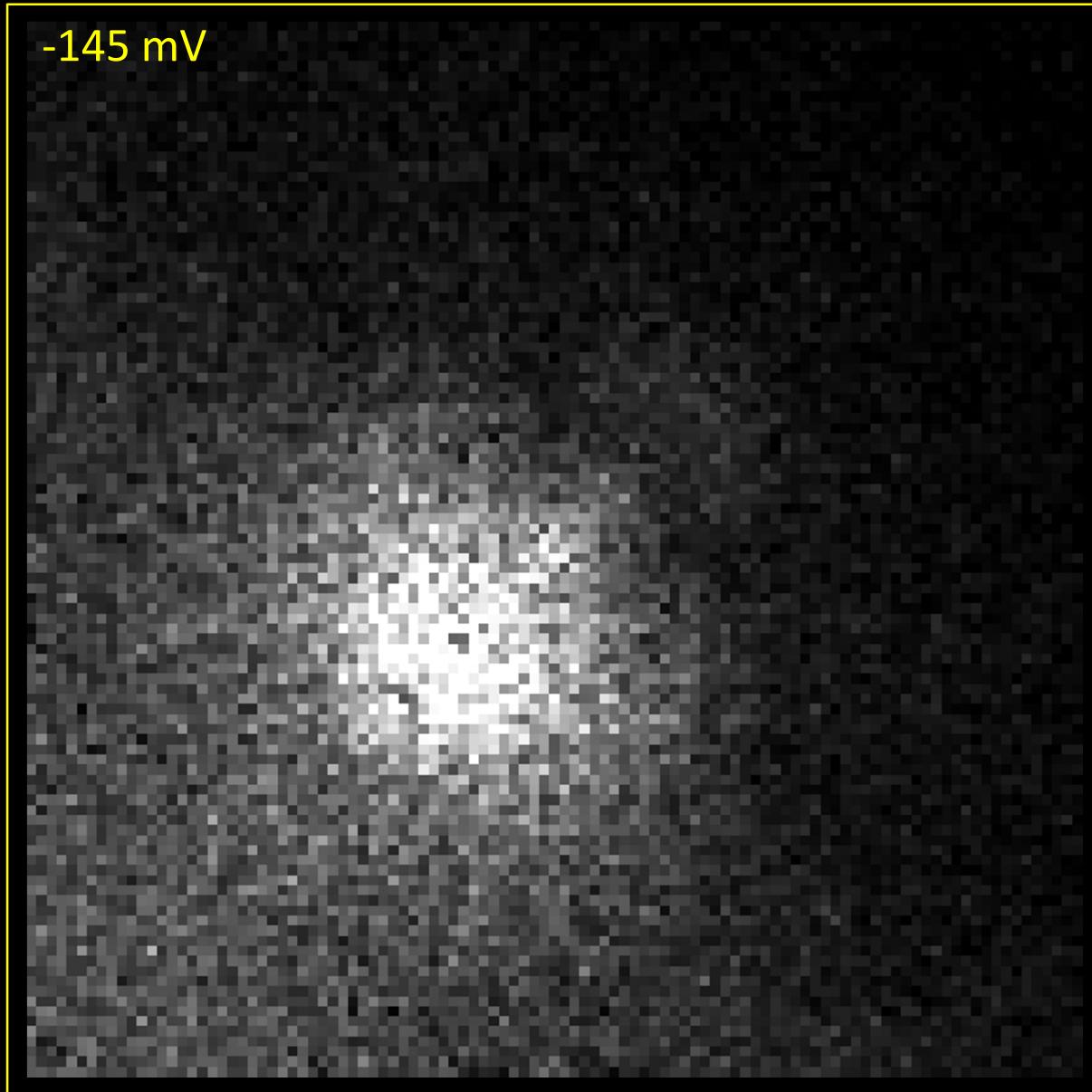
-135 mV



QPI Fourier transform patterns



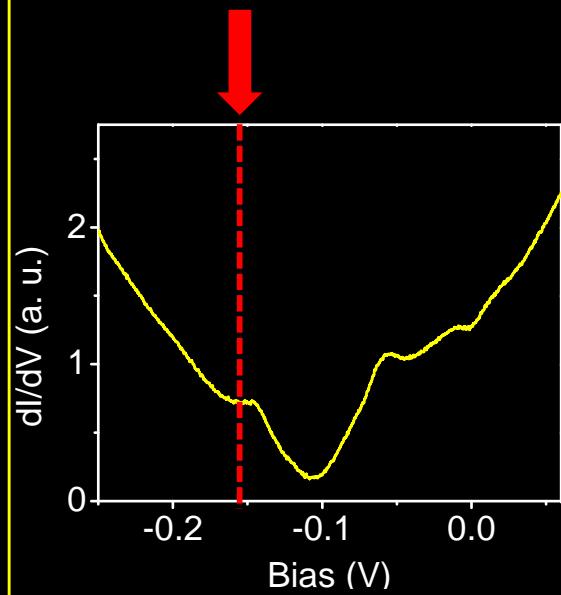
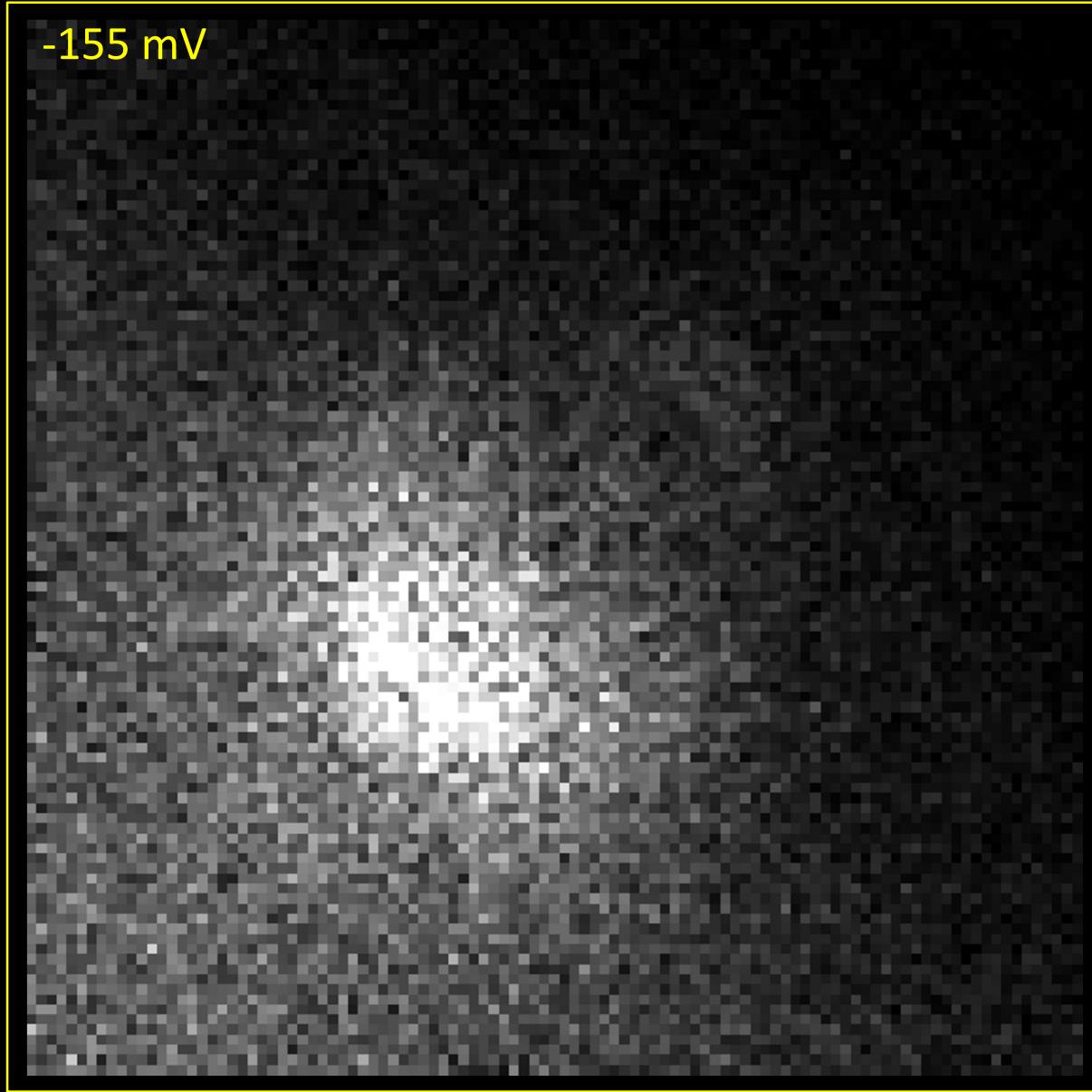
-145 mV



QPI Fourier transform patterns



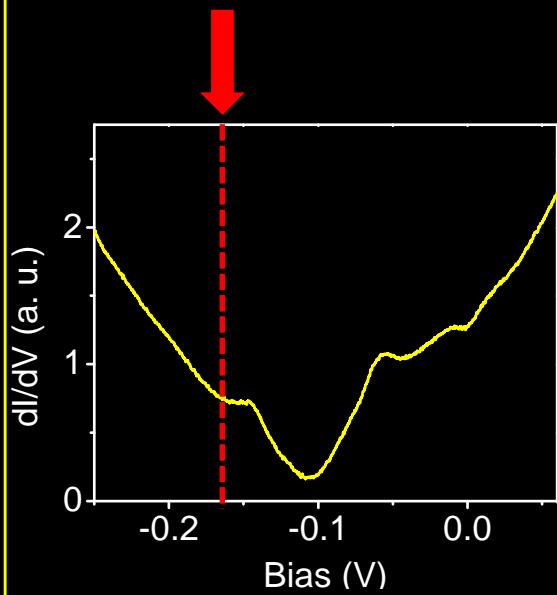
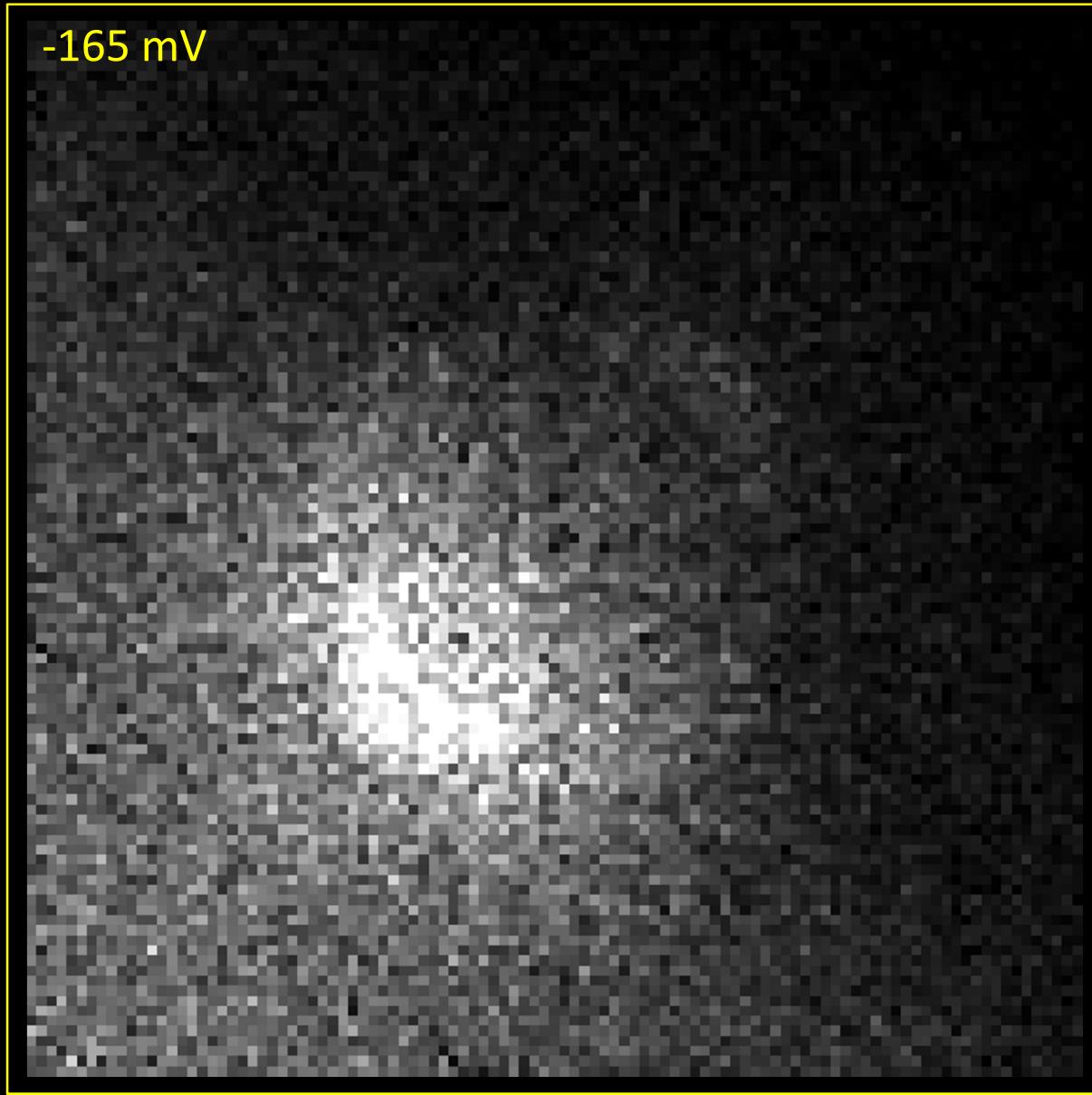
-155 mV



QPI Fourier transform patterns



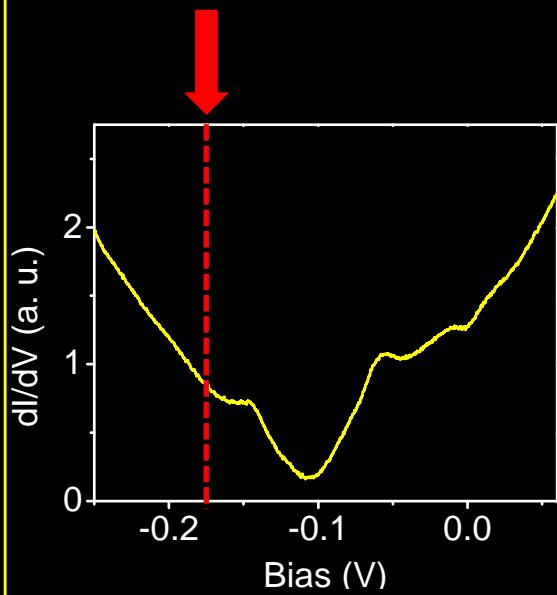
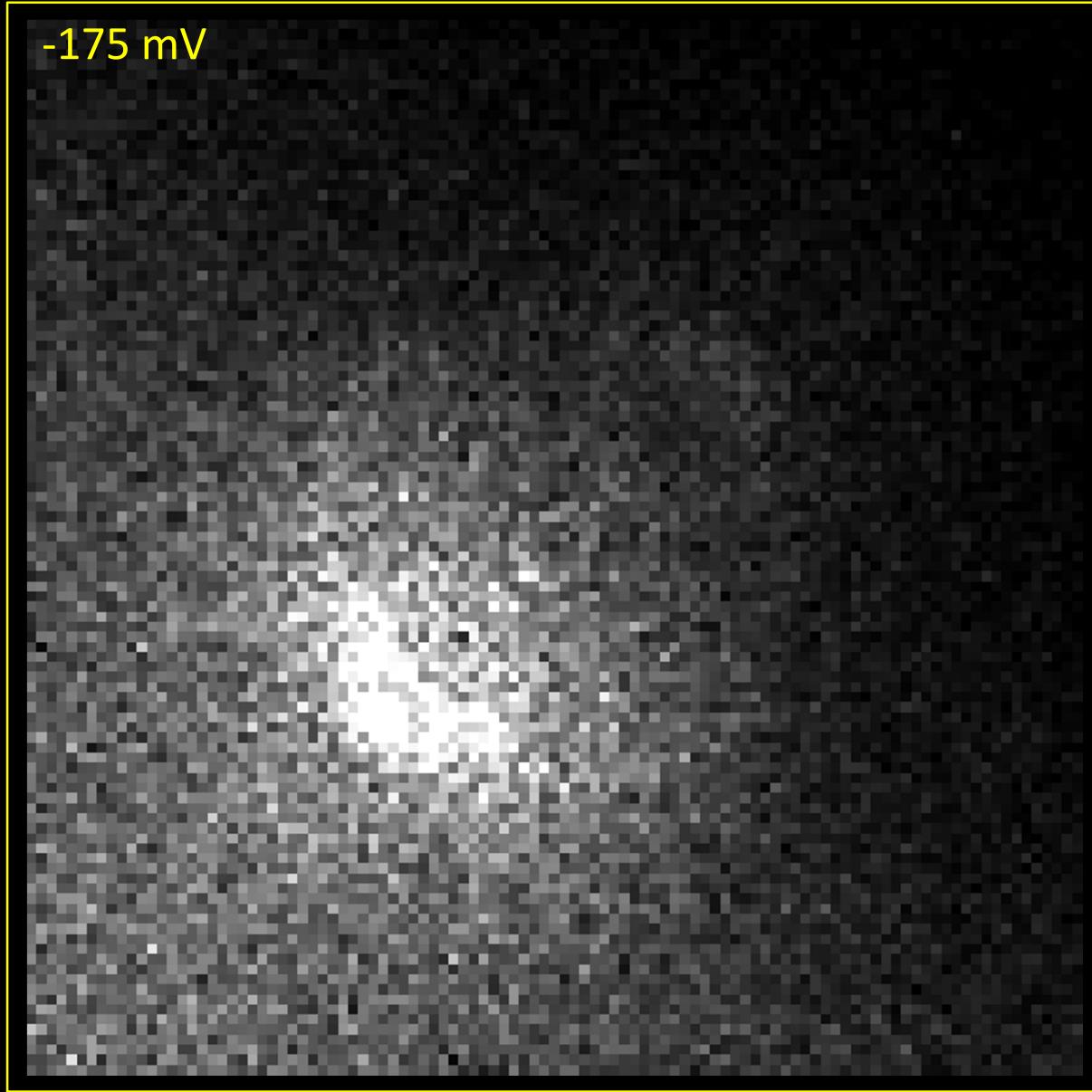
-165 mV



QPI Fourier transform patterns



-175 mV



dI/dV (a. u.)

2
1
0

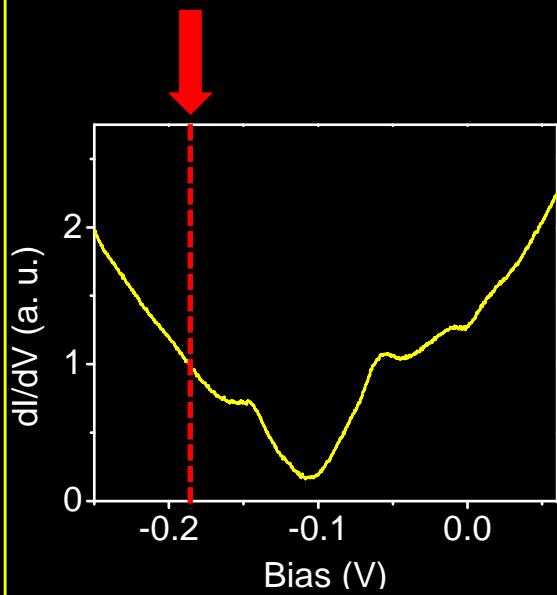
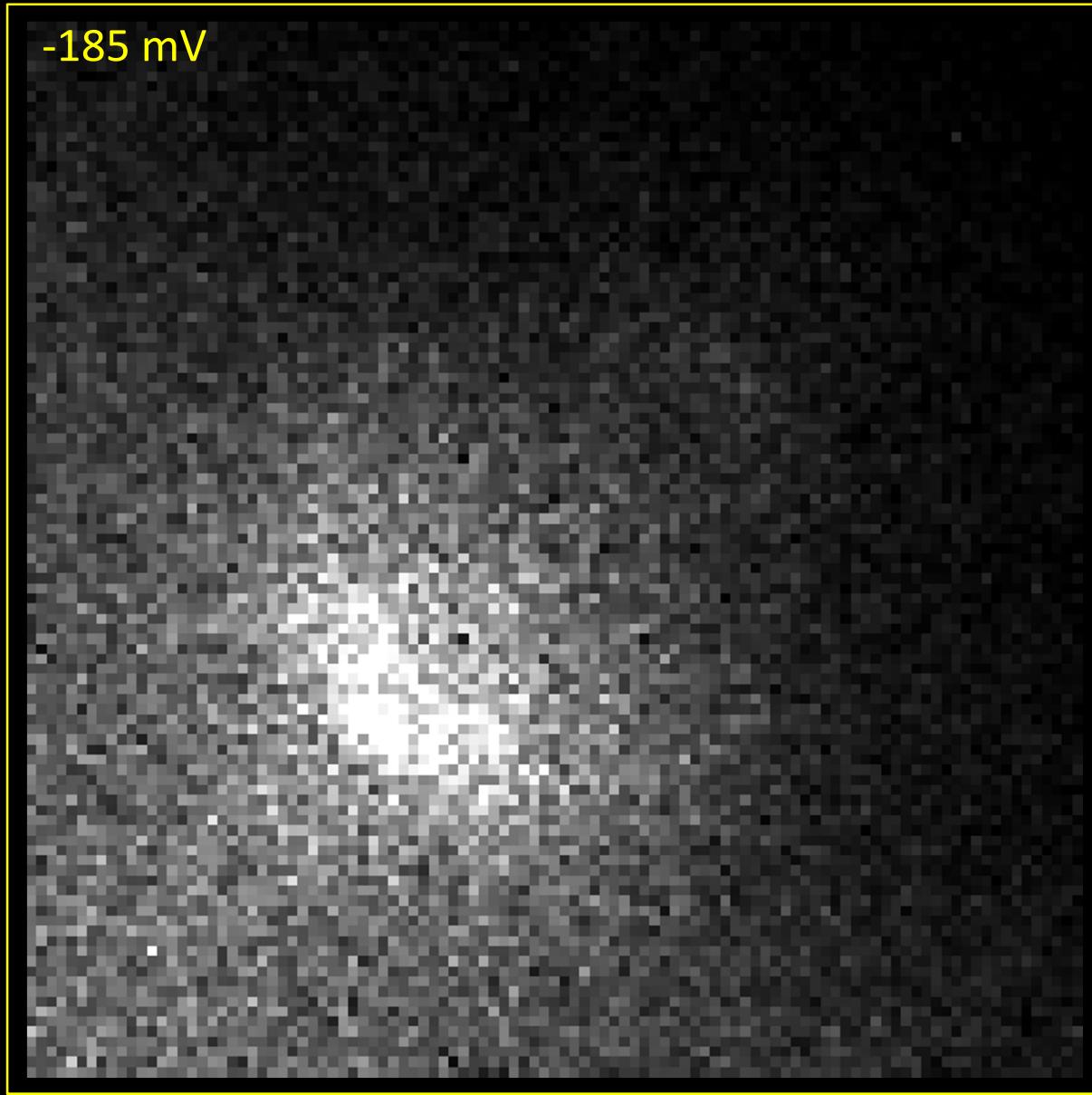
-0.2 -0.1 0.0

Bias (V)

QPI Fourier transform patterns



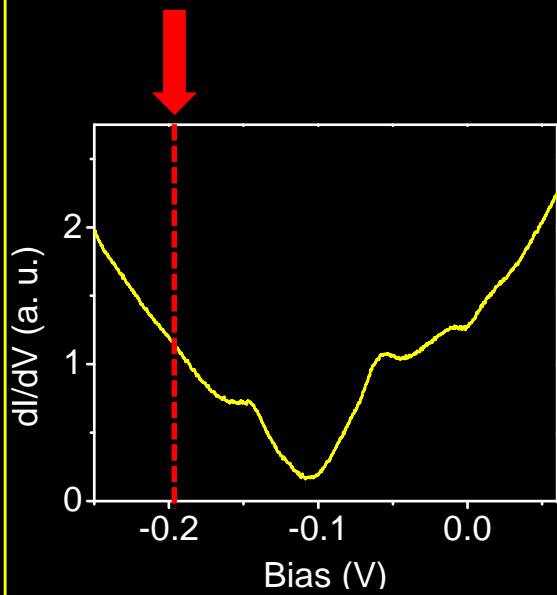
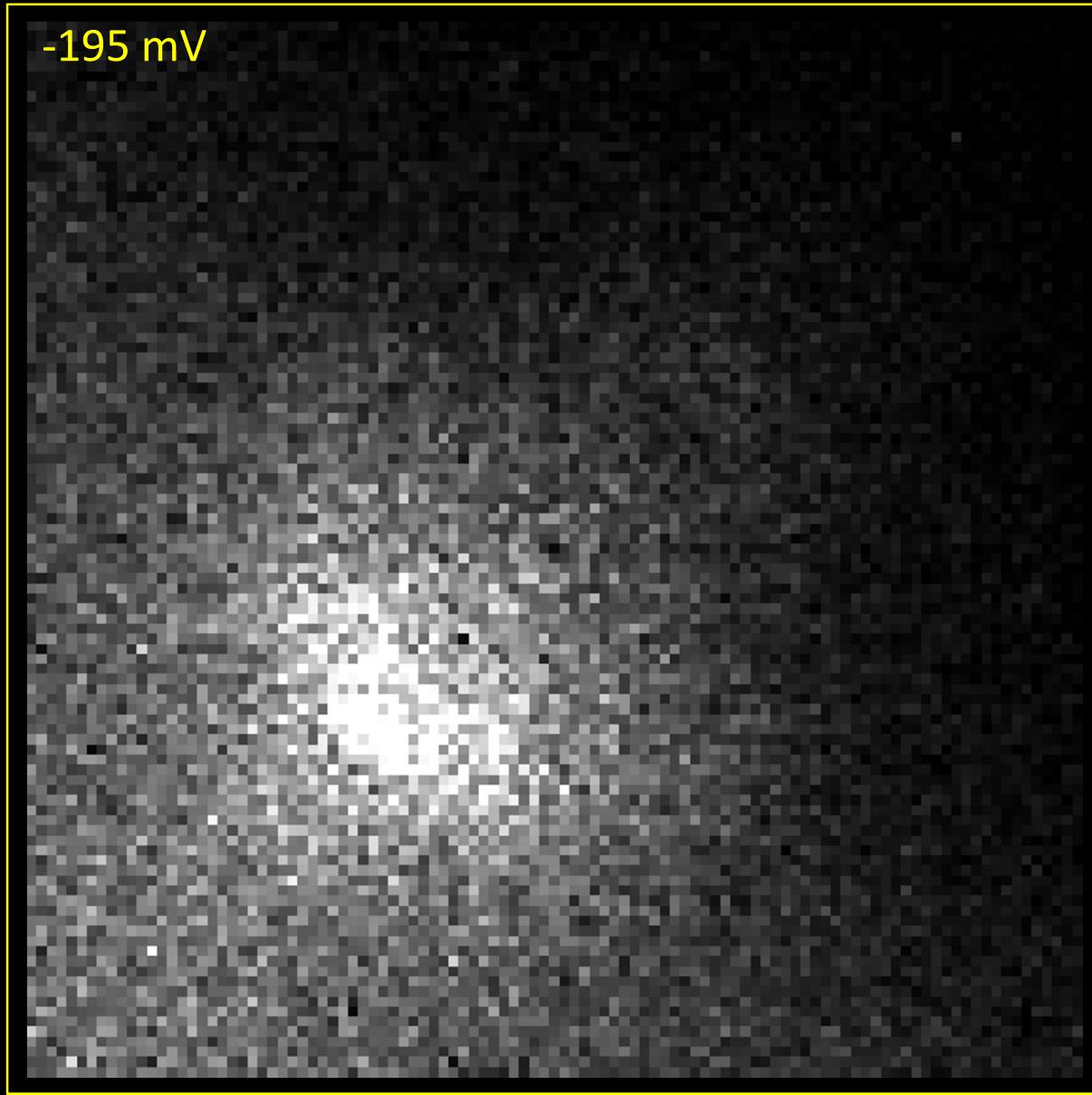
-185 mV



QPI Fourier transform patterns



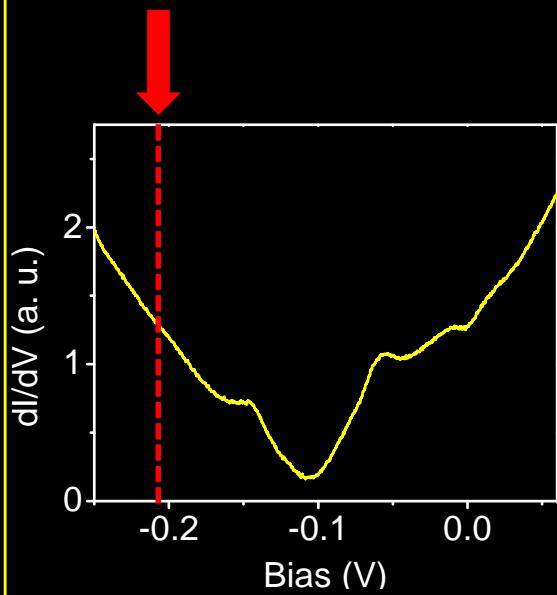
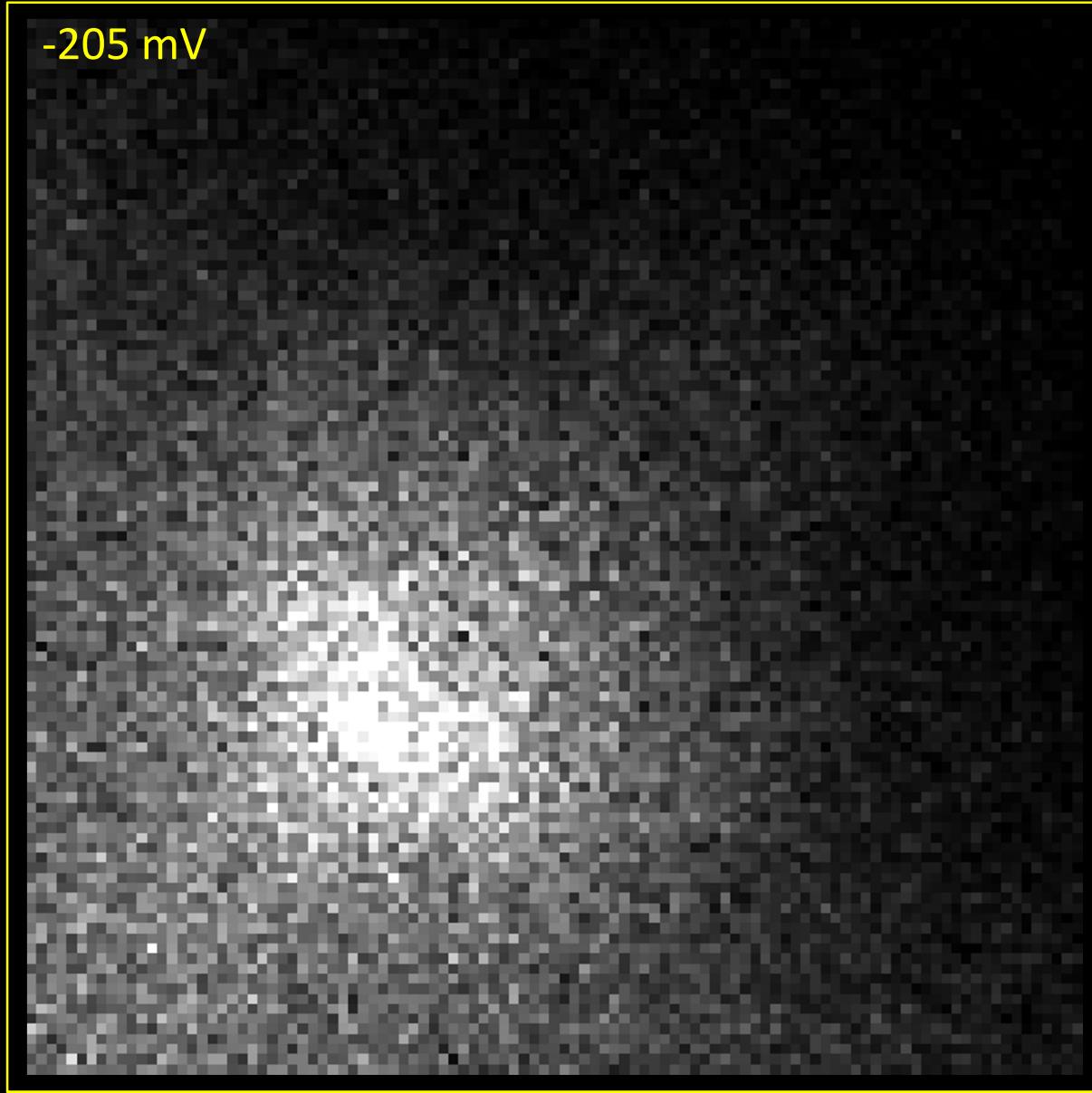
-195 mV



QPI Fourier transform patterns



-205 mV

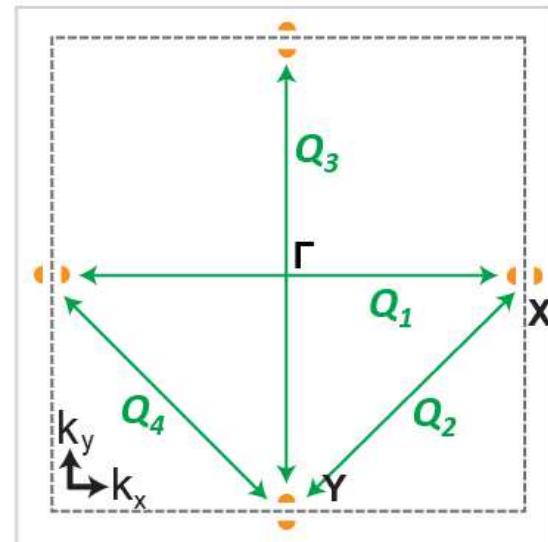




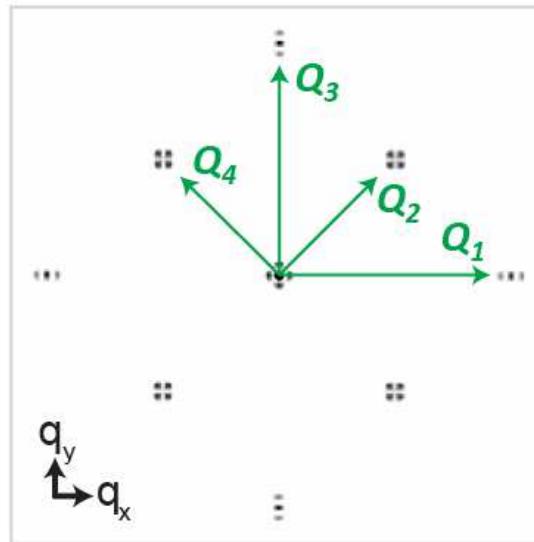
Expected QPI pattern

Constant-energy contours
(CECs)

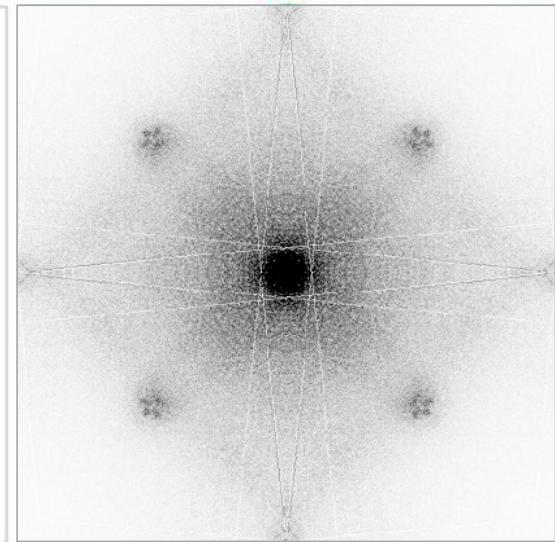
~ Dirac point



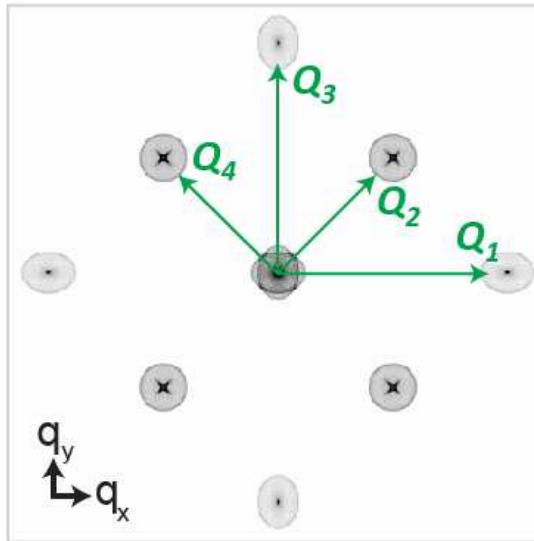
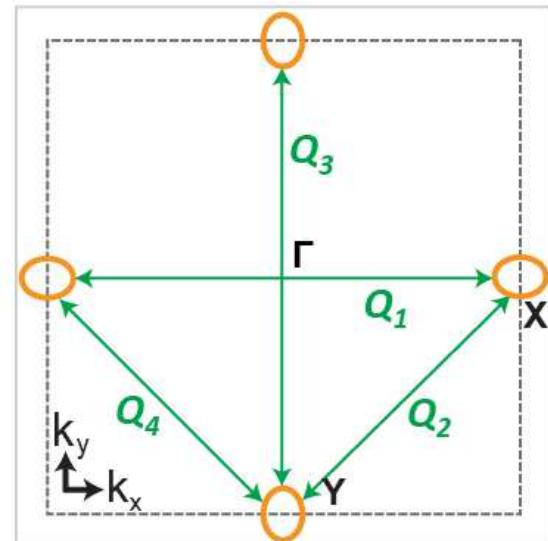
Autocorrelation of CECs



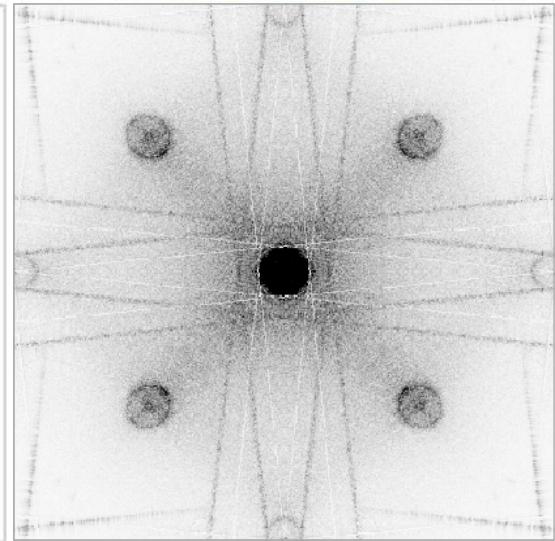
Fourier transform of
experimental data



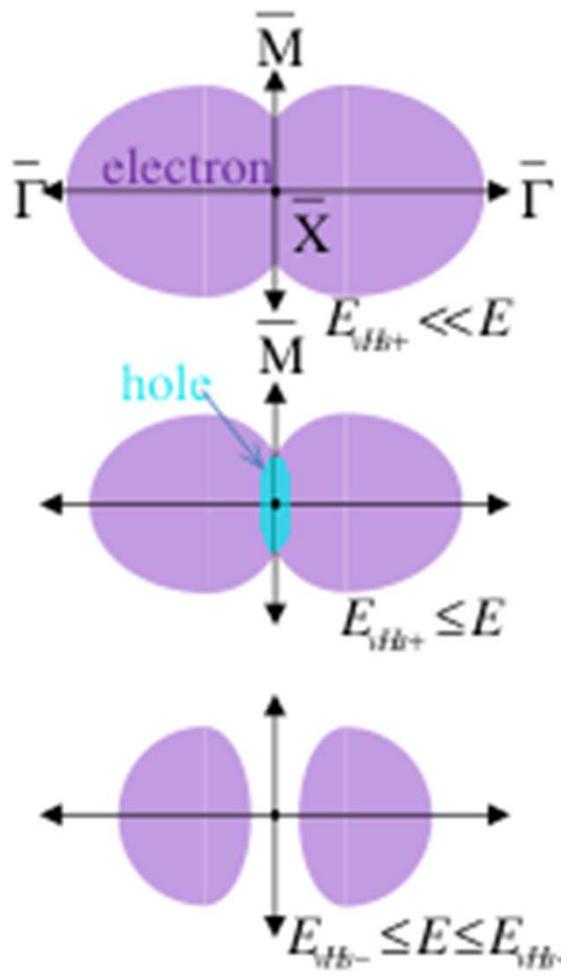
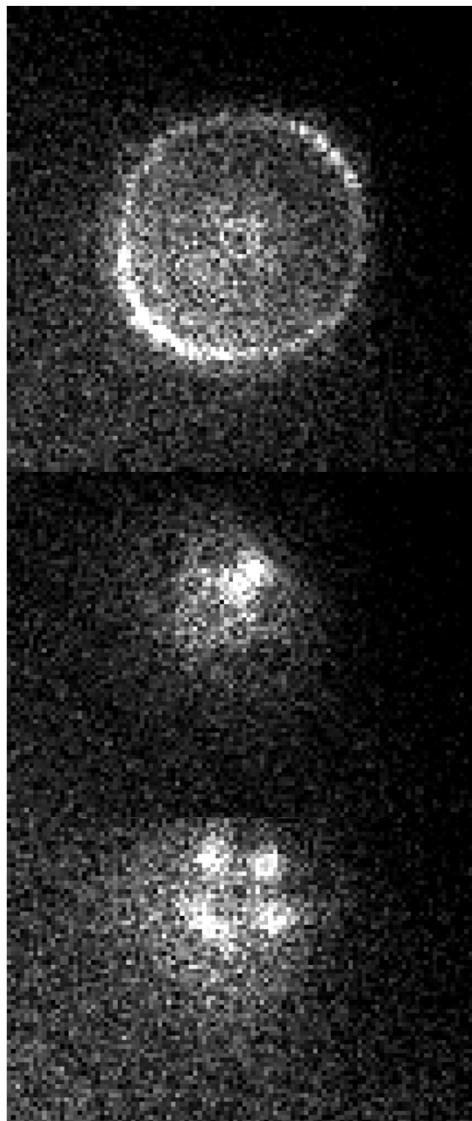
Away from Dirac point



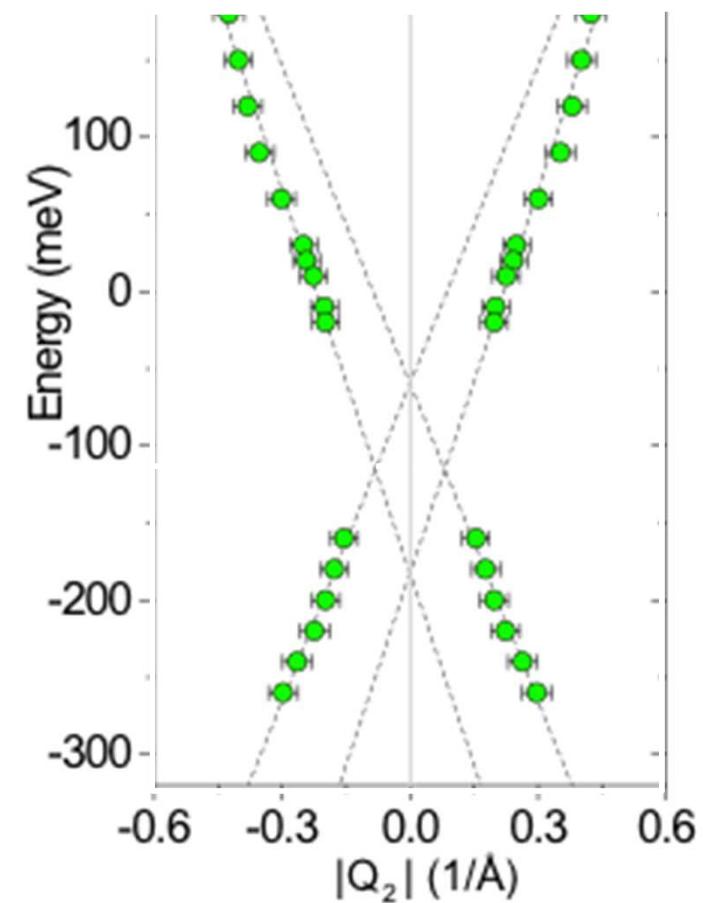
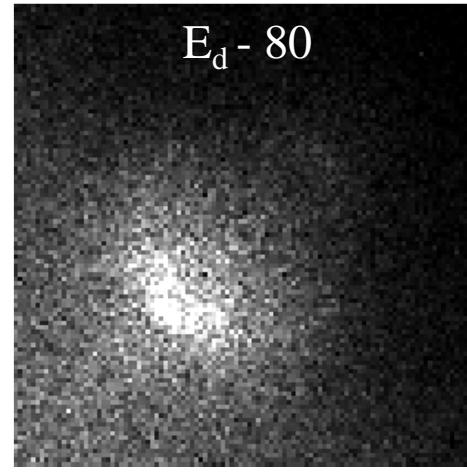
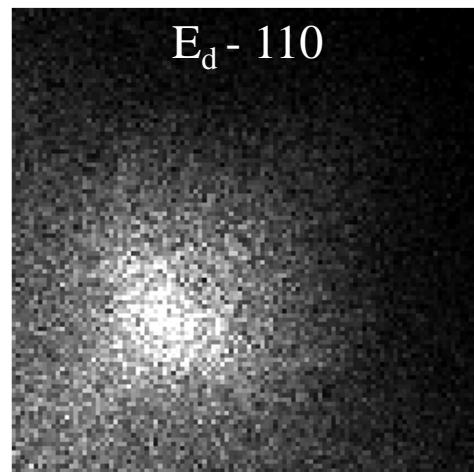
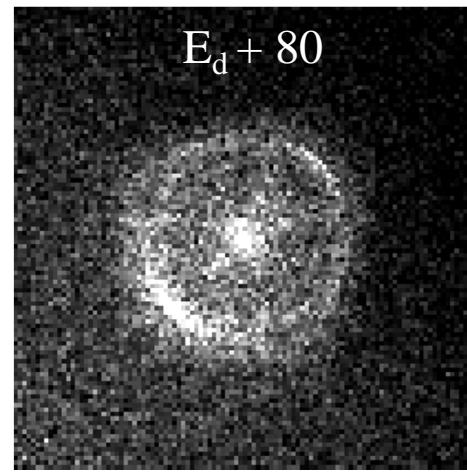
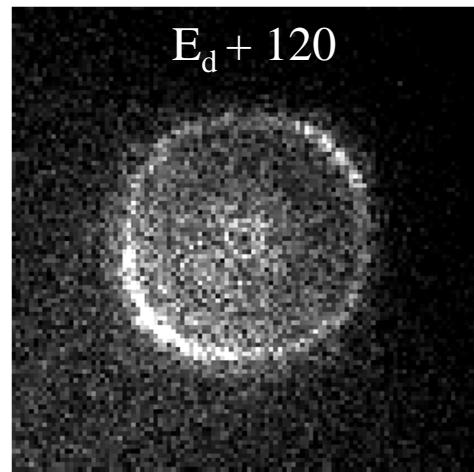
Low High



I: Lifshitz Transition



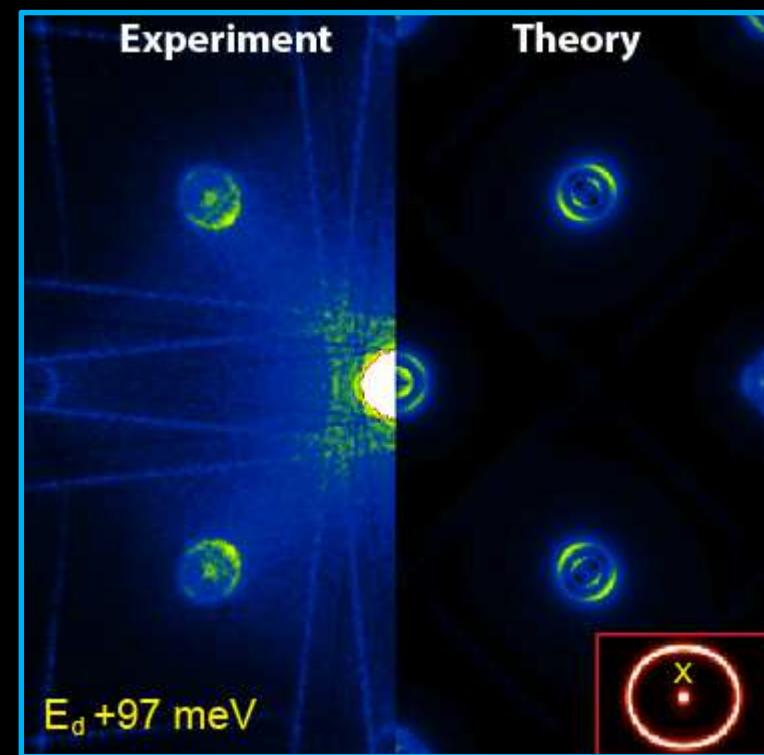
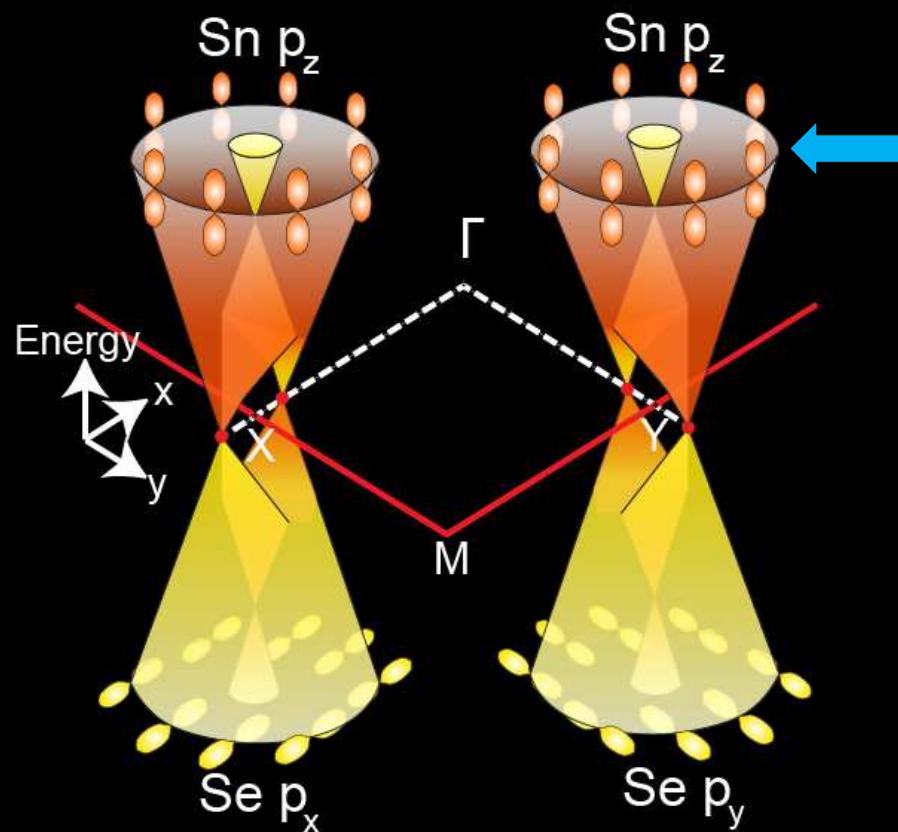
II. Particle-Hole asymmetry: Orbital Effects





Comparison to theory

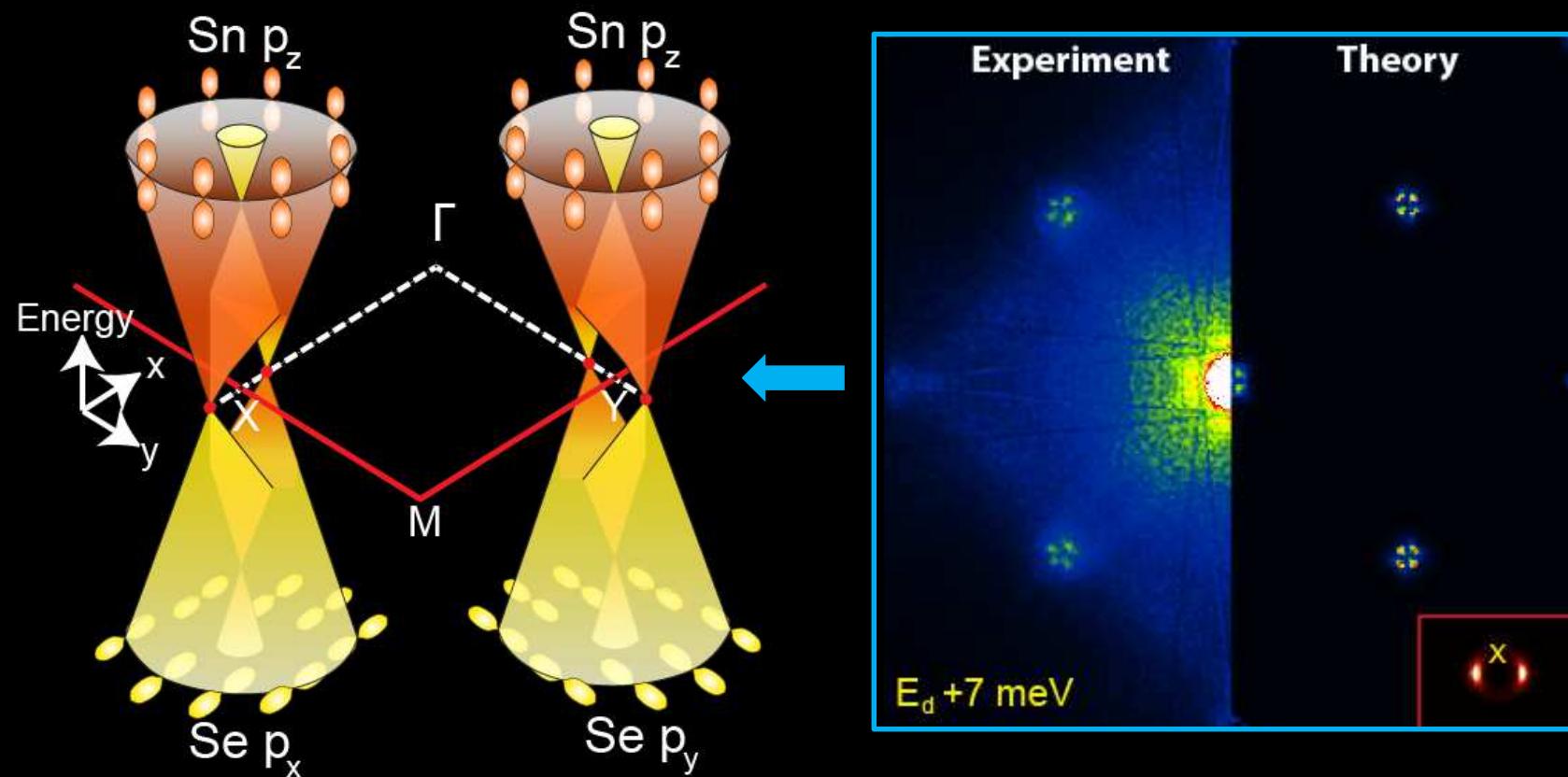
Scattering between **upper** Dirac cones





Comparison to theory

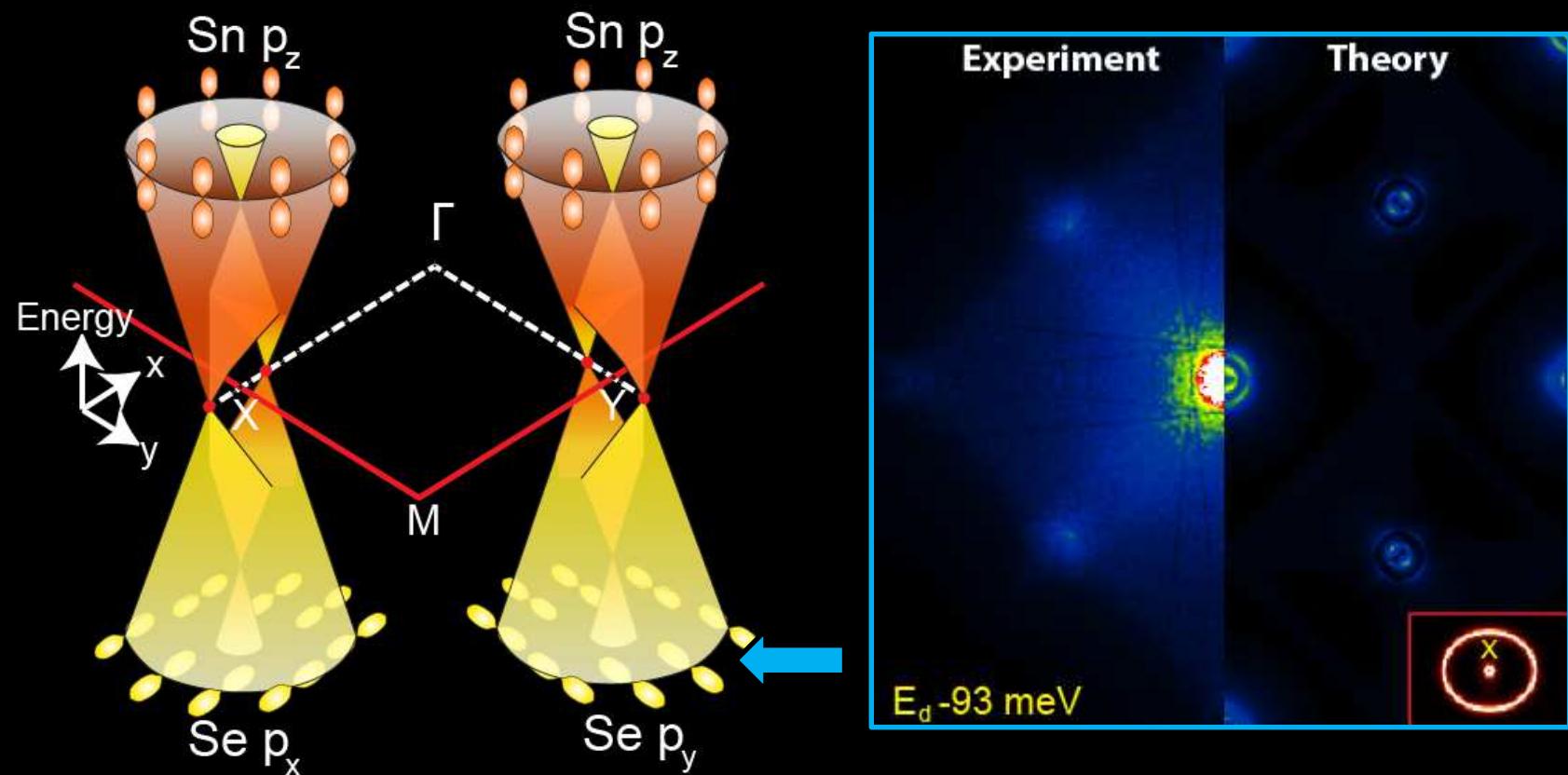
Scattering at the Dirac point



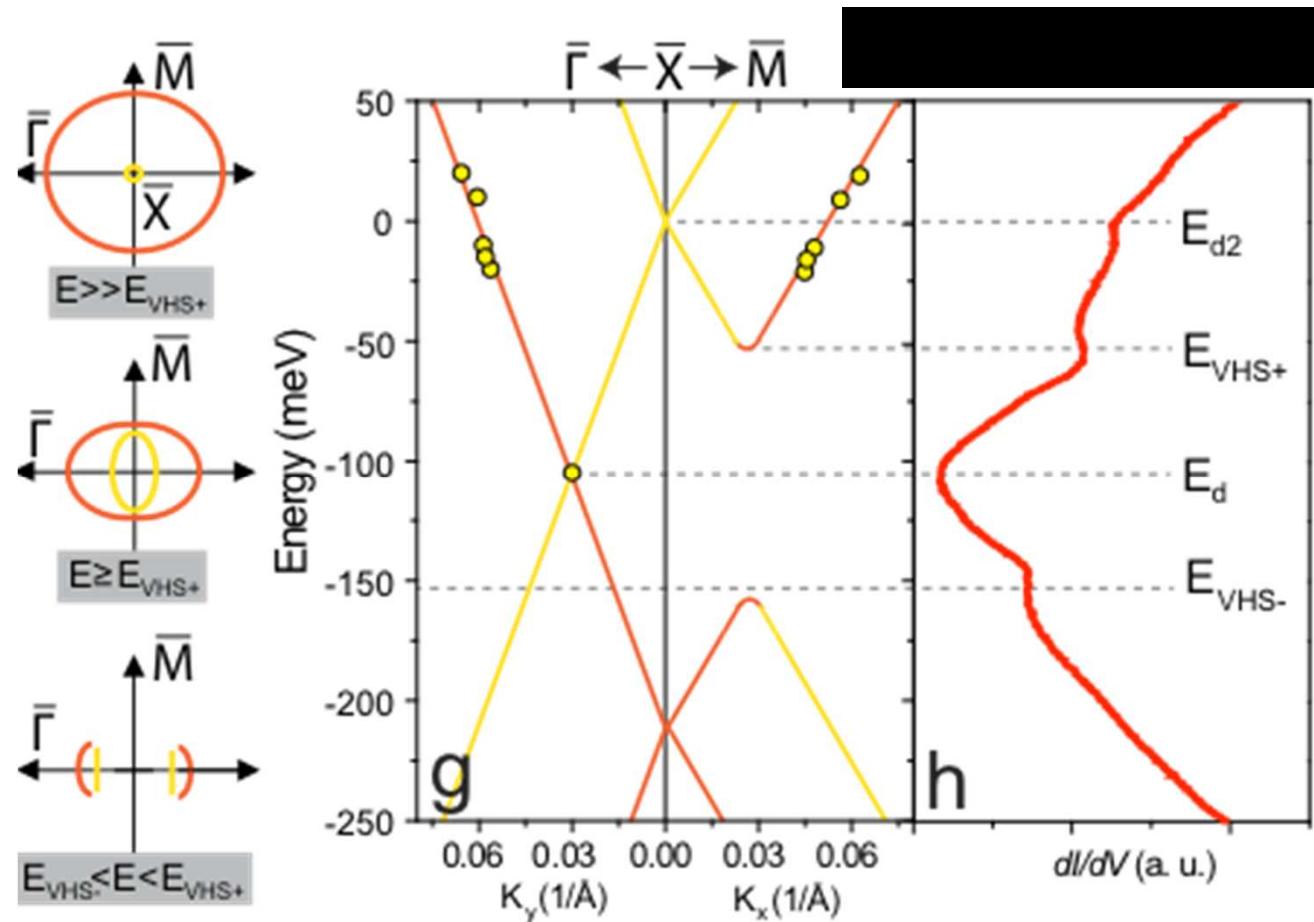
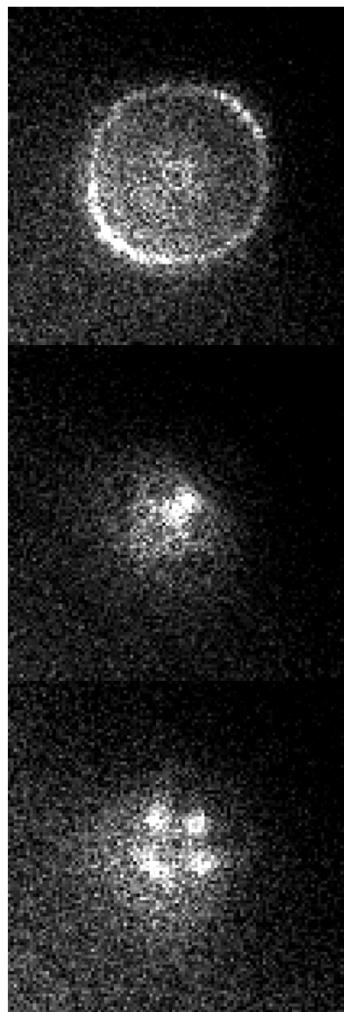


Comparison to theory

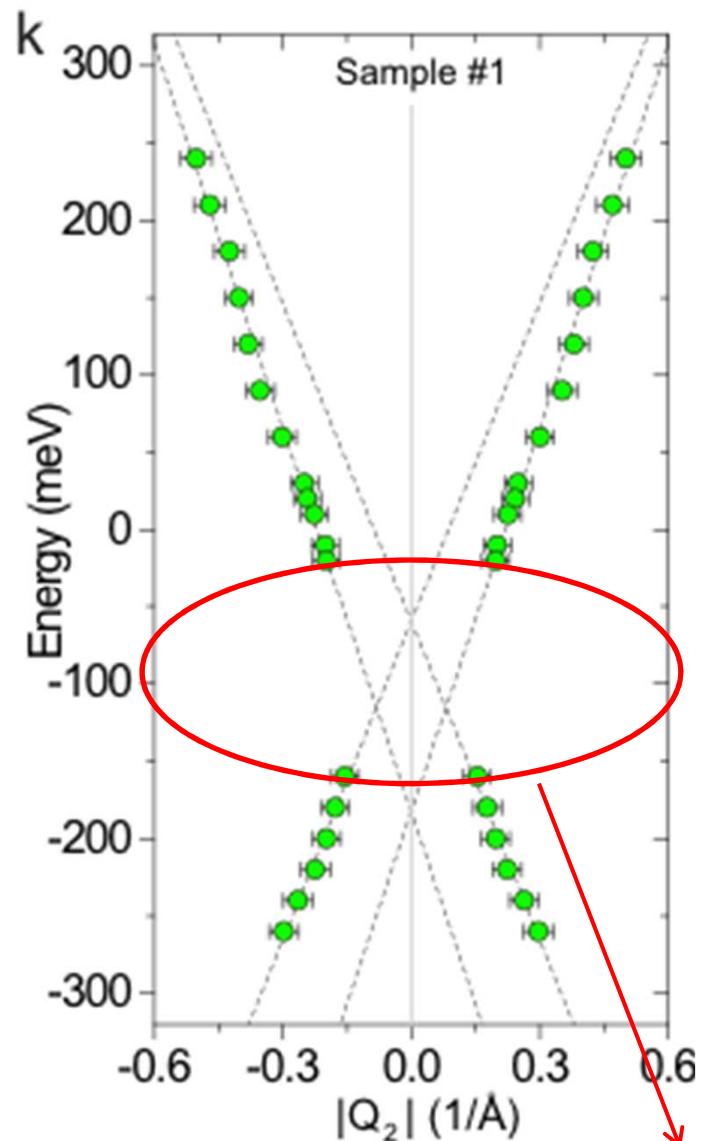
Scattering between lower Dirac point



III. Dispersion



Dispersion



Do we really have massless
Dirac particles??

Cannot Access region near Dirac Point

Outline

- The System: Topological Insulators
 - Unique properties of Conventional Z2 Topological Insulators
 - New Topological Material: Topological Crystalline Insulator
- The Technique: Scanning Tunneling Microscopy
 - Interference Patterns
 - Landau Level Spectroscopy
- The Experiment and Results: Breaking Mirror Symmetry to impart Mass to Massless Dirac Fermions
- Outstanding Questions and the Future

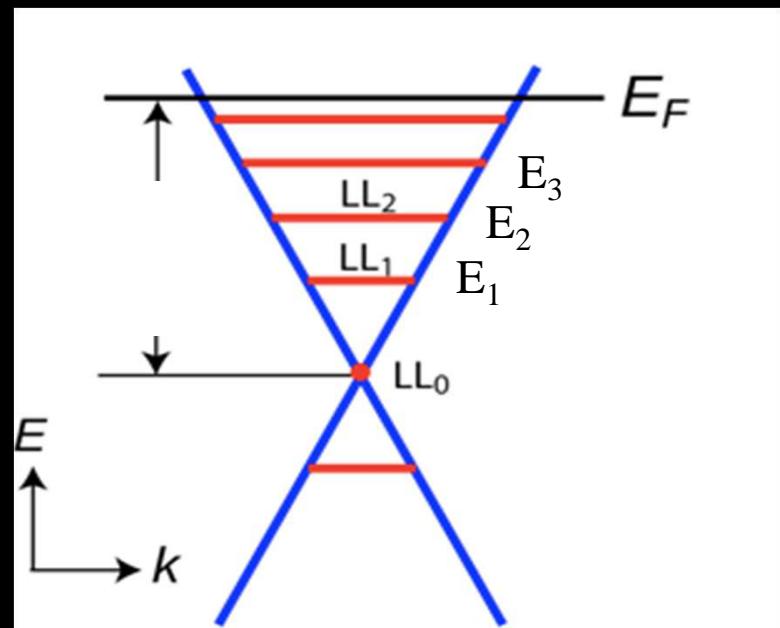
Landau level Spectroscopy



Dirac electrons in a Magnetic Field

Discrete allowed energies called Landau levels

- Low Energy Dispersion
- Effective g-factor
- Correlation Effects
- Quasiparticle lifetime



Landau Levels labeled by quantum number n

- Energy is a function of n

Landau Levels

Normal 2D surface state or 2D Electron Gas

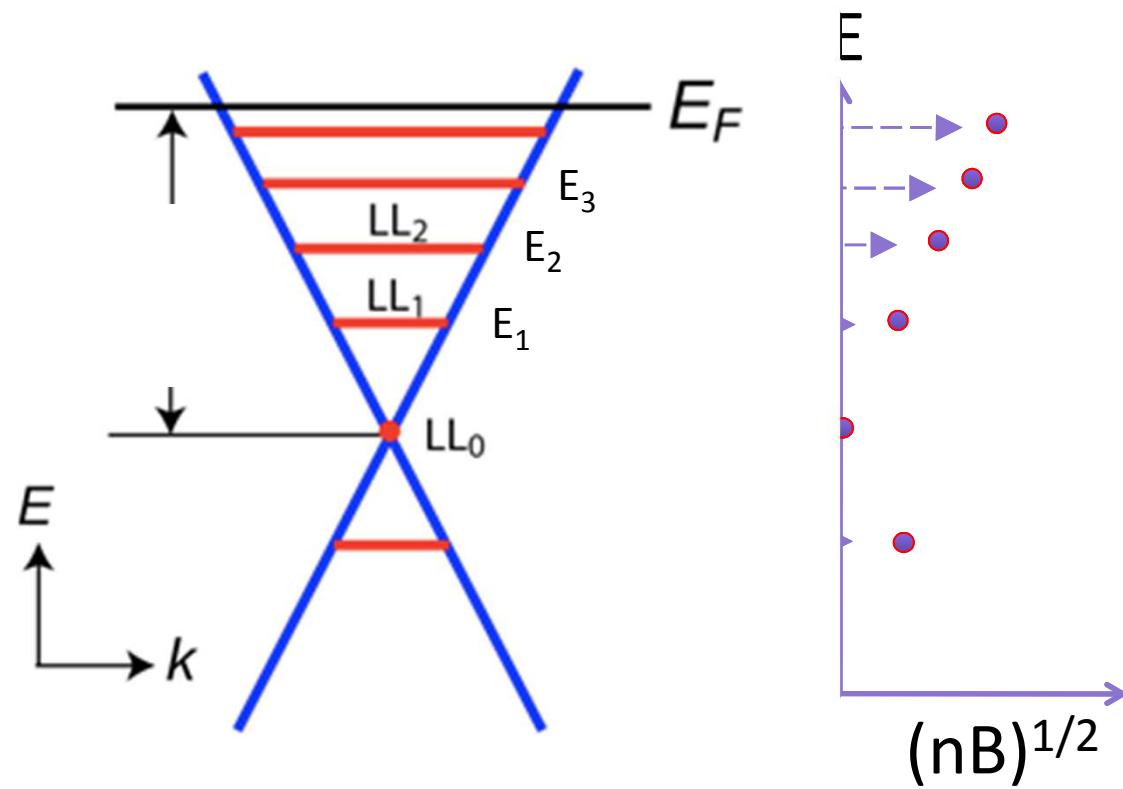
$$E_n = \frac{eB\hbar}{m^*} (n + 1/2)$$

2D Surface state on Topological Insulator

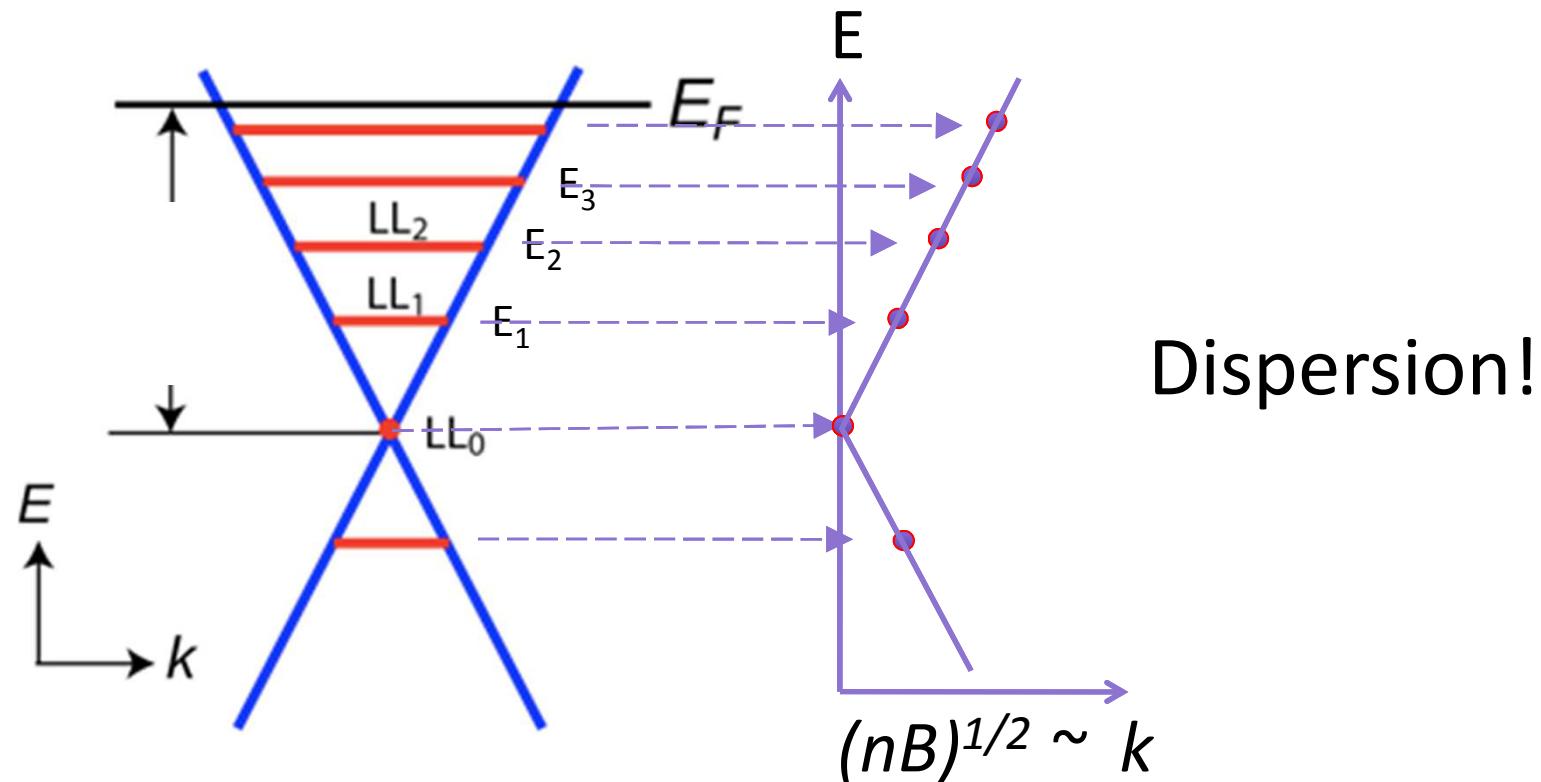
$$E_n = E_D + \vartheta_F \sqrt{2eB\hbar|n|}$$

$$k^2 = \frac{2neB}{\hbar} \Rightarrow k = \sqrt{\frac{2neB}{\hbar}} \Rightarrow k \propto \sqrt{nB}$$

Landau Levels



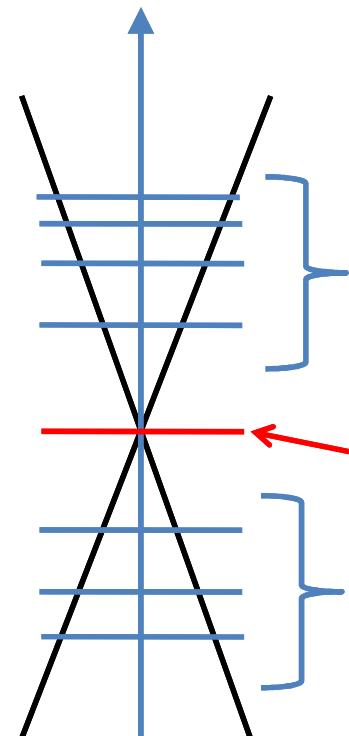
Landau Levels



Landau level Scenarios

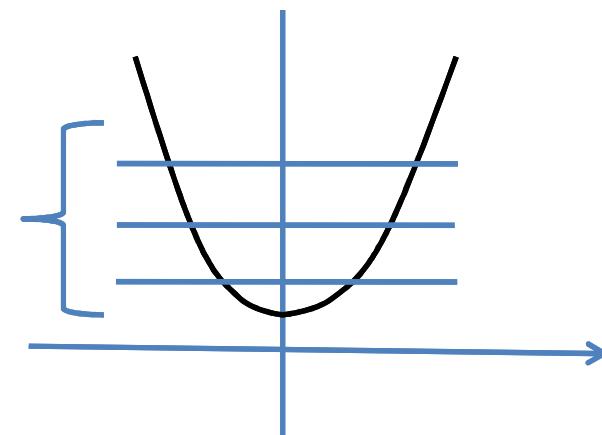
Massless Dirac Band

$$E_n = E_D + \vartheta_F \sqrt{2eB\hbar|n|}$$



Non-Relativistic Band

$$E_n = \frac{eB\hbar}{m^*}(n + 1/2)$$

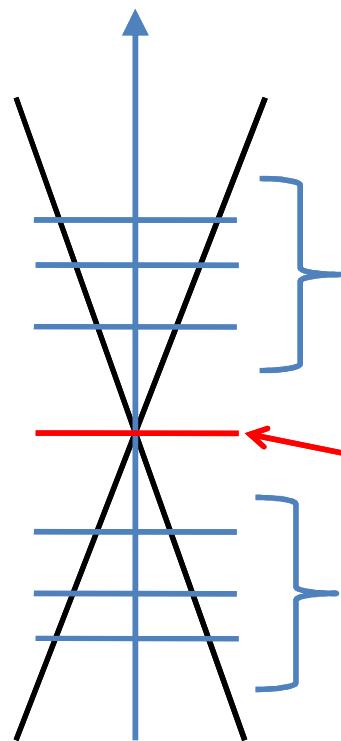


Dispersing

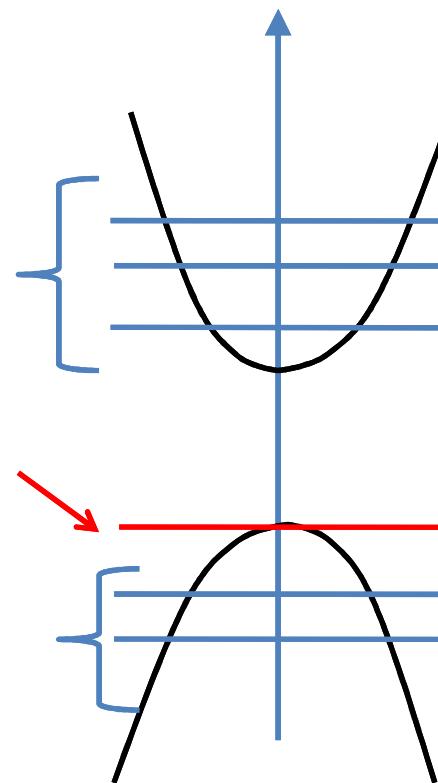
Non-Dispersing
Level at Dirac point

Landau level Scenarios

Massless Dirac



Massive Dirac

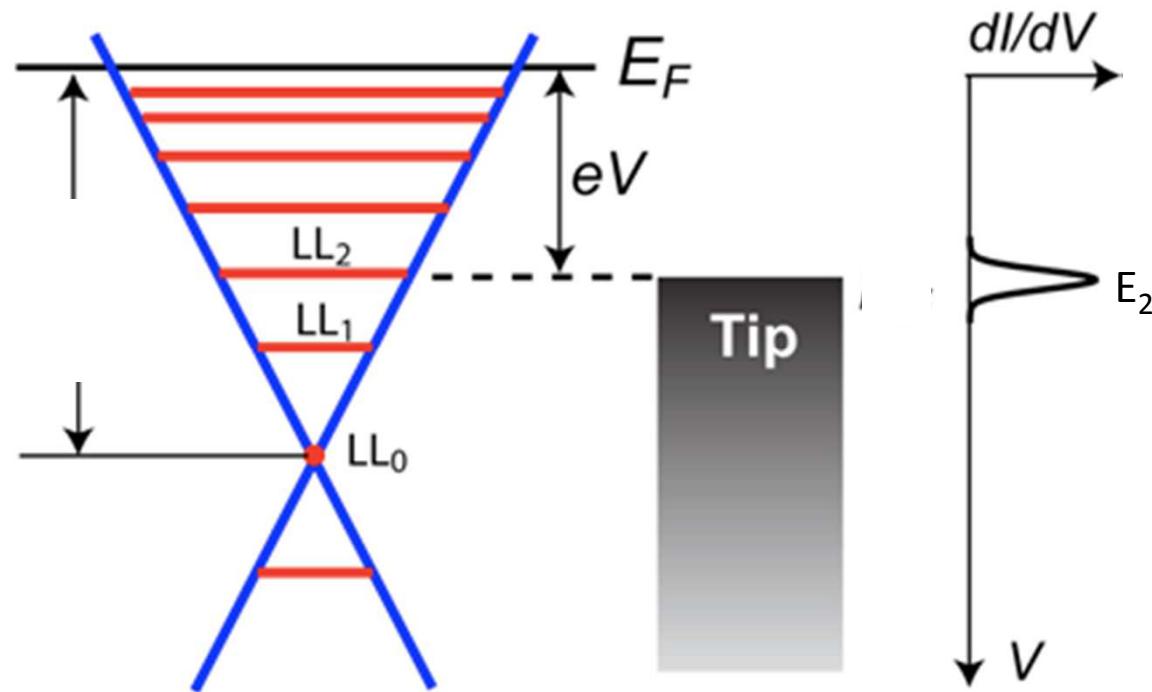


Dispersing

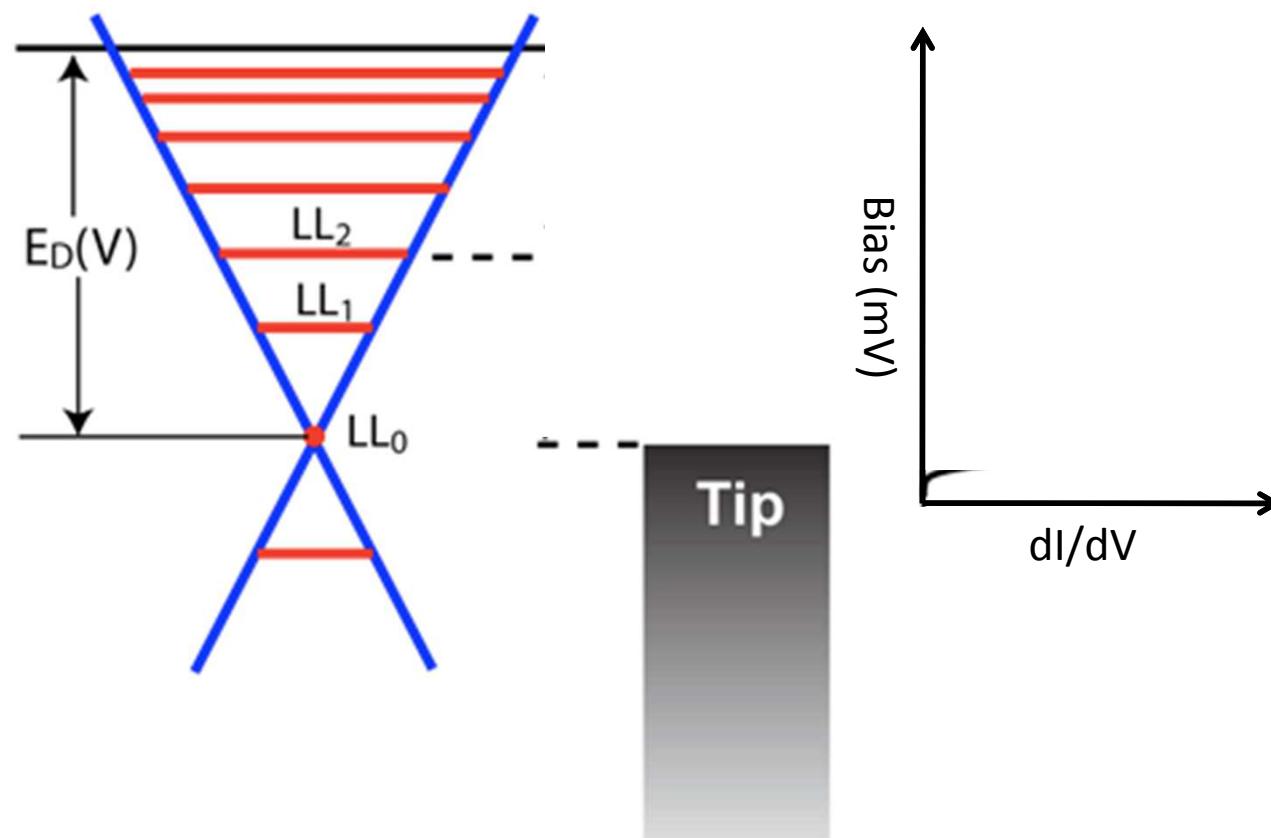
Non-Dispersing

Dispersing

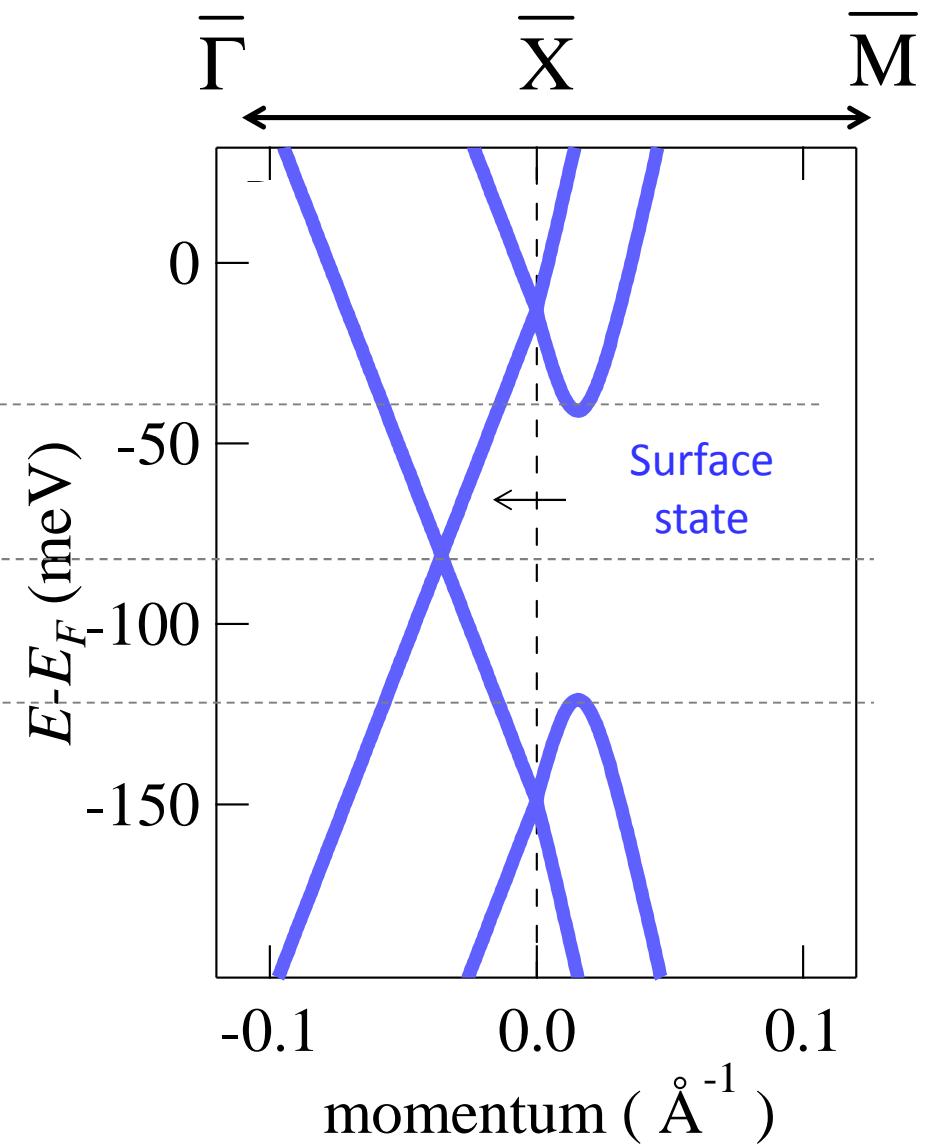
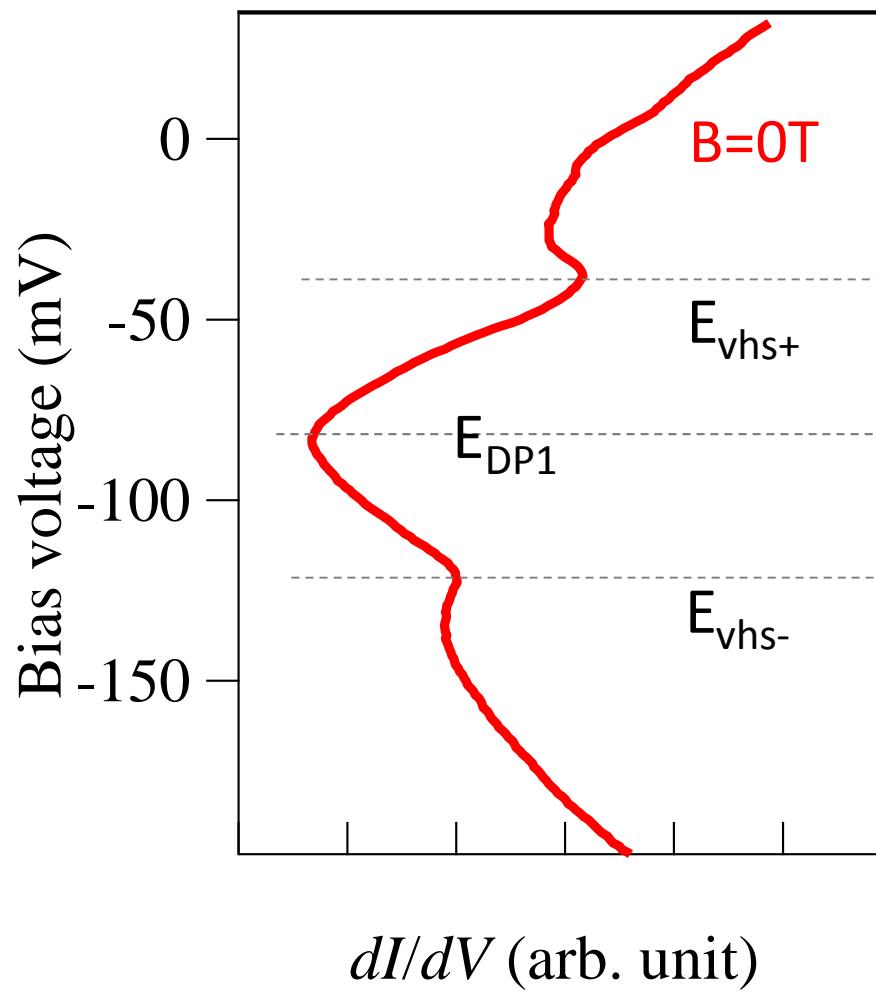
Landau Level Measurements



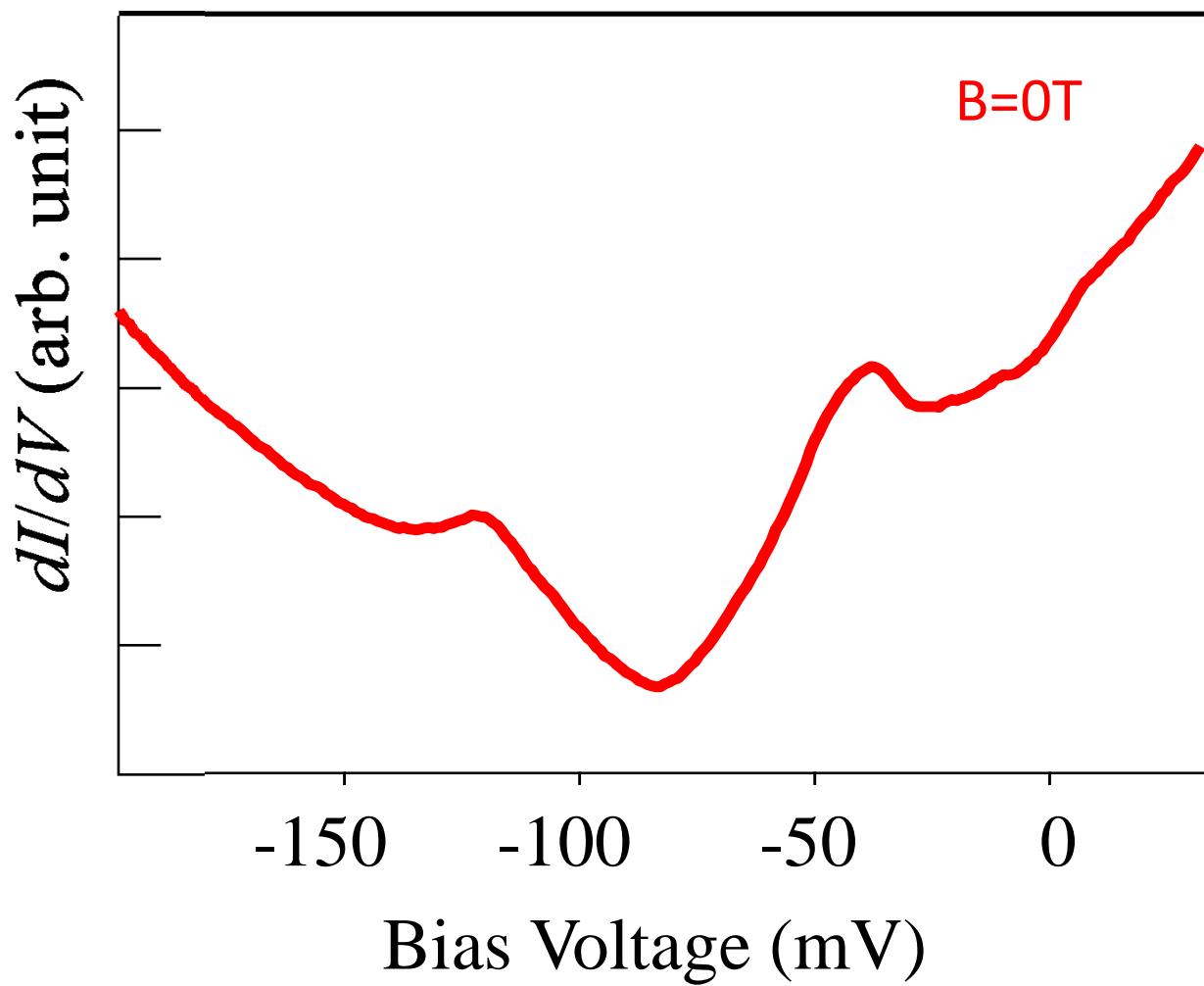
Landau Level Measurements



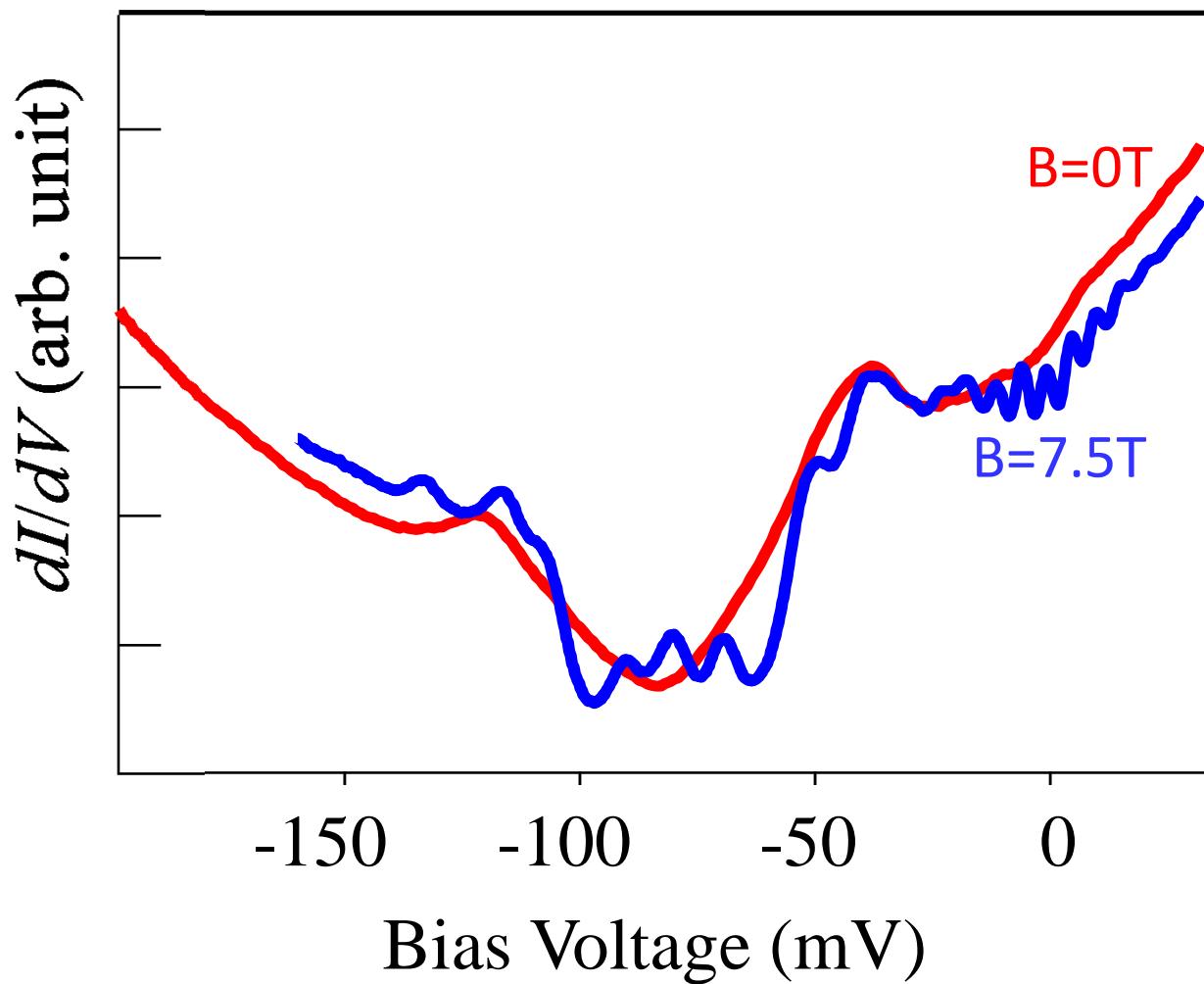
Topological Crystalline Insulators



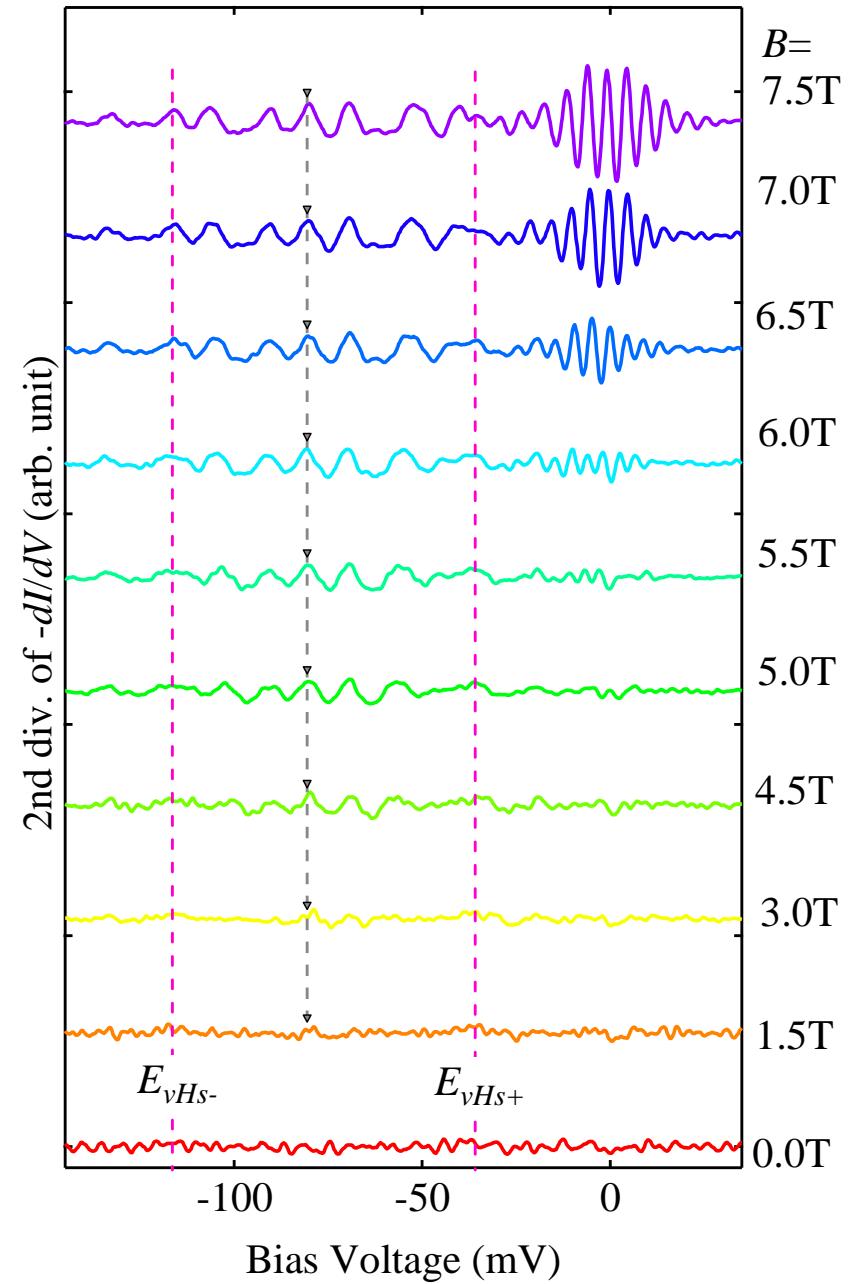
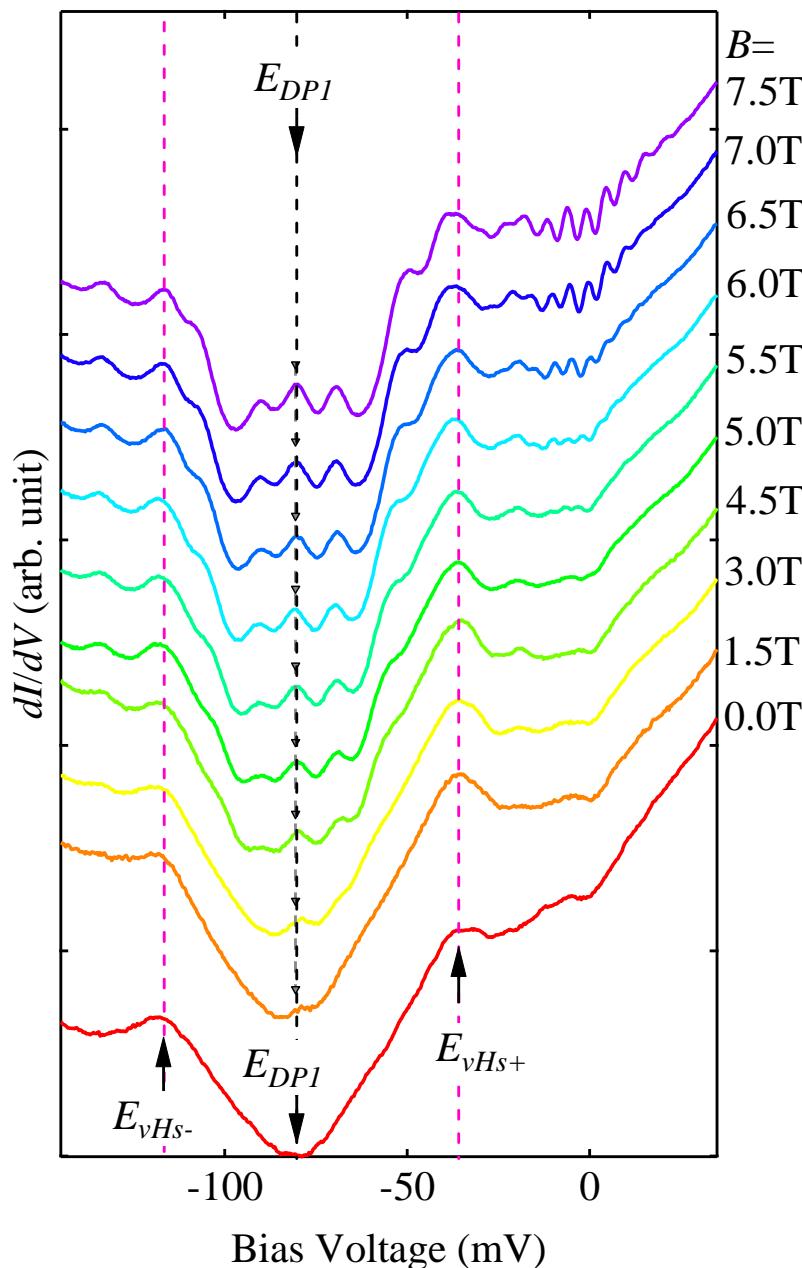
Topological Crystalline Insulators



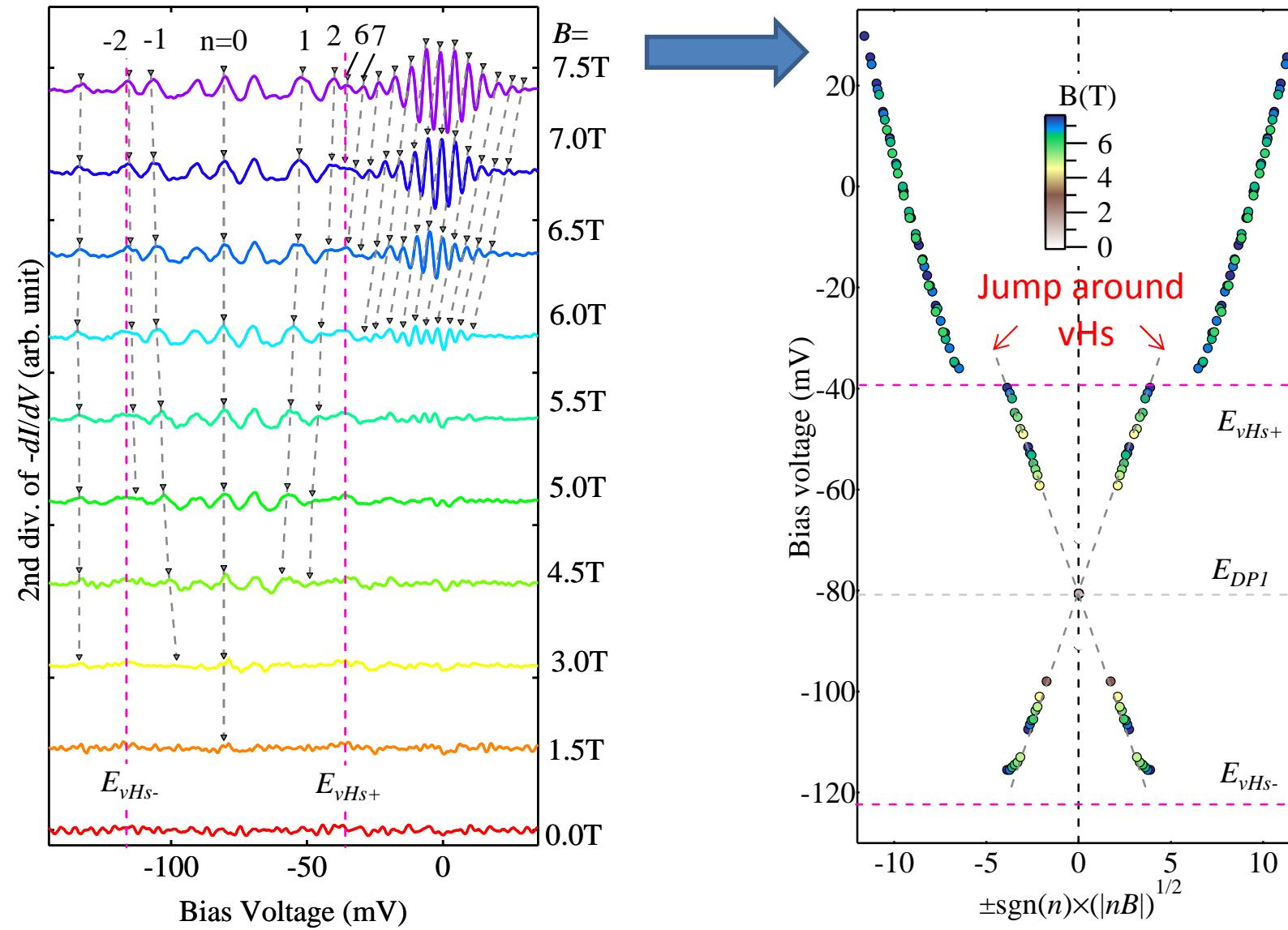
Topological Crystalline Insulators: Landau Levels



Landau Level Field Dependence

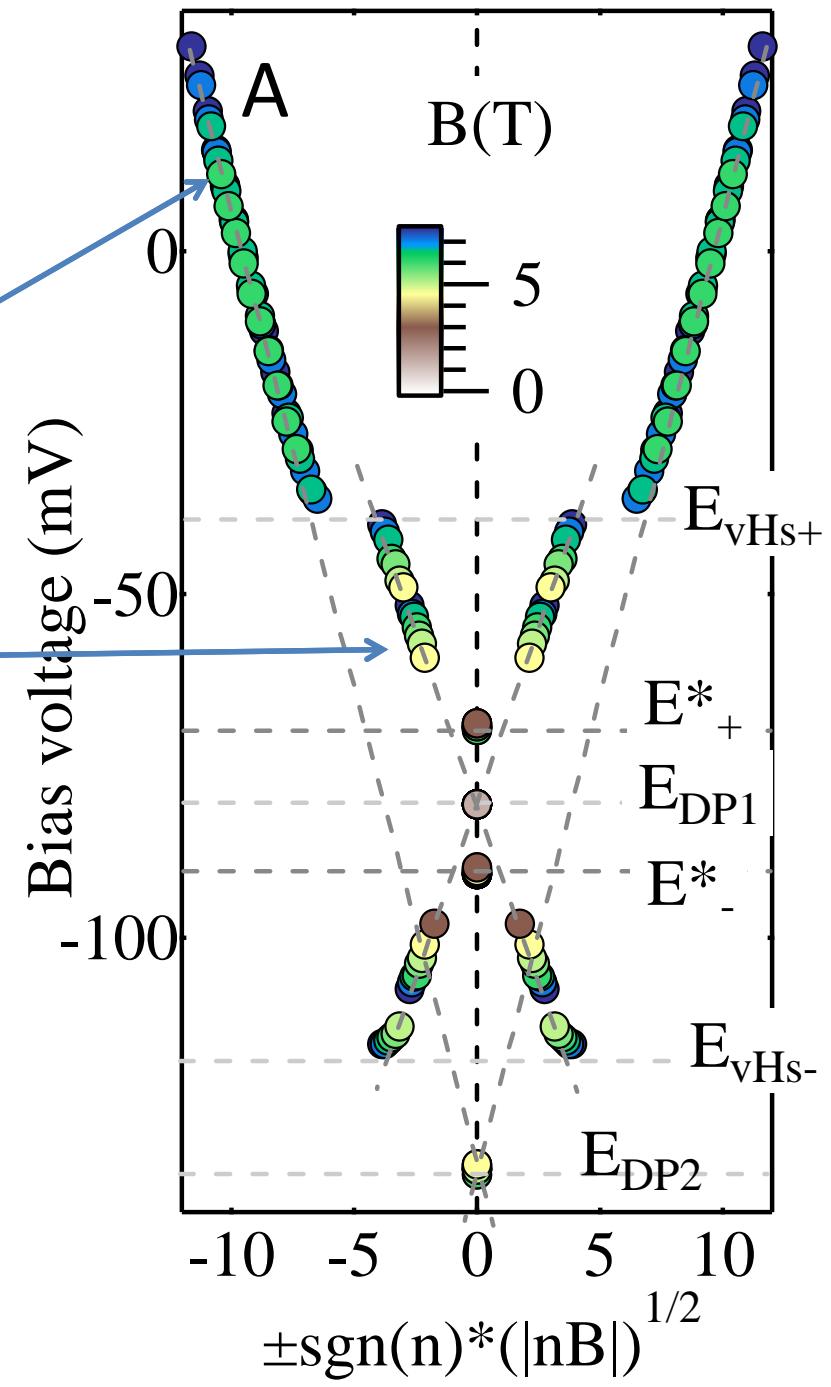
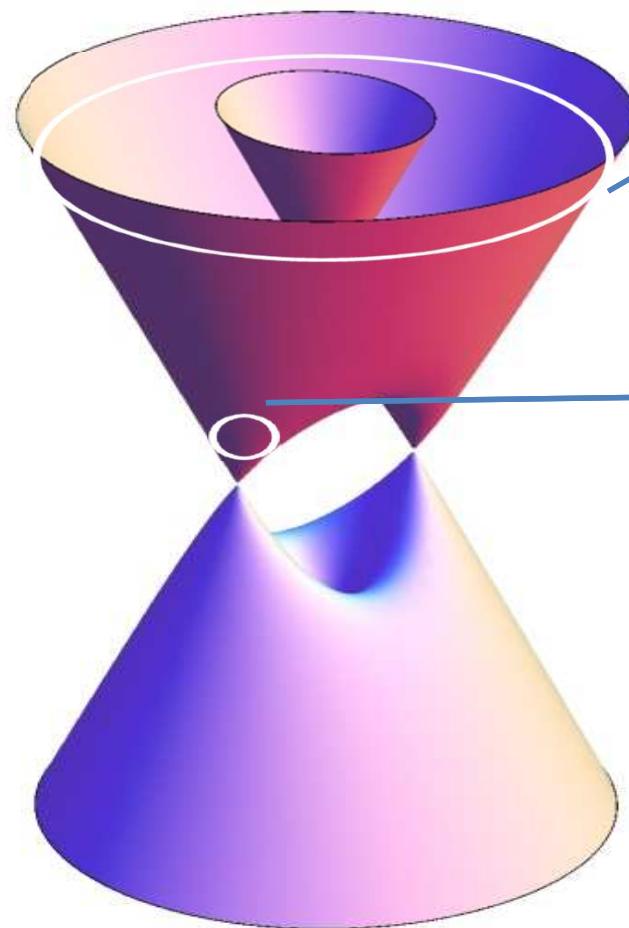


Constraint: scaling by $(nB)^{1/2}$



$$\bar{v} = \sqrt{v_1 v_2} = 2.60 \text{ eV} \cdot \text{\AA}, \quad m = 0.055 \text{ eV}$$

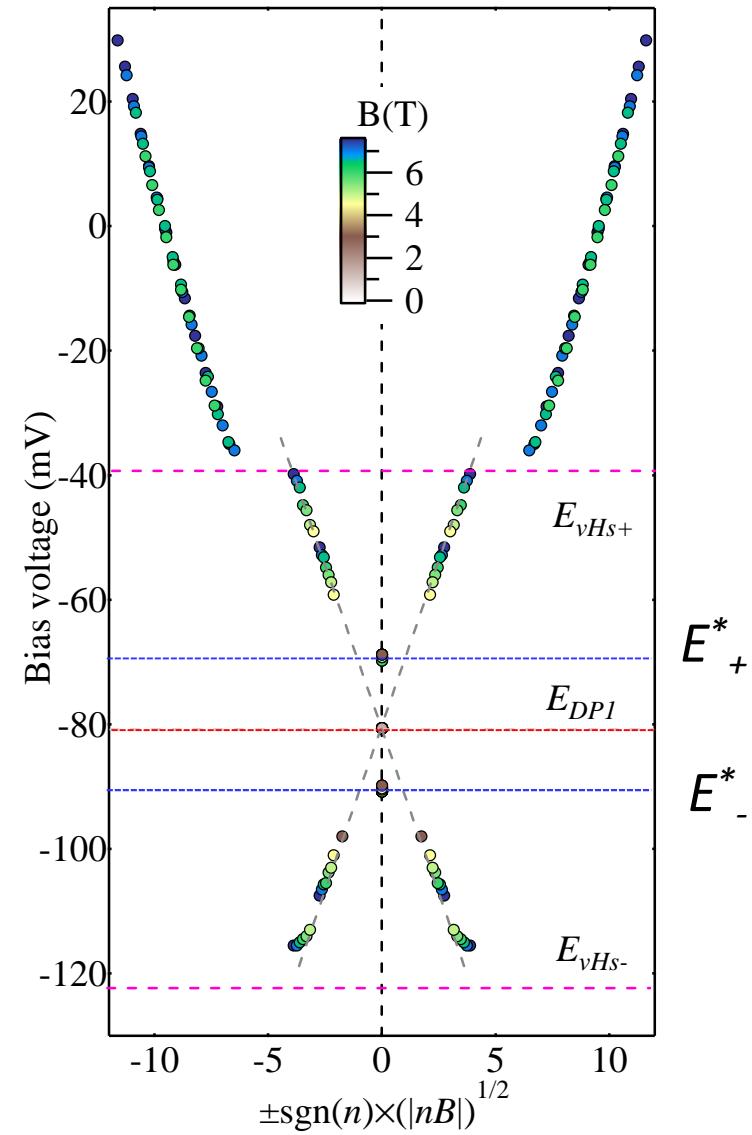
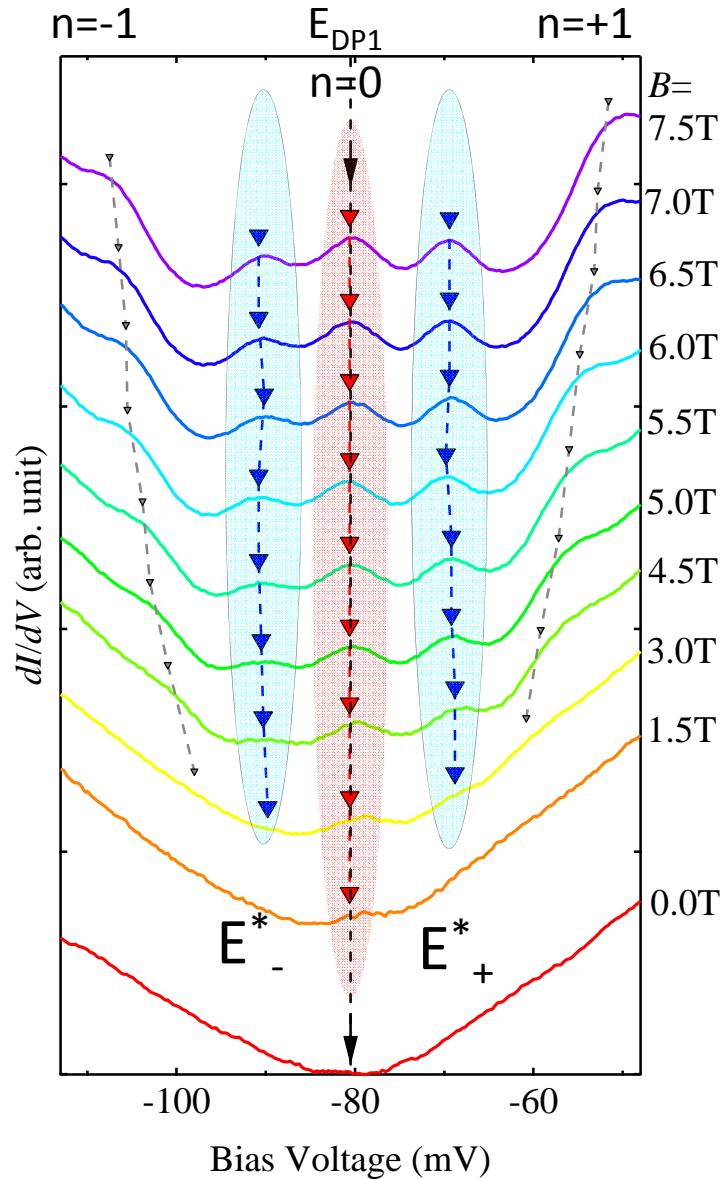
Landau Levels and Band Structure



Okada *et al.* *Science* (2013)

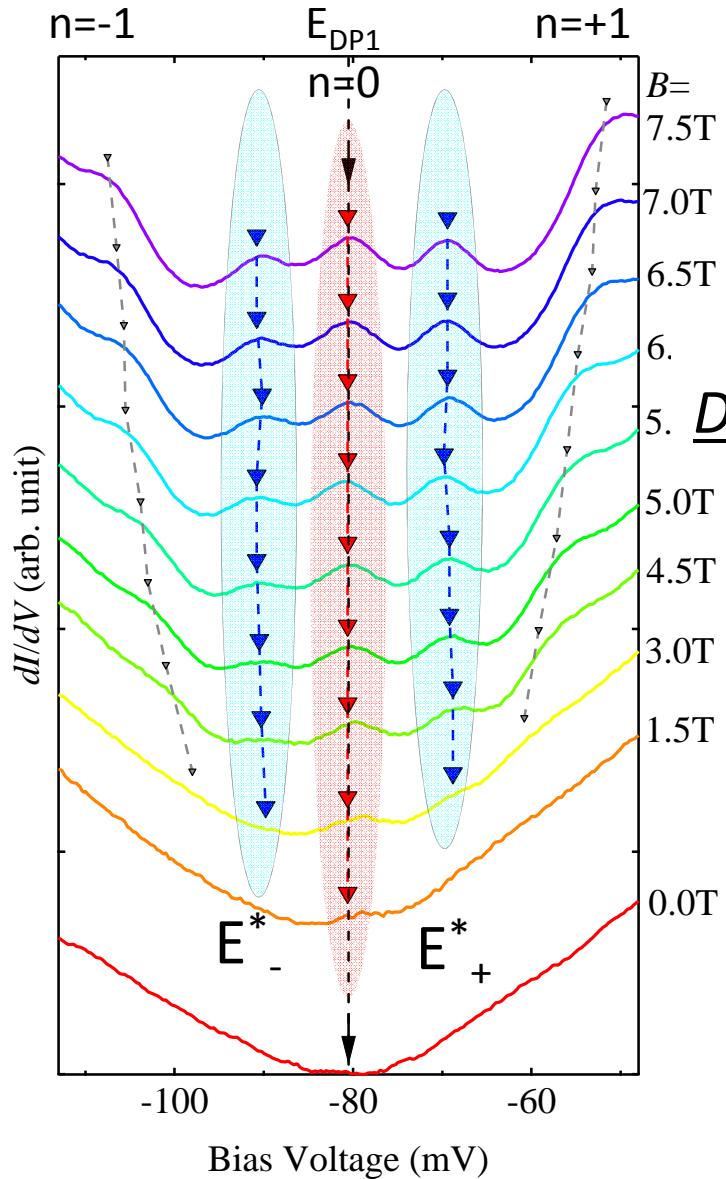
Surprise: Extra non-dispersing peaks

Non dispersive 3 LLs around DP

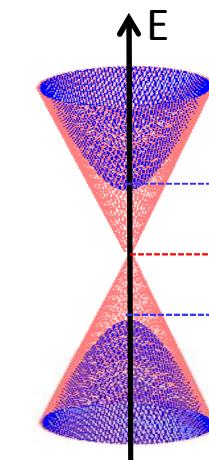


Extra non-dispersing peaks

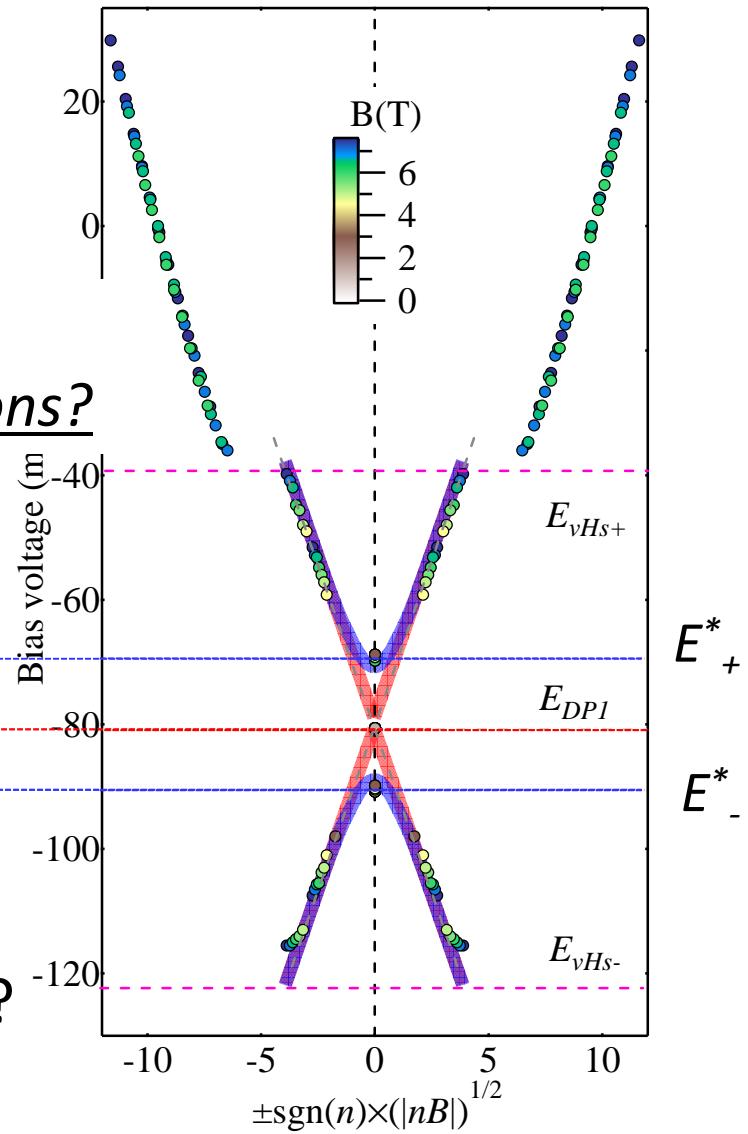
Non dispersive 3 LLs around DP



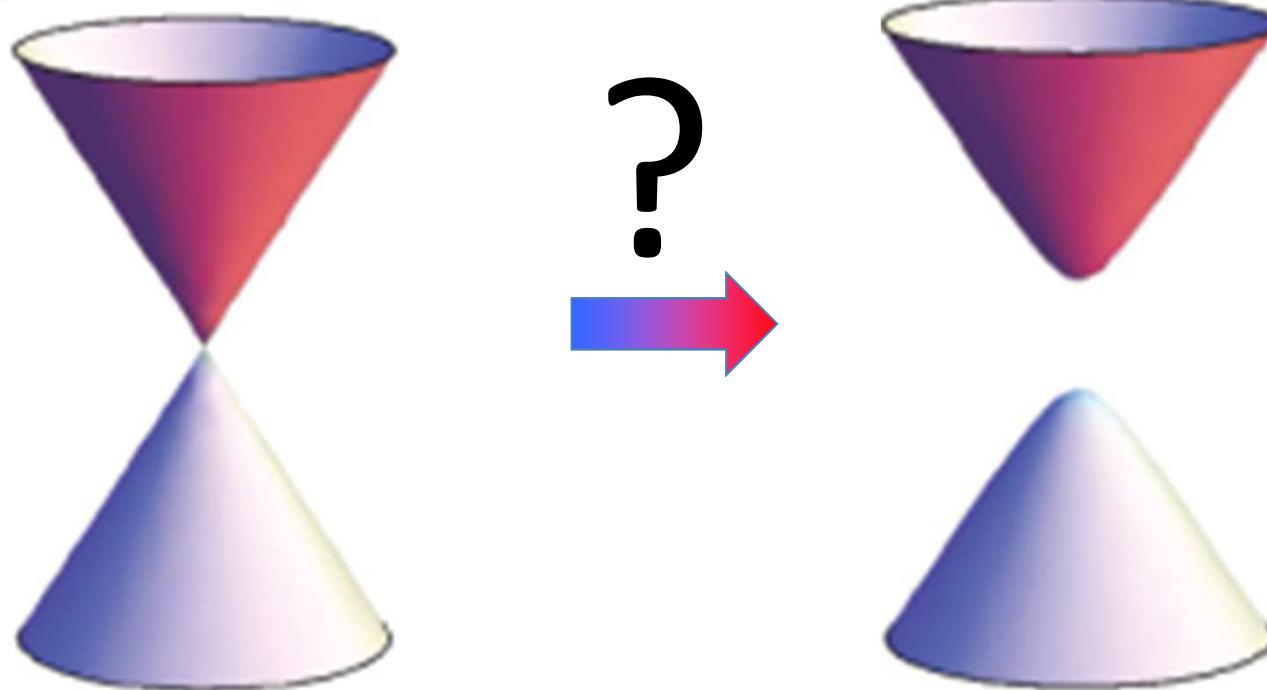
Massive
Dirac Fermions?



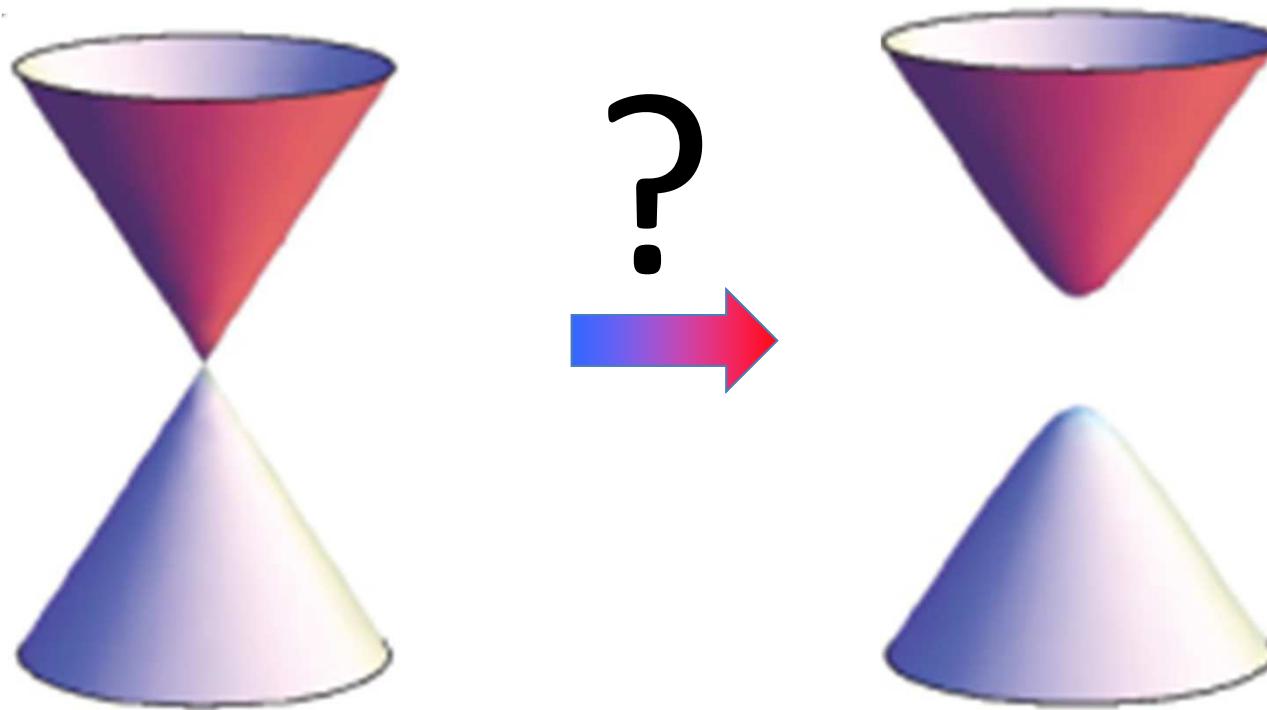
But how?



How do you provide mass to massless
Dirac Fermions?

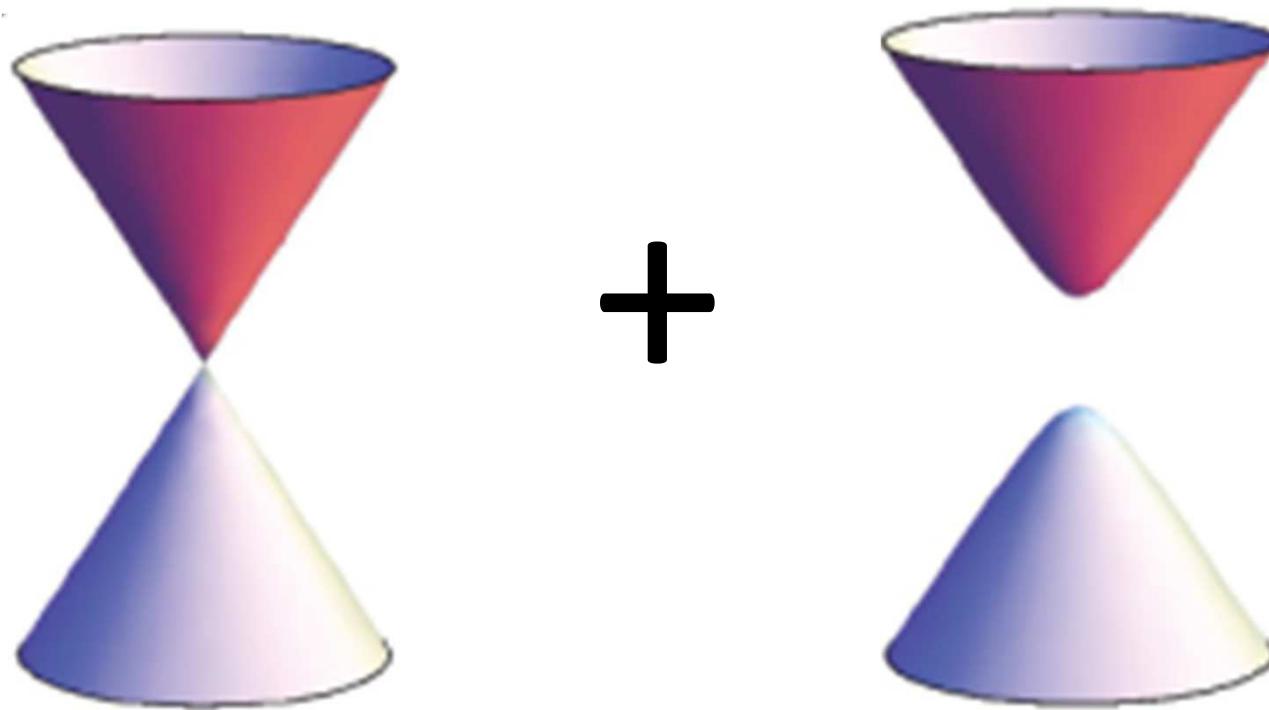


How do you provide mass to massless
Dirac Fermions?

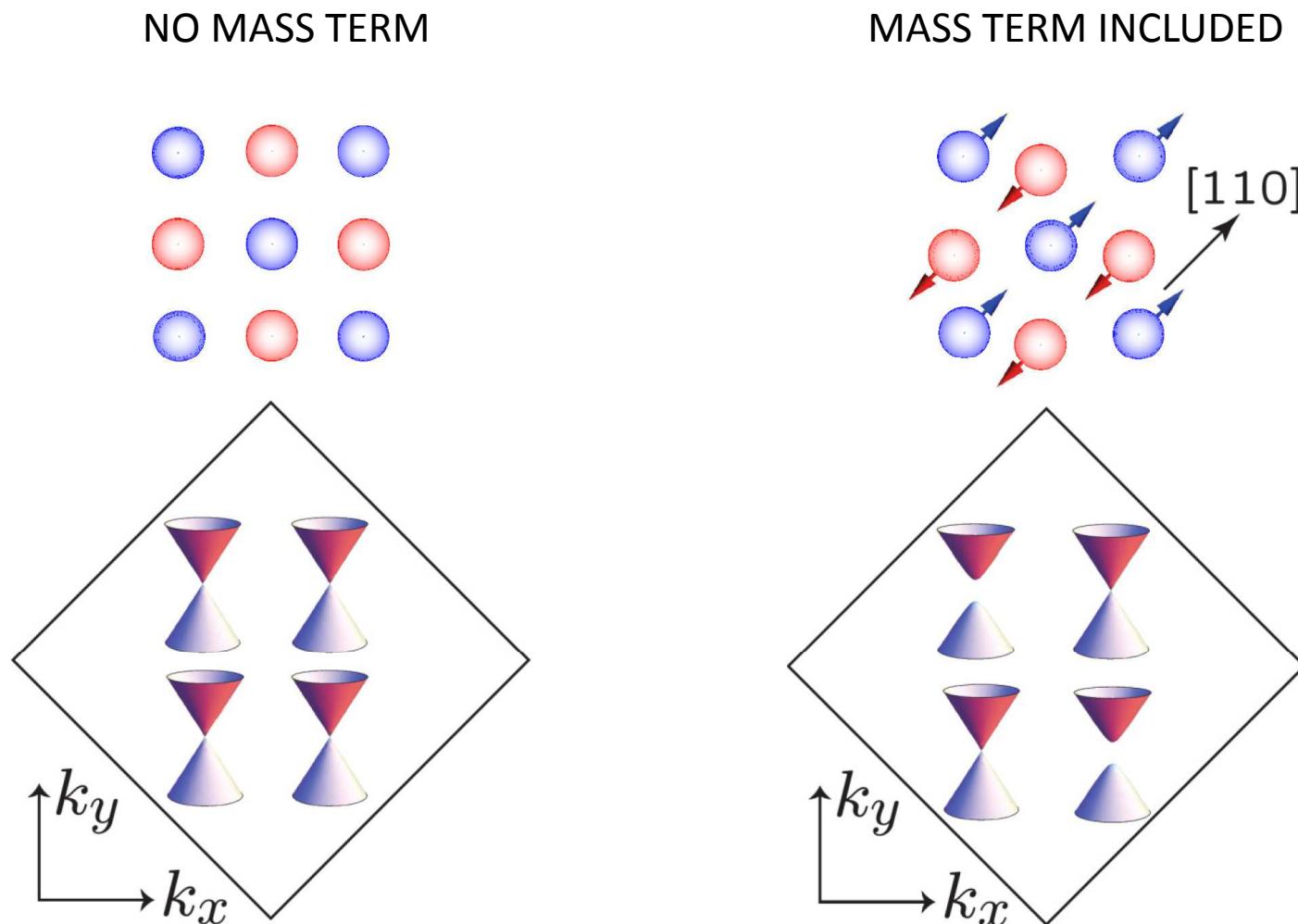


Break the Symmetry protecting the Dirac point

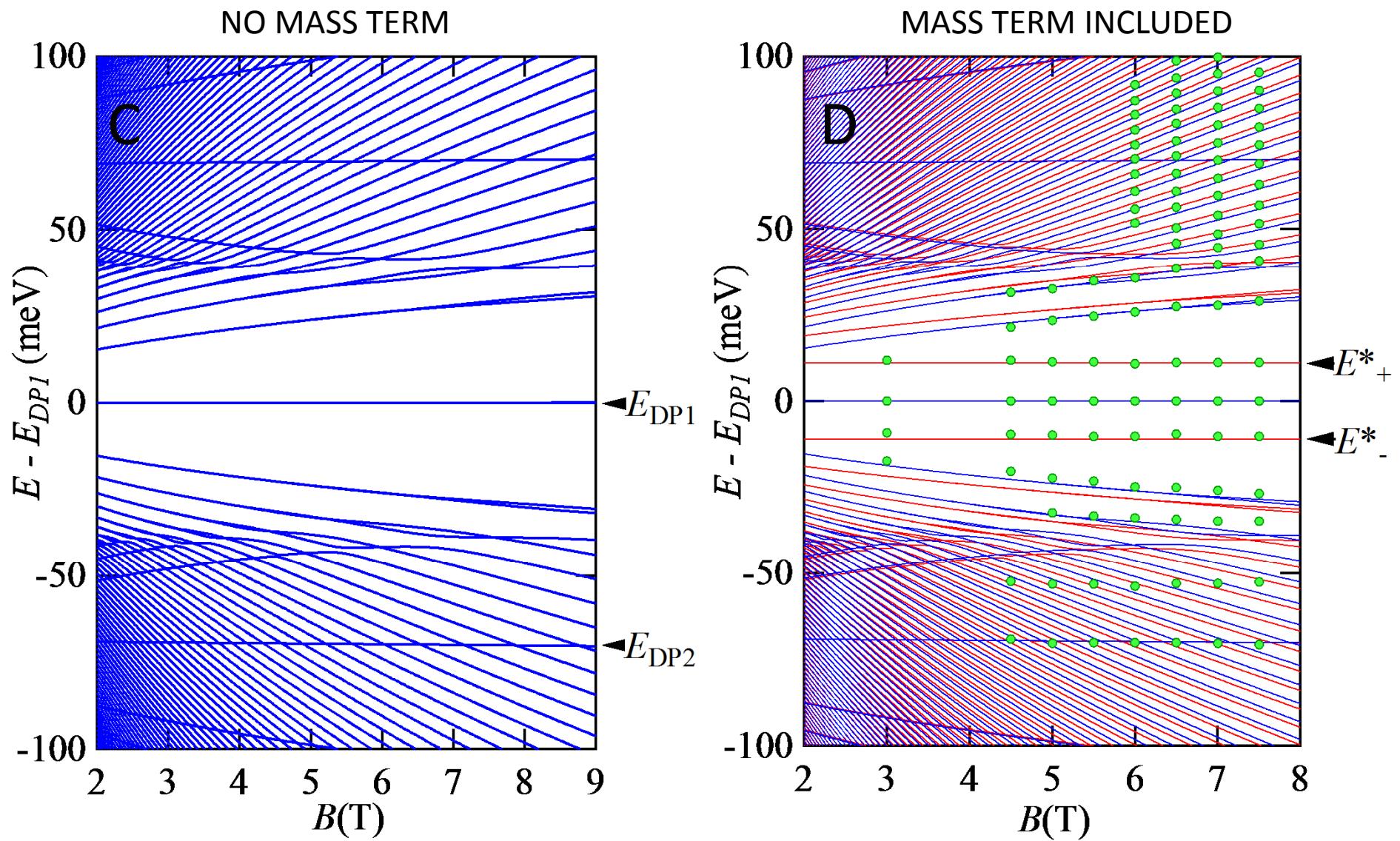
Data: Must have both Massless and
Massive electrons



Our Idea: Generate mass in one pair of cones by breaking Mirror symmetry along one plane

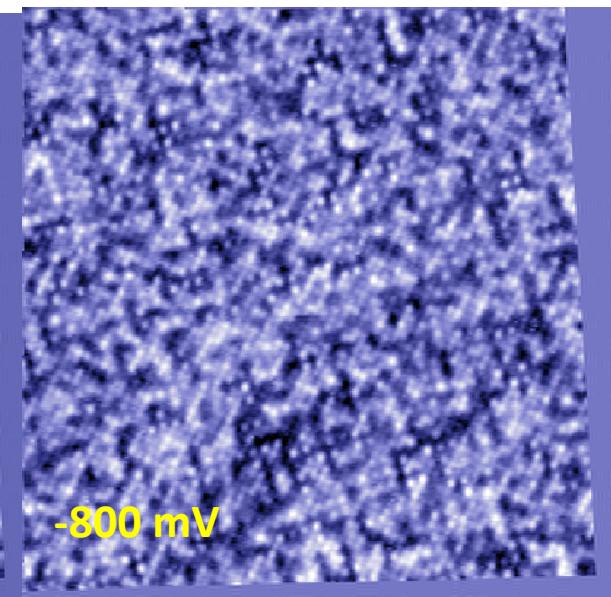
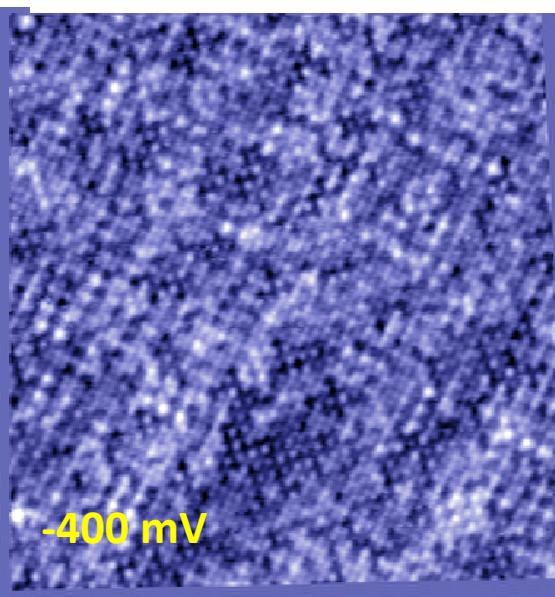
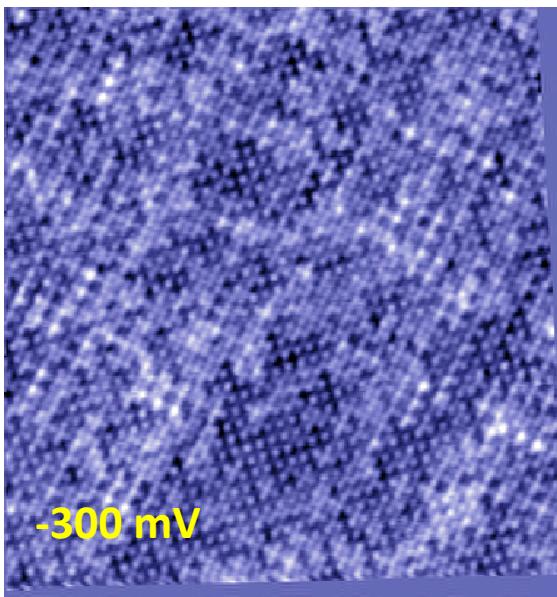
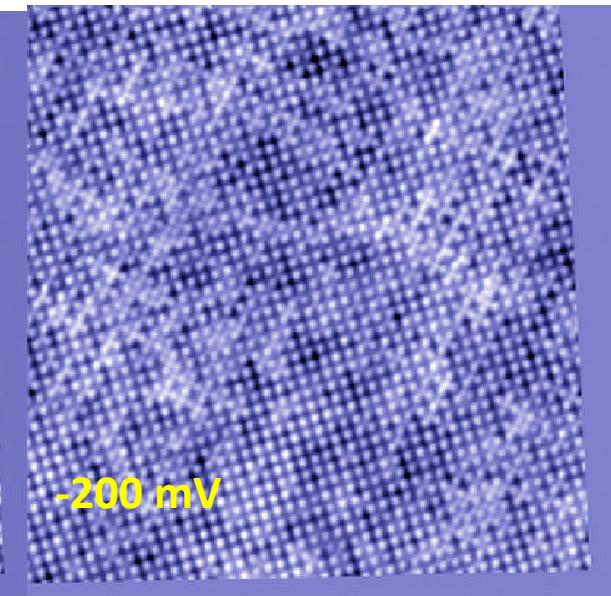
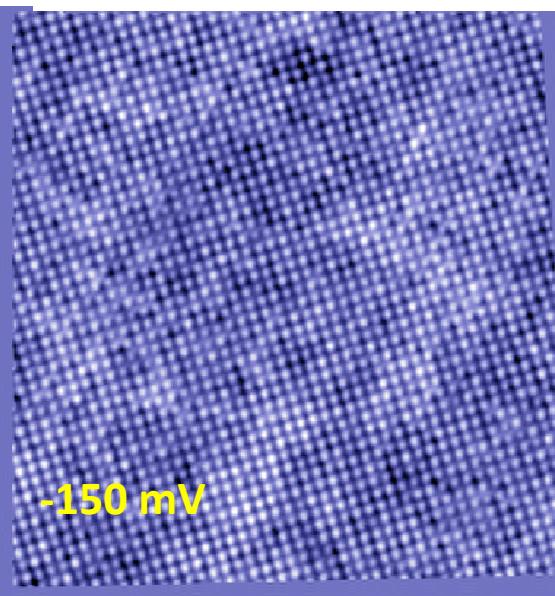
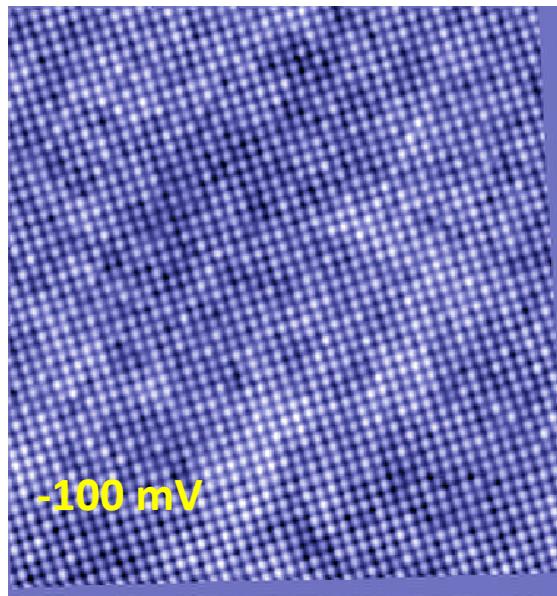


Massive Dirac Fermions



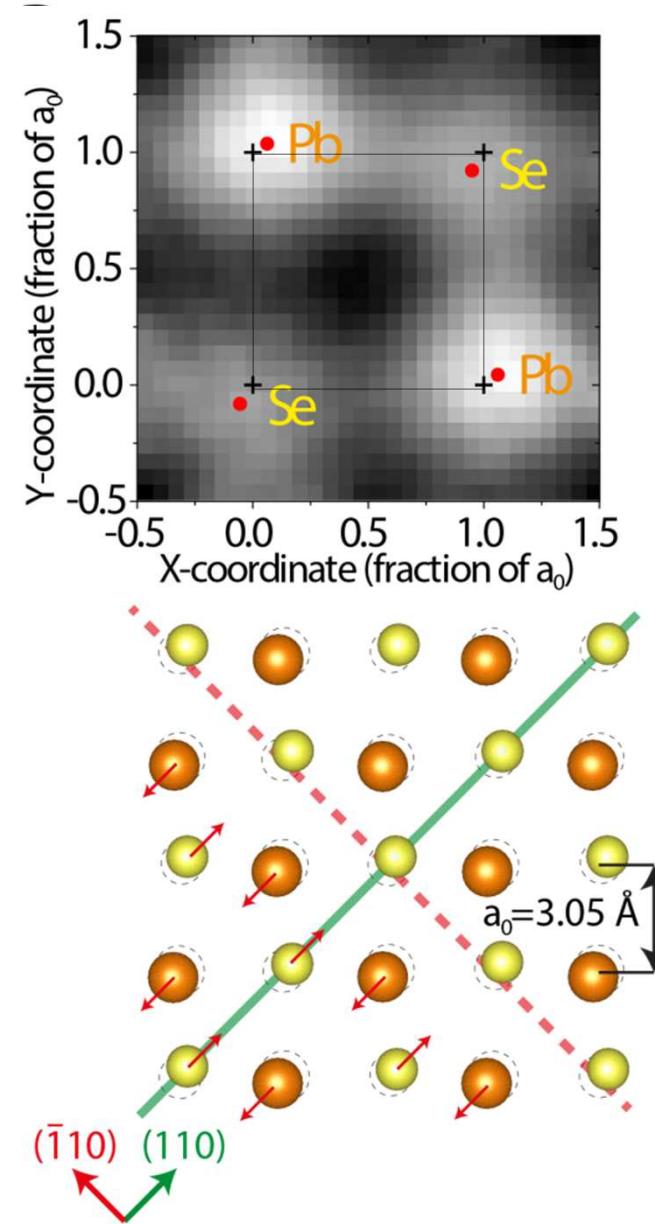
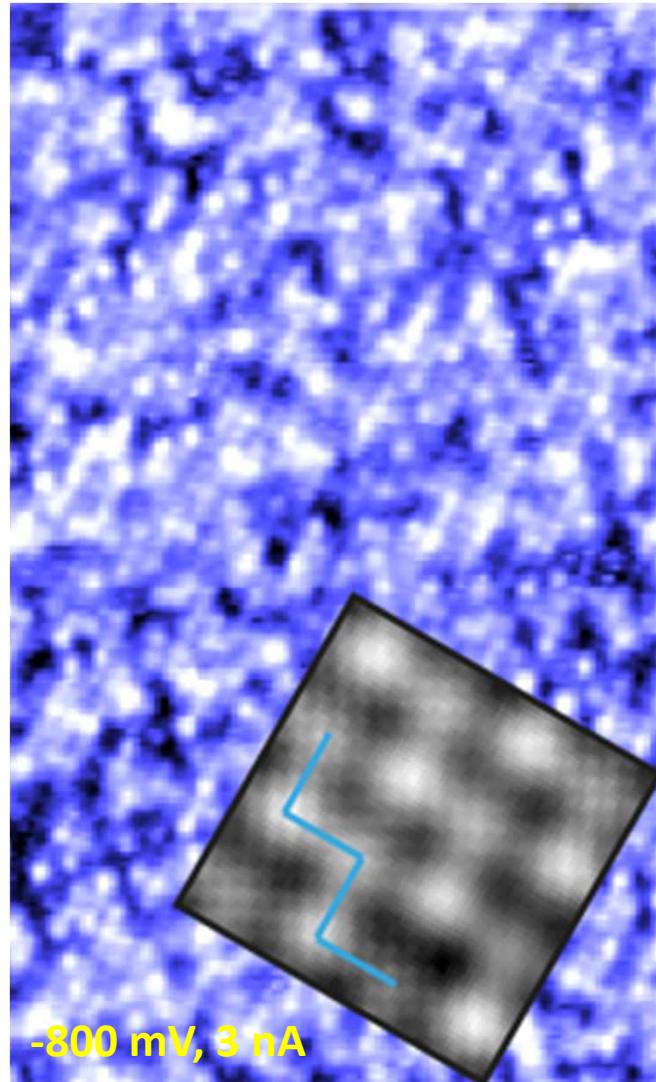
Okada *et al.* *Science* (2013)

Imaging Broken mirror symmetry

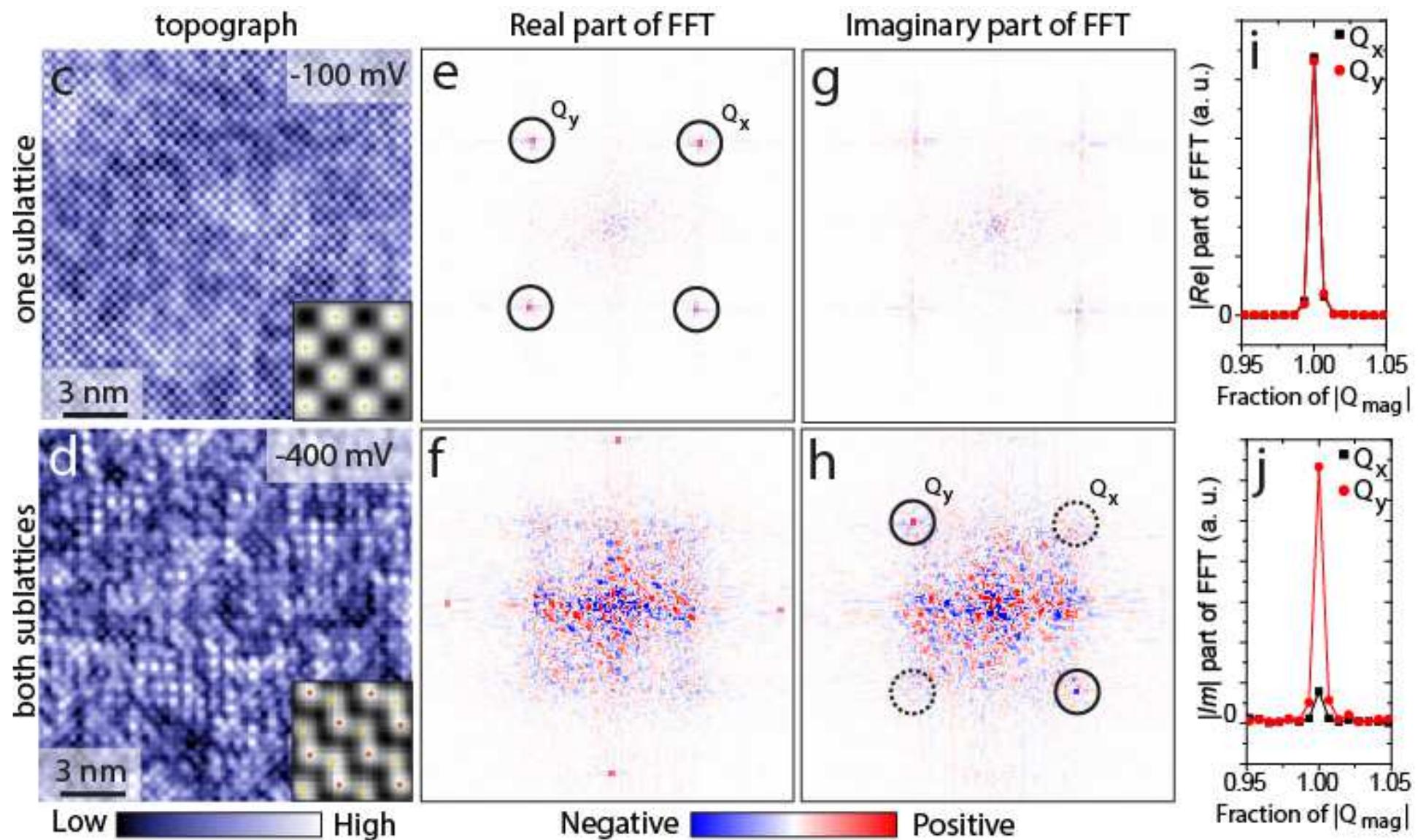


Average 4 x 4 and 2 x 2 unit cells showing Ortho Distortion

~10% unit cell distortion



Broken C_4 Symmetry from Fourier transform

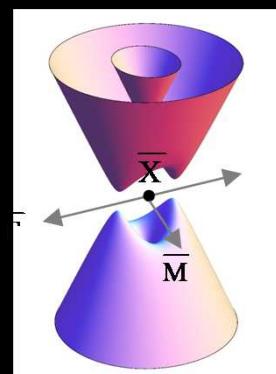
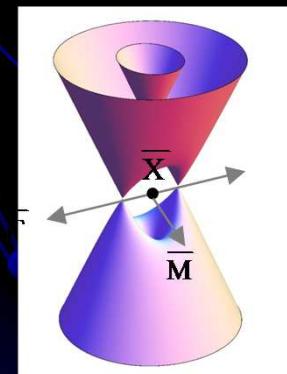


Summary

Fundamental experimental proof of the symmetry protecting the Dirac point

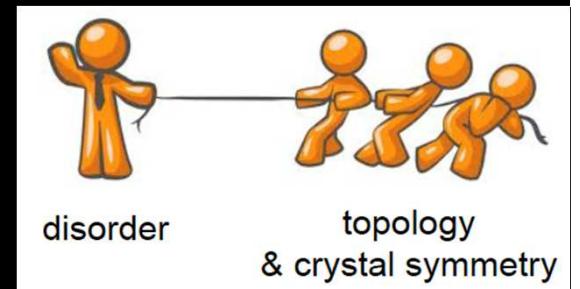
Mass generation is due to a spontaneously broken symmetry (crystalline symmetry in our case)

Analogous to the Higgs mechanism in particle physics where particles acquire mass by a spontaneous broken symmetry



Topological crystalline insulators

- Band Inversion known
- Is the dispersion linear near the `Dirac point'?
- Are the surface states topologically protected by mirror symmetry? Can you open up a gap by breaking mirror symmetry?
- Disorder necessarily violates crystal symmetry
- Symmetry is restored after disorder averaging?
- Are TCI surface states robust against strong disorder?



Outline

- The System: Topological Insulators
 - Unique properties of Conventional Z2 Topological Insulators
 - New Topological Material: Topological Crystalline Insulator
- The Technique: Scanning Tunneling Microscopy
 - Interference Patterns
 - Landau Level Spectroscopy
- The Experiment and Results: Breaking Mirror Symmetry to impart Mass to Massless Dirac Fermions
- Outstanding Questions and the Future

Implications of generating Massive Dirac Fermions

Fundamental Physics

Realization of exotic particles..magnetic mono- pole induced by a point charge

One dimensional domain wall states at boundaries between mass with different signs

Condensed matter realization domain wall modes discussed in cosmology and high energy physics

Device applications

Massless Dirac Fermions are notoriously difficult to confine...escape from high potential barriers. Surrounding with massive area could help confine them

Tunable gap implies tunable transport

Future

There may be a host of new TCIs with different lattice symmetries to be discovered

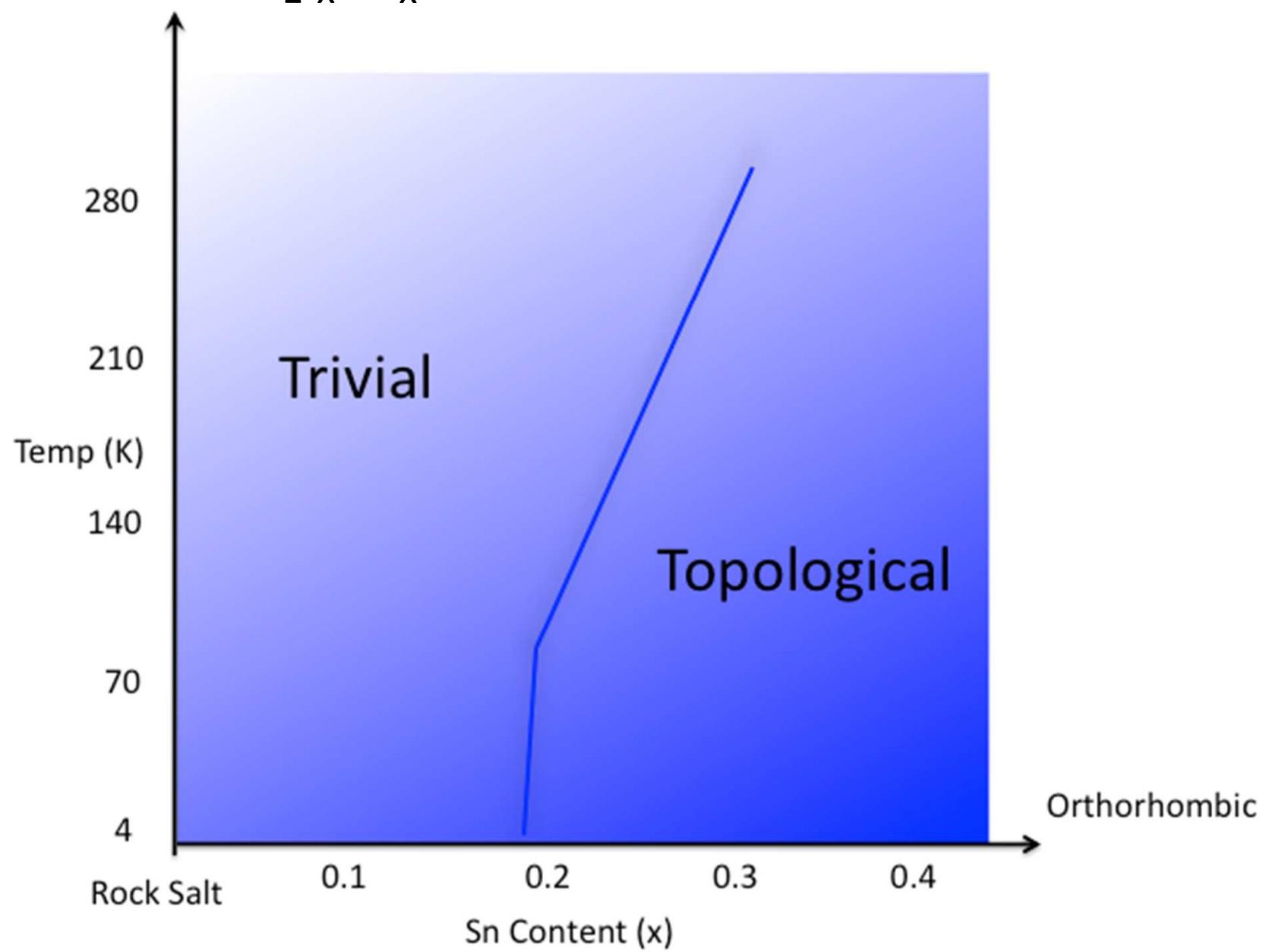
The introduction of electron interactions may drive the emergence of new phases arising from non-interacting TIs

Domain wall states at boundaries between mass with different signs waiting to be measured

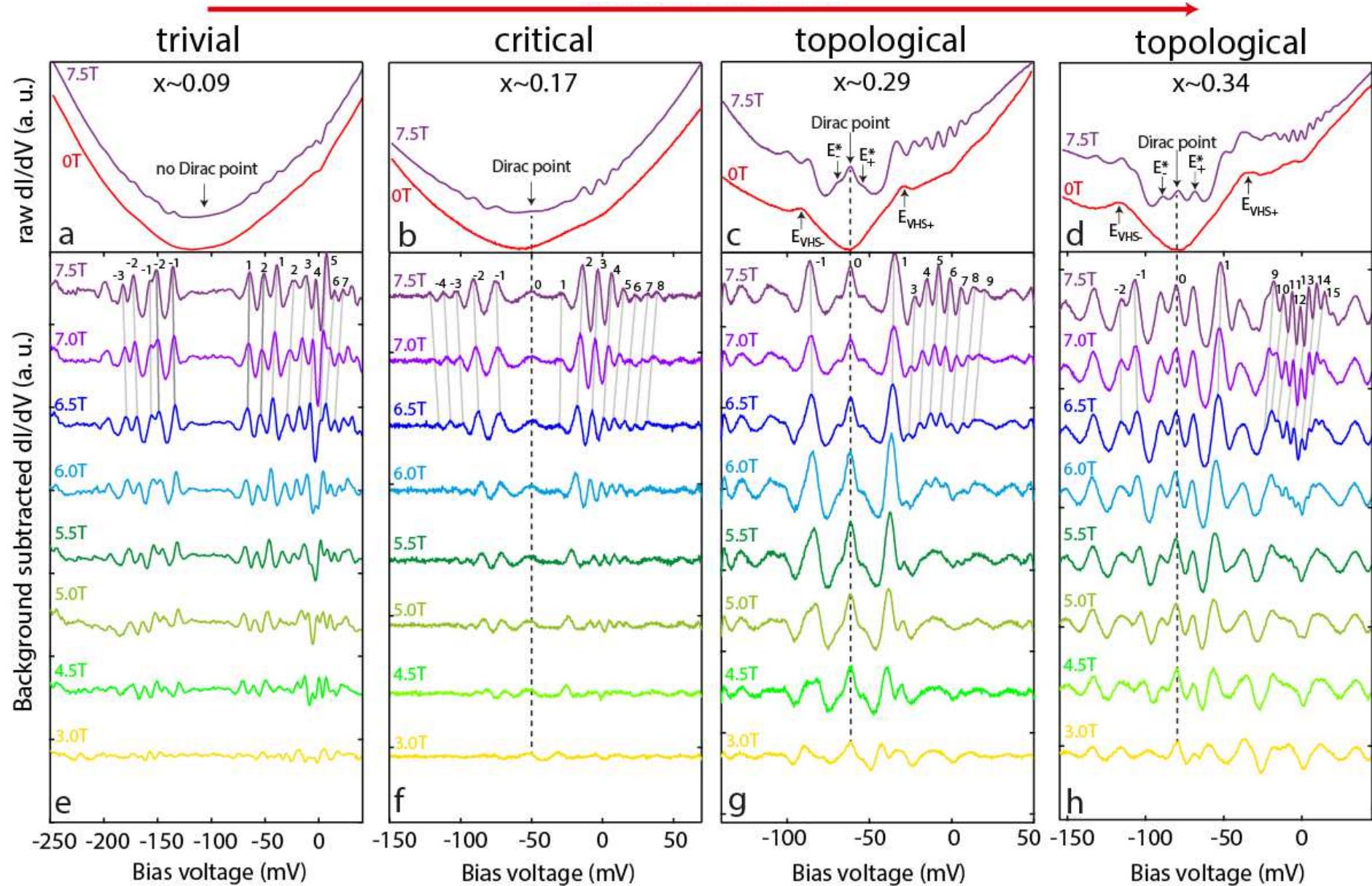
What determines the magnitude of the mass?

What is the role of electron-phonon coupling?

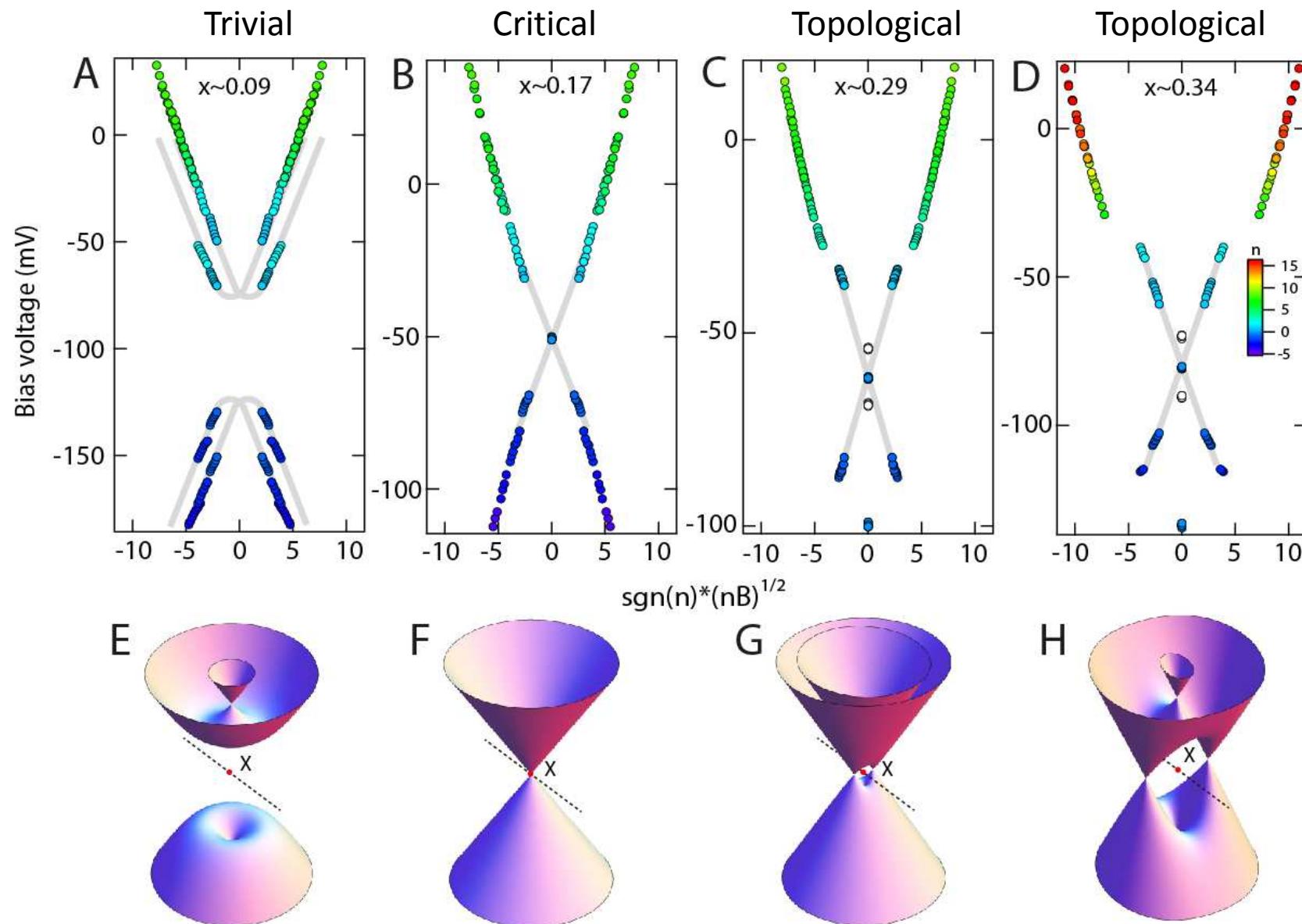
$\text{Pb}_{1-x}\text{Sn}_x\text{Se}$: Phase Diagram



Topological Phase Transition

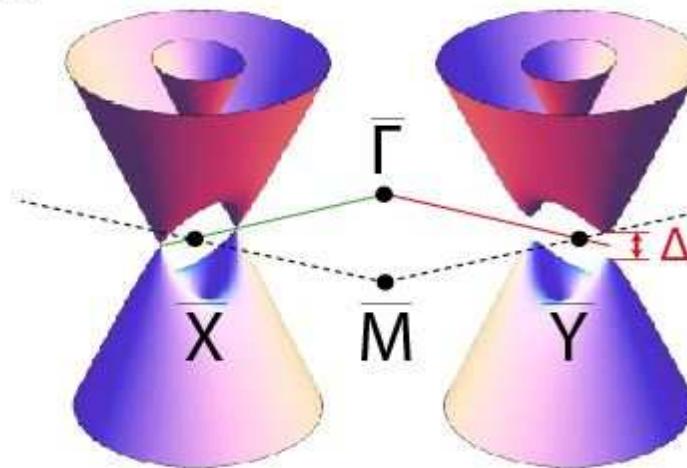


Topological Phase Transition

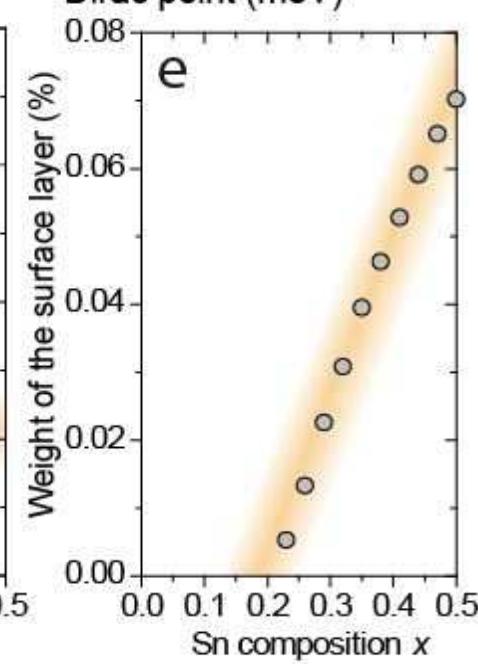
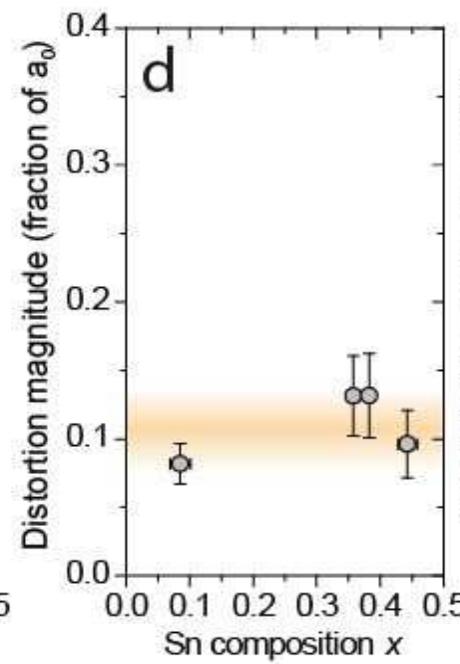
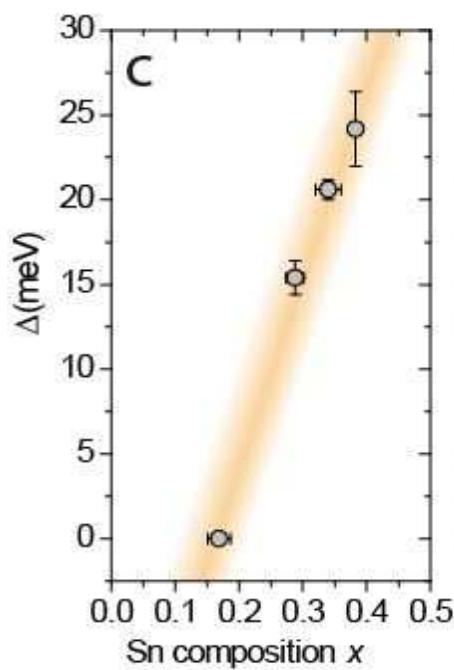
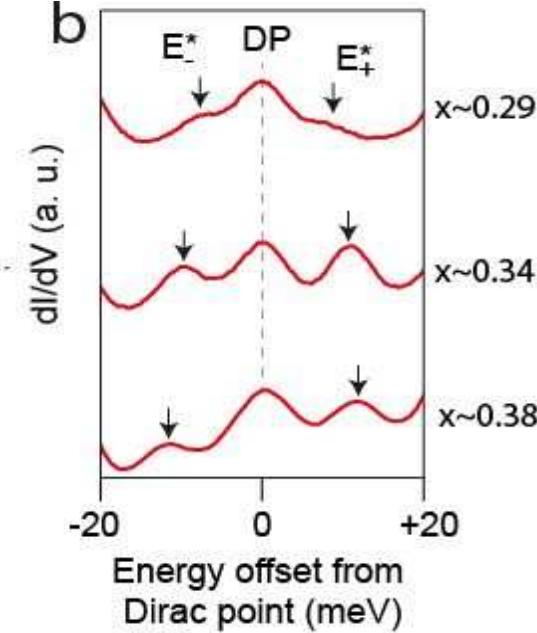


Mass decrease: SS Penetration

a



b

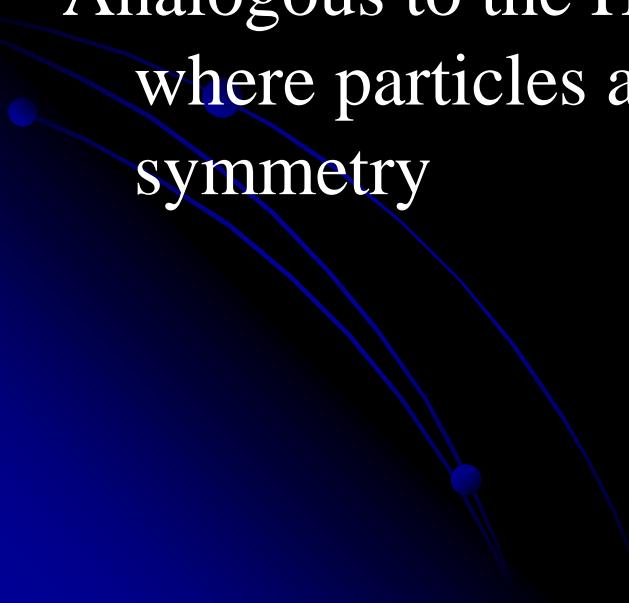


Summary

Coexistence of both massless and massive Dirac particles in the same system

Mass generation is due to a spontaneously broken symmetry (crystalline symmetry in our case)

Analogous to the Higgs mechanism in particle physics where particles acquire mass by a spontaneous broken symmetry



Selenides

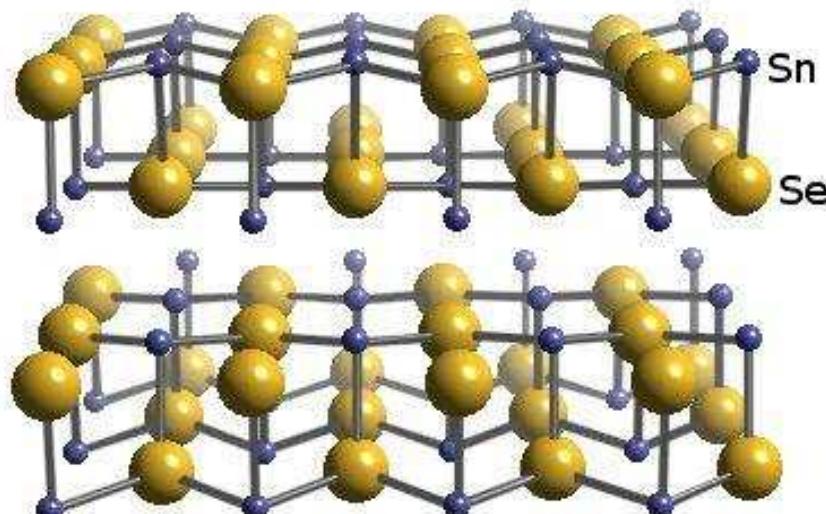
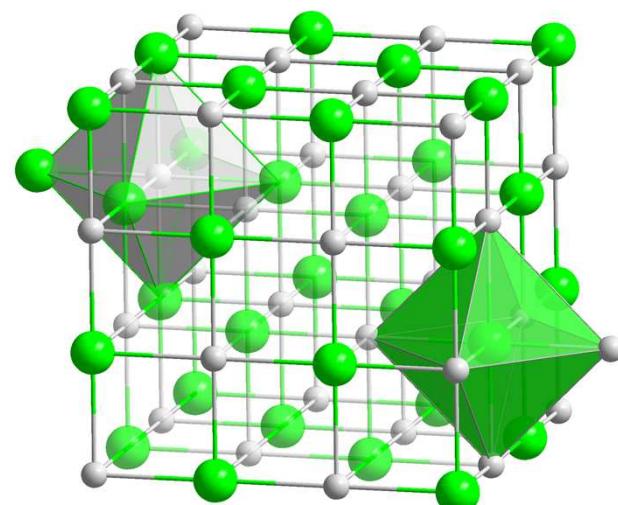
PbSe :

- Halite Structure
- $a_0 = 6.128 \text{ \AA}$
- Trivial insulator

SnSe:

- Orthorhombic , Quasi tetragonal Structure
- Trivial Insulator

tin(II) selenide



Spatial Distribution of Dirac Point

